

## U.S. FOOD AND DRUG ADMINISTRATION

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CENTER FOR BIOLOGICS EVALUATION AND RESEARCH

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CELLULAR, TISSUE AND GENE THERAPIES ADVISORY  
COMMITTEE

+ + + + +

OPEN SESSION

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THURSDAY,  
MARCH 29, 2007

+ + + + +

The meeting convened at 8:00 a.m.  
at the Hilton Washington D.C.  
North/Gaithersburg, 620 Perry Parkway,  
Gaithersburg, Maryland, James J. Mulé,  
Ph.D., Chair, presiding.

PRESENT:

JAMES J. MULÉ, Ph.D., Chair  
 RICHARD B. ALEXANDER, M.D., Temporary Voting  
 Member  
 MATTHEW J. ALLEN, Vet., M.B., Ph.D.  
 Member  
 MICHÉLE P. CALOS, Ph.D., Member  
 JEFFREY S. CHAMBERLAIN, Ph.D., Member  
 RICHARD J. CHAPPELL, Ph.D., Member  
 GLENN DRANOFF, M.D., Temporary Non-voting  
 Member  
 STEVEN M. DUBINETT, M.D., Temporary Voting  
 Member

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PRESENT:

STANTON L. GERSON, M.D., Member  
(Topic II only)  
FARSHID GUILAK, Ph.D., Member  
KURT C. GUNTER, M.D., Industry  
Representative  
MAHA HUSSAIN, M.D., FACP, Temporary Voting  
Member  
LARRY W. KWAK, M.D., Ph.D., Member  
FRANCESCO MARINCOLA, M.D., Temporary Voting  
Member  
ROBERT J. SAMUELS, Patient Representative  
HOWARD I. SCHER, M.D., Temporary Voting  
Member  
DORIS A. TAYLOR, Ph.D., Member  
SHARON F. TERRY, M.S., Consumer  
Representative  
WILLIAM W. TOMFORD, M.D., Member  
WALTER J. URBA, M.D., Ph.D. Member  
(Topic II only)  
SAVIO LAU-CHING WOO, Ph.D., Member

FDA PARTICIPANTS:

GAIL DAPOLITO, Executive Secretary  
STEVEN R. BAUER, Ph.D., Chief, Cellular and  
Tissue Therapy Branch  
KATHRYN M. CARBONE, M.D.  
KE LIU, M.D., Ph.D., Division of Clinical  
Evaluation, Pharmacology and  
Toxicology  
RAJ K. PURI, M.D., Ph.D., Director, DCGT,  
and Chief, Tumor Vaccines and  
Biotechnology Branch  
CELIA WITTEN, M.D., Ph.D., Director, Office  
of Cellular, Tissue and Gene Therapies  
KEITH WONNACOTT, Ph.D., Chief, Cell Therapy  
Branch  
BO-GUANG ZHEN, Ph.D., Division of  
Biostatistics

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(BLA-STN 125197)**

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Therapies  
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Branch

1 P-R-O-C-E-E-D-I-N-G-S

2 8:01 a.m.

3 DR. MULÉ: I'd like to welcome  
4 you to the March 29 meeting of the Cellular,  
5 Tissue and Gene Therapies Advisory Committee  
6 for the FDA. We have a very full schedule  
7 today and so what I'd like to do is, as much  
8 as possible to keep us on time, I would ask  
9 again the speakers to be cognizant of the  
10 fact of the schedule and my job of course is  
11 to try to keep things moving along. So  
12 again I'd like to welcome you. I'd like to  
13 welcome the new members of the committee as  
14 well as the other members of our advisory  
15 committee for this meeting. So we'll get  
16 started by having Gail read the conflict.

17 MS. DAPOLITO: Good morning and  
18 welcome. I'm Gail Dapolito, the Executive  
19 Secretary for the Cellular, Tissue and Gene  
20 Therapies Advisory Committee. Before I read  
21 the conflict of interest statement I would  
22 like to request that you please silence cell

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1 phones and pagers, and also I would like to  
2 request that any media inquiries be directed  
3 to Karen Riley or Heidi Rebello from the FDA  
4 Office of Public Affairs. And if Karen or  
5 Heidi could stand up. They're waving.  
6 They're over to my left. Thank you. Now I  
7 will read for the public record the conflict  
8 of interest statement. One more matter for  
9 press inquiries. Dr. Celia Witten will be  
10 the sole spokesperson for the FDA. Thank  
11 you.

12                   The Food and Drug Administration  
13 convenes today's meeting of the Cellular,  
14 Tissue and Gene Therapies Advisory Committee  
15 under the authority of the Federal Advisory  
16 Committee Act of 1972. With the exception  
17 of the industry representative, all  
18 participants of the committee are special  
19 government employees or regular federal  
20 employees from other agencies and are  
21 subject to the federal conflict of interest  
22 laws and regulations. The following

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1 information on the status of this advisory  
2 committee's compliance with federal ethics  
3 and conflict of interest laws, including but  
4 not limited to 18 USC Subsection 208 and 21  
5 USC Subsection 355(n)(4) is being provided  
6 to participants in today's meeting and to  
7 the public.

8 FDA has determined that members  
9 of this advisory committee are in compliance  
10 with federal ethics and conflict of interest  
11 laws, including but not limited to 18 USC  
12 208 and 21 USC 355(n)(4). Under 18 USC 208,  
13 applicable to all government agencies, and  
14 21 USC 355, applicable to certain FDA  
15 committees, Congress has authorized FDA to  
16 grant waivers to special government  
17 employees who have financial conflicts when  
18 it is determined that the agency's need for  
19 a particular individual's services outweighs  
20 his or her potential financial conflict of  
21 interest, Section 208, and where  
22 participation is necessary to afford

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1 essential expertise, Section 355. Members  
2 and participants of the committee who are  
3 special government employees at today's  
4 meeting, including special government  
5 employees appointed as temporary voting  
6 members, were screened for potential  
7 conflicts of interest of their own as well  
8 as those imputed to them, including those of  
9 their employer, spouse, or minor child  
10 related to the following: Topic I, the  
11 discussion of Provenge sponsored by  
12 Dendreon; Topic II, an overview of research  
13 programs in the Division of Cellular and  
14 Gene Therapy's Center for Biologics  
15 Evaluation and Research; Topic III, draft  
16 guidance for industry, minimally  
17 manipulated, unrelated allogeneic placental  
18 umbilical cord blood intended for  
19 hematopoietic reconstitution in patients  
20 with hematological malignancies; and Topic  
21 IV, a discussion of scientific issues  
22 regarding minimally manipulated unrelated

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1 allogeneic peripheral blood stem cells.  
2 These interests may include investments,  
3 consulting, expert witness testimony,  
4 contracts, grants, credits, teaching,  
5 speaking, writing, patents and royalties and  
6 primary employment.

7 For today's agenda regarding  
8 Topic I the committee will discuss and make  
9 recommendations on Provenge sponsored by  
10 Dendreon in accordance with 18 USC  
11 208(b)(3). Waivers were granted to Drs.  
12 Maha Hussain, Howard Scher and Savio Woo.  
13 Dr. Glenn Dranoff was granted a limited  
14 waiver to permit his participation in the  
15 discussions. Dr. Dranoff will not vote on  
16 this topic.

17 For the discussion of Topic III,  
18 draft guidance to industry, Drs. James Mulé,  
19 Mary Horowitz and Mary Lachlan each received  
20 a waiver under 18 USC Section 208(b)(3).  
21 Drs. Stanton Gerson and Walter Urba recused  
22 themselves from participation in Topic I.

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1 They may participate fully in Topics II, III  
2 and IV. A copy of the written waivers may  
3 be obtained by submitting a written request  
4 to the agency's Freedom of Information  
5 Office, Room 12A30 of the Parklawn Building.

6 With regard to FDA's guest  
7 speaker Dr. Pablo Rubinstein - that will be  
8 on March 30 - the agency has determined that  
9 the information provided by him is  
10 essential. The following information is  
11 being made public to allow the audience to  
12 objectively evaluate any presentation and/or  
13 comments made by him. Dr. Pablo Rubinstein  
14 is employed by the National Cord Blood  
15 Program at the New York Blood Center. Dr.  
16 Kurt Gunter is serving as the industry  
17 representative acting on behalf of all  
18 related industry and is employed by Hospira  
19 Incorporated. Industry representatives are  
20 not special government employees and do not  
21 vote.

22 This conflict of interest

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1 statement will be available for review at  
2 the registration table. We would like to  
3 remind participants that if the discussions  
4 involve any other products or firms not  
5 already on the agenda for which an FDA  
6 participant has a personal or imputed  
7 financial interest, the participants need to  
8 exclude themselves from such involvement and  
9 their exclusion will be noted for the  
10 record. FDA encourages all other  
11 participants to advise the committee of any  
12 financial relationships that you may have  
13 with the sponsor, its product and, if known,  
14 its direct competitors in any firms that  
15 could be affected by the committee  
16 discussions. Thank you.

17 DR. MULÉ: Thank you, Gail.  
18 We'll continue by introducing the members of  
19 the committee, both the standing members as  
20 well as the ad hoc members. To my left is  
21 Dr. Woo. If you can kindly give your  
22 affiliation and your expertise.

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1 DR. WOO: My name is Savio Woo.  
2 I am Professor and Chairman at the Mount  
3 Sinai School of Medicine, New York City and  
4 my expertise is in the area of gene therapy.

5 DR. MARINCOLA: I'm Franco  
6 Marincola. I'm Chief of the Immunogenetic  
7 Section and the Clinical Center at National  
8 Institutes of Health and my main interest is  
9 in immune responses to viral disease and  
10 cancer.

11 DR. SCHER: Howard Scher. I'm  
12 the Chief of the Geneto-Urinary Oncology  
13 Service at Memorial Sloane Kettering in New  
14 York with expertise in prostate cancer  
15 clinical trials.

16 DR. TOMFORD: William Tomford,  
17 Professor of Orthopedic Surgery, Harvard  
18 Medical School. I have an interest in bone  
19 and cartilage transplantation.

20 DR. GUILAK: Farshid Guilak, Duke  
21 University Medical Center. I work in tissue  
22 engineering and stem cell therapies for

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1 osteoarthritis.

2 DR. GUNTER: My name's Kurt  
3 Gunter. I'm the industry representative on  
4 the panel.

5 DR. DRANOFF: I'm Glenn Dranoff  
6 from Dana Farber Cancer Institute and I work  
7 in cancer immunology.

8 DR. ZHEN: My name is Bo Zhen.  
9 I'm a statistical reviewer, CBER, FDA.

10 DR. LIU: Ke Liu, clinical  
11 reviewer in the Office of Cellular, Tissue  
12 and Gene Therapies, CBER.

13 DR. WONNACOTT: I'm Keith  
14 Wonnacott. I'm a product reviewer on the  
15 Provenge file.

16 DR. WITTEN: Dr. Celia Witten,  
17 Office Director of the Office of Cellular,  
18 Tissue and Gene Therapies, CBER, FDA.

19 DR. ALEXANDER: My name is Rich  
20 Alexander. I'm Professor of Urology at the  
21 University of Maryland. My interest is  
22 prostate cancer and cancer immunotherapy.

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1 DR. CHAMBERLAIN: I'm Jeff  
2 Chamberlain, a Professor at the University  
3 of Washington. I work in areas of gene and  
4 stem cell therapies for the muscular  
5 dystrophies.

6 DR. KWAK: Larry Kwak, Chairman  
7 of the Department of Lymphoma and Myeloma at  
8 MD Anderson Cancer Center. My area of  
9 interest is tumor immunology.

10 DR. CALOS: Michele Calos. I'm a  
11 Professor at Stanford University and my  
12 interest is gene therapy.

13 DR. DUBINETT: Steve Dubinett.  
14 I'm from UCLA. I direct the UCLA Lung  
15 Cancer Research Program in the Division of  
16 Pulmonary and Critical Care Medicine. Our  
17 research interests focus on lung cancer,  
18 immunology and inflammation.

19 DR. ALLEN: Matthew Allen. I'm  
20 Associate Professor, Orthopedic Surgery at  
21 State University of New York in Syracuse.  
22 I'm a veterinarian with an interest in pre-

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1 clinical orthopedic animal models and also  
2 animal models of cancer.

3 DR. CHAPPELL: Rich Chappell, the  
4 Department of Biostatistics and Medical  
5 Informatics at University of Wisconsin where  
6 I'm a Professor. And my area of interest is  
7 statistical methods and design of clinical  
8 trials.

9 DR. HUSSAIN: Maha Hussain,  
10 University of Michigan. I'm a Professor of  
11 Medicine and Urology there and I am a GU  
12 medical oncologist.

13 MR. SAMUELS: My name is Bob  
14 Samuels. I am the patient advocate. I am a  
15 13-year survivor of prostate cancer, a 7-  
16 year survivor of throat cancer. I was a  
17 founding chairman of the National Prostate  
18 Cancer Coalition and also the Florida  
19 Prostate Cancer Network.

20 MS. TERRY: Sharon Terry,  
21 President and CEO of Genetic Alliance which  
22 is a coalition of 600 disease advocacy

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1 groups and also Chair of the Genetic  
2 Alliance Biobank. My expertise is in  
3 advocacy, general genetics research and  
4 biobanking.

5 DR. TAYLOR: Doris Taylor,  
6 Director of the Center for Cardiovascular  
7 Repair, University of Minnesota. My  
8 interest is in cell therapy for  
9 cardiovascular disease.

10 MS. DAPOLITO: Gail Dapolito,  
11 Executive Secretary for the committee. And  
12 I'd also like to introduce the Committee  
13 Management Specialist, Rosanna Harvey.  
14 Thank you.

15 DR. MULÉ: Jim Mulé, Executive  
16 Vice President for Applied Research, H. Lee  
17 Moffitt Comprehensive Cancer Center. My  
18 expertise is in tumor immunology and  
19 immunotherapy.

20 So we're ahead of time and if  
21 Dendreon is ready we can proceed with the  
22 presentations. We're about 20 minutes ahead

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1 of schedule. So the first speaker is an  
2 introduction from Elizabeth Smith.

3 MS. SMITH: We're ready, but our  
4 projector is not ready. Okay. Mr.  
5 Chairman, members of the committee, ladies  
6 and gentlemen, good morning. My name is  
7 Elizabeth Smith. I'm the Vice President of  
8 Regulatory Affairs at Dendreon Corporation  
9 and on behalf of Dendreon we are honored to  
10 be here today to work with this committee to  
11 further advance the field of cancer  
12 immunotherapies and turn theoretical  
13 concepts into real treatment options that  
14 have the potential to improve the lives of  
15 patients suffering from prostate cancer.

16 Provenge or sipuleucel-T is one  
17 of many cell- and immune-based therapies  
18 that have been under development over the  
19 last decade, but this is the first in this  
20 new class of therapy to come before this  
21 committee in consideration for licensure.  
22 Sipuleucel-T is an autologous active

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1 cellular immunotherapy that is designed to  
2 activate the patient's immune system against  
3 his prostate cancer. This is a patient-  
4 specific product consisting of autologous  
5 antigen-presenting cells that are loaded ex  
6 vivo with a recombinant fusion protein  
7 consisting of human prosthetic acid  
8 phosphatase, or PAP, fused to human  
9 granulocyte macrophage colony stimulating  
10 factor, or GMCSF. Specifically, in a simple  
11 and well-defined process peripheral blood  
12 mononuclear cells are obtained from each  
13 patient via apheresis. These cells are  
14 shipped to a Dendreon manufacturing facility  
15 for preparation of the sipuleucel-T final  
16 product. Using validated aseptic GMP  
17 processes, the cells are isolated and they  
18 are cultured with the recombinant fusion  
19 protein ex vivo. After culture, the cells  
20 are harvested, washed, formulated, sampled  
21 for QC testing and then shipped to the  
22 physician's office for infusion to the

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1 patient. This process is repeated three  
2 times at 2-week intervals. The whole course  
3 of treatment involves three donations of  
4 blood followed by three infusions of  
5 product. This basic process was used  
6 throughout the clinical development program  
7 for sipuleucel-T which has been conducted  
8 solely in the prostate cancer setting.

9           After filing our IND in 1996, our  
10 initial Phase I and II studies were  
11 conducted in men with both asymptomatic and  
12 symptomatic hormone-refractory, also known  
13 as androgen-independent prostate cancer.  
14 The results of these studies demonstrated  
15 that infusions of sipuleucel-T up to the  
16 maximum dose achieved in the manufacturing  
17 process were well tolerated. Signals of  
18 delay in disease progression and the  
19 generation of immune responses following  
20 treatment led us to the design of our Phase  
21 III program in men with asymptomatic  
22 metastatic AIPC shown here in yellow.

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1                   Studies 9901 and 9902A which we  
2 will refer to today as Studies 1 and 2  
3 respectively, were multi-center, randomized,  
4 double blind, placebo-controlled trials.  
5 The survival results from these studies will  
6 be the focus of our efficacy presentation  
7 today. The third study, 9902B, which we  
8 will refer to as Study 3, is currently  
9 enrolling men with asymptomatic and  
10 minimally symptomatic androgen-independent  
11 prostate cancer. This study was initiated  
12 and designed before the availability of the  
13 survival results from Studies 1 and 2.  
14 Lastly, Study P11 is being conducted in men  
15 with androgen-dependent prostate cancer, and  
16 all of these studies contribute to the  
17 safety database for sipuleucel-T.

18                   The Phase III regulatory history  
19 provides important context for the results  
20 that will be presented today. In 1999 and  
21 early 2000, Studies 1 and 2 were initiated  
22 at multiple centers across the United

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1 States. The original intent of the Phase  
2 III program was to evaluate the ability of  
3 sipuleucel-T to delay the time-to-disease-  
4 progression in men with AIPC, which was the  
5 primary endpoint of the study, compared to a  
6 placebo control. Additionally, while both  
7 FDA and Dendreon recognize that neither  
8 study was prospectively powered to detect a  
9 difference in overall survival, we included  
10 a plan to follow all patients for survival  
11 for 36 months or until death after  
12 randomization.

13 In 2002, Dendreon analyzed the  
14 results for Study 1, time to progression.  
15 The primary endpoint was not met. The p-  
16 value approached but did not achieve  
17 statistical significance, suggesting a lack  
18 of power, particularly in light of the  
19 observed delayed treatment effect of this  
20 immunotherapy. The magnitude of the  
21 treatment effect, however, was consistent  
22 with patient benefit. The results from

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1 Study 1 signaled that Study 2 was unlikely  
2 to meet its primary endpoint of progression.  
3 Thus Dendreon stopped enrollment in Study 2  
4 prematurely. The survival results from  
5 Study 1 were not sufficiently mature to  
6 conduct an analysis in 2002, so all patients  
7 in Studies 1 and 2 continued to be followed  
8 for survival per protocol.

9 In 2003, under a special protocol  
10 assessment, Study 3 was initiated. Study 3  
11 was initiated to continue our clinical  
12 investigation of sipuleucel-T, now in men  
13 with both asymptomatic and minimally  
14 symptomatic androgen-independent prostate  
15 cancer complimented by our increased  
16 understanding of sipuleucel-T efficacy  
17 gained from Study 1. Initially the primary  
18 endpoint for Study 3 was time to objective  
19 disease progression. It has since been  
20 changed to overall survival. The final  
21 survival results from Study 3 will be  
22 available in 2010.

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1                   In 2004, after every subject was  
2 followed until death or 36 months, per  
3 protocol, the final survival results in the  
4 intent-to-treat population demonstrated a  
5 clinically meaningful improvement in overall  
6 survival compared to placebo. The results  
7 from Study 2 showed a trend in the same  
8 direction. These results were then  
9 discussed with FDA and fast-track  
10 designation was granted on the basis of the  
11 demonstrated potential of sipuleucel-T to  
12 prolong survival while avoiding the  
13 toxicities associated with current  
14 therapies.

15                   Dendreon filed its biologics  
16 license application in 2006 and it is  
17 currently under priority review. The  
18 proposed basis for Dendreon's biologics  
19 license application has been demonstrated in  
20 multi-center, randomized, double blind,  
21 placebo-controlled trials. The primary  
22 evidence of efficacy is provided from Study

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1 1. Time to progression was the primary  
2 endpoint. The magnitude of the treatment  
3 effect for progression in Study 1 was  
4 consistent with patient benefit. More  
5 important, however, are the results for  
6 overall survival. This is the most  
7 clinically relevant and objective measure of  
8 efficacy in clinical trials in oncology.  
9 The overall survival results in the intent-  
10 to-treat population were clinically  
11 meaningful and statistically persuasive.  
12 There was internal consistency within the  
13 study. The primary and secondary endpoints  
14 all in the same direction and a positive  
15 treatment effect across all patient subsets.  
16 The survival results have also held up to  
17 the challenge of multiple sensitivity  
18 analyses.

19 Supportive evidence of efficacy  
20 is provided from Study 2 which has shown a  
21 trend in the same direction for improvement  
22 in survival. The results of exploratory

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1 analyses which integrate the data from  
2 Studies 1 and 2 confirm patient benefit and  
3 also demonstrate that there is a strong  
4 correlation between product potency, a  
5 measure of cell activation and overall  
6 survival. The totality of the evidence from  
7 these studies demonstrate that the results  
8 from Study 1 are unlikely to be due to  
9 chance. And finally, sipuleucel-T appears  
10 to be well-tolerated, providing an appealing  
11 benefit-to-risk profile, particularly in  
12 light of the limitations of current  
13 treatment options. Taken together, these  
14 data establish the safety and efficacy of  
15 sipuleucel-T and support our proposed  
16 indication in the patient population that we  
17 studied, namely men with asymptomatic  
18 metastatic androgen-independent prostate  
19 cancer.

20 In the last 20 years, only four  
21 drugs have been approved for the treatment  
22 of advanced prostate cancer, and only one of

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1 these, a cytotoxic agent, has shown a modest  
2 improvement in overall survival. The  
3 expected survival in these patients is  
4 approximately 14 to 22 months. Today's  
5 proceedings are a significant step toward  
6 changing the landscape of prostate cancer  
7 treatment. We will present data today to  
8 facilitate the committee's review and  
9 understanding of sipuleucel-T and  
10 demonstrate how, if approved, sipuleucel-T  
11 will meet an important unmet medical need to  
12 prolong survival in this ultimately fatal  
13 disease.

14 Our first speaker today is Dr.  
15 Mark Frohlich, Vice President of Clinical  
16 Affairs at Dendreon who will describe the  
17 clinical development, efficacy and safety of  
18 sipuleucel-T.

19 DR. MULÉ: Thank you, Ms. Smith.

20 DR. FROHLICH: Thank you, Liz.

21 Good morning. I'm Mark Frohlich, Vice  
22 President of Clinical Affairs at Dendreon

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1 and a medical oncologist. I've been focused  
2 on the development of cancer immunotherapies  
3 for about the past eight years. My interest  
4 in the field was stimulated in part from my  
5 experience as a faculty member at University  
6 of California-San Francisco in the 1990s  
7 where I treated some of the first patients  
8 with sipuleucel-T on the Phase I/II clinical  
9 trials being conducted there by Dr. Eric  
10 Small.

11 The primary evidence for clinical  
12 efficacy for sipuleucel-T is the results  
13 from two Phase III multi-center, randomized,  
14 double blind, placebo-controlled trials that  
15 were identical in original design. These  
16 trials enrolled men with asymptomatic  
17 metastatic androgen-independent prostate  
18 cancer. They were randomized 2 to 1 to  
19 treatment with sipuleucel-T or placebo.  
20 Placebo was designed to serve as an inactive  
21 cellular control. It was identical in  
22 appearance to sipuleucel-T in order to

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1 preserve the integrity of the study blind.  
2 All patients underwent leukapheresis  
3 followed by treatment. This was scheduled  
4 to occur on three occasions separated  
5 approximately two weeks apart. At the time  
6 of disease progression patients could be  
7 treated at the physician's discretion.  
8 Those patients on the placebo arm had the  
9 option of being treated on a salvage  
10 protocol in which they received a version of  
11 sipuleucel-T manufactured from cells  
12 cryopreserved at the time of placebo  
13 generation. This design allowed men to  
14 participate in the salvage protocol without  
15 having to undergo three additional  
16 leukapheresis procedures.

17 The primary endpoint of the  
18 trials was time-to-disease-progression.  
19 Time-to-disease-progression was specified as  
20 an intent-to-treat analysis, namely  
21 including all patients as randomized. The  
22 Kaplan-Meier method was used to estimate

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1 survival distributions. The method of  
2 analysis was log rank with two-sided p-  
3 values and the hazard ratios were calculated  
4 from a Cox regression model. The protocol  
5 also specified that an efficacy analysis for  
6 overall survival would be performed after 36  
7 months of follow-up in all patients. It was  
8 stated that the Kaplan-Meier method would be  
9 used to estimate survival rates at three,  
10 six, nine and twelve months and every six  
11 months thereafter, and that the Cox  
12 regression model would be used to adjust for  
13 baseline prognostic factors. The primary  
14 method of analysis was log rank, the same  
15 method used for the primary endpoint of  
16 time-to-disease-progression. The major  
17 eligibility criteria were metastatic  
18 prostate cancer, no visceral metastases,  
19 tumor progression despite androgen  
20 deprivation therapy, no cancer-related pain,  
21 no systemic steroids or prior immunotherapy  
22 and ECOG performance status of zero or 1.

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1                   The primary evidence of clinical  
2 efficacy in this application is the results  
3 from Study 1. The baseline characteristics  
4 of Study 1 were well balanced between the  
5 treatment arms in terms of age, weight,  
6 performance status, ethnicity, laboratory  
7 values such as PSA, alkaline phosphatase and  
8 LDH. Less than 10 percent of patients on  
9 each arm received chemotherapy prior to  
10 enrollment. Additional baseline disease  
11 parameters were relatively well-balanced in  
12 terms of the percentage of patients who had  
13 moderately or well-differentiated tumors as  
14 assessed by Gleason score. There were a  
15 higher percentage or a number of patients -  
16 percentage of patients with bone and soft  
17 tissue disease in the placebo arm, but a  
18 higher percentage of patients on the  
19 treatment arm who had greater than 10 bony  
20 metastases. None of these between-arm  
21 differences had p-values less than 0.05.

22                   We further investigated the

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1 balance between the treatment arms using an  
2 independently validated model. The model  
3 published by Dr. Halabi and colleagues from  
4 the CLBG Cooperative Cancer Group is based  
5 on more than a thousand patients from six  
6 advanced prostate cancer trials. The final  
7 model includes seven baseline prognostic  
8 factors. We determined an estimated or  
9 predicted survival for each patient on the  
10 study and the medians of these predicted  
11 survivals was very comparable between the  
12 two treatment arms at 20.1 and 19.9 months.

13 The primary endpoint of the trial  
14 was time-to-disease-progression. Time-to-  
15 disease-progression was defined as either  
16 radiographic progression, clinical  
17 progression events such as development of  
18 pathologic fracture or cord compression, or  
19 the development of cancer-related pain. PSA  
20 increases were not included in the  
21 definition of disease progression. The  
22 median time-to-disease-progression was

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1 estimated to be 16 weeks in the placebo arm  
2 based on the assumption that patients with  
3 asymptomatic disease would progress more  
4 slowly than those with symptomatic disease.  
5 The time-to-disease-progression in the  
6 treatment arm was estimated to be 31 weeks  
7 for an overall hazard ratio of 1.925.

8           Demonstrating an effect on the  
9 time-to-disease-progression endpoint proved  
10 challenging because the patients progressed  
11 much more rapidly than anticipated. The  
12 Kaplan-Meier curves for the intent-to-treat  
13 analysis separated 10 weeks and then  
14 remained separated throughout the duration  
15 of follow-up. The initial p-value reported  
16 was 0.085. After unblinding, we found eight  
17 errors, four of them clerical in nature and  
18 four of them where the algorithm specified  
19 in the statistical analysis plan was  
20 initially not followed. After correction,  
21 the p-value was 0.052 with minimal effect on  
22 the hazard ratio. The median time-to-

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1 disease progression was 11.7 weeks in the  
2 treatment arm and 10 weeks in the placebo  
3 arm. The rate of progression in the  
4 asymptomatic patients was much more rapid  
5 than the 16 weeks estimated for the placebo  
6 arm. The zoledronic acid and atracentin  
7 studies have subsequently confirmed that  
8 these asymptomatic patients in fact progress  
9 at rates that are comparable to those with  
10 symptomatic disease.

11           Given the delayed separation of  
12 the Kaplan-Meier curves, the treatment  
13 effect is best estimated by the hazard ratio  
14 of 1.45. This indicates a 45 percent  
15 increase in the risk of disease progression  
16 in the placebo arm relative to the treatment  
17 arm. Stated another way, there's a 31  
18 percent reduction in the risk of disease  
19 progression in the treatment arm relative to  
20 placebo as calculated by 1 minus the  
21 reciprocal of the hazard ratio. The  
22 secondary endpoints of Study 1 demonstrated

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1 trends in favor of sipuleucel-T. These  
2 included time to clinical progression, time  
3 to treatment failure and time to disease-  
4 related pain. There were no objective  
5 responses based on radiographic assessments.

6 In a subset of patients enrolled  
7 in the trial we measured immune responses to  
8 the immunizing antigen. T-cell  
9 proliferation was measured at Weeks Zero, 8  
10 and 16. There was a significant immune  
11 response in those patients treated with  
12 sipuleucel-T as shown in yellow, but not in  
13 those who received placebo, as shown in  
14 grey. While responses to the immunizing PAP  
15 GMCSF antigen have proven a robust and  
16 reliable means of assessing the immune  
17 response to sipuleucel-T, it has proven  
18 challenging to demonstrate immune responses  
19 specific for prostatic acid phosphatase.

20 Overall survival is the primary  
21 basis of clinical efficacy. Survival was  
22 not the primary endpoint, but it was a

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1 planned efficacy analysis. Overall survival  
2 is the least biased, least variable and most  
3 clinically meaningful assessment of an  
4 oncology product. Survival is also the  
5 reference endpoint for the putative  
6 surrogate endpoint of time-to-disease-  
7 progression. The results of Study 1 showed  
8 a clinically meaningful improvement in  
9 overall survival. The Kaplan-Meier curves  
10 separate after approximately 10 months and  
11 then continue to separate throughout the  
12 follow-up, the 36-month duration of follow-  
13 up. The p-value by log rank was 0.01. The  
14 hazard ratio 1.71, indicating a 71 percent  
15 increase in the risk of disease progression  
16 in the placebo arm relative to treatment  
17 which translates to a 41 percent reduction  
18 in the risk of death in the treatment arm  
19 relative to placebo. No patients were lost  
20 to follow-up so there was no early censoring  
21 prior to the 36-month time point.

22 The survival results by quartile

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1 reflect the increasing separation of the  
2 Kaplan-Meier curves over time. The median  
3 survival in the treatment arm was 25.9  
4 months compared to 21.4 in the placebo arm,  
5 a 4 and a half month median survival benefit  
6 which increases to more than five months at  
7 the 25<sup>th</sup> percentile. The same trend towards  
8 an increasing survival advantage over time  
9 is reflected by the percentage of patients  
10 alive at 12, 24 and 36 months, such that at  
11 36 months there were 34 percent of patients  
12 alive in the treatment arm compared to 11  
13 percent on the placebo arm. Measured by the  
14 overall hazard ratio, the median survival  
15 benefit and the percentage of patients alive  
16 at 36 months, sipuleucel-T conferred a large  
17 survival benefit which increased over time.  
18 This survival benefit was observed despite  
19 the crossover design of the study.

20 Because overall survival was not  
21 the primary endpoint we wanted to ensure  
22 that these survival results were real and

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1 not a random result or chance finding.  
2 Accordingly, we performed multiple  
3 sensitivity analyses in order to test the  
4 robustness of these survival results.  
5 Specifically, we assessed the consistency of  
6 the treatment effect in study cell  
7 populations, performed adjustments for  
8 baseline prognostic factors, assessed  
9 chemotherapy use and timing following  
10 investigational therapy and determined  
11 prostate cancer-specific survival. To  
12 assess for treatment effect consistency in  
13 study subpopulations we examined 21 known or  
14 potential prognostic factors, many of them  
15 well-described in the literature. We  
16 categorized each of these variables into two  
17 or more subpopulations. So for continuous  
18 variables for example this was achieved by  
19 partitioning the population into those with  
20 values above versus below the median value.  
21 As examples, force plots are shown for those  
22 eight baseline prognostic factors that

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1 independently were predictive for overall  
2 survival in this patient population. This  
3 includes factors such as age, laboratory  
4 parameters such as PSA, alkaline  
5 phosphatase, LDH, localization of disease  
6 and the number of bony metastases. The plot  
7 shows the magnitude of the treatment effect  
8 in each of these partitioned subpopulations.  
9 All subpopulations demonstrated a positive  
10 treatment effect in terms of the hazard  
11 ratio greater than 1. And as you'll find in  
12 Appendix 5 of your briefing document, this  
13 was true of more than 40 subpopulations  
14 based on these 21 baseline prognostic  
15 factors. This demonstrates that every  
16 subpopulation was contributing to the  
17 treatment effect and that it is not being  
18 driven by a particular subgroup of patients.

19           Next we sought to adjust the  
20 treatment effect for baseline prognostic  
21 factors. To adjust for multiple baseline  
22 prognostic factors we started with those

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1 eight factors that, individually, were  
2 predictive for overall survival in this  
3 patient population. Because some of these  
4 prognostic factors were correlated we used  
5 backwards, stepwise selection to determine  
6 the factors that contributed significantly  
7 to the fit of the final model. The final  
8 model included the five factors, LDH, PSA,  
9 number of bone metastases, weight and  
10 localization of disease. After adjusting  
11 for these factors in the multiple regression  
12 model, the treatment effect remained  
13 consistent with a hazard ratio of 2.16.  
14 This demonstrates that the survival results  
15 cannot be explained by imbalances in  
16 potential baseline prognostic factors.

17 We next sought to understand  
18 whether chemotherapy use following  
19 investigational therapy could have affected  
20 the survival results now that we know that  
21 docetaxel confers a modest survival benefit  
22 in this patient population. However, we

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1 were unable to find any evidence of a  
2 difference in chemotherapy use or docetaxel  
3 use. There was also no evidence of a delay  
4 in time to initiation of docetaxel therapy  
5 in the placebo arm. The treatment effect  
6 also remained strong in the subpopulation of  
7 patients who went on to receive docetaxel,  
8 both those who received it early and those  
9 who received it later, and the treatment  
10 effect remained strong after adjusting for  
11 docetaxel use in a time-dependent covariant  
12 model. We were therefore unable to find any  
13 evidence to suggest that post-progression  
14 treatment with chemotherapy affects the  
15 interpretation of the survival results.

16 Finally, we examined the  
17 influence of non-prostate cancer deaths.  
18 For this analysis the 17 deaths not  
19 attributed to prostate cancer were treated  
20 as competing events. The yellow and grey  
21 circles represent patients who died from  
22 causes other than known or probable prostate

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1 cancer. The blue circles at 36 months  
2 represent patients who were still alive at  
3 the conclusion of the study. Compared to  
4 the overall survival analysis, the treatment  
5 effect remains strong with a hazard ratio of  
6 2.04, a 51 percent reduction in the risk of  
7 prostate cancer death.

8 To summarize, the Study 1 overall  
9 survival result treatment effect remained  
10 consistent in multiple study subpopulations  
11 and after performing adjustments for  
12 baseline prognostic factors, for docetaxel  
13 use and in determining prostate cancer-  
14 specific survival. After considering the  
15 totality of the evidence, the survival  
16 benefit appears to be, not only clinically  
17 significant, but also statistically  
18 persuasive. The p-value 0.01, the hazard  
19 ratio 1.71 indicating a 41 percent reduction  
20 in the risk of death in the treatment arm.  
21 The median survival benefit is 4.5 months  
22 and the percentage of patients alive at 36

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1 months, 34 percent compared to 11 percent.  
2 There was no early censoring prior to the  
3 36-month time point.

4 Enrollment in Study 2 was  
5 discontinued early and there were therefore  
6 fewer events than in Study 1. The baseline  
7 prognostic factors were generally balanced  
8 between the treatment arms, but some  
9 imbalances were noted for PSA, LDH and the  
10 number of bony metastases. As shown in the  
11 briefing document, the primary endpoint of  
12 time-to-disease-progression was not met.  
13 The survival data show a trend in the same  
14 direction as Study 1. The Kaplan-Meier  
15 curves demonstrate an increasing separation  
16 over time resulting in a hazard ratio of  
17 1.27. This hazard ratio is less than the  
18 1.71 observed in Study 1, but does represent  
19 a 21 percent reduction in the risk of death  
20 in the treatment arm. The p-value was  
21 0.331. The median survival benefit was 3.3  
22 months. As in Study 1 there was complete

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1 follow-up in these patients through 36  
2 months with the exception of two patients  
3 who were censored at 26 and 27 months.

4 To test the observed survival  
5 result we performed the same sensitivity  
6 analyses that we did for Study 1. The  
7 hazard ratio remained consistent after  
8 adjustment for baseline prognostic factors,  
9 adjustment for docetaxel use and in  
10 determining prostate cancer-specific  
11 survival. The change in hazard ratio  
12 following adjustment for prognostic factors  
13 likely in part reflects the baseline  
14 prognostic factor imbalances noted  
15 previously.

16 An additional estimate for the  
17 treatment effect in this patient population  
18 can be obtained by integrating the data from  
19 Studies 1 and 2. The rationale for  
20 integrating these two studies is based on  
21 the identical trial design, the identical  
22 eligibility criteria and the consistent

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1 treatment effect direction. There are 225  
2 patients in this analysis which was  
3 stratified by study. The p-value was 0.011,  
4 the hazard ratio 1.50, indicating a 33  
5 percent reduction in the risk of death in  
6 the treatment arm. The median survival was  
7 4.3 months.

8 The survival results from Study  
9 1, Study 2 and the integrated analysis of  
10 Studies 1 and 2 demonstrate the clinical  
11 efficacy of sipuleucel-T. Studies 1 and 2  
12 were randomized, multi-center, double blind,  
13 placebo-controlled trials. The hazard ratio  
14 in Study 1 was 1.71, in Study 2 it was 1.27  
15 and it was 1.5 in the integrated analysis.  
16 The median survival benefit was 4.5 months,  
17 3.3 months and 4.3 months, and there was  
18 consistently a higher percentage of patients  
19 alive in the treatment arm at 36 months  
20 compared to placebo. The data demonstrate  
21 that this survival benefit is real and  
22 unlikely to be a false positive, or in

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1 statistical terms, the result of a Type 1  
2 error. This is based on the nature of the  
3 endpoint, survival being the least variable,  
4 the least susceptible to bias and the most  
5 clinically meaningful endpoint. Also based  
6 on the magnitude of the treatment effect,  
7 the hazard ratio of 1.71, a 41 percent  
8 reduction in the risk of death in the  
9 treatment arm and the low nominal p-value of  
10 0.01. We were unable to find any  
11 alternative explanation for the survival  
12 benefit as demonstrated in multiple  
13 sensitivity analyses, including  
14 demonstration of consistency of the  
15 treatment effect in study subpopulations,  
16 adjustment for baseline prognostic factors,  
17 adjustment for chemotherapy use and in the  
18 determination of prostate cancer-specific  
19 survival. Additional support is also  
20 provided by the time-to-disease-progression  
21 and secondary endpoints of Study 1 and the  
22 overall survival results of Study 2 and the

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1 integrated analysis of Studies 1 and 2. As  
2 Dr. Provost will explain, there's also a  
3 correlation between product potency and  
4 overall survival.

5 The safety of sipuleucel-T has  
6 been demonstrated in hundreds of patients  
7 who collectively have received over a  
8 thousand infusions of sipuleucel-T.  
9 Dendreon's safety experience to date with  
10 autologous cellular infusions for prostate  
11 cancer involves the product sipuleucel-T,  
12 placebo and the version of sipuleucel-T used  
13 in the salvage or crossover protocols. The  
14 safety database to date for all cellular  
15 products includes more than 2,000 infusions  
16 in 669 patients and specifically for  
17 sipuleucel-T including estimates for  
18 patients - for blinded patients in ongoing  
19 studies a total of more than 1,300 infusions  
20 in 478 patients. The most common adverse  
21 events were infusion-related, transient and  
22 did not result in treatment discontinuation.

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1  
2                   Seven adverse events were  
3 observed where the between-arm differences  
4 had p-values of less than 0.05. These  
5 included chills, pyrexia, headache,  
6 asthenia, dyspnea, vomiting and tremor. The  
7 tremor appears to be more the shaking  
8 associated with chills as opposed to a  
9 neurologic event. These seven adverse event  
10 terms were considered to be adverse drug  
11 reactions likely related to sipuleucel-T and  
12 based on a review of the entire safety  
13 database, two additional terms, nausea and  
14 fatigue, were added to this list of adverse  
15 drug reactions. The majority of these  
16 events occurred within a day of infusion and  
17 typically resolved within one to two days  
18 following treatment. Most of the events  
19 were mild to moderate in severity with very  
20 few Grade 3 or 4 events. The most common of  
21 these were chills, dyspnea and pyrexia.

22                   We investigated the relationship

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1 between adverse drug reactions and the total  
2 nucleated cell dose, the number of CD54  
3 cells and CD54 up-regulation ratio. As an  
4 example, the adverse drug reaction to  
5 sipuleucel-T are shown for those patients  
6 with total nucleated cell counts below  
7 versus above the median. There was no  
8 evidence to suggest an increase in either  
9 Grade 1 or 2 events as shown in the first  
10 and third columns, or Grade 3 or 4 events as  
11 shown in the second and fourth columns for  
12 those patients with doses below versus above  
13 the median. We found similar results for  
14 the total number of CD54 cells and CD54 up-  
15 regulation ratio.

16 The percentage of patients who  
17 experienced any serious adverse event was  
18 comparable between the treatment arms at  
19 23.8 percent and 22.4 percent. A higher  
20 percentage of serious adverse events were  
21 noted in the treatment arm for the serious  
22 adverse events of chills, dyspnea, pyrexia

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1 and cerebral vascular events. Adverse  
2 events rarely led to discontinuation of  
3 treatment in total. Only four patients, or  
4 less than 3 percent of the sipuleucel-T  
5 safety population were unable to receive all  
6 three infusions due to treatment-related  
7 adverse events.

8 In order to thoroughly evaluate  
9 the possible safety signal for cerebral  
10 vascular events we performed additional  
11 analyses which included data from two  
12 ongoing randomized studies. Conservatively,  
13 all types of cerebral vascular events  
14 including ischemic, hemorrhagic, transient  
15 ischemic attacks or bleeding from dural  
16 metastases were included in the definition.  
17 The incidence of cerebral vascular events of  
18 any etiology was 3.9 percent in the  
19 treatment arm and 2.6 percent in the placebo  
20 arm, a 1.3 percent absolute difference. The  
21 odds ratio was 1.52 with a broad confidence  
22 interval overlapping 1. The p-value was

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1 0.5. When the analysis was restricted to  
2 studies with only androgen-independent  
3 prostate cancer the odds ratio was higher at  
4 2.92, but a trend in the opposite direction  
5 was noted for the androgen-dependent study.  
6 Given the small number of events involved,  
7 the figures for all studies may provide the  
8 best estimate of the incidences.

9 Of the 231 patients included in  
10 the placebo arm, it's important to note that  
11 100 of these patients subsequently went on  
12 to be treated on the salvage protocol. None  
13 of these patients were reported to have  
14 experienced a cerebral vascular event.  
15 Consistent with the general occurrence of  
16 cerebral vascular events in this - in the  
17 overall population, there were more ischemic  
18 than hemorrhagic events. The incidence of  
19 ischemic events was 2.4 percent compared to  
20 2.2 percent and for hemorrhagic events 0.6  
21 compared to 0.4 percent. The majority of  
22 all CVAs reported were not fatal. The

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1 incidence was 1.5 percent in the treatment  
2 arm and 0.9 percent in placebo for an odds  
3 ratio of 1.77. The p-value was 0.72.

4 Additional analyses performed  
5 have demonstrated a variable time-to-onset  
6 in these events. The median time-to-onset  
7 was somewhat sooner in patients treated with  
8 sipuleucel-T relative to placebo, but there  
9 was a broad range in both treatment arms  
10 ranging from a few days to more than two  
11 years. There was no evidence of an  
12 increased risk of non-neurologic vascular  
13 events and no correlation with cell dose or  
14 CD54 up-regulation. We performed an  
15 analysis of more than 9,000 patients in a  
16 SEER-Medicare database of patients with  
17 Stage IV prostate cancer and found a  
18 comparable event rate to that in the  
19 sipuleucel-T treated patients.

20 In summary, we've observed a 1.3  
21 percent increased incidence in sipuleucel-T  
22 compared to placebo for cerebral vascular

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1 events. There are large p-values and wide  
2 confidence intervals associated with the  
3 small number of events. Based on these  
4 findings we can find no conclusive evidence  
5 demonstrating an association between  
6 sipuleucel-T and cerebral vascular events.  
7 However, because we cannot definitively rule  
8 out an association, we are working with the  
9 agency to develop a pharmacovigilance plan  
10 to better characterize the nature of these  
11 events. A thorough surveillance of events  
12 of special interest was also performed.  
13 There was no evidence of an increased  
14 incidence of autoimmune events, no evidence  
15 of an increased incidence of secondary  
16 malignancies and no deaths were attributed  
17 to the product in the safety population of  
18 669 patients as reported by study  
19 investigators.

20 In summary, the known adverse  
21 drug reactions to sipuleucel-T demonstrate a  
22 favorable safety profile. The most frequent

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1 events associated with the product include  
2 chills and fever. These were generally mild  
3 to moderate in severity with the majority  
4 resolving within 24 hours and less than 3  
5 percent of patients were unable to receive  
6 all three infusions due to treatment-related  
7 adverse events.

8 I'd now like to introduce Dr.  
9 Nicole Provost, Dendreon's Vice President  
10 for Product Development, who will discuss  
11 sipuleucel-T's development history and key  
12 product attributes.

13 DR. MULÉ: Thank you, Dr.  
14 Frohlich.

15 DR. PROVOST: Thanks, Mark. Good  
16 morning. I'm Nicole Provost, Vice President  
17 of Product Development and I've been working  
18 in the expanding field of cellular  
19 immunotherapy product development for over  
20 15 years. Prior to joining the Dendreon  
21 team I helped develop products for  
22 hematopoietic stem cell transplantations in

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1 cancer patients.

2 Sipuleucel-T reflects years of  
3 work on cancer immunotherapies. As a novel  
4 therapeutic, sipuleucel-T has required novel  
5 approaches to product development,  
6 assessment and trial design. Earlier Liz  
7 Smith introduced you to sipuleucel-T. My  
8 presentation will briefly describe the  
9 development history of sipuleucel-T, some  
10 key product attributes and the ways in which  
11 those product parameters may relate to  
12 clinical outcome.

13 From the start, Dendreon's  
14 rationale has been to activate the immune  
15 system against cancerous tissues by using  
16 well-characterized recombinant antigens and  
17 the patient's own immune cells. The  
18 pioneering work of Ron Levy, Ed Engleman and  
19 their coworkers at Stanford University  
20 provided a model for isolating antigen  
21 presenting cells, APCs, loading those cells  
22 with a target antigen and using those cells

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1 to treat lymphoma. Dendreon's approach to  
2 prostate cancer treatment was to target  
3 prostatic acid phosphatase, or PAP, a  
4 protein relatively specific to prostate  
5 tissue and highly expressed in more than 90  
6 percent of prostate tumors. The guiding  
7 principle was that if self-tolerance to PAP  
8 could be overcome, an immune response  
9 against prostate cancer cells could also be  
10 induced. Granulocyte macrophage colony  
11 stimulating factor, or GMCSF, was known to  
12 enhance immune responses.

13 Dendreon scientists combined  
14 these concepts and demonstrated the ability  
15 to break immune tolerance to healthy  
16 prostate tissue using a rat pre-clinical  
17 model. In those pre-clinical studies when  
18 rats were treated with rat PAP alone or with  
19 an irrelevant antigen fused to rat GMCSF,  
20 their prostate histology was normal as seen  
21 in the upper photo panel. However, when rat  
22 APCs were pulsed with a recombinant fusion

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1 protein consisting of rat PAP fused to rat  
2 GMCSF the treatment induced autoimmune  
3 prostatitis. As shown in the lower photo  
4 panel, this inflammatory response is  
5 characterized by immune cell infiltrates  
6 into the prostate tissue. The immune  
7 response was tissue-specific. No other  
8 organ, system or tissue was affected by the  
9 cellular treatment with antigen-pulsed APCs.  
10 This pre-clinical framework, ex vivo culture  
11 of APCs with a recombinant fusion protein,  
12 formed the basis for the human cell product.

13 The manufacturing process is  
14 shown here in schematic form. The starting  
15 material is peripheral blood mononuclear  
16 cells obtained via apheresis. During  
17 product manufacturing the cells are isolated  
18 by buoyant density separations, then  
19 incubated with a recombinant fusion protein  
20 comprised of human PAP fused to human GMCSF.  
21 After incubation the cells are washed, re-  
22 suspended, packaged and shipped for final

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1 infusion. Before being released for  
2 infusion, every product is tested to ensure  
3 conformance with quality standards. Key  
4 manufacturing product parameters include  
5 potency, total nucleated cell or TNC counts,  
6 identity, viability, sterility and other  
7 safety tests. Potency tests include up-  
8 regulation of the co-stimulatory molecule  
9 CD54 on the APC surface, an enumeration of  
10 CD54 positive APCs. When we explored the  
11 relationship between these key product  
12 parameters and survival we saw some striking  
13 results.

14 In order to better illustrate  
15 these results I'll first briefly describe  
16 the CD54 up-regulation potency assay. I  
17 described the potency assay to this  
18 committee in February of last year. Here  
19 are the essential features of the assay.  
20 When APCs are incubated with a recombinant  
21 antigen, their expression of the co-  
22 stimulatory molecule, CD54, increases, as

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1 indicated by the red spikes in the cartoon  
2 above. We used fluorescently labeled  
3 antibodies specific for CD54 to quantitate  
4 the expression of CD54 on the APC surface.  
5 For each lot of sipuleucel-T or salvage  
6 product, cells are assayed before and after  
7 their ex vivo culture with the recombinant  
8 antigen. For each lot of the placebo  
9 product, cells are similarly assayed before  
10 and after their ex vivo culture in the  
11 absence of the recombinant antigen. The  
12 mean fluorescence intensity of each sample,  
13 illustrated in the box below, is used to  
14 calculate the average number of CD54  
15 molecules on the APC surface. The ratio of  
16 post-culture CD54 expression to pre-culture  
17 CD54 expression is defined as CD54 up-  
18 regulation, as reflected in the shift to the  
19 right on the graph, indicating more CD54  
20 molecules on the APC surface. Sipuleucel-T  
21 and salvage products demonstrate a several-  
22 fold increase in the CD54 expression, while

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1 placebo products do not greatly increase  
2 their CD54 expression. When we analyze only  
3 manufacturing product release data -- no  
4 clinical or immune response information --  
5 we find that in general the level of up-  
6 regulation increases after the Week Zero  
7 infusion of sipuleucel-T.

8 Here, the CD54 up-regulation  
9 final manufacturing product release values  
10 for over 350 sipuleucel-T product lots are  
11 shown as box and whisker plots. The  
12 horizontal lines indicate the median values.  
13 The boxes describe the inter-quartile range  
14 represented by the 25<sup>th</sup> to 75<sup>th</sup> percentiles  
15 where the bulk of the experimental data  
16 reside. The vertical lines and bars denote  
17 the upper and lower boundaries of one and a  
18 half times the inter-quartile range. The  
19 median CD54 up-regulation product release  
20 value goes up at the Week 2 infusion and  
21 stays up at the Week 4 infusion. The fact  
22 that the median CD54 up-regulation, a

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1 product release measure of cell activation,  
2 goes up after the first infusion suggests  
3 that the immune system may be responding to  
4 treatment with sipuleucel-T.

5 We were eager to examine the  
6 relationship between CD54 up-regulation and  
7 survival once the Phase III clinical data  
8 became available. When we looked, we found  
9 a positive correlation between CD54 up-  
10 regulation and survival. Cumulative values  
11 for CD54 up-regulation and TNC were  
12 calculated by adding up the manufacturing  
13 lot release values over the course of three  
14 infusions for all products in Studies 1 and  
15 2. Cumulative values for CD54 up-regulation  
16 and total nucleated cell counts were then  
17 each analyzed as a continuous variable in a  
18 correlation analysis with patient survival.  
19 There was a positive correlation between  
20 greater cumulative CD54 up-regulation and  
21 survival with a p-value of 0.009. For TNC,  
22 the p-value for the positive correlation was

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1 0.018. These analyses suggest that  
2 increasing CD54 up-regulation and total  
3 nucleated cell number correlate with  
4 prolonged survival. A Kaplan-Meier plot  
5 demonstrates this relationship graphically.

6 This is the Kaplan-Meier plot of  
7 survival for the integrated Studies 1 and 2.  
8 Cumulative CD54 up-regulation was calculated  
9 as I just described. The patients treated  
10 with sipuleucel-T were stratified into four  
11 groups according to their cumulative CD54  
12 up-regulation values. The pink line  
13 describes the patients with the highest  
14 quartile of cumulative CD54 up-regulation.  
15 The blue line represents the high middle  
16 quartile, the green line the low middle  
17 quartile and the orange line represents the  
18 lowest quartile of cumulative CD54 values.  
19 The overall result is clear. More CD54 up-  
20 regulation and hence more cell activation  
21 correlated with prolonged survival. We also  
22 examined the cumulative TNC values in a

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1 Kaplan-Meier analysis of survival and found  
2 a similar result. Higher TNC numbers  
3 generally correlated with prolonged  
4 survival.

5 Now, one potential explanation  
6 for these findings is that patients with  
7 higher cumulative CD54 up-regulation values,  
8 or higher cumulative TNC values, were just  
9 healthier or had better prognoses and  
10 therefore had better survival outcomes. To  
11 explore this possibility we applied the Cox  
12 regression model Mark described earlier to  
13 adjust for the five factors that were  
14 prognostic for survival. As a reminder,  
15 these prognostic factors were LDH, PSA,  
16 number of bony metastases, weight and  
17 localization of disease. The right-hand  
18 column shows the p-values for the  
19 correlations after adjusting for these five  
20 prognostic variables. The correlation  
21 remains strong for CD54 up-regulation with a  
22 p-value of 0.022. The p-value for TNC

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1 increased to 0.138 after adjustment,  
2 suggesting that TNC is more influenced by  
3 patient prognostic factors. The positive  
4 correlation between cumulative CD54 up-  
5 regulation and survival is strong, and the  
6 relationship persists after adjusting for  
7 baseline prognostic factors.

8           While we don't know the exact  
9 mechanism of action for sipuleucel-T, these  
10 results strongly suggest that sipuleucel-T  
11 engages the immune system and that the  
12 product potency correlates with clinical  
13 outcome. The correlation between CD54 up-  
14 regulation and overall survival suggests  
15 that CD54 up-regulation is a biologically  
16 meaningful product parameter to measure.  
17 CD54 up-regulation appears to be relatively  
18 independent of patient prognostic factors.  
19 Even cells from patients with poor  
20 prognostic factors were activated by the  
21 sipuleucel-T manufacturing process.  
22 Finally, the correlation between CD54 up-

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1 regulation and survival provides additional  
2 support for the conclusion that sipuleucel-T  
3 prolongs survival in men with asymptomatic  
4 metastatic androgen-independent prostate  
5 cancer. Next, Dr. Christopher Logothetis  
6 will present an overview of disease  
7 management and treatment options in  
8 androgen-independent prostate cancer.

9 DR. MULÉ: Thank you, Dr.  
10 Provost.

11 DR. LOGOTHETIS: My name is  
12 Christopher Logothetis. I am a medical  
13 oncologist at the MD Anderson Cancer Center  
14 with a 30-year interest in GU tumors and  
15 particularly prostate cancer. I'm going to  
16 try to provide context to you on the results  
17 that were presented. So what I will discuss  
18 is challenges to clinical trial design in  
19 prostate cancer patients and the current  
20 clinical practice in prostate cancer as it's  
21 rolled out in our clinics.

22 There are several limitations

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1 that are specific to prostate cancer in the  
2 conduct of clinical trials. These include  
3 in the areas of response, progression, and  
4 the use of survival. Responses are  
5 difficult to assess because a bone scan is a  
6 non-specific, sensitive and indirect measure  
7 of the disease. PSA remains controversial  
8 in patients with advanced disease because  
9 it's not tightly correlated with prognosis  
10 or survival. As a consequence, progression  
11 is difficult to measure. Results are  
12 inconsistent, the bone scan issues again  
13 remain as a vexing problem and they fail to  
14 correlate closely with survival, an  
15 important feature that has been confounding  
16 the conduct of trials. This appreciation is  
17 relatively new and as a consequence,  
18 survival has become the most meaningful  
19 measure of efficacy of drugs that are  
20 reliably presented.

21 Now there are also specific trial  
22 design challenges to the use of a therapy

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1 such as sipuleucel-T which has a delayed  
2 effect. Because of the recently appreciated  
3 in the two clinical trials presented early  
4 observed progression of patients with  
5 prostate cancer, an agent which has a  
6 delayed effect will be greatly influenced by  
7 this. Thus, distant endpoints such as  
8 survival are more reliable measures for this  
9 therapy rather than progression which is a  
10 very imprecise clinical measure.

11 Now the challenge of prostate  
12 cancer as it confronts us in North America  
13 today. There are a total of 132,600  
14 patients with androgen-independent prostate  
15 cancer today, 96,000 of these approximately  
16 have metastatic disease and they're almost  
17 evenly split with those patients who have  
18 asymptomatic metastatic androgen-independent  
19 prostate cancer as opposed to those with  
20 metastatic symptomatic androgen-independent  
21 prostate cancer.

22 The treatment options in

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1 relationship to the disease state are  
2 outlined here, and as I'll note there's a  
3 tremendous amount of empiricism that is  
4 applied into their application in the clinic  
5 today. For patients with localized disease  
6 whose survival can be expected to be greater  
7 than 15 years the option of surveillance for  
8 patients who have low-risk disease is one  
9 that is often offered, and among those  
10 patients in whom cross the threshold to  
11 virulence in their disease, either surgery  
12 or radiation therapy is recommended. For  
13 those patients who, despite an initial  
14 attempt at control of their disease have a  
15 later rise in PSA concentration, termed here  
16 as serological recurrence, there's even a  
17 subset that observation is recommended  
18 because of the delayed rise or the rate of  
19 rise being so slow which would not indicate  
20 an immediate threat. For the patients who  
21 have immediate progression of their disease  
22 and that rise is considered to be

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1 threatening, hormonal therapy at present  
2 remains the standard. The options for  
3 patients with truly advanced disease with  
4 lethal potential are limited. For patients  
5 with serological relapse whose survival is  
6 estimated to be less than five years  
7 surveillance is recommended for some  
8 subsets, motivated different here by the  
9 fact that futility for our therapy is often  
10 an issue and the use of these agents delayed  
11 in order to avoid side effects, and second  
12 line hormonal therapies are often given with  
13 empirical use and often change the course of  
14 PSA concentrations, but have no established  
15 long-term efficacy.

16 For patients with visible  
17 metastatic disease, the survival will range  
18 in the asymptomatic patients from 14 to 22  
19 months depending on the study, and in here  
20 again because of feeling that the agents may  
21 not have possessed sufficient toxicity --  
22 sufficient efficacy and the toxicity profile

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1 doesn't favor routine use, observation is  
2 used and second-line hormonal therapy. And  
3 in a subset of patients in whom symptoms are  
4 considered to be imminent, chemotherapy will  
5 be used. For patients with metastatic  
6 disease, the choices are often between  
7 cytotoxic chemotherapy, the only agent that  
8 has an impact on survival, or palliative  
9 care in order to manage the anticipated  
10 symptoms.

11           The improved agents are  
12 enumerated here. Only one, docetaxel,  
13 impacts the survival of patients with  
14 metastatic disease. The remaining agents  
15 possess significant but modest effect  
16 directed principally at altering the course  
17 of the symptoms that patients possess. The  
18 impact on survival of docetaxel in the trial  
19 comparing docetaxel to mitoxantrone is  
20 unquestioned, but unfortunately relatively  
21 modest. Seen here you can see in the two  
22 categories of patients in question, those

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1 both with asymptomatic and symptomatic  
2 disease, there is a modest difference in the  
3 palliative effect and the prolongation of  
4 survival observed with these agents, leading  
5 to the common practice in the clinic of  
6 delaying the initiation of cytotoxic therapy  
7 till symptoms are either imminent or present  
8 in patients with prostate cancer. This  
9 perhaps accounts for this surprising  
10 finding, and that is that in androgen-  
11 independent patients with prostate cancer  
12 nationally there's relatively little  
13 penetrance of the widespread use of  
14 cytotoxic therapy. Only 8 percent of  
15 patients at any point in time receive  
16 cytotoxic therapy, and for the patients who  
17 have metastatic symptomatic disease it's  
18 almost 20 percent, for the asymptomatic  
19 patients it's 4 percent.

20 So what role would sipuleucel-T  
21 be considered for in patients with  
22 metastatic prostate cancer? And I believe

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1 it fits into the subset of patients in whom  
2 there are minimal symptoms, minimal to no  
3 symptoms and in whom hopefully a  
4 prolongation of good survival will result in  
5 an improved both quality-of-life and length  
6 of survival. The limited efficacy of agents  
7 in these places, the absence of therapeutic  
8 alternatives for patients that are  
9 imminently threatened is one that would be a  
10 great advance for the patients with prostate  
11 cancer. Thank you. And our next speaker.

12 DR. MULÉ: Thank you, Dr.  
13 Logothetis.

14 MS. SMITH: Thank you, Dr.  
15 Logothetis. The results presented today  
16 from Dendreon's multi-center, randomized,  
17 double blind, placebo-controlled trials  
18 demonstrate that treatment with sipuleucel-T  
19 outweighs both the known and potential  
20 risks. The risks associated with  
21 sipuleucel-T have been well-characterized.  
22 Nearly 500 men have received well over 1,350

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1 infusions of product in both controlled and  
2 uncontrolled trials. Of the known risks  
3 that are treatment-related, the most  
4 frequent are chills, fatigue, asthenia,  
5 fever, headache, nausea, vomiting, dyspnea  
6 and tremor. These are modest in severity,  
7 they are most commonly associated with the  
8 infusion and they are well-managed through  
9 the adequate pre-medication with  
10 acetaminophen and diphenhydramine. This  
11 represents an excellent tolerability profile  
12 in this cancer patient population.

13 Potential risks include those  
14 associated with venous access, including the  
15 need in some patients to place in-dwelling  
16 catheters. The frequency of complications  
17 due to catheters was low in all clinical  
18 trials. Other process-related risks include  
19 the possibility that a patient must undergo  
20 an additional leukapheresis in the event  
21 that either his leukapheresis product or his  
22 final product fails to meet the release

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1 specifications, or fails to be delivered  
2 within the expiration period. This  
3 requirement was infrequent in clinical  
4 trials and exposed the patient to minimal  
5 additional risks.

6 Our clinical trial experience to  
7 date in controlled trials suggests a  
8 possible increased risk of cerebral vascular  
9 events. This incidence appears consistent  
10 with that seen in men of advanced age with  
11 cancer and other risk factors, and while it  
12 cannot yet be determined if there's an  
13 association between sipuleucel-T treatment  
14 and cerebral vascular events, Dendreon will  
15 propose increased surveillance in a  
16 pharmacovigilance program to better  
17 characterize this possible safety signal.  
18 In the context of advanced prostate cancer,  
19 these risks are very well balanced against  
20 the demonstrated benefits of sipuleucel-T  
21 treatment, the most important of which is a  
22 prolongation in overall survival. This is

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1 achieved in a relatively short duration of a  
2 well-tolerated treatment.

3           There was a high rate of  
4 compliance in clinical trials. Over 90  
5 percent of all subjects received all three  
6 infusions and only 3 percent of subjects  
7 discontinued due to a treatment-related  
8 adverse event. This should translate into  
9 high acceptance and high compliance in  
10 clinical practice. Finally, treatment with  
11 sipuleucel-T does not appear to preclude the  
12 use of later treatment with other therapies.

13           In a patient population where the  
14 estimated median survival is 14 to 22  
15 months, sipuleucel-T, if approved, would  
16 provide a well-tolerated treatment option to  
17 prolong survival in men with asymptomatic  
18 metastatic androgen-independent prostate  
19 cancer. Today represents a significant  
20 milestone in the development of cellular  
21 immunotherapies. This reflects the  
22 collective dedication of patients,

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1 physicians and researchers working to  
2 improve the lives of patients suffering from  
3 prostate cancer. We thank you very much for  
4 your attention today. We have the following  
5 experts here available for questions.  
6 Unfortunately Dr. Eric Small could not join  
7 us today due to compliments of United  
8 Airlines. Dr. Tia Higano is here who's also  
9 an investigator in our study from the  
10 University of Washington. Another  
11 investigator, Dr. Paul Schellhammer, a  
12 urologist at the Virginia Prostate Cancer  
13 Center and Eastern Virginia Medical School.  
14 In addition, we have Dr. Christopher  
15 Logothetis to provide an immunologist  
16 perspective, Dr. Hy Levitsky from Johns  
17 Hopkins University and finally our external  
18 statistician Dr. Brent Blumenstein will  
19 address questions relating to the  
20 difficulties in interpreting clinical trials  
21 when the primary endpoint has not been met.

22 DR. MULÉ: On behalf of the

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1 committee I'd like to thank the Dendreon  
2 presenters. And the next phase is to have a  
3 question/answer period, and I'll open this  
4 up to the committee for any questions for  
5 the speakers.

6 MR. SAMUELS: Yes. One of the  
7 concerns that I had when I looked at it was  
8 the lack of broad participation by diverse  
9 communities. As we understand the incidence  
10 of the disease, African-American men as you  
11 know have a 60 percent higher incidence rate  
12 and die at twice the rate of white males,  
13 and I'm curious why there was not broader  
14 participation by African-Americans in this  
15 study. Or in Study 1 and 2, actually.

16 MS. SMITH: We share your concern  
17 with the lack of high participation of  
18 African-Americans in our trials. We made  
19 several attempts to include investigators  
20 and study sites who would have a high  
21 enrollment rate of African-Americans. We  
22 found that our enrollment rate is consistent

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1 with that of other trials in advanced  
2 prostate cancer. We are developing a  
3 pharmacovigilance plan to better improve our  
4 enrollment of African-American men in our  
5 ongoing studies. We intend to work with  
6 specialized organizations like the National  
7 Medical Association and the Prostate Health  
8 Education Network to help us improve our  
9 enrollment in this population.

10 MR. SAMUELS: Do you think the  
11 fact that I saw where two centers enrolled  
12 probably 25 percent of your patients. I was  
13 curious about where are these centers  
14 located and perhaps there may be a broader  
15 inclusion of centers that affect that  
16 market.

17 MS. SMITH: We have several  
18 centers that are in inner cities. We spoke  
19 with Howard University, for example, and we  
20 were unable to get them on board as a  
21 clinical site. There are sites in - several  
22 sites in New Jersey, there are several sites

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1 in large cities on the West Coast as well.

2 MR. SAMUELS: My other question

3 had to do with costs to the patient.

4 Understanding that this audience of advanced

5 prostate cancer includes many elderly males

6 on fixed incomes, and again I'm wondering if

7 the company plans for any patient assistance

8 programs that will take into consideration

9 the cost factor.

10 MS. SMITH: We believe that

11 sipuleucel-T should be made available to all

12 patients regardless of their ability to pay

13 or regardless of their insurance coverage.

14 We will work to develop a program for

15 indigent care coverage. We plan to assist

16 in every appropriate way to make sipuleucel-

17 T available to all patients regardless of

18 their insurance coverage.

19 DR. MULÉ: Maha?

20 DR. HUSSAIN: If it's okay I have

21 three hopefully not too long questions. The

22 first one, you showed us the CD54 quartile

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1 levels. What were the number of patients in  
2 these quartiles? So the ones that went from  
3 75 percent and higher lived the longest, but  
4 were there 10 patients, 50 patients in that  
5 category? If you don't mind showing us  
6 that. And if you are able to put that out,  
7 perhaps I can ask another question while  
8 somebody else is pulling out this one.

9 MS. SMITH: I'm going to ask Dr.  
10 Leon Yu, our Dendreon biostatistician to  
11 discuss the number of patients in each one  
12 of those quartiles. We basically took the  
13 147 subjects that were randomized to  
14 treatment and broke them up into equal  
15 quartiles. So I can't do the math quickly  
16 in my head here, but if you just divided it  
17 by four, each one is the same number of  
18 patients.

19 DR. HUSSAIN: No, but I thought  
20 the quartiles represented actually the level  
21 of the CD54, not the number of patients.  
22 And so that was if - the group of patients

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1 that had a CD54-positive above 75 percent  
2 were the upper quartile lived longer, but  
3 what number of patients were in those  
4 quartiles?

5 MS. SMITH: I'm sorry, I  
6 misunderstood your question. Dr. Provost  
7 can expound.

8 DR. PROVOST: They were divided.  
9 The patients were divided equally into four  
10 quartiles by their CD54 up-regulation  
11 values.

12 DR. HUSSAIN: So this is not the  
13 level of the CD54.

14 DR. PROVOST: No. It's the  
15 patients that had the highest CD54 levels,  
16 the patients that had the next highest CD54  
17 levels.

18 DR. HUSSAIN: This is 25 percent  
19 of the total, 25 percent of the total -

20 DR. PROVOST: Of the total  
21 patients.

22 DR. HUSSAIN: Of patients, not

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1 levels.

2 DR. PROVOST: Pardon me? The  
3 ratio or the? Absolute number of CD54 or  
4 patients? We're looking at the cumulative  
5 CD54 up-regulation ratio.

6 DR. SCHER: Right, so it's not  
7 the absolute number.

8 DR. PROVOST: Not the absolute  
9 number of cells, correct. It's the CD54 up-  
10 regulation product release value added for  
11 each - for three of the doses.

12 DR. MULÉ: If you would overlap  
13 the placebo curve on that graph where would  
14 it lie?

15 DR. PROVOST: The placebo  
16 patients had CD54 up-regulation values that  
17 were lower than the lowest quartile. I'll  
18 have to preface. I think I can bring up the  
19 slide that has the placebo patients  
20 compared. Yes. If we look at the intent-  
21 to-treat placebo population, many of them  
22 went on to receive salvage which confounds

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1 the issue. So what I can show you that's  
2 more clear in terms of CD54 is those  
3 patients that had only placebo treatment for  
4 comparison with the CD54 up-regulation, and  
5 I'll have to also add the disclaimer that  
6 this particular analysis has not been  
7 formally reviewed by the FDA.

8 DR. WITTEN: You can ask that,  
9 but we'd like to point out that it hasn't  
10 been reviewed by us and so I think that, you  
11 know, this is something the FDA hasn't  
12 commented on, but I will just mention this  
13 just to clarify this. It says placebo nerve  
14 salvage product. So in other words that  
15 gray curve does not include all the placebo  
16 patients in the trial.

17 DR. PROVOST: Right. Right.  
18 These are only patients that did not go on  
19 to receive the salvage product. So it's not  
20 as randomized. Roughly 25 percent of the  
21 placebo patients.

22 DR. HUSSAIN: Okay, so my second

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1 question has to do with Study 3. If I'm not  
2 mistaken in the documents we received there  
3 was mention about that early on there was an  
4 issue about the Gleason score correlation  
5 with outcome, and consequently a Study 3 was  
6 designed to look at the Gleason 7, or less  
7 than 7 I believe. Can you comment about the  
8 actual eligibility criteria for Study 3, the  
9 sample size of Study 3 and I understand that  
10 you were - that that trial is now powered  
11 for survival? And when do you expect the  
12 results to be available?

13 MS. SMITH: Currently Study 3 is  
14 designed to enroll men with asymptomatic  
15 metastatic AIPC regardless of their Gleason  
16 score. The study is powered for the primary  
17 endpoint of survival. It has 90 percent  
18 power for an alpha of 0.05. We're targeting  
19 about 500 men in this trial.

20 DR. HUSSAIN: And where is that  
21 now? When do you expect the survival  
22 results to be available?

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1 MS. SMITH: The survival results  
2 from Study 3 will be available in 2010.  
3 It's an event-driven analysis and based on  
4 the current enrollment rate it will be about  
5 2010 before those results are available.

6 DR. HUSSAIN: And my final  
7 question, and I apologize if it sounds  
8 antagonistic, but I can't help but ask it  
9 because you've argued so eloquently, both  
10 you and your consultant presenters, that  
11 survival is the gold standard, it is what we  
12 should be using, what we should be looking  
13 at. If that is the case, why would you  
14 choose, if you really believe that, to do  
15 two trials, I believe 1 or 2, and then the  
16 other trial, and yet you chose to go with  
17 time-to-progression when in fact in prostate  
18 cancer the last 70 years of research in this  
19 disease tells you time-to-progression is  
20 very difficult to obtain. So my question is  
21 if you really believe survival is the gold  
22 standard, why did you choose to design two

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1 trials that have a problematic endpoint?

2 MS. SMITH: Eight years ago when  
3 Studies 1 and 2 were designed, progression  
4 was an endpoint that was appropriate for  
5 this patient population and was felt that  
6 would provide important information for  
7 these men, particularly who are  
8 asymptomatic. Our Phase I and II studies  
9 suggested that sipuleucel-T treatment did  
10 have an impact on progression and we took  
11 that information to use as the hypothesis  
12 for the design of our Phase III trials. We  
13 did not have any information at that time on  
14 whether sipuleucel-T impacted survival, but  
15 we knew that survival was a very important  
16 endpoint, it was a very important clinical  
17 efficacy measure, so we did include a plan  
18 to collect that information and analyze  
19 survival after all patients were followed.  
20 We just had the most information on  
21 progression at that time.

22 DR. MULÉ: Dr. Scher.

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1 DR. SCHER: Personally I have no  
2 experience with this agent, so I'd just like  
3 to ask the clinicians who have used it, we  
4 all understand the difficulties assessing  
5 time-to-progression and how it does not  
6 associate with survival as we are currently  
7 measuring it. So the question is at some  
8 point if in fact there is a survival benefit  
9 that's real, you have to alter the natural  
10 history. So were there other parameters  
11 that would - I mean what happened to these  
12 patients? They were asymptomatic when they  
13 started and then they didn't progress at the  
14 same rate using the endpoints that you  
15 reported. Did they have you know timing to  
16 additional treatment, was that different? I  
17 mean, how did this work. Did they all of a  
18 sudden become symptomatic and then  
19 unfortunately succumb to disease, or were  
20 there other ways that you as a treating  
21 clinician can say this changed the course  
22 for those patients?

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1 MS. SMITH: I'd like to invite  
2 Dr. Paul Schellhammer who participated in  
3 most of Dendreon's clinical trials of  
4 sipuleucel-T.

5 DR. SCHELLHAMMER: I participated  
6 in the Phase III clinical trials, all of  
7 them. Therefore I have experience with  
8 approximately 50 patients. And in answer to  
9 your question there were certainly patients  
10 who I observed who from a clinical  
11 standpoint had a reversal of fortune with  
12 regard to their current status, or their  
13 status as they entered the trial. Since it  
14 was a blinded trial there was difficulties  
15 associated with regard to who was obtaining  
16 the therapy, but I will comment on the fact  
17 that the well-tolerated therapy as it was  
18 delivered with absence of adverse events  
19 made the attraction to enrollment very high  
20 and in my opinion the benefit as well high.  
21 Can I answer anything more specifically,  
22 Howard?

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1 DR. SCHER: I'm just - I still  
2 don't get a sense of how this drug is  
3 prolonging survival. Are the patients not  
4 developing pain later on? I mean, was  
5 therapy immediately changed? I know you  
6 looked at docetaxel use in particular and  
7 chemotherapy use, but a number of these  
8 patients are still hormonally sensitive. So  
9 is there a possibility they got for example  
10 ketoconazole which may have changed the  
11 course? So unfortunately while you do show  
12 an intent-to-treat analysis, you still have  
13 a relatively small population at the end of  
14 the day, and shifts in a few patients can  
15 dramatically change the analysis. So I'm  
16 just trying to get a sense as a clinician,  
17 if I sit with a patient who is asymptomatic,  
18 who is progressing biochemically, who has  
19 bone metastasis and is destined to develop  
20 symptoms let's say in six months based on  
21 randomized trials in this group, what do I  
22 tell him? You won't develop pain?

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1 DR. SCHELLHAMMER: As I sit with  
2 them I think I'm very comfortable with  
3 regard to my experience with regard to the  
4 adverse event profile and the statistical  
5 issue of survival benefit that I know - am  
6 aware of because of the trial analysis to  
7 convey to them information that is positive  
8 and that is optimistic. But in answer to  
9 your detailed question about other than an  
10 anecdotal memory of individual patients I  
11 must look at the statistical overview as my  
12 endpoint for advising the patient.

13 MS. SMITH: And Dr. Scher,  
14 perhaps we can also provide some more  
15 information on the intermediate endpoints  
16 that were examined in both studies. We had  
17 secondary endpoints. In addition to time-  
18 to-progression, the primary endpoint, we had  
19 time-to-clinical-progression, time-to-  
20 treatment-failure and time-to-pain. Dr.  
21 Frohlich?

22 DR. FROHLICH: For those

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1 secondary endpoints, as Ms. Smith noted,  
2 showed trends in the same direction as shown  
3 here. So time-to-disease-progression, time-  
4 to-objective progression as measured only by  
5 radiographic means. Time-to-clinical-  
6 progression, time-to-treatment-failure as  
7 well as time-to-disease-related-pain all  
8 showed trends in the same direction. It's  
9 also important to note I think part of the  
10 challenge with not seeing a stronger  
11 association between the two has to do with  
12 the variability of the endpoint and in fact  
13 how we define disease progression at the  
14 present time. If we're seeing an effect in  
15 overall survival, presumably we're slowing  
16 the progression of the disease subsequent to  
17 that disease progression endpoint as we  
18 currently define it. And as I'm sure you're  
19 aware, there's a lot of interest in divining  
20 new ways of defining progression which kind  
21 of integrate progression that happens over a  
22 longer period of time because this event is

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1           happening so quickly as we currently define  
2           it at the present time.

3                       DR. MULÉ: We have a number of  
4           questions coming up from the committees so  
5           we have a list and I'm not ignoring you.  
6           What I'm doing is with Gail we're going  
7           through the names. So we have Drs. Taylor,  
8           Allen, Dranoff, Marincola and Dr. Kwak.  
9           Okay, we'll just add to the list. So,  
10          Doris?

11                      DR. TAYLOR: I have a couple of  
12          questions with regard to the CD54 up-  
13          regulation again. And was there a  
14          difference in the up-regulation of CD54 in  
15          the fresh versus frozen sample, and what  
16          percentage of patients were treated with the  
17          frozen sample, that is the salvage  
18          patients? And if you analyze the data with  
19          regard to adverse events in those patients  
20          was there any difference?

21                      MS. SMITH: Dr. Provost? And  
22          then I'll invite Dr. Bob Sims to discuss

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1 adverse event profile of the salvage  
2 product.

3 DR. PROVOST: Roughly three-  
4 quarters of those patients that were  
5 randomized to the placebo arm went on to get  
6 the salvage treatment. That salvage product  
7 was made from frozen cells that were frozen  
8 at the time of their initial apheresis. But  
9 otherwise the manufacturing process was the  
10 same and the product release parameters were  
11 the same as the active product.

12 When we look at the CD54 up-  
13 regulation values for the salvage patients,  
14 if we look in the Week Zero, 2 and 4, on the  
15 left is what I showed you in my talk. On  
16 the right is those up-regulation values for  
17 the salvage products. The median up-  
18 regulations were the same between those two  
19 groups. The slight differences, you don't  
20 see the same bump up in the Week 2 and Week  
21 4 infusions.

22 DR. TAYLOR: And these are

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1 measurements made on the product prior to  
2 infusion? These are -

3 DR. PROVOST: These are -  
4 correct. These are manufacturing product  
5 release values.

6 DR. TAYLOR: Okay. And what  
7 about adverse events? Was there any  
8 difference in the --

9 DR. WITTEN: Can I just make a  
10 comment as FDA, please? Yes. I just want  
11 to comment that first of all we haven't done  
12 an assessment of comparability of the frozen  
13 and the fresh product. It's the fresh  
14 product that's being proposed for marketing  
15 so the advisory committee should keep that  
16 in mind, that in our minds we want you to  
17 focus on data related to the fresh product.  
18 And also, I think that what the sponsor's  
19 going to present is if it's information that  
20 hasn't been reviewed by FDA they'll let you  
21 know. But the comparisons that we're  
22 focusing on are from the randomized trial.

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1 DR. TAYLOR: The question really  
2 speaks to whether the cardiovascular  
3 accident incidence, cerebral vascular  
4 accident incidence is increased based on  
5 this population.

6 DR. SIMS: As Dr. Frohlich  
7 mentioned in his presentation, there were  
8 100 patients that received salvage product,  
9 and none of those patients experienced a  
10 cerebral vascular event following salvage  
11 therapy. With regards to your earlier  
12 question on adverse events following  
13 salvage, this slide summarizes the adverse  
14 events. You can see in the column second  
15 from the right the 81 subjects treated with  
16 placebo followed by salvage have an  
17 intermediate incidence of chills, fatigue,  
18 fever, pyrexia, headache, nausea. The  
19 percentages are intermediate between the  
20 sipuleucel-T-treated patients and the  
21 placebo-only patients.

22 DR. MULÉ: Dr. Allen.

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1 DR. ALLEN: I have a couple of  
2 questions regarding potency of the product.  
3 It seems from the data, and correct me if  
4 I'm wrong, but it seems that essentially the  
5 amount of CD54 up-regulation is fairly  
6 predictive of patient response and actually  
7 that the patient demographic is less  
8 important apparently. Is that correct?

9 MS. SMITH: It appears to be  
10 independent of the known prognostic factors.

11 DR. ALLEN: Okay. So based on  
12 that then essentially you have a product  
13 that, lot to lot, depending on how much  
14 patient up-regulation there is, patient-  
15 specific up-regulation in your product, that  
16 would probably be as good as anything for  
17 the clinician to know. The difficulty I see  
18 is it appears you have no a priori way of  
19 defining that. So in other words your best  
20 prognostic data is a correlation between  
21 cumulative CD54 over the course of three  
22 collections and clinical outcome. So what

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1 are you doing in terms of looking at ways to  
2 prospectively determine how good your lot  
3 is, how potent it is? Is there anything you  
4 can do to increase CD54 at the start of  
5 collection, for example, to boost that?  
6 Because it seems based on your data you have  
7 two clinical studies. One study shows a  
8 significant effect. The other study doesn't  
9 reach statistical significance although  
10 there's a trend. And if you look at the  
11 progression data and the survival data, it  
12 seems that there's a big difference in  
13 basically the progression of disease in  
14 those two placebo groups. One potential  
15 interpretation would be that you really have  
16 a product that is more effective in a slowly  
17 advancing disease state and so my suggestion  
18 would be that we should focus on ways to  
19 essentially get the patient's CD54 activity  
20 up and running quicker so we can catch this  
21 progressive disease. Do you have any  
22 comments?

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1 MS. SMITH: May I have Dr.  
2 Provost comment?

3 DR. PROVOST: CD54 up-regulation  
4 is a manufacturing potency release  
5 criterion. The data that I showed you for  
6 the Kaplan-Meier curves came from adding up  
7 the potency measurements from those three  
8 infusions for each patient. While CD54 up-  
9 regulation correlates with prolonged  
10 survival, it's not the only prognostic  
11 factor. There were other prognostic factors  
12 that influenced survival. So one might be  
13 reluctant to rely solely on CD54 up-  
14 regulation to try and predict certainly from  
15 one dose or one infusion to the next using  
16 this kind of value, this manufacturing kind  
17 of value to predict survival. I will say,  
18 having said that, that we're looking at ways  
19 to increase the activation in CD54 up-  
20 regulation on cells and that is in active  
21 development right now.

22 DR. ALLEN: Just to follow up on

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1 that. So at this point though there is no -  
2 essentially you have a product that has a  
3 total nucleated cell count and you have a  
4 measure of in that batch what the response  
5 is to the antigen, but you have - do you  
6 have a cutoff value that you - you know,  
7 you'll only release at X or Y? And is that  
8 cutoff value based in anything like the  
9 predictive values from the correlations?

10 DR. PROVOST: The cutoff value is  
11 based on manufacturing experience. We do  
12 have a minimum specification. We don't have  
13 a maximum specification.

14 DR. ALLEN: Okay. And what is  
15 the trend in survival for that minimum  
16 specification? So in other words, if the  
17 lot goes out with that minimum  
18 specification, where does it fall on the -

19 DR. PROVOST: We don't - we don't  
20 specify manufacturing criteria based on  
21 survival data. We - these are manufacturing  
22 criteria so that we know that the cells were

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1 incubated with antigen, that they did  
2 respond to antigen. The other tests that I  
3 listed in addition to the potency tests  
4 indicate that the manufacturing was  
5 performed correctly and that the product is  
6 safe for infusion.

7 DR. TAYLOR: That actually - my  
8 second question was related to dose and  
9 right now my understanding is your dosing is  
10 simply based on the ability - or based on  
11 what you are able to obtain from the  
12 patient. And is there a minimum dose that  
13 you're giving, or is there a threshold below  
14 which you haven't seen an effect?

15 DR. PROVOST: We have  
16 specifications for the number of cells,  
17 total nucleated cells, and that  
18 specification is for the incoming apheresis  
19 package, the cells that come in, so that we  
20 know we have enough to manufacture and get a  
21 reasonable infusion out at the end. We also  
22 have specifications for the number of APCs

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1 and then all the safety tests, identity,  
2 potency, et cetera. So we have experience  
3 with a wide variety of cell numbers for  
4 these products, and as I indicated before  
5 we've examined that cell dose, the TNC cell  
6 dose. It's not particularly correlated with  
7 - or strongly correlated with survival.  
8 It's not as strongly correlated as CD54 up-  
9 regulation.

10 DR. TAYLOR: But there's not a  
11 minimum CD54 dose requirement?

12 DR. PROVOST: There is a minimum  
13 CD54 APC dose requirement and a minimum CD54  
14 up-regulation requirement for the product to  
15 be released.

16 DR. MULÉ: Glenn?

17 DR. DRANOFF: One of the most  
18 striking immunologic findings that you  
19 include in your report is the relative  
20 frequency of responses against your fusion  
21 protein, but not against the native PAP  
22 protein. So I'm curious how you have

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1       approached this issue, whether in fact you  
2       know that the reactivity is devoted toward  
3       the novel sequence that's involved in your  
4       fusion, but not the PAP, and whether that  
5       has any implications for the relative  
6       contribution of the PAP part of the product  
7       to the efficacy.

8                   DR. PROVOST: We have examined  
9       the specificity of the immune reaction. The  
10      data that you're referring to I think are  
11      shown in the briefing document. I'll bring  
12      that up. This shows that we get a robust T-  
13      cell proliferation immune response when we  
14      sample blood, whole blood from the patients  
15      at Week Zero, at baseline, and then at Week  
16      8 and at 16 as Mark described. But we don't  
17      see strong responses to seminal PAP or  
18      GMCSF. We find a lot of responses to that  
19      junction region because - it's not  
20      surprising because this is two molecules  
21      fused together. Their confirmation may be  
22      slightly different and their immunogenicity

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1 may be slightly different. We do see  
2 responses against PAP and we have found T-  
3 cells in patients that are directed against  
4 PAP epitopes. So their frequency is rather  
5 low. We don't know whether this is due to  
6 the timing or the compartment, whether we're  
7 looking at peripheral blood may be the wrong  
8 place to go. Maybe we should be looking at  
9 metastases or tumor sites, or whether the  
10 assays are just not tuned up. We're working  
11 on that actively right now.

12 DR. DRANOFF: And do you know  
13 whether those immune responses correlate  
14 with the degree of CD54 up-regulation in any  
15 way?

16 DR. PROVOST: They do not  
17 correlate with CD54 up-regulation. Yes. If  
18 you have more kind of general questions  
19 regarding immune response I might defer to  
20 Dr. Levitsky.

21 DR. LEVITSKY: Thanks. Yes, it  
22 is an unfortunate wide experience in the

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1 field to have difficulty in correlating  
2 measured immune responses to relevant  
3 antigens and in clinical outcome. I've  
4 thought a bit about the problem that  
5 specifically is before us and the unique  
6 fusion protein that is used as the immunogen  
7 here clearly has neoepitopes at the fusion  
8 junction. And I think of it as somewhat  
9 analogous to the large experience with  
10 either mutated antigens or orthologous genes  
11 where in fact you can raise a very strong  
12 response against the ortholog and a  
13 relatively modest response against the  
14 natural self-antigen, yet that response to  
15 the self-antigen in animal models is  
16 frequently enough to induce autoimmunity  
17 reminiscent of the very nice work that Allen  
18 Houten's group has done in pigmented mice.  
19 So I think it's still conceivable that PAP-  
20 specific responses have in fact been  
21 generated. It may be difficult to detect in  
22 the blood and as you all know many groups

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1 around the world, notably the group in  
2 Brussels, has gone to great pains to  
3 literally sequence T-cell receptor sequences  
4 and find changes that do correlate, but are  
5 far below the level of frequency that could  
6 possibly be detected in these kinds of  
7 assays, so.

8 DR. MULÉ: Franco.

9 DR. MARINCOLA: One of the  
10 questions that was raised about the immune  
11 monitoring and the relevance of the  
12 immunologic assays. But I still think it  
13 would be nice to have some kind of evidence  
14 that the immunologic assays are relevant to  
15 the disease process. And the recombinant  
16 antigen per se I don't think is really  
17 useful. But I understand that the reason -  
18 hybridoma that you have been using to test  
19 the recognition of the antigen presentation,  
20 and what is that recognizing? Is that  
21 recognizing something that is specific to  
22 the recombinant antigen, or just to maybe

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1 the prostate antigen?

2 MS. SMITH: Are you referring to  
3 the T-cell hybridomas we've used to  
4 correlate with our potency assay?

5 DR. MARINCOLA: Yes, that have  
6 been discussed in the briefing.

7 MS. SMITH: Yes. Dr. Provost?

8 DR. MARINCOLA: The R I think 1.  
9 The RB1.

10 MS. SMITH: I'm sorry, I couldn't  
11 hear you.

12 DR. MARINCOLA: The R beta 1 I  
13 think specific associated.

14 DR. PROVOST: Right. We used T-  
15 cell hybridomas that are specific for PAP  
16 peptides, PAP protein peptides in order to  
17 assess the uptake, processing and  
18 presentation of those PAP peptides by APCs  
19 in this product. It's an in vitro  
20 immunological assay. It's not an immune  
21 response assay. But what we have done is to  
22 show that - these are development data that

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1 show that the cells in the product take up,  
2 process and present PAP peptides to PAP-  
3 specific T-cell hybridomas. Other fusion  
4 proteins which we have which are fused to  
5 GMCSF and in a relevant antigen do not  
6 stimulate those antigens and stimulate those  
7 T-cell hybridomas as well. We've also shown  
8 that those cells which present antigen are  
9 contained in the CD54 cell population.

10 DR. MARINCOLA: So what about  
11 then starting patients they are expressed  
12 the R beta 1 ANC, if they're recognized  
13 specifically after vaccination? Would that  
14 be a reasonable model to look at whether the  
15 vaccine is really making a difference in the  
16 immune response to the PAP antigen?

17 DR. PROVOST: We have used  
18 patient cells to assess their responses in  
19 the T-cell hybridoma assay. However,  
20 getting those patients to donate blood for  
21 the immune monitoring protocol is another  
22 thing and that is actually one of the

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1 challenges of a multi-center trial is just  
2 getting enough samples together so that you  
3 can get all the immune monitoring done.

4 DR. MARINCOLA: I have another  
5 question about the survival analysis which  
6 seems to be the core of the application is  
7 the overall survival. And I have to say  
8 that if you look at the first - second study  
9 doesn't really show much difference at all,  
10 but the most concerning thing is when you  
11 combine the two. It seems to me that  
12 doesn't make it any better. In fact, even  
13 the results of the first get dampened  
14 somehow. And one of the reasons maybe is  
15 that in the first study I thought there was  
16 a pretty strong, although probably not  
17 significant, bias in the Gleason score. If  
18 you look at the individuals that were less -  
19 six or less, or like 26 - 27 - 26.8 percent  
20 versus 15.6 percent. And I wonder if  
21 somebody can comment on this. Maybe I'm  
22 wrong, but.

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1 MS. SMITH: I'll ask Dr. Mark  
2 Frohlich to comment on the consistency  
3 between Studies 1 and 2 and the impact of  
4 Gleason score on the studies.

5 DR. FROHLICH: A lower hazard  
6 ratio was observed in Study 2, 1.27, but  
7 I'll note the magnitude of that hazard ratio  
8 is in fact - demonstrates a 21 percent  
9 reduction in risk of death and kind of is on  
10 the order of how clinical trials are being  
11 designed. CALGB is designing a docetaxel  
12 plus or minus bevacizumab trial with a  
13 target hazard ratio of 1.25. So still  
14 clinically relevant. The p-value is larger  
15 because of the smaller number of events.

16 Another potential reason for the  
17 smaller hazard ratio observed in Study 2  
18 relative to Study 1 may have to do with the  
19 degree of imbalance between the two arms in  
20 terms of PSA, LDH and the number of bony  
21 metastases as shown here. And when one  
22 adjusts for those using a Cox multiple

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1 regression model, one finds that the  
2 treatment effect in Study 2 is in fact as  
3 shown in the blue here. So the unadjusted  
4 are shown in yellow, the adjusted shown in  
5 blue. You can see that the treatment effect  
6 becomes more consistent with that in Study  
7 1. Even unadjusted there's consistency of  
8 the treatment effects as shown here.  
9 They're in the same direction and the  
10 confidence intervals overlap. And it's  
11 important to note that there are fewer  
12 events in Study 2, so there's actually 30  
13 percent more death events in Study 1 than  
14 Study 2 so it provides - Study 2 provides a  
15 less precise estimate than does Study 1.

16 In terms of the Gleason score,  
17 there were slight imbalances. We performed  
18 univariate adjustments for Gleason score.  
19 You'll find in your appendix both for Study  
20 1 and also done for Study 2 in which the  
21 treatment effect remained consistently  
22 strong after adjusting for Gleason score.

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1 We found in both of our studies that Gleason  
2 score was not an important predictive factor  
3 for overall survival in those patient  
4 populations.

5 DR. MULÉ: Larry?

6 DR. KWAK: So I have - my  
7 questions focus on product characterization.  
8 You showed us up-regulation of CD54 for  
9 example on antigen-presenting cells, but  
10 what were the characteristics of these cells  
11 that were being analyzed, and how much  
12 heterogeneity is there within patient  
13 products and between patients? For example,  
14 is - have you done any experiment, could  
15 GMCSF alone be responsible for the CD54 up-  
16 regulation, or perhaps impurities in the  
17 recombinant protein that they're exposed to?

18 MS. SMITH: Dr. Provost?

19 DR. PROVOST: We've characterized  
20 hundreds of sipuleucel-T products, and we  
21 can say without a doubt there's a large  
22 variability in the number and composition of

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1 the cells. That being said, the  
2 manufacturing process and the final results  
3 actually accommodate a large variability in  
4 the incoming material. Most of the  
5 variability that we find is due to the  
6 incoming apheresis material. It comes from  
7 the patients.

8           If I could have the slide that  
9 looks at cell compositions for the products.  
10 It gives you a survey of the different cell  
11 types throughout the product. We've  
12 measured both in the products and in a model  
13 system from healthy donors, measured  
14 antigen-presenting cells are 54-positive,  
15 APCs, T-cells, monocytes, B-cells. That's  
16 shown here throughout the manufacturing  
17 process. It just illustrates the point that  
18 the relative ratios remained fairly constant  
19 throughout the manufacturing process and  
20 that we have a fairly wide distribution of  
21 those cell types in the product.

22           Regarding the CD54 assay, we use

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1 a flow cytometric method to measure CD54.  
2 We gate on the monocyte or APC fraction -  
3 sorry, I just pulled that down when I meant  
4 to pull it up. Can you bring that back up?  
5 Thank you. I'll advance that now. This  
6 illustrates the method basically that we  
7 gate on large CD54-positive cells. We  
8 relate the mean fluorescence intensity which  
9 is shown in the bottom left - sorry, bottom  
10 right. Get my left and right mixed up. The  
11 green peak illustrates the mean fluorescence  
12 intensity. That mean fluorescence intensity  
13 is related back to a standard curve derived  
14 from beads which have a known number of PE  
15 molecules on each one and we use that to  
16 calibrate how many 54 molecules there are on  
17 the surface.

18           Within that population we've  
19 looked at other - we've done dual staining  
20 analyses to assess whether we're looking at  
21 antigen-presenting cells primarily or other  
22 cells and that's illustrated here. The

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1 predominant portion of that fraction that we  
2 gate on is monocyte-derived CD14-positive  
3 cells. Very few of them have CD3 or other  
4 lineage markers on them.

5 And the role of GM is to activate  
6 APCs. That's what it's doing in the fusion  
7 protein. We can activate cells with GM  
8 alone, but we cannot get PAP-specific  
9 presentation to PAP-specific T-cells with GM  
10 alone. In addition, in the characterization  
11 studies we've done on the product GM alone  
12 does not elicit the same sort of cytokine  
13 responses and other phenotypic responses we  
14 get on the cells in the product.

15 This shows that - here we go. On  
16 the left we have responses, CD54 up-  
17 regulation ratios. This is from development  
18 data. I think I presented this last year at  
19 the committee meeting. PA2024 is the  
20 immunizing antigen. BA7072 is an irrelevant  
21 antigen fused to GMCSF. We get similar up-  
22 regulation with those two molecules. Allo-

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1 MLR responses which respond specifically to  
2 CD54 up-regulation or APC activation are  
3 roughly equivalent, but antigen presentation  
4 to PAP-specific T-cells require the use of  
5 the PA2024 immunizing antigen.

6 DR. TAYLOR: A question about  
7 your previous slide. You said that 82  
8 percent - approximately are CD54-positive  
9 monocytes. In the FITC data - uptake data  
10 you showed us it didn't look like the  
11 majority of uptake was into monocytes. Can  
12 you - I was confused about how that  
13 correlates with this.

14 DR. PROVOST: Let me show you  
15 that again. That is a scatter plot, not a  
16 FITC label.

17 DR. TAYLOR: But in the briefing  
18 document you showed a CD54 uptake - showed  
19 uptake of the GMCSF PAP FITC molecule into  
20 CD14-positive cells and it didn't seem that  
21 that was - that the majority of CD14 cells  
22 took this up and yet here you're saying 82

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1 percent of the CD54-positive cells were  
2 CD14-positive. And I'm trying to understand  
3 the difference in those. And maybe I just -  
4 maybe it's a different denominator.

5 DR. PROVOST: I'm trying to  
6 recall from the briefing document.

7 DR. TAYLOR: I think that looks  
8 like what - yes.

9 DR. PROVOST: Let me display  
10 this. This is I believe from the briefing  
11 document. What this shows is that the  
12 antigen is taken up by CD54-positive cells  
13 and also CD40-positive and HLADR-positive  
14 cells basically shows that there are other  
15 markers, co-stimulatory molecules on the  
16 cells that take up the antigen. In  
17 addition, we have some data that I believe  
18 is in the BLA showing that PA2024 - FITC-  
19 labeled PA2024 is taken up by CD54-positive  
20 cells, CD14-positive cells. Very little of  
21 those cells stain for CD3. CD19-positive B-  
22 cells and CD56-positive NK cells have low

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1 uptake.

2 DR. MULÉ: For the sake of time  
3 we have a list of committee members who are  
4 still waiting for their questions. And what  
5 I would ask you to do is we have two more  
6 sessions in the agenda for questions and  
7 answers. So I would ask you to keep that in  
8 mind if those questions are more related to  
9 the topics later in the day. With that  
10 said, Rich, you're up next.

11 DR. ALEXANDER: I want to ask if  
12 you assessed whether at the end the patients  
13 were able to discern if they thought they  
14 were on the active drug or not compared to  
15 placebo. And the reason I want to ask this  
16 is because sort of a follow-up to Howard  
17 Scher's question is that people before they  
18 enter a clinical trial have to be told what  
19 the side effects of the drug are, and I'm  
20 expecting you probably had to explain to  
21 them they were likely to get fever and  
22 chills. And so if people with a 50 percent

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1 chance of that in the group getting the  
2 treatment and a much lower percent in the  
3 placebo, and we're asking what happens to  
4 these people and you know, why do men who  
5 are facing a lethal disease and want to live  
6 longer actually live longer. That's a - I'm  
7 not trying to be a Zen master here or  
8 something, or a philosophical question, but  
9 people who are thinking that they're on an  
10 active agent that will help them live longer  
11 and they want that to happen, perhaps  
12 there's some way that that can happen. So I  
13 wonder if - and it would reassure me if they  
14 were unable to predict whether they got the  
15 drug or not at the end of the trial is a  
16 typical thing that we've done in most of the  
17 studies that I've been involved with.

18 MS. SMITH: Dr. Frohlich?

19 DR. FROHLICH: First, it's  
20 important to note that while there is a  
21 characteristic adverse drug reaction profile  
22 for the product overall, for example the

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1 most common being chills as you noted at 50  
2 percent, that means that half the patients  
3 don't have that. So for the individual  
4 patient it's not entirely clear and many - a  
5 significant percentage of the placebo  
6 patients had some of those adverse drug  
7 reactions. We actually performed a survey  
8 of the patients on the trial in a subset of  
9 patients which essentially showed that a  
10 third of the patients thought they were on  
11 placebo, a third thought they were on  
12 treatment and a third said they didn't know  
13 which is actually worse than you would  
14 expect if you were anticipating a 2 to 1  
15 randomization. So there didn't appear to be  
16 any knowledge of the patients as to which  
17 treatment arm they were on.

18 In terms of influencing  
19 subsequent therapy, the only data we have,  
20 the only agent which has been shown to  
21 prolong survival in this patient population  
22 is the agent docetaxel, and that we've

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1 looked very closely at as I outlined in my  
2 core presentation, unable to find any  
3 evidence to suggest an increased use in the  
4 placebo arm, a delayed time to use in the  
5 placebo arm - I'm sorry, increased use in  
6 the treatment arm, or delayed time to use in  
7 placebo arm. And we've also performed  
8 adjustments for time-to-chemotherapy use and  
9 the treatment effects still remain strong.

10 DR. MULÉ: Bob.

11 MR. SAMUELS: Yes. My question  
12 actually relates to the same question and  
13 that is that patient-related outcomes are  
14 becoming more of an integral part of  
15 clinical trials, and I was curious as to  
16 whether or not you guys had a formal process  
17 for patient-reported outcomes included in  
18 this, and if not, do you plan on doing it in  
19 future studies.

20 DR. FROHLICH: We have not  
21 included formal quality-of-life assessments  
22 in Studies 1 and 2. Quality-of-life is

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1 somewhat of a challenging endpoint to  
2 interpret the results of, but we are  
3 interested in doing that potentially in  
4 future studies.

5 MR. SAMUELS: Again, I guess I'm  
6 - maybe I'm not clear. Patient-reported  
7 outcomes are people who are on studies  
8 reporting how they are doing, how they are  
9 feeling, are being more and more put into  
10 the clinical trial design process.

11 DR. FROHLICH: I'm sorry. To  
12 clarify, that's what I meant by quality-of-  
13 life assessment. So asking the patient  
14 specifically how they're doing, what their  
15 impression is, there are instruments that  
16 have been designed to assess that, but there  
17 are challenges in interpreting those results  
18 because of the variability and subjectivity  
19 associated with them. But it is an  
20 important thing to assess, I agree with you,  
21 and that's something we're interested in  
22 doing in the future to get a better

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1 understanding of the experience for patients  
2 as they go through the process.

3 DR. MULÉ: For the sake of time  
4 we have five more individuals with  
5 questions, so I'm going to cut off this  
6 session for questions after the fifth member  
7 of the committee has an opportunity to ask  
8 their question. So next is Dr. Chamberlain.

9 DR. CHAMBERLAIN: Okay. Well, I  
10 had some questions about again the immune  
11 response elicited against your product.  
12 Most of those were already answered, but I  
13 wanted to follow up two quick areas. One, I  
14 guess you implied that the - you appeared to  
15 be getting a T-cell response against the  
16 novel fusion portion of your antigen, but  
17 have you followed that up at all to, for  
18 example, by screening peptide libraries  
19 around that fusion region to - and in  
20 particular, can you tell whether there are  
21 any epitopes being recognized that are on  
22 the PAP side of the fusion junction?

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1 MS. SMITH: Dr. Provost?

2 DR. PROVOST: We have looked a  
3 little bit at the specificity, and we do see  
4 reactivities against the PAP portion of the  
5 molecule. We are investigating other  
6 assays, overlapping peptides, et cetera, so  
7 we can better characterize those immune  
8 responses.

9 DR. CHAMBERLAIN: Okay, and then  
10 a slight follow-up. You may have already  
11 answered this, but do you have any data in  
12 vivo with stimulating cells only with the  
13 GMCSF?

14 DR. PROVOST: Do we have data in  
15 vivo? No, that wasn't the objective of the  
16 trial. We had plenty of pre-clinical  
17 information that told us that the GM alone  
18 wasn't going to be the active agent in terms  
19 of eliciting the prostatitis. And so we had  
20 that fusion protein and had both ends of the  
21 molecule there for different reasons.

22 DR. SCHER: I just have a

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1 statistical question. Essentially the one  
2 trial that is definitive even in a post hoc  
3 analysis is essentially - evaluates 82  
4 patients. And the question is how  
5 comfortable can you feel extrapolating this  
6 if you used Dr. Logothetis's estimates to  
7 55,000 men who would represent asymptomatic  
8 castration-resistant or androgen-independent  
9 disease. There's a lot of sub-analysis  
10 here, but I guess the concern is you know  
11 again, one or two patients shift and all of  
12 a sudden you lose the significance. And  
13 many of the analyses, while they do show a  
14 relative increase in the hazard ratio, they  
15 still touch unity. So again, how confident  
16 can you feel in these kinds of  
17 extrapolations?

18 MS. SMITH: I'd like to ask Dr.  
19 Brent Blumenstein to comment on the  
20 statistical implications.

21 DR. BLUMENSTEIN: Well, I think  
22 that first of all that the size of the trial

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1 is small, but I think the confidence that  
2 you should have in the result would be  
3 reflected in the confidence intervals. And  
4 one of the computations that we did was to  
5 show that the lower confidence interval from  
6 this trial for example is higher than the  
7 low confidence interval from the docetaxel  
8 trial. And so I think that you have - you  
9 can take this trial with, even though small,  
10 that you can take the results with a great  
11 deal of confidence. Did I answer your  
12 question?

13 DR. SCHER: A little bit. But in  
14 point of fact, the populations in TAX 327  
15 are not comparable to this population.  
16 Those are - there's a large percentage of  
17 those patients who had symptomatic cancer-  
18 related pain. So I'm not sure that  
19 comparison is -

20 DR. BLUMENSTEIN: Well, I wasn't  
21 really comparing the two trials in the sense  
22 of that these agents would be used in the

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1 same trial, but I'm talking about the size  
2 of the clinical benefit that you can observe  
3 from this trial. I mean, I understand the  
4 dilemma facing the panel because I've served  
5 on these panels before, and as usual, you're  
6 having to base your decision on less than  
7 perfect data. I think it's important, maybe  
8 I can review some of the reasons that I feel  
9 that there's compelling evidence of efficacy  
10 from Study 1, even though it's not a perfect  
11 trial.

12 I think the formal evidence of  
13 efficacy is based on survival which is a  
14 definite gold standard in oncology. But as  
15 you probably have recognized, there was less  
16 than complete specification of survival in  
17 the - the survival analysis in the protocol  
18 and the SAP. But it's also important to  
19 note that in all other respects Study 1 and  
20 Study 2 can be characterized as well-  
21 controlled and well-conducted clinical  
22 trials.

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1           I think that the dilemma that is  
2           induced by Study 1 is really relatively  
3           minor compared to some of the other dilemmas  
4           that have been induced by other oncology  
5           studies. For example, you're not being  
6           asked to make your decision based on a post  
7           hoc identification of a subset of patients,  
8           and you're not being asked to base your  
9           decision on non-standard statistical  
10          methods, and you're not being asked to make  
11          your decision based on a variation of a  
12          primary endpoint. You're also not being  
13          asked to base your decision on the secondary  
14          endpoint designed to measure some other  
15          aspect of the patient's outcome. Finally,  
16          you're not being asked to base your decision  
17          on a significant time-to-progression finding  
18          in the absence of a survival finding.

19                 So the main issue is that this  
20                 Study 1 did not meet the TTP statistical  
21                 goal, and had Study 1 met that goal there  
22                 would be no issue considering the fact that

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1 there's a significant survival. So let's  
2 talk about that for a minute. And there's  
3 one possible explanation of why Study 1  
4 didn't meet the survival goal, the  
5 statistical goal, and that is based on this  
6 delayed effect which you can see, and  
7 especially in the right plot there on the  
8 graph, that there's a late-emerging  
9 separation of the Kaplan-Meier curves. Now  
10 this has been observed in other  
11 immunotherapies in the last few years. Now,  
12 when there exists an identifiable  
13 explanation for the lack of statistical  
14 significance such as a delayed effect like  
15 this, then I think you're compelled to take  
16 the clinically meaningful estimate of the  
17 hazard ratio of 1.45 from the time-to-  
18 progression Kaplan-Meier plot that you see  
19 there and that also represents a 31 percent  
20 decrease in the hazard of progression, and  
21 use that in assessing the overall outcome  
22 from this trial when you combine the TTP

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1 results and the survival results. It's also  
2 important to think about whether time-to-  
3 progression is a putative surrogate for  
4 survival, and I think most would agree that  
5 under ideal circumstances if time-to-  
6 progression is measured well that it is a -  
7 that there's a good reason to think of it as  
8 a putative surrogate for survival. And what  
9 this - the reason that this is important is  
10 that in the - under the paradigm of  
11 surrogacy, you have the requirement that  
12 both endpoints meet statistical significance  
13 and that doesn't induce the need to share  
14 alpha between two endpoints where you could  
15 make a choice between those two endpoints.  
16 And if you take the evidence from Study 1's  
17 time-to-progression hazard ratio of 1.45 and  
18 accept that as an indication of clinical  
19 significance from Study 1, then I think it's  
20 easy to feel comfortable. And in fact, I  
21 mean this is the thought process that leads  
22 me to have a high degree of confidence that

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1 these study - the results from Study 1 are  
2 real and that there's no inflation of the  
3 probability of making a false positive  
4 conclusion here.

5 DR. MULÉ: Richard.

6 DR. CHAPPELL: I'd like to ask  
7 another question about the cumulative CD54  
8 up-regulation clinical results in Slide 60.  
9 There's a very dramatic predictive effect of  
10 the up-regulation with survival and some of  
11 it must be due to the fact that healthier  
12 patients have higher up-regulations because  
13 if you would overlay the placebo curve it  
14 would be at about the green, it would lie  
15 pretty much on top of the green curve and  
16 placebos have zero percent up-regulation.  
17 So if it were only the drug, it would be  
18 below all of them. But still, as you  
19 demonstrated by your regression analyses,  
20 there is some hint that this is a kind of  
21 dose response effect. So either way,  
22 patients with good up-regulation seem to do

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1 better and my question to you is is there  
2 any way to screen patients based on some  
3 preliminary information on up-regulation, or  
4 do you have any baseline variables, pre-  
5 treatment variables that would predict this  
6 up-regulation so that you might be able to  
7 apply this treatment to the patients who  
8 might benefit most?

9 DR. PROVOST: First, just let me  
10 say that CD54 up-regulation is not a  
11 prognostic variable. When we're looking at  
12 these data they're post-manufacturing and  
13 cannot be determined until after the -

14 DR. CHAPPELL: Well, my question  
15 - can you create a prognostic variable as a  
16 substitute for -

17 DR. PROVOST: These are  
18 manufacturing data. We can actually - we're  
19 investigating now how - what other  
20 influences the manufacturing milieu might  
21 have on CD54 up-regulation. And we see some  
22 slight variations that suggest that the

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1 cellular composition might have an  
2 influence, in particular granulocytes may  
3 have some influence just in competition for  
4 CD54 immunizing antigen for the PAP  
5 immunizing antigen. That being said, this  
6 is more of a kind of a global issue in terms  
7 of overall immune responses and I think I'd  
8 like to defer to perhaps Dr. Levitsky who  
9 could comment a little more broadly on this  
10 type of a readout.

11 DR. LEVITSKY: Thanks. I'd like  
12 to give an immunologist's perspective on the  
13 observation that the cumulative CD54 up-  
14 regulation has a correlation with survival.  
15 So first, just a small piece of biology.  
16 CD54, also known as ICAM-1, is one of a  
17 series of co-stimulatory or adhesion  
18 molecules found on antigen-presenting cells  
19 that increases when the antigen-presenting  
20 cell is activated. And that activation can  
21 occur through a number of ways, toll-like  
22 receptors and notably CD40. Now, the reason

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1 I'm going into the biology here is because  
2 it's at first counter-intuitive that pulling  
3 cells out of a patient in Cycle 2 or 3 would  
4 give you any different type of antigen-  
5 presenting cell than you got from Cycle 1.  
6 So how do you explain the cumulative  
7 increase in the second and third cycle? And  
8 I think the best explanation is not that the  
9 antigen-presenting cells are changing, but  
10 rather that the T-cells are changing that  
11 are in the bag. The reason I'm going  
12 through this with you is I would posit that  
13 what they're actually measuring, even though  
14 it's on the antigen-presenting cells is  
15 really reflecting the nature of the T-cell  
16 priming that's taking place over time. So  
17 by that criteria, if that hypothesis proves  
18 to be correct it in and of itself can't be a  
19 prognostic variable. And in fact, the  
20 company may not even have control over that  
21 in terms of it being something that they  
22 could control in the manufacturing process.

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1 It is perhaps more indicative of a patient-  
2 specific parameter.

3 DR. CHAPPELL: So is there any  
4 way to get something like that, or a  
5 surrogate for it in advance to know which  
6 patients would benefit most?

7 DR. LEVITSKY: So now you're in  
8 the realm of who's immunologically  
9 responsive and who isn't, and the field  
10 hasn't gotten to that point yet.

11 DR. MULÉ: Maha? You're okay.  
12 Kurt?

13 DR. GUNTER: I have two very  
14 quick questions related to the CVA issue.  
15 Perhaps I could ask both questions. I'm  
16 guessing you could answer them at the same  
17 time. The first question relates to any  
18 pre-clinical work which I didn't see a lot  
19 of description of that in the briefing  
20 package, but were there any safety signals  
21 related to neurotoxicity or CVA-like events  
22 in any pre-clinical animal studies? That's

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1 question one. Question two is looking at  
2 the CVA events in the hormone-independent  
3 versus hormone-dependent population, I was  
4 struck by the fact that there was about 5  
5 percent incidence in the placebo arm versus  
6 about 1 percent in the treatment arm in the  
7 hormone-dependent and almost the opposite  
8 results in the hormone-independent. So can  
9 you think of any biological or clinical  
10 mechanism or rationale for those apparent  
11 discordant results in the two groups?

12 MS. SMITH: Dr. Frohlich? And  
13 I'll comment on your first question. We did  
14 not have any information from our pre-  
15 clinical studies nor our Phase I and II  
16 studies to suggest that there was a possible  
17 increased incidence of CVA in these  
18 patients. This was not observed until we  
19 accumulated the safety database from the  
20 Phase III trials.

21 DR. FROHLICH: And specifically  
22 in terms of the rat models that Dr. Provost

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1 showed which demonstrated autoimmune  
2 prostatitis, sections of other organ systems  
3 were performed and there was no evidence of  
4 cerebritis or lymphocytic infiltrate in the  
5 brain. In terms of the difference between  
6 androgen-independent prostate cancer and  
7 androgen-dependent prostate cancer, there  
8 are trends in the opposite direction and I  
9 think the challenge here is given the small  
10 number of events you know in total out of  
11 this roughly 700 patients, you know 18  
12 events in treatment and 6 in the placebo,  
13 keeping in mind the 2 to 1 randomization, so  
14 you're talking about a small number of  
15 events here. And I think the key point that  
16 we want to make is given the large  
17 confidence intervals which overlap one here,  
18 it's hard to know whether this is a real  
19 difference between androgen-independent and  
20 androgen-dependent. And for that reason  
21 perhaps the numbers for all studies best  
22 reflects this. I mean I think there's no

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1 reason that we would expect that sipuleucel-  
2 T would be protective in the androgen-  
3 dependent prostate cancer setting.

4 DR. MULÉ: Okay. At this  
5 juncture what we'll do is take a 10-minute  
6 break and plan to be back at 10:30.

7 (Whereupon, the foregoing matter  
8 went off the record at 10:19 a.m. and went  
9 back on the record at 10:33 a.m.)

10 DR. MULÉ: Okay, we'll begin with  
11 the FDA presentation, and the first speaker  
12 is Dr. Wonnacott.

13 DR. WONNACOTT: Good morning. My  
14 name is Keith Wonnacott, and I'll lead off  
15 the presentations providing the FDA  
16 perspective on sipuleucel-T. I'm co-chair  
17 of the review committee, and I will  
18 represent the product review team. Dr. Ke  
19 Liu is the other co-chair of the committee,  
20 and he will represent the clinical review  
21 team and present the findings - the FDA  
22 perspective on the findings from the

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1 clinical trials. And Dr. Bo Zhen is our  
2 statistical reviewer, and will talk about  
3 the statistical findings. Although you will  
4 not hear from the other members of the  
5 review team, I would like to acknowledge  
6 them, and emphasize that the review of this  
7 BLA is a large, multi-disciplinary effort.

8           So I'm going to start with my  
9 presentation by providing an overview of the  
10 manufacturing process, and there are a few  
11 points I'd like to make about the process.  
12 The first is that the patient cells are  
13 collected by leukapheresis. This means that  
14 the patient is hooked up to an apheresis  
15 device that collects the white blood cells,  
16 or leukocytes, from the patient's blood, and  
17 this procedure can take up to several hours.  
18 And I mention this step because, as we've  
19 heard, the apheresis starting material is  
20 the greatest source of variability in the  
21 product. The next point I wanted to point  
22 out is that the patient cells are cultured

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1 with PA2024 antigen, that is composed of  
2 GMCSF, which is an immune stimulant and the  
3 prostatic acid phosphatase, which serves as  
4 the tumor antigen. And this is the critical  
5 step for creating an active product. And  
6 finally, this whole process takes three to  
7 four days, and the entire process is  
8 repeated for each of the three infusions  
9 that a patient will receive during the  
10 course of therapy.

11 The placebo product is made in  
12 generally the same way as sipuleucel-T, with  
13 the exception that no PA2024 antigen is  
14 added, and the cells are refrigerated rather  
15 than cultured. In addition, a portion of  
16 the cells are cryopreserved at the end of  
17 day zero processing for potential crossover  
18 therapy. And the patients who later cross  
19 over to receive active therapy will have  
20 their cryopreserved cells thawed and  
21 reintroduced back into the manufacturing  
22 process to be cultured with the antigen, and

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1 later administered to the patients.

2 So this slide outlines in  
3 slightly more detail the impact of the  
4 manufacturing process on the patient cells.  
5 The apheresis starting material, when it  
6 arrives at the manufacturing facility,  
7 contains a variety of blood cells. The  
8 first steps in the manufacturing process are  
9 the buoyant density centrifugation steps,  
10 designated BDS77 and 65. And these steps  
11 enrich for the mononuclear cells, including  
12 monocytes, B-cells, T-cells and NK cells.  
13 These cells are then put into culture with  
14 the PA2024 antigen, and according to the  
15 proposed mechanism of action, the monocytes  
16 will take up the antigen and become  
17 activated antigen-presenting cells. And  
18 we've heard about this. So the  
19 manufacturing process is designed to enrich  
20 for mononuclear leukocytes, and activate  
21 antigen-presenting cells, but it is not  
22 designed to control cell number, nor is it

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1 designed to control the relative percentages  
2 of the different cell types. And so we hope  
3 that the - I hope that the data I present in  
4 the next few slides will illustrate each of  
5 these points, and provide a framework for a  
6 meaningful discussion this afternoon about  
7 the implications for product quality and  
8 consistency.

9           So this slide is intended to show  
10 that the manufacturing process does not  
11 control the number of cells in sipuleucel-T.  
12 The figure shows data from Dendreon's  
13 clinical manufacturing experience, and I  
14 would like to point out - make three  
15 observations about the data. First, as  
16 Nicole said, Dendreon has established a  
17 minimum number of total nucleated cells  
18 required for the apheresis starting  
19 material, but there is no maximum number,  
20 and the range in total nucleated cell number  
21 is quite large. Second, the manufacturing  
22 process does significantly reduce the number

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1 of total nucleated cells in the product,  
2 from apheresis starting material to the  
3 final product. And finally, in the final  
4 product there is no upper or lower limit for  
5 total nucleated cell number, and the range  
6 is still quite broad. In fact, there have  
7 been differences of greater than a  
8 hundredfold in the number of cells that a  
9 patient receives.

10 So this slide is intended to show  
11 that the manufacturing process doesn't  
12 control the relative percentages of cell  
13 types in sipuleucel-T. And you've seen a  
14 version of this figure already. It depicts  
15 the change in relative percentage of the  
16 predominant cell types in the product during  
17 manufacturing. The predominant cell types  
18 include monocytes which express CD14 and as  
19 you heard also are the major cell type  
20 expressing CD54, B-cells, which express  
21 CD19, T-cells which express CD3, and NK  
22 cells which express CD56. The relative

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1 percentages were measured at several steps  
2 in the manufacturing process, in the  
3 apheresis starting material, after the BDS77  
4 separation, after the BDS65 separation, and  
5 in the final product. And what you can see  
6 for each of the cell types is that the  
7 change in the relative percentage of the  
8 cell type is small due to manufacturing  
9 compared to the relative variability  
10 inherent in the patient themselves. And of  
11 note, the potent cells, the CD54 cells, can  
12 range from above 50 percent to less than 5  
13 percent of the total number of cells  
14 present. So as I said earlier, the process  
15 is designed to activate antigen-presenting  
16 cells, and this is consistent with the  
17 proposed mechanism of action.

18 So I wanted to present the  
19 proposed mechanism of action. And as I  
20 mentioned, the antigen-presenting cells take  
21 up the antigen, become activated, and  
22 process and present the antigen on the cell

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1 surface, all of this occurring during the  
2 manufacturing process. The cells are then  
3 given back to the patient where the APCs are  
4 thought to be able to stimulate antigen-  
5 specific T-cells that can go back and attack  
6 the cancer cells. So based on this  
7 mechanism of action, there could be a  
8 potential delay in the effect of the therapy  
9 as the immune response develops in the  
10 patient. The therapy is thus unlike other  
11 cytotoxic cancer agents that directly kill  
12 cancer cells. But I will say that, while  
13 this is the proposed mechanism of action, we  
14 don't know if it is the correct mechanism of  
15 action, or alternatively, if it is the only  
16 mechanism of action.

17 So in the next few slides I'll  
18 summarize the types of in vitro data to  
19 support the proposed activation and antigen  
20 presentation activity of sipuleucel-T.  
21 First I would like to talk about which cells  
22 in sipuleucel-T are responsible for antigen

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1 uptake, and based on all the good questions,  
2 you've seen a little bit of this data  
3 already. So these data show the ability of  
4 the cell types present in sipuleucel-T to  
5 take up fluorescently labeled PA2024  
6 antigen. The Y-axis is - represents a cell  
7 type-specific marker, and the X-axis  
8 represents antigen uptake. So the cells  
9 that are specific for the marker and take up  
10 antigen will be found in the upper right-  
11 hand quadrant of the histograms. This data  
12 shows that monocytes efficiently take up the  
13 antigen, while T-cells, B-cells and NK cells  
14 only weakly or don't take up antigen. These  
15 cells - or I mean, this data show that  
16 monocytes, which are CD14-positive, are the  
17 predominant cell type in sipuleucel-T that  
18 express CD54 as it is measured, or as the  
19 cells are gated by Dendreon, although we  
20 know that other cell types present in the  
21 product do express CD54.

22 Dendreon also provided data to

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1 demonstrate that the antigen-presenting  
2 cells show increased expression of co-  
3 stimulatory molecules. And so these  
4 histograms show the up-regulation of various  
5 cell surface markers before and after  
6 culture. These molecules are generally  
7 recognized as co-stimulatory molecules, and  
8 are used to measure cellular activation.  
9 The expression of each of these markers is  
10 increased during culture with PA2024  
11 antigen. And the expression of these -  
12 Dendreon has provided data to show that, as  
13 was asked, the GMCSF portion of the fusion  
14 protein is responsible for this antigen-  
15 presenting cell activation, and the  
16 expression of these markers does not  
17 increase in the placebo product, supporting  
18 the idea that the manufacturing process is  
19 able to activate the antigen-presenting  
20 cells. But as was also mentioned, it's  
21 important that there be a response to the  
22 PAP, which is the tumor antigen, and so the

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1 last set of slides will show that the  
2 sponsor - what the sponsor did to correlate  
3 - or Dendreon did to correlate CD54  
4 expression with antigen presentation.

5 And so this slide shows IL-2  
6 production by a PAP-specific T-cell clone  
7 that Dendreon generated. This T-cell clone  
8 secretes IL-2 when it is able to recognize  
9 antigen PAP that is processed and presented  
10 on the cell surface. The data show that  
11 CD54-positive cells are able to present  
12 antigen, the PAP antigen on its cell  
13 surface, that can be recognized by these T-  
14 cell clones, while CD54-negative cells do  
15 not present antigen that can be recognized  
16 by these T-cell clones. So the ability of  
17 CD54-positive cells to process and present  
18 antigen is consistent with the idea that  
19 they are the active antigen-presenting  
20 cells.

21 So based on these data, Dendreon  
22 has established the potency assay described

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1 that is designed to detect activated  
2 antigen-presenting cells. Potency is  
3 measured as a minimum number of CD54-  
4 positive cells that must be present in the  
5 product. CD54 is used as a marker of  
6 antigen-presenting cells, and it's an  
7 indirect indication, based on the data that  
8 we've seen, that cells can process and  
9 present antigen. Potency is also measured  
10 by the up-regulation of CD54, which is a  
11 ratio of the CD54 expression before and  
12 after culture with PA2024, and up-regulation  
13 of CD54 indicates, or is a direct measure of  
14 cellular activation.

15           While the potency assay tells us  
16 some valuable information about product  
17 quality, there are limitations. One  
18 limitation is that the impact of the  
19 manufacturing process on cell types other  
20 than the antigen-presenting cells, and the  
21 role of those cells is unknown. This is a  
22 concern since CD54 cells typically represent

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1       only about 20 percent of the final product,  
2       and as we saw, can be even less than 5  
3       percent of the total cell population. The  
4       role and impact of manufacturing on B-cells,  
5       T-cells and NK cells is also unknown.  
6       Another limitation of the potency assay is  
7       that the ability of sipuleucel-T to induce  
8       an immune response against the patient's  
9       prostate cancer is unknown, and we've heard  
10      a little bit, and Dr. Liu will discuss a  
11      little bit more the immune response data in  
12      his clinical presentation.

13                 So these points summarize what we  
14      hope will form the foundation of a  
15      meaningful discussion this afternoon.  
16      First, the number of cells present in  
17      sipuleucel-T is quite variable. Second, the  
18      relative percentages of the different cell  
19      types in sipuleucel-T is highly variable.  
20      Third, sipuleucel-T contains activated  
21      antigen-presenting cells that can process  
22      and present tumor antigen, but the function

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1 of these cells when they are returned to the  
2 patient is not fully understood. And  
3 finally, the contribution of other cells to  
4 product activity is not known. And so we're  
5 asking the advice of the committee on the  
6 potential impact of these observations on  
7 the quality and consistency of sipuleucel-T.  
8 And that concludes my remarks. Our next  
9 speaker will be Dr. Ke Liu.

10 DR. LIU: Good morning. My name  
11 is Ke Liu. I am the clinical reviewer for  
12 this BLA. And I'm going to present FDA  
13 clinical review and the findings efficacy  
14 and safety as outlined here.

15 Before I start, I'd like to make  
16 sure that all of us are on the same page in  
17 terms of terminology for my presentation.  
18 Study names Study 1 as sponsor referred to,  
19 D9901, and Study 2 meaning D9902A. So you  
20 see 1 is 1, 2 is 2. Study agents:  
21 sipuleucel-T you go to APC8015, and placebo  
22 meaning APC placebo, APC8015F meaning frozen

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1 and thawed peripheral blood mononuclear  
2 cells as source material, and then prepared  
3 similarly as sipuleucel-T.

4 Proposed indication for this BLA  
5 is for the treatment of men with  
6 asymptomatic metastatic androgen-independent  
7 prostate cancer, or AIPC. The efficacy -  
8 the basis for the efficacy claim is based on  
9 overall survival difference observed in two  
10 Phase III studies, D9901 and D9902A. In  
11 D9901, a 4.5-month overall survival  
12 difference was seen, and in D9902A, a 3.3-  
13 month overall survival was seen, but not  
14 statistically significant.

15 These two Phase III studies were  
16 similarly designed, randomized, double-  
17 blinded, placebo-controlled trials in men  
18 with asymptomatic metastatic AIPC. The  
19 primary endpoint for each study was time-to-  
20 disease-progression. D9901 enrolled 127  
21 subjects, 82 in sipuleucel-T arm, 45 in  
22 placebo. D9902A planned 120 subject, but

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1 terminated early, as I will discuss later,  
2 contained 65 subjects in sipuleucel-T arm,  
3 33 in placebo. Study periods are shown  
4 here. The key eligibility criteria,  
5 treatment schema and treatment regimen has  
6 been presented by the sponsor in detail. I  
7 will not discuss this further here.

8 Now I turn to study design. The  
9 primary endpoint for each study was time-to-  
10 disease-progression as defined by time from  
11 randomization to the first observation of  
12 disease progression, and assessed by three  
13 criteria. First, radiologic progression by  
14 scans. Bone scans at the baseline, and  
15 every eight weeks, CT or an MRI at baseline,  
16 and only if the results were positive,  
17 repeat every eight weeks. It should be  
18 noted that, by this study design, the soft  
19 tissue disease progression in bone-only  
20 subject may have been missed because of a  
21 lack of regular scans for soft tissue. The  
22 second criterion for the disease progression

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1 was new onset of cancer-related pain  
2 correlated with X-ray findings. The third  
3 one was occurrence of the clinical events  
4 such as pathologic fracture, cord or nerve  
5 root compression, or other clinically  
6 significant disease-specific events. The  
7 second endpoint is shown on this slide. I  
8 am not going to read them.

9           Statistical assumptions are as  
10 follows. Based on sponsor's past Phase II  
11 experience and review of literature, the  
12 median time-to-progression was assumed for  
13 placebo arm to be 16 weeks. For the  
14 sipuleucel-T arm, predicted to be 31 weeks.  
15 The trial was designed with 2 to 1  
16 randomization of sipuleucel-T to placebo, 80  
17 percent power and 5 percent of two-sided  
18 alpha error.

19           Now I turn to efficacy results,  
20 starting with D9901 first, followed by  
21 D9902A. This slide shows D9901 patients'  
22 demographic and baseline characteristics.

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1 There's no significant imbalance between two  
2 arms for median age, ethnicity, or ECOG  
3 performance status. However, about 90  
4 percent of subjects are Caucasian men, with  
5 10 percent of subjects being other ethnic  
6 populations. Because of this under-  
7 representation of other ethnic populations,  
8 it is not known whether the study results  
9 can be generalized to the general  
10 population, because the biology and  
11 prognosis of the prostate cancer in other  
12 ethnic populations may be different from  
13 those of Caucasian men.

14 This slide shows distribution of  
15 disease status between the two arms in Study  
16 D9901 subjects. There are some imbalances  
17 noted in Gleason score, disease location,  
18 and number of bone metastases per subject.  
19 For example, sipuleucel-T arm had more  
20 subjects who had lower Gleason score, and  
21 more subjects with bone-only disease, and  
22 has more subjects with more than 10 bone

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1 metastases per subject than placebo. On the  
2 other hand, placebo arm had more subjects  
3 who had higher a Gleason score, and more  
4 subjects with disease lesions in both bone  
5 and soft tissue. These imbalances could  
6 have led to the biases to the study results.  
7 However, sensitivity analysis indicated that  
8 these imbalances did not have impact on  
9 overall survival results.

10 Now the results for D9901.  
11 Primary endpoint, time-to-disease-  
12 progression, or TTP. One hundred twenty-  
13 seven subjects randomized, 114 had disease  
14 progression events. No deaths prior to  
15 progression events. Progression was  
16 documented by imaging in 97 subjects, by  
17 clinical events in 10 subjects, and by new  
18 onset of disease-related pain correlated  
19 with imaging in seven subjects. Shown here  
20 is the Kaplan-Meier curves for primary  
21 endpoint TTP. Top curve sipuleucel-T,  
22 bottom curve APC placebo. Although the

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1 curve appears to be separating around Week  
2 10, there was no overall statistical  
3 significance between the two curves. The p-  
4 value was 0.085. Median TTP in sipuleucel-T  
5 arm was 11.1 week, placebo, 9.1 week. As  
6 you recall, the sponsor presented p-value of  
7 0.052. That was a change from 0.085 after  
8 initial analysis. This change from 0.085 to  
9 0.052 was based upon unblended audit of  
10 clinical data, and revisions in the  
11 progression dates, primarily driven by the  
12 change of progression dates, or censoring  
13 from two subjects in a study with a small  
14 sample size.

15 In addition, difficulties in the  
16 interpretation of TTP results are shown in  
17 these slides. First, overestimation of  
18 time-to-progression. The sipuleucel-T arm  
19 presumed TTP was 31 weeks. Actually  
20 observed was only 11.1. That's about one-  
21 third of the prediction, illustrating the  
22 overestimation of the TTP in sipuleucel-T

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1 based on non-randomized Phase II study.  
2 Second, median progression occurred before  
3 the scheduled second assessment for  
4 progression around Week 16. Third, lack of  
5 soft tissue scans in some bone-only subjects  
6 could have missed the detection of the soft  
7 tissue progression in the subject according  
8 to the study design. Lastly, some  
9 progression dates in some subjects were not  
10 interpretable because of the protocol  
11 violations. Thus, FDA considers the p-value  
12 of 0.05 by log rank test to be the primary  
13 results from the primary analysis specified  
14 in the protocol, and the p-value of 0.052 to  
15 be derived from an exploratory analysis. To  
16 conclude on TTP, D9901 failed to show a  
17 sipuleucel-T treatment effects on the  
18 primary endpoint in delaying time-to-  
19 progression. There was no difference  
20 observed between the two arms for any of the  
21 following second endpoints as listed here.

22 Now, D9901 overall survival

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1 results. Shown here are the Kaplan-Meier  
2 survival curves for D9901 subjects. Top one  
3 is sipuleucel-T, bottom one is placebo.  
4 There was a separation of the curve  
5 occurring around Month 10, and this  
6 separation remains throughout the study  
7 period. There was an overall statistical  
8 significance between these two curves, p-  
9 value equal to 0.10. Median survival time  
10 for sipuleucel-T arm was 25.9 months, for  
11 placebo 21.4 months, 4.5-month difference.  
12 Looking at survival rate, at Month 36 where  
13 the data was cut off, 34 percent of  
14 sipuleucel-T subjects were still alive, and  
15 11 percent of placebo subjects were still  
16 alive, 23 percent difference, also reached  
17 statistical significance. Dr. Bo-Guang Zhen  
18 will discuss to you about how to interpret  
19 those p-values in his presentation.

20 There are several factors that  
21 might have potentially compounded overall  
22 survival results observed in D9901. First

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1 was a crossover. This crossover could have  
2 actually negated the overall survival  
3 results observed in D9901. The other one is  
4 chemotherapy use. The higher percentage and  
5 earlier, longer, or higher dosage of  
6 chemotherapy in sipuleucel-T subjects could  
7 have led to increased overall survival  
8 difference observed in D9901. Now looking  
9 at crossover, 75.6 percent of placebo  
10 subjects was crossover to receive this  
11 APC8015F, a different product other than the  
12 sipuleucel-T. Looking at chemotherapy use,  
13 shown here is a percentage of the subjects  
14 who received chemotherapy after disease  
15 progression. Actually, the higher  
16 percentage of placebo subjects received  
17 chemotherapy, either taxane or any  
18 chemotherapy. Analysis of the time from  
19 randomization to first chemotherapy use also  
20 performed, which did not suggest an early  
21 initiation of chemotherapy in sipuleucel-T  
22 subjects. However, the dose and cycles of

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1 chemotherapy were not collected during study  
2 period. Thus, although unlikely, the  
3 potential chemotherapy confounding effects  
4 on overall survival cannot be ruled out.

5 To summarize for D9901 efficacy  
6 results, 127 subjects randomized 2 to 1, to  
7 sipuleucel-T, to placebo, a small sample  
8 size. No difference was observed between  
9 two arms in the pre-specified endpoint.  
10 Overall survival analysis, however, revealed  
11 a 4.5 months difference in the median  
12 survival in sipuleucel-T arm.

13 As Dr. Provost and Dr. Wonnacott  
14 described earlier, CD54 up-regulation was  
15 used in the potency measurement. Shown here  
16 is the correlation of the CD54 up-regulation  
17 and survival in Study D9901 subjects using  
18 the mean. The top curve is the curve for  
19 sipuleucel-T subjects whose CD54 up-  
20 regulation above the mean, the middle curve  
21 is the subjects, sipuleucel-T subjects with  
22 CD54 up-regulation below the mean, and the

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1 third curve is placebo subject. It appears  
2 that a higher CD54 up-regulation had better  
3 survival. However, the results are  
4 difficult to interpret because of the  
5 following. It's not known whether this up-  
6 regulation of CD54 results represents  
7 intrinsic property of the individual  
8 patients. Meaning, if patients are going to  
9 do better would have a higher CD54 up-  
10 regulation, or it's due to the intrinsic  
11 property of the individual products after  
12 manufacturing process. Should be noted that  
13 the placebo cells did not undergo the  
14 similar manufacturing process as sipuleucel-  
15 T, or this up-regulation is due to other  
16 factors.

17 Another analysis, as Dr.  
18 Wonnacott alluded to earlier, was the T-cell  
19 stimulation immune response monitoring.  
20 Shown here are the T-cell stimulation assay  
21 in a limited number of sipuleucel-T and  
22 placebo subjects analyzed at Week 8 and Week

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1 16, normalized to Week Zero, using antigens  
2 of PA2024 or human seminal PAP. End results  
3 are compared between the two arms. It  
4 appears that the sipuleucel-T subjects had a  
5 higher T-cell stimulation index. Again, the  
6 results are difficult to interpret because  
7 the proliferation assay used was not the  
8 direct measure for T-cell response, and  
9 assays performed were only in a small subset  
10 of patients. More difficult to interpret,  
11 as we had a little bit of discussion, was  
12 the fact there's no immune response were  
13 found to the human PAP.

14 Now I turn to D9902A efficacy  
15 results. A little history about D9902. It  
16 was similarly designed as D9901, planned to  
17 enroll 120 subjects, and primary endpoint  
18 was time-to-disease-progression. It was  
19 terminated early because of D9901 overall  
20 negative efficacy results. At the time of  
21 termination, 98 subjects already enrolled.  
22 The study was renamed the D9902A. Because

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1 of this early termination, this study  
2 contained insufficient sample size, not  
3 powered to see a difference in TTP or  
4 overall survival.

5 This slide shows D9902A subject  
6 patient demographic and baseline  
7 characteristics. There's no significant  
8 imbalances between median age - between two  
9 arms for median age, ethnicity, or ECOG  
10 performance status. However, again noted is  
11 90 percent of the study subjects being  
12 Caucasian men with under-representation of  
13 other ethnic populations. This slide shows  
14 the distribution of disease status in D9902A  
15 subjects between the two arms. The same  
16 patterns of imbalances were noted here in  
17 Gleason score, disease location, and number  
18 of bony metastases per subject as noted in  
19 the Study D9901.

20 Now the results for D9902A.  
21 Primary endpoint time-to-disease-  
22 progression. Shown here are two curves of

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1 sipuleucel-T and placebo Kaplan-Meier curves  
2 basically overlaps each other. No  
3 statistical significance. P-value is 0.719.  
4 The median time-to-progression was 10.9  
5 weeks in sipuleucel-T arm, and 9.9 weeks in  
6 placebo arm, which was consistent with  
7 what's seen in Study D9901. Survival for  
8 D9902A. Shown here is the Kaplan-Meier  
9 survival curves. Top curve is sipuleucel-T,  
10 bottom curve is placebo. There was no  
11 overall statistical significance between  
12 these two curves. P-value equal to 0.331.  
13 Median survival time for sipuleucel-T, 19  
14 months, and placebo, 15.7 months, 3.3 months  
15 difference. It should be noted that the  
16 survival time in this study was shorter than  
17 the counterparts in the D9901, which  
18 suggests that the patient populations in  
19 these two studies may not be exactly the  
20 same. To summarize for D9902A efficacy  
21 results, 98 subjects randomized 2 to 1 to  
22 sipuleucel-T to placebo. Similar trial

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1 design and execution as D9901. Stopped  
2 early, insufficient sample size to detect a  
3 difference in TTP or overall survival.

4 Now I turn to safety evaluation.  
5 The mean analysis were derived from D9901  
6 and D9902A database, which included 146  
7 subjects who received sipuleucel-T, and 76  
8 subjects who received placebo. In addition,  
9 the sponsor submitted an updated information  
10 on cerebral vascular accident events, or CVA  
11 events, included CVA events from other Phase  
12 III trials, D9902B and P-11. The complete  
13 safety database update was suddenly last  
14 week to include a total of 461 subjects in  
15 sipuleucel-T, and 231 subjects who received  
16 a placebo. Looking at infusion exposure,  
17 vast majority of subjects received scheduled  
18 three infusions, about 90 percent in each  
19 arm. This slide shows death events in these  
20 two studies. Most subjects died from  
21 disease progression, and it appeared that  
22 fewer sipuleucel-T subjects died from

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1 prostate cancer, 65 percent versus 78  
2 percent. No deaths were reported within 30  
3 days after last infusion. Noted here was  
4 the deaths related to CVA increase in the  
5 sipuleucel-T arm, 4.6 percent versus 1.5  
6 percent.

7 This slide shows serious adverse  
8 events other than death in these two  
9 studies. Noted again was the increased CVA  
10 events among other events in sipuleucel-T  
11 arm was 2.0 compared to none in placebo.  
12 This slide shows common adverse events that  
13 occurred in more than 10 percent sipuleucel-  
14 T subjects in these two studies. Adverse  
15 events listed here occurred more often in  
16 sipuleucel-T arms compared to placebo,  
17 including chills, pyrexia, headache, and  
18 others as listed in this table.

19 Now, I'll turn to the CVA events.  
20 As you saw previously, it appears that more  
21 CVA events were observed in sipuleucel-T  
22 subjects than in the placebo. The sponsor

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1 subsequently updated CVA safety information,  
2 which included D9902B, 198 subjects in  
3 sipuleucel-T, and 96 subjects in placebo.  
4 D9902B is another Phase III study with  
5 similar patient population as D9901 and  
6 D9902A. Ongoing, study is still blinded.  
7 Also updated information for CVA included  
8 116 subjects of sipuleucel-T, and 59  
9 placebo. In another Phase III study, P-11,  
10 which closed to enrollment with a different  
11 patient population which was androgen-  
12 dependent prostate cancer, gave rise to a  
13 total of subject number for the CVA summary  
14 of 461 for sipuleucel-T, and 231 for  
15 placebo.

16 For all subjects from these four  
17 randomized trials, the rate of CVA was 3.9  
18 percent in sipuleucel-T compared to 0.6  
19 percent in placebo, odds ratio 1.52. The  
20 deaths attributed to CVA was 1.5 percent in  
21 sipuleucel-T compared to 0.9 percent, odds  
22 ratio of 1.76. In the proposed indication

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1 for intended population, androgen-  
2 independent prostate cancer, the CVA rate  
3 was 4.9 percent in sipuleucel-T compared to  
4 1.7 percent in placebo. The deaths  
5 attributed to CVA in sipuleucel-T arm was  
6 2.0 percent compared to 1.2 percent, the  
7 odds ratio 1.76. In P-11, the different  
8 patient population, ADPC, the CVA rate  
9 increase went to the other direction, higher  
10 in the placebo arm. Percentage was 5.1  
11 percent compared to 0.9 percent in  
12 sipuleucel-T. And no deaths were  
13 attributable to CVA in P-11. So overall in  
14 these four Phase III trials, a higher  
15 percentage of CVA event was observed in  
16 subjects who received sipuleucel-T, 1.3  
17 percent more than the placebo.

18 To conclude on safety, almost all  
19 sipuleucel-T subjects developed adverse  
20 events, not different from placebo. Most  
21 AEs were Grade I or II, and resolved within  
22 48 hours. Twenty-four percent sipuleucel-T

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1 subjects developed serious adverse events  
2 not different from 23 percent of placebo-  
3 treated subjects. Although the difference  
4 did not reach statistical significance, the  
5 increased CVA events observed in sipuleucel-  
6 T subjects is a potential safety signal.

7 To conclude on efficacy, neither  
8 studies of D9901 and D9902A met pre-  
9 specified efficacy endpoint. However,  
10 survival analysis revealed a 4.5-month  
11 overall survival difference, statistically  
12 significant in D9901, and a 3.3-month  
13 overall survival difference in D9902A, which  
14 was not statistically significant. This  
15 slide shows the advantage of using overall  
16 survival in cancer clinical trials as  
17 contained in the FDA draft guidance document  
18 entitled Clinical Trial Endpoints for the  
19 Approval of Cancer Drugs in Biologics.  
20 Overall survival is the most reliable cancer  
21 endpoint, usually the preferred endpoint,  
22 and studies can be conducted to adequately

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1 assess it. An improvement in survival is a  
2 clinical benefit. The endpoint is precise  
3 and easy to measure, document by the date of  
4 death. Bias is not a factor in endpoint  
5 measurement. Demonstration of a statistical  
6 significant improvement in overall survival  
7 has supported new drug approvals.

8 Now, let's look at overall  
9 survival difference in D9901. This 4.5-  
10 month median survival difference is  
11 clinically meaningful, but it has the  
12 following limitations, as Dr. Bo-Guang Zhen  
13 will discuss in detail in his presentation.  
14 First, post hoc analysis. All survival  
15 analysis were done post hoc, because  
16 survival was not the pre-specified endpoint,  
17 the primary method for survival analysis,  
18 and its comparison was not pre-specified.  
19 Second, it's one study with a small sample  
20 size, so the difference could be due to  
21 chance alone. Therefore, uncertainties  
22 exist regarding the persuasiveness of the

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1 survival results in the support of  
2 sipuleucel-T BLA efficacy claim, and that's  
3 the reason why we're all here to discuss  
4 these issues today, and FDA would like to  
5 seek advice from the advisory committee.  
6 Now I turn the podium to Dr. Bo-Guang Zhen,  
7 who is going to discuss the overall survival  
8 difference from statistical perspective.

9 DR. MULÉ: Thanks, Dr. Liu.

10 DR. ZHEN: Good morning. My  
11 name's Bo Zhen. I'm a statistical reviewer  
12 for FDA. I'm going to present statistical  
13 review and findings. First, I will give a  
14 quick review on efficacy results, and then  
15 bring up the issues in survival analysis,  
16 and the limitations of using post hoc  
17 analysis results. Then I will describe the  
18 challenges we are facing for this BLA from  
19 statistical standpoint.

20 Here is the quick review. Data  
21 from two Phase III studies were submitted to  
22 support license application. I call them

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1 Study 1 and Study 2. Both studies failed to  
2 meet the primary endpoint, and also failed  
3 to demonstrate statistical significance for  
4 other pre-specified endpoints. The key  
5 efficacy evidence was based on the  
6 difference in overall survival between the  
7 two arms. So the focus of this talk will be  
8 on survival.

9 Here is the review for survival  
10 analysis. The sample size is relatively  
11 small for Study 1 and Study 2. And the  
12 differences in median survival between the  
13 two arms is 4.5 months for Study 1, and 3.3  
14 months for Study 2. However, there are  
15 higher levels of variation. As you can see  
16 there, the confidence interval for median  
17 survival between the two arms, they are  
18 overlapped. And the lower bounds of the  
19 confidence interval for hazard ratio is  
20 1.13, which is quite close to 1. One means  
21 there's no difference between the two  
22 groups. And also the survival experience

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1       between the two studies are quite different.  
2       The placebo patients, the median survival in  
3       Study 1 is 21.4 months, compared to the  
4       treated patients, the median survival in  
5       treated patients in Study 2. This  
6       difference could be due to the difference in  
7       baseline characteristics between the two  
8       studies, and also could be due to the  
9       variation, because the sample size is  
10      relatively smaller for both studies.

11               This slide shows some of the  
12      sensitivity analysis for Study 1. P equals  
13      0.01 from log rank test. And this p-value  
14      reduced to 0.002 using the Cox regression  
15      model after adjusting for a set of  
16      covariates. However, there are so many ways  
17      to use Cox regression model. You can select  
18      different sets of covariates. You can also  
19      pick different scale for a covariate. For  
20      example, in the way you use the original  
21      scale and use the log scale for PSA and the  
22      power points for bone metastases. As you

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1 can see there, different models. Using  
2 different models can come up with different  
3 hazard ratios and p-values. This one you  
4 get a p-value, it's 0.002, which could be in  
5 one of the best case scenario. And this  
6 one, you've got p-value of 0.078, which is  
7 not statistically significant. That could  
8 be in one of the worst case scenario. And  
9 this one is 0.048. The other critical  
10 issues in using Cox model is excluding  
11 patients from the model because of missing  
12 covariate data. For this model, 10 patients  
13 were excluded. And the next slide will show  
14 you how bias can be introduced by excluding  
15 patients from the model.

16 This slide shows that sipuleucel-  
17 T treated patients who were excluded from  
18 the model had a median survival of 19.4  
19 compared to the rest of the treated patients  
20 in the model. And in contrast, placebo-  
21 treated patients excluded from the model had  
22 median survival is 22.1 months compared to

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1 the rest of the placebo-treated patients.  
2 This is how bias could make the p-value look  
3 smaller, and also make the treatment effect  
4 looks much better than what it should be.

5 Here is the summary for Study 1.  
6 Exclusion of patients due to missing  
7 covariate data could lead to biased  
8 estimate. This bias could be in either  
9 direction, which means you could increase  
10 the treatment effect, or decrease the method  
11 of the treatment effect. Although p-values  
12 for treatment effect were greater than 0.05  
13 in a few sensitivity analyses, the majority  
14 of the sensitivity analyses result in a p-  
15 value of less than 0.05. So the sensitivity  
16 analyses supported the statistically  
17 significant findings for overall survival  
18 for Study 1. However, I used quotation  
19 marks here. Means the so-called statistical  
20 significance have the p-value less than 0.05  
21 without adjustment for multiple comparisons.  
22 I will have more discussions for these

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1 later.

2 And for Study 2, p equals 0.331  
3 based on log rank test. Also excluding  
4 patients in Cox model could also lead to  
5 biased estimate. Hypothesis test for  
6 treatment effect in Cox model resulted in a  
7 p-value range from 0.023 to 0.642. However,  
8 in most analyses, p is greater than 0.05, so  
9 the sensitivity analysis did not support the  
10 statistically significant findings for Study  
11 2. I also used quotation marks here. This  
12 graph summarizes the efficacy survival  
13 results. Some of you would like to look at  
14 the scale on the log scale. But I used the  
15 informatic scale just in order to be  
16 consistent with the other presentations.

17 So the sensitivity analysis  
18 support the statistically significant  
19 findings for Study 1, but not for Study 2.  
20 So it seems the difference in Study 1 is  
21 real. However, is this difference  
22 statistically significant? In other words,

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1 is this difference due to the treatment  
2 effect, or by chance alone. There are some  
3 issues here for these kinds of analysis.  
4 Here's the issues in survival analysis.  
5 Overall survival as an endpoint was not  
6 defined in either study protocol. A  
7 statistical analysis method for the primary  
8 comparisons in overall survival was not pre-  
9 specified. Because of these two reasons, so  
10 the alpha level, which means the probability  
11 of making a false positive claim for  
12 treatment effect was not allocated to the  
13 primary test for overall survival. We call  
14 this as post hoc analysis. And the post hoc  
15 analysis make it difficult to interpret the  
16 hypothesis test result.

17 To know the limitations of post  
18 hoc analysis, first of all we should know  
19 what is a well pre-specified analysis. For  
20 this type of analysis it is very essential  
21 to, number one, define endpoint clearly,  
22 describe statistical analysis methods, and,

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1 if it's more than one method, state which  
2 one would be used for primary comparison,  
3 and set the alpha level, which in general is  
4 0.05 level. These are also called  
5 statistical significance level sometimes.  
6 And allocate the alpha level to each test if  
7 multiplicity adjustment is needed. Then one  
8 is able to say the difference is  
9 statistically significant or not based on  
10 the p-value from the primary comparisons.  
11 Otherwise, it is difficult to interpret the  
12 p-values.

13           And this slide has nothing to do  
14 with the submission, but it's very important  
15 for statistical concepts. I use  
16 hypothetical cases just to show the  
17 interpretation of p-value in studies with  
18 pre-specified analysis. Just hopefully,  
19 through these hypothetical cases, you  
20 understand how difficult to interpret the p-  
21 value from post hoc analysis. Three  
22 different designs are presented here. Trial

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1 1, there's only one primary endpoint here,  
2 but three primary comparisons, two for  
3 interim, and one for final. In order to  
4 control the alpha level, that's the  
5 probability of making a false positive claim  
6 for treatment effect. At the 0.05 level, we  
7 need to split this level into several parts.  
8 This is one of the ways to split the level.  
9 If this is the p-value you obtained from the  
10 hypothesis test, they are now statistically  
11 significant, although you can see this one  
12 is 0.01, because it is greater than the  
13 corresponding values. And Trial B and C  
14 have two primary endpoints, one primary  
15 comparisons for each endpoint, and this is  
16 the way how they split the alpha level. If  
17 this is the p-value you get from the  
18 hypothesis test, this trial is also not  
19 statistically significant. So therefore, if  
20 you want to control the probability of  
21 making a false positive claim for treatment  
22 effect under this level, 0.05 level. So all

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1 these trials should be considered failure.

2 So from the previous slide we  
3 show that obtaining a p-value of 0.01 or  
4 less than 0.05 may not always be considered  
5 statistically significant in the well pre-  
6 specified analysis. When a study fails to  
7 meet its primary endpoints, there's no alpha  
8 left for other endpoints analysis. So  
9 literally, means from pure statistical point  
10 of view, the difference in other endpoints  
11 should not be considered statistically  
12 significant. Therefore, it is very  
13 difficult to interpret the hypothesis test  
14 result for overall survival in Study 1.

15 Because in post hoc analysis, one  
16 could keep conducting hypothesis tests for  
17 treatment effect on different endpoints and  
18 - or on the same endpoint using different  
19 analyses methods. Just as I show you the  
20 Cox regression model for Study 1, different  
21 methods, you would come up with different p-  
22 values and hazard ratio. Then one - it's

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1 very easy to obtain a so-called  
2 statistically significant result, even when  
3 there's no treatment effect. So if overall  
4 survival is one of the many unspecified  
5 endpoints, under testing it is very possible  
6 that a p-value of 0.01 was observed just by  
7 chance. However, survival is not one of the  
8 many, many endpoints that can be randomly  
9 selected for testing. Survival is a  
10 preferred endpoint for cancer trial. As  
11 Dendreon and Dr. Liu just mentioned, this  
12 endpoint is reliable, clinically meaningful.  
13 This is why we are here seeking advice from  
14 the advisory committee meeting.

15 But here's the changes in  
16 survival analysis. Since the analysis was  
17 based on post hoc analysis. So it's  
18 difficult to interpret the p-value. Here's  
19 0.01 for Study 1. Even someone can make a  
20 judgment, this 0.01 is statistically  
21 significant. But that statistical  
22 significance only demonstrate in Study 1,

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1       though there's a trend for Study 2. And the  
2       lower bound of 95 percent confidence  
3       interval for hazard ratio is 1.13, quite  
4       close to 1, so these results also may not be  
5       that robust. That's the end of my talk.  
6       Thank you.

7                   DR. MULÉ: Thanks, Dr. Zhen.  
8       Okay, we'll open the floor up for questions  
9       from the committee. And again, I just want  
10      you to be cognizant that the questions may  
11      come up this afternoon again. So why don't  
12      we proceed and see what we have.

13                  DR. HUSSAIN: This is a question  
14      not so much on the presentations, but to the  
15      FDA based on the documents you provided us.  
16      When I looked at the timelines and the  
17      discussions and the summaries of these  
18      discussions and agreements between the FDA  
19      and the sponsor, one is left with the  
20      impression that the FDA did agree to a  
21      progression - sort of time-to-progression  
22      endpoint for a possible registration trial.

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1 Is that accurate?

2 And if that's the case, in  
3 another committee that I'm part of, ODAC, it  
4 was clearly made by several FDA  
5 representatives that in the - the  
6 progression-free survival will be only  
7 accepted in lieu of survival if somehow it  
8 was proven in that disease entity as being  
9 predictive. And there are some members  
10 sitting in the back; they can confirm if I'm  
11 misquoting. And that it's my understanding  
12 since in prostate cancer progression-free  
13 survival or time-to-progression have never  
14 been proven to be predictive of survival,  
15 that generally this would not be accepted  
16 for the purpose of registration. Can you  
17 clarify that for us, please?

18 DR. WITTEN: I can't comment on  
19 what we would or wouldn't accept in general,  
20 and I do want to point out a couple of  
21 things, and one is some of these trials are  
22 developed as the discussions take place, and

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1 then there are subsequent, you know,  
2 scientific information and discussions that,  
3 you know, that might inform the development.  
4 But if we have an ongoing trial, we, you  
5 know, we may have developed that trial prior  
6 to those discussions. We do participate in  
7 the endpoint development program with ODAC.  
8 We have representatives there, and so we're  
9 - you know, we do keep in mind what those,  
10 you know, what those discussions are.

11 DR. HUSSAIN: Yes, I can't help  
12 but feel that there is an inconsistency in  
13 the FDA position on what would be or would  
14 not be accepted for a registration purpose.  
15 So here we heard that survival is an  
16 endpoint that is accepted. That's not an  
17 issue. That's not a problem. In my two  
18 years on ODAC, I am left with the impression  
19 that, in a disease where there's never been  
20 surrogacy demonstrated, a progression-free  
21 survival will not be accepted, or time-to-  
22 progression is not accepted. So my question

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1 goes back to 1999 and thereafter, the  
2 conversations. Why would, say, the CBER I  
3 guess accept it, but not CDER accepts it.  
4 That's my request for clarification.

5 DR. WITTEN: Well, maybe I didn't  
6 explain it clearly, but we do collaborate  
7 with the Center for Drugs in these  
8 discussions about endpoints. But when there  
9 are studies, they may be developed prior to  
10 discussions, and so you have to look at the  
11 study development based on where the science  
12 is, where the field is, and, you know, the  
13 FDA also, when they design trials, they have  
14 to do it based on what the information is at  
15 that time. So there may be subsequent  
16 discussions that would affect studies, you  
17 know, future studies in that area, but you  
18 don't go back, you know, I don't think  
19 anywhere in FDA that you then go back in  
20 general and look at all the studies you have  
21 ongoing and ask sponsors to redesign those  
22 trials. So I think that's, you know, that's

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1 true here. That's true in other  
2 indications. That's true elsewhere. And,  
3 you know, I think in this case, you know,  
4 what we really are focusing on now is, is  
5 survival, which I think is not disputable as  
6 something that, you know, should be looked  
7 at in one of these trials, or would be  
8 desirable to look at in one of these trials.

9 DR. MULÉ: Howard?

10 DR. SCHER: So I guess there's no  
11 argument that overall survival is a  
12 definitive endpoint, and that's what we're  
13 all seeking to achieve with our treatments.  
14 And the question I guess we're being faced  
15 with is, how do we estimate what the  
16 probability of this being an incorrect or  
17 false positive conclusion is. And I was  
18 wondering if the statisticians might comment  
19 on that to some degree.

20 DR. ZHEN: Well, my comment is I  
21 don't have any way to estimate the  
22 probability of making false positive claim

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1 for the treatment effect, which means the  
2 Type 1 error rate. We don't know with this  
3 study. I don't see any methods to estimate.  
4 There's the use of the alpha level for the  
5 primary endpoint. That's it.

6 DR. MULÉ: Kurt?

7 DR. GUNTER: Thank you very much.  
8 I'm not a biostatistician, but I understand  
9 that survival, overall survival is a gold  
10 standard endpoint. I wonder if the - you  
11 could comment on the use of the log rank  
12 test. I see that used a lot in survival  
13 analysis. Is that a standard way - would  
14 that be considered a gold standard test for  
15 estimating survival?

16 DR. ZHEN: I'm not sure I can  
17 think log rank test is a gold standard way  
18 for survival. I can see many studies that  
19 use log rank test. But also there are some  
20 studies also use Cox regression models too,  
21 and there's also pros and cons between these  
22 two methods. But for these type of data

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1 sets I would prefer - for the post hoc  
2 analysis, I would prefer to look at the  
3 values from log rank test, because if you  
4 use models, you could end up with excluding  
5 some of the patients due to the missing  
6 information for covariate data sets. That  
7 could introduce a lot of bias there.

8 DR. MULÉ: Maha?

9 DR. HUSSAIN: This is a question  
10 perhaps for Dr. Chappell and Dr. Zhen, but  
11 Dr. Zhen first. If - so the sponsor  
12 presented how changes in a couple of  
13 patients brought the p-value down to 0.052,  
14 and I understand the FDA position about not  
15 accepting that. And supposing there was a  
16 third patient, and that p-value came down  
17 smack into 0.045. Does that mean if a  
18 survival - in that setting, if the survival  
19 was not a primary or secondary endpoint, and  
20 their primary endpoint hit the p-value that  
21 was unequivocally positive, would we still  
22 be here? Do you understand what I'm trying

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1 to say here?

2 DR. WITTEN: Can I answer that?

3 DR. HUSSAIN: Please.

4 DR. WITTEN: Because I'm not sure  
5 it's a statistical question versus, you  
6 know, just a general FDA question. And I'll  
7 just say it's a little bit hard to answer  
8 hypothetical questions like that. You know,  
9 we're given the application based on  
10 survival. We think there's no question that  
11 this application shows that the study failed  
12 in terms of time-to-progression. And so  
13 what we would do if the study had shown  
14 something else, I don't think we really can  
15 answer that. I think we, you know, we  
16 really want to focus on what did the study  
17 results as demonstrated in this study mean.

18 DR. HUSSAIN: I still think it's  
19 statistical, but I'm going to accept your  
20 answer. Because you went through the whole  
21 trouble of explaining why is it if your p-  
22 value was not significant for your primary

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1 endpoint, why the rest of it doesn't flow,  
2 but I will accept that.

3 I guess my question is this.  
4 It's my understanding from colleagues within  
5 the Southwest Oncology Group, biostatistical  
6 colleagues, that in - there had been at  
7 least literature or exercises in terms of  
8 simulations driven by different sample sizes  
9 and estimates of error rates based on the  
10 sample size. Can anyone from the  
11 biostatistical group here comment about that  
12 by any chance? Because it goes to the heart  
13 of the sample size in this case. That a  
14 trial with a lower sample size, you have a  
15 higher chance of potential error as opposed  
16 to a 700-patient trial.

17 DR. ZHEN: I can just have like a  
18 general comments. That's true, if you have  
19 a very small sample size, the variation is  
20 large, and there's always raise the issues  
21 that when you see something different, it's  
22 difference due to treatment effect or due to

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1 just by chance alone. There's always issues  
2 there, unless you have like a large sample  
3 size to stabilize everything. That's one  
4 issue is sample size, small sample size.  
5 But the other things also important is the  
6 alpha level. When you use up all the alpha  
7 level, and then there's no alpha level left,  
8 you apparently just compare to zero. So it  
9 becomes difficult to interpret that kind of  
10 results, too.

11 DR. CHAPPELL: I agree with Dr.  
12 Zhen, and would rephrase that there's  
13 various issues. One, bias has been  
14 mentioned, but if one avoids dropping  
15 missing data and the randomization will  
16 eliminate the bias, so I'm not so worried  
17 about that. Another is the test used, but  
18 log rank, if not the gold standard, is the  
19 most common. And the third, as Dr. Zhen  
20 eloquently put it, is the division of the  
21 alpha, which an informal way of describing  
22 that is worrying about fishing, a fishing

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1 expedition after the analysis has been done.  
2 We're not so worried about what will be done  
3 if you specify the protocol, but picking  
4 what has been done afterwards, and  
5 statisticians have no way of adjusting for  
6 all the multiple possibilities of what might  
7 have happened.

8 DR. MULÉ: Doris?

9 DR. TAYLOR: I'm trying to -  
10 excuse me. Trying to speak. I'm trying to  
11 understand what the likelihood is of  
12 underestimating or incorrectly estimating  
13 the relationship between active treatment  
14 and cerebral vascular accidents. And then  
15 you didn't mention anything about the  
16 temporal relationship trend between active  
17 treatment and those accidents. Is there  
18 anything that we can understand from those  
19 data that is statistically meaningful?

20 DR. LIU: You were asking about  
21 the onset of CVAs after the product  
22 administration in each of the two arms.

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1       Actually, I think the sponsor may have the  
2       better answer for that.  They did - yes.

3                   DR. TAYLOR:  I guess the  
4       statistical part of my question is, the data  
5       we saw earlier this morning, we were told  
6       there was no good evidence for a statistical  
7       relationship between an increased risk for  
8       cerebral vascular accidents and the active  
9       treatment.  And I guess I'm asking for your  
10      interpretation of that.  Do you concur with  
11      that assessment?

12                   DR. BRAUN:  I'd just like to  
13      address - my name's Miles Braun with the  
14      Division of Epidemiology at CBER.  And one  
15      needs to realize that, as we were  
16      discussing, there is one primary outcome  
17      that was specified in the study, and Dr.  
18      Zhen spoke very well about the statistical  
19      aspects of that.  Once one enters into the  
20      multiplicity of adverse events which are  
21      almost infinite that can occur, the concept  
22      of asking to assess the statistics I think

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1 is very challenging, and a lot of the  
2 certainty that's associated with specifying  
3 primary endpoints falls away. And so to  
4 some extent, I think one is left with a  
5 clinical kind of assessment, and a lot of  
6 judgment needs to be used. And I think  
7 time-to-onset is certainly one that we use  
8 in biological plausibility, but I think it  
9 becomes, except in exceptional  
10 circumstances, not necessarily a statistical  
11 issue. Thank you.

12 DR. MULÉ: Bill?

13 DR. TOMFORD: Thank you. I've  
14 heard it said twice that if a difference was  
15 noted at 10 or 11 months, that we wouldn't  
16 be here. So I'll turn that around and ask,  
17 at 36 months, was this trial continued at  
18 the request of the FDA? How does the FDA  
19 deal with a situation where when the trial  
20 is continued on a difference or possible  
21 difference is noted at 36 months, is that  
22 built into, obviously not a predetermined

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1 point, but built into all trials? Or how  
2 did that happen?

3 DR. WITTEN: I'm not sure I  
4 understand your question, but can I answer -  
5 rephrase it and answer it? So, the trial  
6 was designed as to follow the subjects for  
7 36 months or until death. And I think that,  
8 you know, the majority of the patients had,  
9 except for 30 percent, as you say, in the  
10 treatment arm and 10 percent in the control  
11 arm had reached the mortality endpoint at  
12 that time. There was some additional  
13 information that I think was provided the  
14 sponsor, but not on a formally planned way  
15 on later death events. So the 36-months  
16 follow-up for mortality, I think, is what we  
17 can you know rely on in terms of having  
18 information that's comparative between the  
19 two arms. Does that answer your question?

20 DR. TOMFORD: Yes, thank you.

21 DR. WITTEN: Okay.

22 DR. MULÉ: Franco?

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1 DR. MARINCOLA: Maybe it's a  
2 naive question, but I'm somewhat bothered by  
3 the - some of the p-values that have been  
4 presented. The first study showed a  
5 significance of 0.01. The second study was  
6 not significant, although there was a trend  
7 to improve survival, but the rationalization  
8 is because it was under-powered. But then  
9 when you put the two studies together you  
10 would expect in that case, and naive it may  
11 be since I'm not a statistician, that the p-  
12 value would get better, but in fact it's  
13 worse, 0.011 using the same method. Can  
14 somebody explain to me what the implication  
15 is that and the reason for it? Why wouldn't  
16 it get better if it was just a matter of  
17 numbers?

18 DR. ZHEN: One explanation is,  
19 when you look at the median survival, the  
20 survival experience is quite different  
21 between the two studies. Okay, you can see  
22 the placebo, the median survival for the

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1 placebo is 31. It's better than the treated  
2 patients in Study 2. That's one reason when  
3 you combine together they did not add  
4 anything. And the 0.01 and 0.011 I would  
5 think pretty much the same.

6 DR. MARINCOLA: So what's the  
7 implication for interpretation of the  
8 overall experience? What is the  
9 interpretation?

10 DR. ZHEN: Well, there's two ways  
11 to explain that. One would be just a  
12 baseline characteristic difference. There  
13 are some baseline characteristic difference  
14 or some unknown prognostic factors, they are  
15 different, if there is a treatment effect  
16 there. The other explanation is because  
17 sample size relatively small. That could be  
18 due to the variations, which is also make us  
19 think - whether that difference is because  
20 the variations or is the treatment effect.

21 DR. MULÉ: Matthew?

22 DR. CHAPPELL: Sample sizes of

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1 that size, that small magnitude I would say  
2 it's less surprising than expected.

3 DR. ALLEN: I have a question  
4 that's about statistical design. This is  
5 purely for informational purposes for myself  
6 and educational purposes, but if one was to  
7 design a study now so I understand that when  
8 one designs a study and looks at power of  
9 the study, the variables there are important  
10 things. Basically the natural progression  
11 of this disease, the fact that it's fairly  
12 variable. In 1998-1999 the assumption was  
13 made the disease would have a median  
14 survival of X, and now it's actually Y in  
15 this study group. If one was now going to  
16 ask a potential sponsor of a new agent to  
17 design a study that would demonstrate as a  
18 primary endpoint survival, how many patients  
19 would need to be treated in order to  
20 demonstrate statistical significance to the  
21 happiness and satisfaction of the FDA, and  
22 how long would it take to enroll such a

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1 study?

2 DR. ZHEN: Well, this also  
3 depends on what is the delta. What is the  
4 treatment effect you believe, okay? If you  
5 believe the -

6 DR. ALLEN: Let me just - let me  
7 put it this way. What about demonstrating  
8 that something, any new agent is better than  
9 docetaxel?

10 DR. ZHEN: Okay.

11 DR. ALLEN: 2.4 months.  
12 Something that's better than 2.4 months to  
13 give patients who need this therapy some  
14 improvement in length of life.

15 (Applause)

16 DR. ZHEN: And if you say 2.4  
17 months -- I don't think I have a calculator  
18 here, but it could require like at least  
19 more than 500 patients is my rough estimate.

20 DR. ALLEN: I guess that was my  
21 concept. Okay, thank you.

22 DR. DRANOFF: I may have missed

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1 this, but the Phase III study that's ongoing  
2 now, what are the primary endpoints and the  
3 statistical analysis for that?

4 DR. LIU: You are asking FDA or  
5 sponsor?

6 DR. DRANOFF: Either one. It  
7 just seems appropriate at this time to know.

8 DR. WITTEN: I think we would  
9 defer to the sponsor to provide any  
10 information on that study that the advisory  
11 committee was interested in.

12 DR. MULÉ: We're speaking about  
13 the 9902B, is that correct?

14 DR. FROHLICH: The primary  
15 endpoint of Study 3 is overall survival.  
16 Secondary endpoint is time-to-disease-  
17 progression. It has 80 percent power to  
18 detect a hazard ratio of 1.45.

19 DR. DRANOFF: How large is the  
20 trial?

21 MS. DAPOLITO: Please use your  
22 microphone.

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1 DR. FROHLICH: It's an event-  
2 driven analysis for 360 death events. We  
3 anticipate roughly 500 patients to achieve  
4 that. The primary method of analysis was -  
5 is presently a Cox regression model.

6 DR. SCHER: Just a question to  
7 the agency statistician, Dr. Zhen. You  
8 mentioned having a pre-specified survival  
9 analysis plan. So if the sponsor has to  
10 design a trial with a TTP endpoint and then  
11 does not meet that endpoint, it seems - was  
12 there some agreement on the 36-month as an  
13 endpoint, or is there still an opportunity  
14 to pre-specify a survival analysis plan? Or  
15 is it all done on completion of the trial?  
16 I mean, is there any opportunity to sort of  
17 I won't say salvage, but salvage the study  
18 as you look for longer follow-up and see if,  
19 in fact, you do impact on survival.

20 DR. ZHEN: I think from pure  
21 statistical point of view there's no chance  
22 to justify this. However, I think that

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1 because overall survival is such an  
2 important endpoint it does - one can just  
3 use your judgment. It's difficult to  
4 quantify the level of the false claim  
5 positive treatment effect. It's very  
6 difficult.

7 DR. MULÉ: Okay, I think for the  
8 sake of time we'll move ahead to the open  
9 public forum. And each speaker will be  
10 allowed three and a half minutes. You can  
11 use any of the microphones in the room,  
12 including the podium, particularly if you  
13 have papers and a need to read. So I'll  
14 begin by reading the following from the FDA,  
15 which is the open public hearing  
16 announcement for particular matters meeting,  
17 for example product-specific.

18 Both the Food and Drug  
19 Administration, FDA, and the public believe  
20 in a transparent process for information-  
21 gathering and decision-making. To ensure  
22 such transparency at the open public hearing

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1 session of the advisory committee meeting,  
2 FDA believes that it is important to  
3 understand the context of an individual's  
4 presentation. For this reason, FDA  
5 encourages you, the open public hearing  
6 speaker, at the beginning of your written or  
7 oral statement to advise the committee of  
8 any financial relationship that you may have  
9 with the sponsor, its product, or if known,  
10 its direct competitors. For example, this  
11 financial information may include the  
12 sponsor's payment of your travel, lodging,  
13 or other expenses in connection with your  
14 attendance at the meeting. Likewise, FDA  
15 encourages you at the beginning of your  
16 statement to advise the committee if you did  
17 not have any such financial relationships.  
18 If you choose not to address this issue of  
19 financial relationships at the beginning of  
20 your statement, it will not preclude you  
21 from speaking. So the first speaker is Jim  
22 Kiefert.

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1 DR. KIEFERT: Mr. Chairman,  
2 committee members and active participants, I  
3 really value the opportunity to be here. My  
4 name is Jim Kiefert. I'm a 17-year and a  
5 half survivor of prostate cancer and I'm  
6 here to make the point that we need more  
7 options for treatment for men with prostate  
8 cancer.

9 I was diagnosed in 1989 with a  
10 PSA of 39. I was 50 years old. I did my  
11 surgery, I did my radiation, and when it  
12 failed my doctor looked at me and said, 'You  
13 better get your life in order because you  
14 might have one to three years.' That was 17  
15 and a half years ago. Right now, we need  
16 options.

17 I spent most of my career as an  
18 educator. I have a doctorate in education.  
19 I was a school administrator, university  
20 professor and now I've turned my energies to  
21 working with Us TOO, International. Us TOO,  
22 International is the largest prostate cancer

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1 education and support organization in the  
2 world. We're made up of thousands of  
3 volunteers, 325 chapters throughout the  
4 United States and many throughout other  
5 countries. We're a non-profit organization.  
6 Our commitment is to have - to communicate  
7 timely and reliable information enabling  
8 informed choices regarding detection and  
9 treatment of prostate cancer. We need more  
10 options for the men with advanced prostate  
11 cancer. I manage a support group in  
12 Olympia, Washington. I have a number of men  
13 who have advanced prostate cancer, and they  
14 are pleading for something other than the  
15 one drug that's been approved in the last 30  
16 years that will extend survival, and that's  
17 chemotherapy.

18           Us TOO meets with people with  
19 prostate cancer through our chapter  
20 meetings. We have a website that gets  
21 approximately 325,000 hits a month. Men  
22 trying to get information about prostate

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1 cancer diagnosis and treatment. We're  
2 getting more and more people attending our  
3 meetings. We send out 20,000 hot sheets  
4 every month to all of our chapters. We're  
5 trying to get men informed so they can make  
6 informed decisions about their treatments.  
7 We also encourage men to be involved in  
8 clinical trials, which is not an easy task,  
9 as most of you know.

10 I talk to men on a daily basis  
11 about prostate cancer. They call me, scared  
12 to death, when they're diagnosed and then  
13 they call me really scared to death when  
14 they become androgen-independent. That is  
15 the scariest time of any man's life when he  
16 has prostate cancer because the only option  
17 available to them is to go through a  
18 chemotherapy regime. We found out in a  
19 survey of our members that only 52 percent  
20 of the men with advanced prostate cancer  
21 would even consider chemotherapy. Sixty-  
22 four percent of them said the adverse effect

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1 on their quality-of-life was too great for  
2 them to consider that kind of a treatment.  
3 I have a handout for you that'll be coming  
4 around with some statements from the men who  
5 were in our survey. They said, "I'm  
6 concerned about the limited options that I  
7 have." "I would like some long-term, not  
8 just short-term treatments." "I want to  
9 enjoy life for a little while." They see  
10 their end of life getting very close to  
11 them. "I don't believe that any of the  
12 options will improve the quality of my  
13 life," and many of them say things like, I  
14 would just as soon take pain pills and die  
15 of my disease than to take a treatment that  
16 has such adverse effects on them.

17 I had the privilege of meeting  
18 some of the men that were in the Provenge  
19 study. They came to our support group. And  
20 when they started telling us about the  
21 minimal side effects of their treatment, the  
22 guys in my group stood up and applauded.

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1 They said we finally have something that is  
2 a treatment that's not such an assault on  
3 our masculinity. Prostate cancer is a  
4 family disease. It affects my wife, my  
5 children, my grandchildren and it seems to  
6 last a while for some of us, fortunately.

7 My urge to you is that we need  
8 options. I've said it twice. There's a  
9 group called A Voice for Cancer. We are  
10 trying to get our word out that we need  
11 options. Men are begging for anything else  
12 that they can do to save their life and have  
13 some quality-of-life. Thank you very much  
14 for your consideration.

15 (Applause)

16 DR. MULÉ: Thank you, Dr.  
17 Kiefert. Dr. Penson?

18 DR. PENSON: Ladies and  
19 gentlemen, members of the panel, good  
20 afternoon. I am Dr. David Penson. I am an  
21 Associate Professor of Urology and  
22 Preventative Medicine at the Keck School of

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1 Medicine, University of Southern California,  
2 in Los Angeles, California. As per FDA  
3 policy, I'd like to make a few disclosures.  
4 I am a site investigator for Dendreon's  
5 9902B study. That means my institution  
6 receives research support, but it also means  
7 I have firsthand experience with this agent.  
8 I do have a consulting agreement with  
9 Dendreon. However, neither I nor any member  
10 of my family has any financial position,  
11 stock or otherwise, with the company. Those  
12 statements aside, I come to you today as an  
13 independent clinician scientist. I am not  
14 receiving any support from Dendreon. They  
15 have not paid for my lodging, they are not  
16 providing me with an honorarium, and  
17 importantly, I have not discussed my  
18 testimony with anyone from the company, any  
19 employees. As they say, I've come to you on  
20 my own dime.

21 I do not come to you today as a  
22 clinician who treats prostate cancer

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1 patients. I am, but you already have those  
2 people on your committee. Rather, I come to  
3 you today as a health services researcher  
4 with a Master's in Public Health and a  
5 research expertise in quality-of-life in  
6 prostate cancer. I am well-published in  
7 this area and I am the principal  
8 investigator of an NCI-funded study  
9 examining long-term quality-of-life outcomes  
10 in prostate cancer.

11 With that stated, I want to start  
12 by saying that I firmly believe that  
13 Provenge is effective and will extend life  
14 in androgen-independent prostate cancer,  
15 based on the clinical trial data showed  
16 today. However, that is not my decision to  
17 make, it is yours and ultimately the FDA's.  
18 What my goal is today is to provide you with  
19 additional information to help in your  
20 deliberations. I want to make two points to  
21 you today. The first is that I believe that  
22 there is a quality-of-life advantage to

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1 Provenge over existing therapies, and the  
2 second is, I want to remind you that your  
3 decision today has public health  
4 ramifications beyond what you may think.  
5 Let me address each of those points  
6 individually.

7 First, to quality-of-life. As  
8 was already stated, there is a single FDA-  
9 approved agent which has been shown to  
10 extend life in androgen-independent prostate  
11 cancer. There is no doubt that docetaxel is  
12 effective and is a valuable tool in treating  
13 these patients, but it has been said time  
14 and time again today, the median survival  
15 advantage is roughly two to three months.  
16 As the last speaker alluded to, this is a  
17 difficult drug for patients. The  
18 administration is prolonged, and there are  
19 many side effects that come with it. These  
20 toxicities are significant and often will  
21 require inpatient hospitalization, and this  
22 clearly affects quality-of-life. With this

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1 in mind we have to ask the question is the  
2 modest survival benefit that we get with  
3 docetaxel negated by the potential negative  
4 quality-of-life effect of prolonged  
5 administration and potential toxicity? I am  
6 afraid that the answer to this question is  
7 yes.

8 Now unfortunately, quality-of-  
9 life was not studied in the Provenge trials.  
10 However, as you've seen this morning, the  
11 toxicity profile is clearly quite benign.  
12 This drug allows patients to live their  
13 lives while they are on the drug. It does  
14 not seem to affect quality-of-life in my  
15 opinion. So let me repeat again. It is my  
16 expert opinion that Provenge offers a  
17 considerable quality-of-life advantage over  
18 the existing treatment docetaxel with an  
19 equivalent or possibly better survival  
20 advantage, and I implore the panel to  
21 consider this in you deliberations.

22 My second point concerns the

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1 public health ramifications. I don't need  
2 to tell you that prostate cancer is a  
3 considerable public health burden in this  
4 country. Hundreds of thousands of men are  
5 diagnosed with this disease every year and  
6 tens of thousands of men die of it. As you  
7 know, any delay in approval, assuming this  
8 drug is effective, will likely shorten the  
9 lives of tens of thousands of men with  
10 androgen-independent prostate cancer. The  
11 advocates will drive that point home  
12 shortly.

13 But I want to make a point to  
14 you. There is an additional ramification  
15 here. Delayed approval of this drug will  
16 send the wrong message to the research  
17 community. If you turn this drug down, it  
18 will likely set back the innovative field of  
19 active cellular immunotherapy in cancer  
20 many, many years. So this will not only  
21 affect prostate cancer patients, but it may  
22 have an effect on the larger population of

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1 oncology patients in general. So I do hope  
2 that the panel will consider both of these  
3 points in your deliberations. I am very  
4 confident that you will make the right  
5 choice. Thank you very much for your  
6 attention.

7 DR. MULÉ: Thank you, Dr. Penson.

8 (Applause)

9 DR. MULÉ: Thomas Farrington?

10 MR. FARRINGTON: Good afternoon  
11 panel members and thank you for the  
12 opportunity to present before you today. My  
13 name is Thomas Farrington. I am a 7-year  
14 prostate cancer survivor who has witnessed  
15 the deaths of my father and both  
16 grandfathers from this sinister prostate  
17 cancer disease. I have seen the devastation  
18 of this disease up close and personal for  
19 much of my life, and believe me, it is not a  
20 pretty picture. I have written two books  
21 and founded the Prostate Health Education  
22 Network in efforts to address the African-

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1 American prostate cancer disparity. PHEN is  
2 on a continuing quest to identify treatments  
3 and other strategies to help eliminate these  
4 disparities.

5 I would also like to point out  
6 that with me today is Mr. Lou Delvidio who  
7 is the District Director in Congressman  
8 Albert Wynn's office here. He represents  
9 this district in the U.S. House of  
10 Representatives. I am pleased - Congressman  
11 Wynn also is a cosponsor of legislation that  
12 has now been filed in the U.S. Congress to  
13 designate prostate cancer among African-  
14 American men as an epidemic. He is one of  
15 100 cosponsors of this legislation.

16 As African-Americans, we are in  
17 the midst of a prostate cancer epidemic  
18 within all of our communities, and we need  
19 help now. With a death rate 140 percent  
20 higher than for other men coupled with a  
21 comparable level of suffering and quality-  
22 of-life loss, our need for new and

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1 innovative treatments is desperate and  
2 unparalleled relative to any other type of  
3 cancer in terms of the death rate disparity.

4 PHEN has studied active cellular  
5 immunotherapy. After closely studying these  
6 results, our position is that Provenge  
7 should be approved because of the treatment  
8 advantage it provides when compared to  
9 chemotherapy treatments which are now the  
10 only choices for men with late-stage  
11 prostate cancer. We understand, appreciate,  
12 and respect the challenges before this  
13 committee. However, I cannot stress strong  
14 enough the immediate need for relief from  
15 this disease, a disease that during its  
16 later stages is relentless -- and taken away  
17 our quality-of-life and then our lives. All  
18 prostate cancer survivors live in fear of  
19 cancer recurrence. We also live with hope  
20 that should our cancer reoccur our lives and  
21 the quality of our lives can be saved. This  
22 is our reality, what I refer to as battling

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1 the killer within.

2 Relative to current treatments  
3 available for hormone-refractory metastatic  
4 disease, data shows that treatment with  
5 Provenge allowed patients to maintain a much  
6 higher quality-of-life. If Provenge did not  
7 exhibit a survival benefit at all, the  
8 quality-of-life benefit alone would  
9 represent a tremendous help and improvement  
10 for survivors. However, Provenge clinical  
11 trials show a statistically significant  
12 survival benefit, which represents increased  
13 hope. We ask that the committee understand,  
14 appreciate and respect the real-life needs  
15 of prostate cancer survivors and approve  
16 Provenge to make it immediately available to  
17 help reduce the suffering currently  
18 experienced by men with hormone-refractory  
19 metastatic disease. Would it be a right or  
20 moral decision to deny any prostate cancer  
21 patient faced with the possible end of his  
22 life the relief that Provenge has proven to

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1 provide now? What is the benefit in  
2 waiting?

3           During this deliberation, we also  
4 ask that the committee strongly consider the  
5 urgent needs of the segment of the U.S.  
6 population that is suffering from prostate  
7 cancer at epidemic levels. If the entire  
8 U.S. prostate cancer population was  
9 experiencing a death rate 2.4 times the  
10 current level, would there not be an all-out  
11 urgency to quickly bring to market  
12 treatments that could help reduce suffering  
13 and extend life? This is the critical  
14 condition within black communities today,  
15 and it is real. We are due the same  
16 valuation on our lives and urgency of  
17 action. Most every African-American family  
18 today is facing prostate cancer at some  
19 level, and the fear and suffering is  
20 palpable. We ask that the committee both  
21 understand and accept that another important  
22 reason for approval of Provenge immediately

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1 is because it is needed to help fight the  
2 ravages of an epidemic-level condition in a  
3 segment of our nation's population. Again,  
4 I ask would it be a right or moral decision  
5 to deny addressing an epidemic-level  
6 condition with Provenge, a treatment that  
7 has proven to be safe with the ability to  
8 help reduce suffering now? What is the  
9 benefit in waiting?

10 The prostate cancer survivor  
11 community is excited that active cellular  
12 immunotherapy could eventually provide a  
13 broader range of treatment options to help  
14 us fight this disease and maintain our  
15 quality-of-life. We are prayerful that the  
16 dawn of this new era will be launched with  
17 the immediate approval of Provenge. I  
18 appreciate the committee's consideration of  
19 my comments and thank you for allowing me to  
20 raise a voice on this issue.

21 (Applause)

22 DR. MULÉ: Thank you, Mr.

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1 Farrington. Eduardo Garcia?

2 MR. GIACOMO: My name is George  
3 Giacomo. This is my cousin Eddie, and this  
4 is our grandfather Eduardo Garcia.

5 About six years our grandfather  
6 was diagnosed with prostate cancer. It was  
7 a difficult time for me and my family  
8 because he was the patriarch of our family.  
9 We had always known him to be very energetic  
10 and fun. In fact, at 60 he started his own  
11 business. He enjoyed taking us camping and  
12 to the movies, and for his age he was  
13 extremely active. Shortly after the cancer  
14 spread to his bones, however, he became  
15 listless. He no longer had the energy or  
16 the will to do things he regularly did. He  
17 was often tired and wasn't able to play with  
18 his dogs or take his regular walks. His  
19 illness was keeping him from doing the  
20 things he loved.

21 Doctors offered him few treatment  
22 options, including radiation and chemo.

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1       They warned him about the side effect  
2       profile and the little benefit they may - he  
3       may receive from treatment for his advanced  
4       diseases. My grandfather refused because,  
5       as he put it, he preferred to die with  
6       dignity. Then his doctor mentioned a study  
7       that was being done for an experimental  
8       treatment. We urged him to try it and he  
9       figured he had nothing to lose. Just a few  
10      months after beginning the clinical study  
11      for Provenge, his bone scans showed that the  
12      cancer had stopped growing. After a while,  
13      he started to get some of his energy back.  
14      Even his mood improved. He was able to play  
15      with his dogs again, which you have to  
16      understand is a very important part of his  
17      life. He was able to travel and see his  
18      friends. He was back to doing the things  
19      that he loved to do regularly before the  
20      cancer. As you can imagine, it was a relief  
21      for all of us.

22                                      Before my grandfather took part

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1 in Dendreon's study, we had been preparing  
2 ourselves for the end. This new drug  
3 offered us some hope. We're grateful for it  
4 because Provenge extended his life. Since  
5 taking Provenge he's had the opportunity to  
6 see two grandchildren get married and the  
7 birth of his first great-grandchild. He's  
8 taken multiple trips to Mexico and toured  
9 around Europe. He's even making plans to  
10 open another business. As far as his family  
11 is concerned, we're extremely grateful for  
12 Provenge because it's given us more time  
13 with him. It's allowed him to live a full  
14 life and one with dignity. On behalf of  
15 myself and my family, I'd like to thank the  
16 doctors and scientists who created Provenge,  
17 and we'd like to ask this panel to recommend  
18 to the FDA to approve Provenge so that other  
19 families can have more time with their loved  
20 ones, as we've had with our grandfather.

21 MR. GARCIA: Good morning. My  
22 name is Eduardo Garcia, and I would like to

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1 have a few words why Provenge is important  
2 to me. Since my grandmother passed, I have  
3 been the only one that's lived with my  
4 grandfather. I live with him, same house,  
5 same roof, and through these eight years  
6 that Provenge has given him, it's given me  
7 an opportunity to spend very memorable times  
8 with my grandfather, such as 16, buying the  
9 new car, he was there. Eighteen is the  
10 legal drinking age in Mexico, he was there.

11 (Laughter)

12 MR. GARCIA: And finally, just  
13 recently, 21 which is now legal here. You  
14 see, my grandfather is not just an old man  
15 you go see on Sundays. He is like a third  
16 parent to me, and if it were not for  
17 Provenge he would not be here with me. So I  
18 would just like to thank the people who  
19 created the drug and this panel for  
20 recommending the approval of this drug so  
21 that other families can experience some of  
22 the memorable moments that I experienced

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1 with my grandpa.

2 MR. GARCIA: I am not a doctor.  
3 I cannot tell you all the things I've been  
4 hearing all morning. I mean to me it was  
5 like a foreign language.

6 (Laughter)

7 MR. GARCIA: My name is Eduardo  
8 Garcia. I'm 83 years old and I've been a  
9 survivor of the bone cancer for seven years.  
10 Now, the way I see things here, the way I  
11 hear things here is that everything has been  
12 studied, you know, what's going to happen.  
13 The main thing is, suppose you don't approve  
14 this drug and there's thousands of patients  
15 who are going to have to look for something  
16 different, different options, which is not  
17 the chemo because I know chemo would really  
18 - I mean, the quality-of-life is very  
19 important, especially for an old man like  
20 me. So it's really up to you people to  
21 think about it, not us, but the ones who are  
22 coming, the ones who are going to need

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1 something to do besides the others. Thank  
2 you very much.

3 (Applause)

4 DR. MULÉ: Thank you, gentlemen.  
5 Steven Fleischmann.

6 MR. FLEISCHMANN: Good morning,  
7 ladies and gentlemen. My name is Steve  
8 Fleischmann, and my wife Patty and I are  
9 honored to be here today, and we're from  
10 Seattle, Washington.

11 In July of 2003 I was 47 years  
12 old, and I went in for my routine physical.  
13 And although my PSA level was very low, my  
14 doctor thought that he had felt something  
15 odd on my prostate, so he encouraged me to  
16 go in for a biopsy. So of course, to be  
17 safe, I went in soon after and had a biopsy  
18 done. And I can tell you that I will never  
19 forget what happened the next week when I  
20 received a call from my doctor. While  
21 holding my breath, he said what I never  
22 thought I would hear. "Steve, you have

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1 prostate cancer. And not only do you have  
2 prostate cancer, but you have a very  
3 aggressive prostate cancer." and at 47 years  
4 old I had a Gleason 7. I was scared to  
5 death. I went into shock. I could not  
6 believe that I had cancer, but it quickly  
7 became my reality.

8 After searching my options, I  
9 chose to have a radical prostatectomy on  
10 September 9, 2003. And after that I had a  
11 new sense of purpose in life. I wanted to  
12 make this difference and this experience  
13 less frightening for other men diagnosed  
14 with prostate cancer, and number two, I  
15 wanted to raise money to advance research to  
16 eventually cure this disease.

17 So I have made it my life's  
18 mission, aside from taking care of my family  
19 and my health, to be an advocate for the men  
20 throughout the United States who are  
21 diagnosed with prostate cancer. I created  
22 the first prostate cancer fundraiser in the

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1 United States where I did a fundraising  
2 breakfast, which I call Survivor  
3 Celebration, in Seattle, Washington, and  
4 where every table captain is a prostate  
5 cancer survivor. In just two years I have  
6 raised \$4 million for prostate cancer  
7 research, and I am proud to say that at my  
8 last breakfast where I had 1,200 attendees  
9 that Lance Armstrong was my keynote speaker.

10 In addition, I receive two to  
11 three phone calls a week from men from all  
12 over the United States who contact me who  
13 have just been diagnosed with prostate  
14 cancer, and I help them to deal with the  
15 initial shock. They are scared and confused  
16 and don't know what to do. And I help them  
17 establish a game plan for dealing with their  
18 options. So I know firsthand how badly  
19 prostate cancer patients need help. They  
20 want and deserve treatments that will help  
21 them live longer but won't compromise the  
22 quality of their life, like chemotherapy.

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1 And that's why I'm here today, to tell you  
2 they need a treatment like Provenge. We  
3 need it now, not in several years from now.  
4 We need it today.

5 Just a few weeks ago, I was told  
6 that my cancer has now come back. Being  
7 told that I had had cancer in 2003 was the  
8 biggest shock of my life, but I got over it.  
9 I just dealt with it. Hearing that my  
10 cancer is back is ten times more  
11 frightening, and it feels ten times more  
12 devastating for me and my family. So as a  
13 man who has time working against him, how  
14 young I am, advancing care for prostate  
15 cancer patients is of vital importance. The  
16 timely approval of Provenge just has to  
17 happen.

18 You all have the opportunity to  
19 make history today. Provenge would not only  
20 be the first cancer immunotherapy ever  
21 approved by the FDA, but its approval would  
22 be the only thing that will help drive

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1 future research to find a cure for prostate  
2 cancer. As someone who has made a living in  
3 the financial and investing business, I know  
4 how it works. A positive decision today  
5 will accelerate the research, investment and  
6 support of immunotherapy prostate cancers  
7 and other cancers. By you recommending the  
8 approval of this first generation of  
9 Provenge, you are creating a launching pad  
10 for a dramatic increase in the enthusiasm  
11 and investment for cancer research, which we  
12 all know will ultimately put us much closer  
13 to the second and the third and the fourth  
14 generation of this kind of product.

15 I have an 8-year-old daughter and  
16 a 5-year-old son. I want to be around to  
17 see my kids grow up. I want to see them go  
18 to college, get married, and I want to see  
19 them have their children. I don't want to  
20 die. I want to stay alive.

21 Now that I have cancer again, I  
22 know how it feels to be vulnerable every

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1 single day, and I am concerned about my  
2 future now more than ever. This kind of  
3 drug, Provenge, is all I can think of right  
4 now to give me hope, and as someone who  
5 coaches new patients each week I can tell  
6 you that the idea of Provenge will give them  
7 hope and the will to survive if they get  
8 their cancer back. What is the harm of  
9 approving a drug that has been shown to let  
10 men live longer? I don't care whether it  
11 helped 100 or 100,000 men to live longer, it  
12 does, and that's what counts, and it is  
13 incredibly safe.

14 I know that you are all a panel  
15 of esteemed medical experts who are charged  
16 with looking at the data that has been  
17 presented to you in making a decision. I  
18 only ask that you also consider the fact  
19 that you have the power to alter the way  
20 cancer is treated by approving Provenge.  
21 You can give the 230,000 who will be  
22 diagnosed with prostate cancer this year

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1 alone the opportunity to live longer, better  
2 lives. You can give me the opportunity to  
3 live and with time working against me I  
4 can't afford to wait any longer. On behalf  
5 of my wife and my two children I thank you  
6 for the opportunity to speak here today and  
7 for listening to me. Thank you.

8 (Applause)

9 DR. MULÉ: Thank you, Mr.  
10 Fleischmann. Jack Kriney?

11 MR. KRINEY: Thank you. Good  
12 morning. Ladies and gentlemen, my name is  
13 John Kriney, and I'm a patient advocate with  
14 Raise a Voice speaking in support of  
15 Provenge. I have no relationship to the  
16 sponsor and I must say I'm humbled to be in  
17 the company of the advocates that I've seen  
18 and heard here today.

19 I was diagnosed with prostate  
20 cancer in November of 2005 with a Gleason  
21 score of 8, four plus four. I underwent a  
22 robotic-assisted laporoscopic radical

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1       prostatectomy on December 20, 2005, but the  
2       procedure failed and I began initial hormone  
3       therapy in January, 2006. After some  
4       difficulties with my initial urologist I was  
5       ultimately successful in drawing together a  
6       team comprised of a new urologist, medical  
7       oncologist and radiation oncologist, all  
8       specialists in prostate cancer treatment. I  
9       quickly began receiving increased dosages of  
10      additional hormone therapies, and a second  
11      expert opinion was ordered on my surgical  
12      pathology which upgraded my Gleason score to  
13      9, four plus five.

14                   I began 45 IMRT radiation  
15      treatments in August, 2006, which then ended  
16      in October, 2006. During the time I was  
17      undergoing radiation therapy, I had three  
18      severe drug reactions and was diagnosed with  
19      Grover's Disease after suffering six  
20      iterations of full body rashes and boils as  
21      well as stress onset bipolar 2 mental  
22      disorder. A good portion of the radiation

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1 therapy was into the rectum and caused a  
2 fair amount of transitory side effects,  
3 which passed within months. However, my  
4 hormone therapy side effects of  
5 irritability, lack of focus, lack of  
6 concentration, depression, inability to  
7 multitask and physical effects like breast  
8 growth with tenderness and fatigue continued  
9 to plague me. I do not suffer the normal  
10 side effects of lack of sexual drive, since  
11 my prostatectomy was non-nerve sparing. In  
12 August, 2007, my oncologist and I have  
13 decided that I will go on intermittent  
14 hormone therapy in order to ameliorate these  
15 effects as well as the other long-term  
16 systemic side effects associated with  
17 hormone therapy.

18 Drugs like Provenge, when you  
19 deem them safe and effective, are important  
20 in our arsenal of tools that we must have to  
21 fight prostate cancer with every today. I  
22 am not here to tell you how safe or

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1 effective I think Provenge is. I would not  
2 presume to do so. That is your job, and you  
3 know it and do it well. What I do know as  
4 an advanced prostate cancer patient is that  
5 I need drugs and treatments that do not  
6 leave me with unnecessary side effects,  
7 especially side effects that interact with  
8 other drugs and make my life miserable. As  
9 a patient, I want longevity if you can give  
10 it to me, but as importantly I want quality-  
11 of-life along with that longevity. I am not  
12 hormone-refractory yet, but I do have  
13 metastatic disease, and I know I am playing  
14 a waiting and delaying game, a nightmare  
15 that I live with every day.

16 I want to raise a voice today so  
17 that when the time comes with drugs like  
18 Provenge I will have it available for me  
19 while I still have a chance to use it, while  
20 I still have an immune system, while I still  
21 have something left to fight with. I am  
22 here today to try to help others who are

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1       advancing with disease before me and who may  
2       not have or get the opportunity to wait  
3       another six or nine months for a drug like  
4       Provenge to get to market. I hope that you  
5       will look at the people and not just look at  
6       the numbers or the design of a study. I am  
7       here asking today for you to help me and  
8       others like me. You can help with the  
9       stress of my disease by making Provenge  
10      available to the market so that we patients  
11      with our doctors can make the informed  
12      choice to determine if a safe and effective  
13      drug that you have investigated may help  
14      prolong our lives and our quality-of-life  
15      for us when we need it. Some of us don't  
16      have the time to wait for trials and more  
17      trials. We depend on you, all of you  
18      sitting here, to lead us to the innovative  
19      life-saving drug, vaccine, or therapy that  
20      will save our lives and not protect us from  
21      that same vaccine or therapy while we stand  
22      in line dying, waiting for it. As

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1           importantly, when you approve this drug and  
2           mode of treatment that others offer - I'm  
3           sorry. When you approve this drug and mode  
4           of treatment that offers little or no side  
5           effects, you will dramatically improve the  
6           quality-of-life for a great number of  
7           advanced prostate cancer patients. When it  
8           is available, we can use it as indicated or  
9           off-label and improve our survivability and  
10          quality-of-life. Relief from hormone  
11          therapy, chemotherapy and the roller coaster  
12          of wondering what will work and when are the  
13          benefits we will have if we have access to a  
14          vaccine that helps our immune system do as  
15          it was designed to do in the first place.

16                                FDA Commissioner of Food and  
17          Drugs Dr. Andrew C. Von Eschenbach is quoted  
18          as saying, "From new life-saving drugs and  
19          vaccines to innovative devices, the lives of  
20          millions of people have been improved by the  
21          dedicated efforts of FDA employees. It is a  
22          strong foundation upon which to build in the

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1 21<sup>st</sup> century." If you deem Provenge to be  
2 safe and effective at all, your action will  
3 be the very first innovative step on the  
4 path of a longer and better life for the  
5 advanced prostate cancer patient and  
6 survivor in this 21<sup>st</sup> century. Thank you  
7 very much for your care, understanding and  
8 patience in listening to us, the surviving  
9 prostate cancer patient.

10 DR. MULÉ: Thank you, Mr. Kriney.

11 (Applause)

12 DR. MULÉ: Is Thomas Powell here?  
13 Thomas Powell? Okay. Michael Bernstein.

14 MR. BERNSTEIN: Good afternoon.  
15 Thank you for allowing me the opportunity to  
16 address the committee. I don't have any  
17 financial interest in the sponsor here. I'm  
18 a partner in a large Washington-based law  
19 firm, and we do represent various  
20 pharmaceutical companies, but not the  
21 sponsor.

22 I'm here today not in my

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1 professional capacity but because my father  
2 has advanced prostate cancer and he's  
3 recently found out that it's androgen-  
4 independent and his PSA is going up. He's  
5 asymptomatic at this point, so I understand  
6 and he understands from his doctors at the  
7 Cleveland Clinic that he's in the population  
8 group for which Provenge would be ideally  
9 targeted. He said that his medical  
10 oncologist and his urologist are watching  
11 very carefully the Provenge approval process  
12 because of the stage of his disease and  
13 because this is the time when it would be  
14 likely to have the biggest effect for him.

15 My father is a religious Jew and  
16 he goes to synagogue every day, every  
17 morning, praying that he'll have the  
18 opportunity to see my son become Bar Mitzvah  
19 in three years and two months from now.  
20 This is his remaining goal in life, really  
21 his only substantial remaining goal in life.  
22 Of course, it's not clear that he'll make it

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1 even with Provenge. Who knows? But it does  
2 seem clear to me that his chances are much  
3 more - are substantially enhanced with  
4 Provenge than without Provenge. And we have  
5 the hope that with this treatment, combined  
6 with other treatments which he's willing to  
7 deal with even though they have very  
8 substantial side effects in order to achieve  
9 his goal, that he may make it to see Josh's  
10 Bar Mitzvah.

11 Now I know that if you look at  
12 this from the perspective of a statistician,  
13 I'm sure you could come up with reasons to  
14 defer approval if you wanted to. You could  
15 talk about what the primary endpoint was and  
16 what it should have been and statistical  
17 analysis and Cox regression and other  
18 regressions and so forth. And I'm sure you  
19 could come up with a reason to defer it.  
20 But if you look at this from the perspective  
21 of my father and those like him, it seems  
22 clear that the better course is to approve

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1 the treatment now. If you ask the question  
2 during your deliberations, "Is Mr. Bernstein  
3 in Florida more likely to live to see his  
4 grandson's Bar Mitzvah with Provenge  
5 approved or without it approved," I think  
6 the answer is very clear. And I submit to  
7 you that under the present circumstances  
8 that's the right question to ask. You have  
9 a terminal disease. You have no other  
10 treatments that are particularly effective,  
11 and the couple of treatments that there are  
12 at this stage, or maybe the one treatment is  
13 very, very unpleasant. And you have a new,  
14 apparently safe treatment with very modest  
15 side effects that gives guys like my dad a  
16 chance to make it a few more years, which is  
17 all he's asking for. You should look at  
18 this from the patients' perspective. You  
19 should put the patients' interest first. I  
20 heard reference to the gold standard here.  
21 I can tell you, I can assure you that from  
22 my dad's perspective survival is absolutely

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1 the gold standard. So on behalf of my  
2 father, who can't be here today I ask you to  
3 recommend prompt approval of Provenge so  
4 that we can have the best possible chance  
5 for him to attend Josh's Bar Mitzvah. Thank  
6 you.

7 (Applause)

8 DR. MULÉ: Thank you, Mr.  
9 Bernstein. Joel Nowak?

10 MR. NOWAK: Good afternoon. I'd  
11 like to first say that I nor any of my  
12 family members to the best of my knowledge  
13 have any financial interest in the sponsor.  
14 My name is Joel T. Nowak, and I'm here today  
15 both as a consumer and also as a  
16 representative of the advocacy groups Raise  
17 a Voice and MaleCare, for which I serve as  
18 the Program Director for Advanced Prostate  
19 Cancer.

20 I am 56 years old, I live in  
21 Brooklyn, and I am a 3-time cancer survivor.  
22 I have been diagnosed with thyroid cancer,

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1 kidney cancer and prostate cancer, advanced  
2 prostate cancer. The cancer that scares me  
3 the most, probably based on my condition, is  
4 the prostate cancer. Fortunately, both the  
5 thyroid and the kidney cancer are currently  
6 under control, but the prostate cancer is  
7 not. My initial diagnosis was in August of  
8 2001 and I had a laparoscopic prostatectomy.  
9 In December of 2005 I was diagnosed with  
10 recurrent advanced prostate cancer. This is  
11 not a curable disease. That's the key. It  
12 is not curable, at least not yet.

13           According to the National Cancer  
14 Institute, the expected mortality rate for  
15 advanced prostate cancer is over 50 percent  
16 within 36 months of diagnosis. If you take  
17 the statistical next step, since I've  
18 already exhausted 16 of those months, which  
19 means I may have only but 20 months left to  
20 be on this Earth. What are my treatment  
21 choices? Unfortunately they're fairly non-  
22 existent with other than one exception.

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1 Those of us who suffer with advanced  
2 prostate cancer have already gone through  
3 the mill of barbaric treatments. We've had  
4 our prostates removed or radiated, often  
5 leaving us with varying degrees of  
6 incontinence and impotence, and then 30  
7 percent of us suffer a recurrence. This  
8 signals the beginning of our clock's final  
9 countdown on this Earth. We try to buy a  
10 little more time. We try salvage radiation  
11 or surgery. We start a hormone blockade  
12 that leaves us as physical and chemical  
13 eunuchs. We lose the little sexual ability  
14 that we may have managed to cobble together  
15 and trade it for hot flashes, loss of muscle  
16 mass, loss of bone density, peripheral  
17 neuropathy, mood swings, and a host of other  
18 ailments. Despite the suffering that we  
19 endure, our cancer continues to march on.  
20 Now our only option to survive a little  
21 longer as it exists today is chemotherapy,  
22 where we have to introduce into our bodies

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1 chemicals that will hopefully kill the  
2 cancer, but will also kill us.

3 Provenge will not cure my  
4 disease, that's clear, but it does offer an  
5 opportunity to extend my life. Even a 4.5-  
6 month life extension, which probably doesn't  
7 sound like a lot to those of you who are  
8 blessedly healthy, but to me this is a 20  
9 percent increase of my life expectancy. I  
10 still will not live long enough to see my  
11 son successful in the theater, or my younger  
12 son fulfill his dream of going to law  
13 school, or more importantly to ever meet any  
14 of my grandchildren. But I will have some  
15 additional time to hold my wife and laugh  
16 with my children, and therefore, I wish to  
17 urge this committee to recommend that the  
18 FDA approve the pending application. I  
19 appreciate this opportunity to have  
20 addressed you and thank you so much.

21 (Applause)

22 DR. MULÉ: Thank you, Mr. Nowak.

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1 James Waldenfels?

2 MR. WALDENFELS: I am Jim  
3 Waldenfels, a board member of the Virginia  
4 Prostate Cancer Coalition, but speaking on  
5 my own behalf. I have no financial  
6 conflicts of interest or sponsor ties.  
7 Thank you for incorporating a public comment  
8 period into your review process. This is  
9 why I have a very personal interest in  
10 Provenge.

11 My first PSA test result, when I  
12 was age 56, was 113 and within days of  
13 biopsy indicated an aggressive Gleason 7  
14 cancer with all cores positive, most 100  
15 percent. Within a month, respected  
16 urologists from Johns Hopkins and the City  
17 of Hope had both given me a prognosis of  
18 five years, three good years and two  
19 declining years. That was December and  
20 January of 1999 and 2000. Today, seven  
21 years later, I am fit and vigorous as I  
22 enter the fourth off-therapy - fourth month

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1 off therapy under my second off-therapy  
2 cycle of intermittent triple blockade,  
3 achieved without surgery or radiation. At  
4 the end of both off-therapy cycles I  
5 achieved a PSA low point of less than 0.01.  
6 During the first off-therapy period,  
7 virtually all my side effects disappeared,  
8 and I expect the same for this period.  
9 However, despite my highly successful  
10 treatment, my cancer is still likely to  
11 become resistant to hormone blockade at some  
12 point. My case illustrates that prostate  
13 cancer is developing so rapidly that the -  
14 technology, the knowledge about it is  
15 developing so rapidly that even good doctors  
16 cannot keep up with all developments, and  
17 key new knowledge emerges in the middle of  
18 clinical trials.

19 Before retiring, I served as a  
20 Navy contract specialist and contracting  
21 officer for the research and development  
22 test and evaluation of weapons systems. DoD

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1       faced a similar problem to that facing the  
2       prognostic factor prostate cancer community.  
3       The nature of the threats and technologies  
4       was changing so rapidly in the `90s that our  
5       standard procurement and development methods  
6       were not keeping up, and we were risking  
7       obsolescence at first delivery of equipment.  
8       In order to meet needs, we had to radically  
9       change our way of doing business, and we  
10      did.  Similarly here, cancer technology and  
11      particularly the knowledge of the effect of  
12      prostate cancer immune responses to drugs is  
13      changing more rapidly than can be  
14      accommodate in trial designs.  That puts a  
15      high premium on judgment in capitalizing on  
16      trial results.

17                    The 55,000 patients now hormone-  
18      refractory and asymptomatic and those of us  
19      waiting in the wings are counting on this  
20      committee to give us Provenge as a badly-  
21      needed option.  Its effectiveness has been  
22      proven.  Remember those patients who beat

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1 the heck out of the median like Mr. Garcia.  
2 We haven't heard much about that in this  
3 meeting, but remember that. We can look  
4 forward to even better targeting of this  
5 drug. It has an excellent side effect  
6 profile. Please help us.

7 (Applause)

8 DR. MULÉ: Thank you, Mr.  
9 Waldenfels. Ed Grove?

10 MR. GROVE: Good afternoon. My  
11 name is Ed Grove. I have no financial  
12 connection with the sponsor, and I would  
13 also like to thank Raise a Voice because if  
14 I hadn't heard from them I wouldn't be here,  
15 and I think it's just very, very important  
16 for me to be here along with the rest of  
17 you.

18 My name is Ed Grove and I'm a  
19 prostate cancer survivor for 14 years. I've  
20 been chairman of the INOVA Fairfax Virginia  
21 prostate cancer support group for 10 years,  
22 and we have about 60 members in our email

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1 list. We are very active and have a monthly  
2 meeting with a very rich group of speakers.  
3 I am also on the board of the Virginia  
4 Prostate Cancer Coalition along with Jim  
5 Waldenfels.

6 In my situation I currently have  
7 a slow-growing recurrent prostate cancer.  
8 It is asymptomatic, but probably not  
9 metastatic, and certainly not now hormone-  
10 refractory. However, I strongly believe  
11 Provenge could help me and my situation, and  
12 have tried to get on existing Provenge  
13 trials to no avail because they are only for  
14 men with very advanced disease. Those of us  
15 with recurrent disease must be warriors  
16 actively fighting this disease, rather than  
17 passive warriors, and this is the reason why  
18 I am sort of looking out towards Provenge  
19 right now, because I have the sense, and  
20 again this is just an intuitive sense, that  
21 for people with - and it may be in the data  
22 too, but for people with less advanced

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1 disease, Provenge might even work better,  
2 and it might even work better earlier. So  
3 again I'm, you know, I really firmly believe  
4 that those of us with recurrent disease must  
5 be warriors actively fighting it rather than  
6 passive survivors, and I am so glad to see  
7 so many active warriors here today. So and  
8 another way I look at this is I believe that  
9 prostate cancer warriors, we all need as  
10 many arrows as we can get for our quivers,  
11 and Provenge really could be one of them,  
12 particularly since it could strengthen our  
13 immune system with minimal side effects.

14           Indeed, I have a unique journey  
15 here. My immune system has played quite a  
16 critical role in my journey with prostate  
17 cancer. Diagnosed with early-stage disease  
18 in `92 and after having had what I call  
19 plain vanilla external beam radiation in  
20 early `93 I was doing fine with a nadir PSA  
21 of 0.06. However, I also had thyroid cancer  
22 in 1966 and it was in remission, but in 1997

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1 it came back again after 30 years. And so  
2 what happened to me is when I had this  
3 recurrent thyroid cancer in 1997 I had to go  
4 off my thyroid medication. This  
5 substantially reduced my metabolism. Then I  
6 was zapped by a significant dose of  
7 radioactive iodine, which further  
8 compromised my immune system. The good news  
9 is that my thyroid cancer was driven into  
10 remission and has not returned. However,  
11 during and following this treatment my PSA  
12 rose, at one point tripling at only nine  
13 months. Fortunately, as my immune system  
14 recovered from the thyroid cancer treatment,  
15 the PSA rise slowed.

16 During the eight years from 1998  
17 to 2006, I was able to slow further the rise  
18 of my PSA, and this is because I found three  
19 non-invasive arrows for my quiver. The  
20 first was the active form of Vitamin D  
21 called calcitriol. A small study by Dr.  
22 Thomas Stamey at Stanford showed that

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1        calcitriol markedly decreased the PSA  
2        doubling time of radiation in surgery  
3        patients with recurrent disease. Calcitriol  
4        did a good job for me of slowing my PSA for  
5        two years.

6                    I then began to use the alpha 5  
7        reductase inhibitors, first proscar and  
8        later avodart. The second arrow worked for  
9        an additional four years. However, after  
10       this time my PSA had reached the mid-teens,  
11       but then I saw a West Coast study on leukine  
12       by Dr. Eric Small which substantially  
13       increased the PSA doubling time of most men  
14       with recurrent prostate cancer in this  
15       trial. The immunotherapy leukine which I  
16       was able to be able to use kept my PSA  
17       stable for two more years before it reached  
18       18. However, because of reaching this level  
19       and it looked like the leukine was having to  
20       work hard just to keep it there, last fall I  
21       went on triple hormonal therapy, adding  
22       casodex and lupron to the avodart I was

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1 taking. It is working well, and I hope to  
2 stop it after a year.

3           However, when I go off hormonal  
4 therapy and knowing that Provenge, like  
5 leukine, also strengthens the immune system,  
6 I would hope Provenge would at least be  
7 available then for men with advanced  
8 disease. This is especially true, since  
9 clinical trials of Provenge have shown  
10 significant additional survival for men with  
11 very advanced disease. Once Provenge  
12 becomes available, I believe there's a  
13 further possibility that men with less  
14 advanced disease and good immune systems  
15 like myself could conceivably benefit  
16 markedly from it. I would really like to  
17 see Provenge be the fourth arrow in my  
18 quiver. I appreciate the time this  
19 committee has taken for careful  
20 consideration of Provenge and I fervently  
21 hope that you approve its use now.

22           DR. MULÉ: Thank you, Mr. Grove.

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1 (Applause)

2 DR. MULÉ: Alvin Chin?

3 MR. CHIN: Good afternoon. I  
4 have no conflicts of interest to declare. I  
5 am here as the coordinator for the speaker's  
6 bureau of the Virginia Prostate Cancer  
7 Coalition, member of the planning group of  
8 the Fairfax INOVA prostate cancer support  
9 group and as a member of the Prostate  
10 Pointers listserv.

11 I was diagnosed about three years  
12 ago, shortly after retiring from government  
13 service. I got my diagnosis shortly after  
14 retiring and I thought maybe I should have  
15 gone to the beach and gotten skin cancer  
16 instead. But that was not my fate and I'm  
17 here today spending time with you, your  
18 valuable time and I thank you for that.

19 At my support group I meet some  
20 of those men who are metastatic, are  
21 hormone-resistant and are with or without  
22 symptoms. They become different people when

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1 they hear that they have moved to the next  
2 stage, a stage that takes them closer to  
3 their final hour. They are bewildered, they  
4 are often aimless and they are scared. That  
5 has been repeated. You've heard that  
6 before.

7 Noone wants to die a hopeless and  
8 painful death, and worst of all noone gladly  
9 accepts chemotherapy, the ultimate treatment  
10 now that you have run your course with the  
11 limited treatments available to men with  
12 hormone-resistant prostate cancer.

13 Typically you have suffered  
14 through surgery and/or radiation or  
15 cryoablation, and if the primary treatments  
16 fail you then have to face the fatigue, the  
17 mental exhaustion of hormonal therapy.  
18 Finally, with hormone resistance you are  
19 left with just chemotherapy where they burn  
20 the rest of your insides futilely, trying to  
21 kill the cancer cells. The side effects are  
22 so bad that men refuse to accept the

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1 treatment because they choose to have an  
2 improved quality-of-life in their final  
3 years.

4           But lo, on the horizon comes a  
5 vaccine which has few side effects,  
6 Provenge, because it is autologous and uses  
7 dendritic cells from one's own body to spark  
8 the body's own immune system. Hope is  
9 restored. Little or no side effects, and  
10 yet one is able to prolong life. I've  
11 spoken to many men and they want this. They  
12 want another option besides the pain of  
13 chemotherapy. They want something that will  
14 work and allow them to keep the quality-of-  
15 life, especially if it is to be the last  
16 years of their life. It is important to  
17 them that they live it well. They and their  
18 families demand it. It is also important  
19 that they attempt to extend their lives.  
20 Provenge offers them this, and for the many  
21 men that have prostate cancer I ask that you  
22 recommend to the FDA that they approve this

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1 revolutionary and historical prostate cancer  
2 treatment.

3 At this point in my notes I would  
4 have - it says I would have introduced Andy.  
5 And I saw Andy, he's a member of my prostate  
6 cancer support group. I saw him last night,  
7 and I would have asked him to hold up his  
8 hands and picture this. He had Band-aids on  
9 each one of his fingertips. I don't know  
10 about you, but years ago I lost a thumbnail  
11 because I hit it with a hammer, and it was  
12 painful for months until another nail grew  
13 back. In his case all 10 of his fingernails  
14 fell off because of the Taxotere treatment  
15 that he's on. So it must be very painful  
16 for him, and he would have brought it home,  
17 but he had to leave early because he was  
18 feeling exhausted.

19 Anyway, I understand that  
20 Taxotere was approved as a primary  
21 chemotherapy when it extended life over  
22 placebo by only a couple of months.

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1 Provenge extends life more than twice as  
2 long without the pain. The loss of hair,  
3 fingernails, vitality, your dignity is  
4 something you don't lose with Provenge. Men  
5 will gladly trade the side effects of the  
6 present hormonal and chemotherapy side  
7 effects for the few and transient side  
8 effects associated with Provenge and gain  
9 more life in the process. The public  
10 perception is that Provenge is safe and  
11 effective and should be approved.

12 By recommending approval you will  
13 give up to 50,000 waiting men, maybe more,  
14 new hope and new life with an alternative  
15 treatment that works. You will be making  
16 substantial history today by approving this  
17 new alternative treatment, and I thank you  
18 from all those men that you will help today.  
19 Thank you.

20 (Applause)

21 DR. MULÉ: Thank you, Mr. Chin.

22 Richard Gillespie?

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1                   MR. GILLESPIE: My name is Dick  
2                   Gillespie. I'm chairman of the Virginia  
3                   Prostate Cancer Coalition. I also run a  
4                   very successful Us TOO group.

5                   My cancer is low-grade, but  
6                   within my group there are a number of senior  
7                   individuals, basically, whose hormone  
8                   therapy is no longer working. They're sort  
9                   of bereft of hope, and they're scared to  
10                  death of chemotherapy. And to bring a  
11                  little more - something more personal in  
12                  this thing, one of the members of my  
13                  prostate cancer support group, my neighbor,  
14                  was one of the most conscientious  
15                  individuals in learning new procedures and  
16                  following them. All of a sudden he got to  
17                  the point, hormone therapy really was not  
18                  working anymore, and it - we had a speaker  
19                  from the National Cancer Institute come over  
20                  and talk about vaccines. After that, he  
21                  went up and talked to them and the  
22                  individuals felt very strongly he should get

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1 into the clinical trial program, probably on  
2 Provenge. His health wasn't quite up to it,  
3 however, and before he was able to start,  
4 the PSA really spiked. He was put on  
5 Taxotere. Taxotere, the side effects drove  
6 his white blood cells and his red blood  
7 cells down to nothing. He went into the  
8 hospital for a whole series of blood  
9 transfusions. From there on in, his demise  
10 was painful and quick. Here again, as I  
11 review my own relationship with my neighbor  
12 over there, if he had Provenge this all  
13 might have been prevented. Thank you.

14 (Applause)

15 DR. MULÉ: Thank you, Mr.  
16 Gillespie. The final speaker is Jan  
17 Manarite.

18 MS. MANARITE: I'd like to ask  
19 you all to close your eyes for a moment  
20 because I want to paint you a picture. PSA  
21 7,096.0. Prostate cancer to the bone,  
22 including hips, pelvis, spine and skull.

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1 Bone metastasis to the entire spinal cord,  
2 including the thoracic 7, 8 and 9, which  
3 included complete marrow involvement and  
4 spinal cord compression. This patient had  
5 to be totally sedated for MRI and bone scan  
6 because of undiagnosed pain. He did not  
7 know his PSA was over 7,000 because he had  
8 never had one. He was 58. This patient  
9 named Dominic awoke from sedation for his  
10 imaging. He looked at his wife and said,  
11 "Baby, did they cut me because I'm so cold?"  
12 "No, honey," I said, "they didn't cut you.  
13 You're okay." Dominic was paralyzed from  
14 the waist down and his entire left side.  
15 This man is my husband.

16 My name is Jan Manarite. I am  
17 the Florida educational facilitator for the  
18 Prostate Cancer Research Institute. I am  
19 here on behalf of a grassroots initiative  
20 for advanced prostate cancer patients called  
21 Raise a Voice. Today, I am one voice.

22 We went to a leading cancer

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1 institution for a second opinion. By the  
2 way, my husband did recover and four days  
3 later, after bilateral laminectomy he walked  
4 out of that hospital. I want you to know  
5 that. I am told that that doesn't always  
6 happen. So we went to a leading cancer  
7 institution in Florida, about two hours  
8 north of Fort Myers, very close to St.  
9 Petersburg for a second expert opinion.  
10 They wrote my husband off and offered no  
11 treatment options. The one doctor we saw  
12 was a urologist who specialized in geriatric  
13 medicine. My husband was only 58. He said,  
14 "I would not give a bisphosphonate to my  
15 brother." He said something about efficacy,  
16 which I didn't fully understand at the time  
17 and an endpoint which was never proven at  
18 his institution. It made no sense to me  
19 even though I was not a physician and I knew  
20 little about prostate cancer at the time, so  
21 we fought for a bisphosphonate. We fought  
22 for Aredia because Omeda was not yet

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1 approved. We fought the doctor, we fought  
2 the insurance company. My poor husband was  
3 just trying to fight his cancer. We won.

4           Dominic went seven years without  
5 a fracture, pathologic or because of  
6 osteoporosis, induced by hormone therapy  
7 which gave him no testosterone for seven  
8 years. That is because of the  
9 bisphosphonate that we fought for. The  
10 bisphosphonate is what he needed. A miracle  
11 is what we fought for and what we received.

12           I forgave that institution  
13 because God had bigger plans for this  
14 family. That was March of 2000. Today  
15 Dominic's PSA is about 2.7. Our son is 16.  
16 He's preparing for varsity football in his  
17 senior year in high school. He was nine  
18 when my husband was diagnosed in fourth  
19 grade. We purchased new memories because we  
20 fought. I forgave that institution because  
21 it is not the nature of science to be  
22 perfect. It is the nature of science to

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1 provide for humanity with excellent  
2 probabilities. One famous scientist said,  
3 "It runs as follows. The state is made for  
4 man, not man for the state. The same may be  
5 said of science." Science is made to serve  
6 humanity, not humanity to serve science.  
7 This scientist went on to say, "These are  
8 old sayings, coined by men for whom human  
9 personality has the highest human value. I  
10 should shrink from repeating them were it  
11 not that they were forever threatening to  
12 fall into oblivion." That was Albert  
13 Einstein. It was 1931.

14 Dr. Mulé, you know more about  
15 immunology than most of us in this room will  
16 ever hope to forget or pronounce. We are  
17 thankful for that and we are thankful to all  
18 of you because all of you here do something  
19 that we cannot. I forgave that institution.  
20 Dr. Mulé, I'm going to ask you to forgive me  
21 because I'm about to quote you. You have a  
22 commentary that was published with Jeffrey

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1 S. Weber in the Journal of Clinical  
2 Investigation, March, 2001. It was  
3 entitled, "How Much Help Does a Vaccine-  
4 Induced T-Cell Response Need?" The  
5 commentary was about breast cancer  
6 immunotherapy, including HER-2/neu. At the  
7 conclusion, trial design was discussed,  
8 including this statement. "A secondary  
9 endpoint would be to correlate immune  
10 response with survival, the ultimate  
11 challenge to the cancer vaccine field." If  
12 that be the case, then hasn't Provenge met  
13 the ultimate challenge?

14 Today there are things we know  
15 and there are things that we do not know.  
16 Here's what I do not know. Can Provenge be  
17 single-handedly responsible for reducing the  
18 prostate cancer death rate of 27,000 per  
19 year, 520 a week? Since I got here 24 hours  
20 ago, 74 more men have died and their  
21 families are mourning right now. I don't  
22 know if that's possible, but I wonder. Will

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1 you make history today by approving the  
2 first therapeutic immunotherapy for cancer?  
3 I don't know, but I wonder. Will other  
4 cancers eventually benefit from Provenge  
5 being approved, melanoma, breast cancer,  
6 lymphoma? I don't know, but I wonder.

7 It is not the nature of science  
8 to be perfect. No studies are perfect.  
9 None yield 100 percent results. It is the  
10 nature of science to be sound, to give us  
11 excellent probabilities with honest  
12 representation and to serve humanity. Today  
13 you bring us the science. We bring you  
14 humanity. Thank you.

15 (Applause)

16 DR. MULÉ: Thank you, Mrs.  
17 Manarite. On behalf of the committee, I'd  
18 like to thank all the speakers for sharing  
19 your personal experiences and stories with  
20 us. At this juncture, we'll break for lunch  
21 and we'll plan to reconvene at 1:45.

22 (Whereupon, the foregoing matter

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1 went off the record at 1:03 p.m. and went  
2 back on the record at 1:52 p.m.)

3 DR. MULÉ: Okay, this part of the  
4 agenda will deal with specific questions  
5 that were comprised by the FDA for the  
6 committee and for discussion by the  
7 committee. To expedite the process  
8 individuals were selected from the committee  
9 to start off each question for discussion.  
10 Once we go through that then we'll have the  
11 vote. With respect to the vote, when I ask  
12 a committee member for his or her vote, I  
13 will also ask for a brief reason for the  
14 vote. And again, there will be two separate  
15 votes which will cover Questions 7 and 8  
16 which are the voting questions.

17 So we'll begin with Advisory  
18 Committee Question Number 1 which is listed  
19 here and we have Dr. Dubinett to lead us off  
20 on that discussion.

21 DR. DUBINETT: So the first  
22 question relates to how the variability in

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1 each product dose in respect to the total  
2 number and range in cell ratios can be  
3 expected to affect product quality, safety,  
4 or effectiveness. And just -- you know --  
5 to briefly summarize, to go back as  
6 summarized in the final slide as presented  
7 by Dr. Wonnacott earlier, the product has  
8 cell numbers that vary, the relative  
9 percentage of those cells vary and the  
10 contribution of other cells to the product  
11 activity is not known. And so I think that,  
12 in terms of how we view the product, we're  
13 actually dealing with something that does  
14 not draw any real analogy perhaps to  
15 cytotoxics or other types of therapies. And  
16 so I think what is before us is making some  
17 assessment of a product that, by necessity,  
18 is variable by virtue not necessarily of the  
19 manufacturing process from the data that  
20 we've seen, but in fact is variable by - as  
21 a function of the individual patient's  
22 leukapheresis product is what I've

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1 understood from what we've seen.

2           And so I think we could begin the  
3 discussion just to ask - have a discussion  
4 of how these variables might affect quality,  
5 safety and effectiveness. And I can just  
6 begin the discussion by suggesting and going  
7 back to something I think that was said  
8 earlier, and that is that although we're  
9 looking at CD54, that this I think as Dr.  
10 Levitsky mentioned and I think built a  
11 cogent hypothesis to suggest, that, in fact,  
12 the phenotype of the antigen-presenting cell  
13 may well be dictated by T-cell elements in  
14 the environment, either in vivo or in the  
15 product. So I think one of the questions  
16 that we could ask is what other cellular  
17 elements and phenotypes might be there in  
18 addition to those that we've seen. For  
19 example, are the CD3 cells containing a  
20 population of T-regulatory cells that are  
21 not appreciated. So we can have some  
22 discussion of that from committee members.

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1 DR. MULÉ: Any comments about the  
2 other cell types within the product and how  
3 those other cells may influence positively  
4 or negatively the APCs within the product?

5 DR. TAYLOR: I'd like to ask if  
6 there's been any double-staining of CD54 and  
7 the other markers, CD14, CD3. I didn't see  
8 any of those data. And if so, if we could  
9 get a sense of what percentage of the  
10 population is doubly positive that might  
11 actually narrow down the efficacious cells.

12 DR. MULÉ: Is there someone from  
13 Dendreon who would like to take that?

14 MS. SMITH: Nicole Provost.

15 DR. PROVOST: We don't routinely  
16 double-stain for manufacturing data. It's a  
17 - adds double the work. But we have done  
18 development studies to look at the CD54  
19 population, both from the large cell forward  
20 scatter graph that I showed you and the  
21 total CD54 population. We're having trouble  
22 getting data projected. Yes, we're shifting

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1 between systems here.

2 DR. MULÉ: Maybe you could just  
3 summarize without the slide.

4 DR. PROVOST: Okay. The vast  
5 majority of CD54-positive cells are  
6 monocyte-derived. However, you do see a  
7 shift in the total CD54 population, not the  
8 large cells. The large cells are what we  
9 use for lot release and it is that number,  
10 the large cell APC fraction of 54-positive  
11 cells that we use as the lot release value  
12 for determining acceptance or rejection of  
13 the product. And it's that APC number that  
14 is correlated with the Kaplan-Meier  
15 survival.

16 I can refer you to Figure 36 in  
17 the briefing document, in our briefing  
18 document, if you want to read along. When  
19 we looked just at CD54-positive cells in  
20 total - at Week Zero we have a higher  
21 fraction of those cells being monocyte or  
22 CD14. And the relative percentage as a

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1 function of the weeks of infusion, Weeks  
2 Zero, 2 and 4 goes up over time. We see  
3 slight variations, although probably not  
4 really significant in the B-cells and the  
5 NK-cells and their percentage of the CD54  
6 population. So we do have reason to believe  
7 that the T-cells may be getting activated  
8 during the course of the treatment. We  
9 don't have antigen-specific information in  
10 terms of what those T-cells are directed  
11 against because of the difficulties with HLA  
12 typing and actually assaying each patient  
13 lot.

14 DR. DUBINETT: So do you know  
15 anything about the population of CD3 cells  
16 in terms of the percentage that may be T-  
17 regulatory or CD4-, CD25-positive?

18 DR. PROVOST: We've done  
19 phenotyping, but we haven't done systematic  
20 studies for the patient populations. Those  
21 are difficult studies to do just in terms of  
22 getting samples from manufacturing lots. We

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1 can tell you they're there. We haven't seen  
2 large changes in those populations, but I  
3 couldn't definitively give you information  
4 on the T-regs.

5 DR. MULÉ: Dr. Levitsky made a  
6 very good point, and he's rarely wrong,  
7 about the role, potential role of T-cells in  
8 further activating or up-regulating CD54 on  
9 monocytes, particularly in the second  
10 leukapheresis product. You know, the  
11 question always is is there any evidence  
12 that the T-cells within the second product  
13 are reactive to antigen, and also are the B-  
14 cells within the second product producing  
15 antibodies say to PAP. Because it gets back  
16 to the issue do you really want to remove  
17 cells that may be beneficial and complicate  
18 the process if there's really no need to do  
19 that, first of all if there's no negative  
20 influence and secondly, if there is indeed  
21 some evidence, even if it's laboratory-based  
22 data that there's a hint that the T-cells or

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1 B-cells within the second and third products  
2 may have activity.

3 DR. PROVOST: Regarding antibody  
4 concentrations, the only solid data we have  
5 are from the immune monitoring patients  
6 where we assayed for antibody concentrations  
7 as well as T-cell stimulations. And we did  
8 find antibody responses against the PA2024  
9 again, not that many against seminal PAP,  
10 kind of middling values against the GMCSF  
11 portion of the molecule, and virtually none  
12 in the placebo group that were studied.

13 Regarding the notion of  
14 separating or otherwise segregating the cell  
15 population, the rationale was that this is -  
16 these are blood-borne cells, they come in  
17 with a large variety of cells. We are  
18 targeting the APC fraction, but we're not  
19 precluding the interaction of all the other  
20 cell types that are there. We didn't see  
21 any dose relationships for those other cell  
22 types with regard to survival. And that's

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1 not necessarily surprising because you  
2 wouldn't expect this to be a titrate-able  
3 sort of activity as you would a drug which  
4 binds to a receptor on a particular set of  
5 cells.

6 DR. DUBINETT: I think that you  
7 had mentioned earlier that there was a  
8 granulocyte relationship you thought with  
9 the CD54 expression?

10 DR. PROVOST: Yes, I mentioned  
11 that we have some weak correlations right  
12 now. We haven't got enough to actually  
13 stand on it yet. That's why I'm not showing  
14 it to you. One of the issues is that our  
15 process actually reduces granulocytes. I  
16 think that was pointed out well in the FDA  
17 briefing document. And when you get down to  
18 those low levels, they're actually hard to  
19 measure, actually hard to quantitate. So  
20 getting a reliable number is difficult.  
21 What we've done are some add-back studies to  
22 show that we can affect that.

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1 DR. MULÉ: Franco.

2 DR. MARINCOLA: A clarification,  
3 maybe I missed it, but in the material you  
4 provided I saw that a lot of CD54 up-  
5 regulation is due to T-cell activation.  
6 It's not only just the monocytes component,  
7 but also T-cell and NK-cell seems to up-  
8 regulate. In the data that you showed about  
9 the relationship with CD54 expression and  
10 survival, are - what are you looking on?  
11 Are you looking only at large cells, or the  
12 whole population? Because that might  
13 explain why you might have a better --

14 DR. PROVOST: Right. The data  
15 that I showed you regarding the survival  
16 correlation was only for the APC population.

17 DR. MARINCOLA: So is that  
18 specific?

19 DR. PROVOST: That's specific for  
20 the APC population. That's the release  
21 assay for manufacturing.

22 DR. MULÉ: So when you did the

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1 analysis of the quartile of increases in  
2 CD54 up-regulation with survival, was there  
3 any link with contaminants like NK, presence  
4 of T-cells, or no?

5 DR. PROVOST: We phenotyped all  
6 of those cell populations as part of the lot  
7 release criteria. We didn't see any other  
8 linkage.

9 DR. MULÉ: Kurt?

10 DR. GUNTER: It would seem to me  
11 that since this is an autologous product,  
12 you know, the product should be given some  
13 latitude in terms of specs because every  
14 product is unique for every patient. We  
15 could easily sit here and decide we're going  
16 to define arbitrary thresholds below or  
17 above which you can't give the product, but  
18 that would probably result in a lot of  
19 patients not being able to get product. I  
20 mean I could see if this was an allogeneic  
21 product where we should work really hard to  
22 define some reasonable specs for the

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1 product, but I just don't think it's going  
2 to be reasonable, except if we find some  
3 data that would indicate that there's a  
4 safety issue. Then I think we should make  
5 some pretty strict cutoffs about cell  
6 numbers, et cetera.

7 DR. MULÉ: Other comments?  
8 Matthew.

9 DR. ALLEN: I'd preface this; I'm  
10 not an immunologist, so this may be a bit  
11 naive, but can I just - point of clarity.  
12 When you stimulate with the antigen, you're  
13 doing what with essentially the product, the  
14 whole product, so it's antigen-presenting  
15 cells plus whatever else is in there. So I  
16 guess my question is, and this is just  
17 approaching it from a sort of simplistic  
18 point of view, is if you have a product that  
19 contains antigen-presenting cells and other  
20 cells, and if you have the ability with flow  
21 to determine. do they have phenotype, can  
22 you not do cell sorting and select out. So

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1 for example, could I not do an - if I wanted  
2 to know whether or not activation of T-cells  
3 in some way was an issue, could I not do an  
4 experiment where, admittedly with frozen  
5 products, I took the original product and  
6 then the product from the second pheresis  
7 and then split up the antigen-presenting  
8 cells and the T-cells and fed them back and  
9 did a flip-flop experiment. Because the  
10 premise would be if T-cells are important,  
11 then I'm going to get more CD54 up-  
12 regulation with my antigen-presenting cells  
13 from batch one using batch two's T-cells.  
14 Is that not a logical thing that could be  
15 done, and has anything like that been done?

16 DR. PROVOST: Well, you might be  
17 able to do that in syngeneic mice. I'm not  
18 even sure you could, but in the patient  
19 population batch two, Week 2 depends on Week  
20 1 or Week Zero having been infused. So  
21 since this is a fresh product, all the  
22 uptake of antigen is in the presence of all

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1 the other cell types, all of those cell  
2 types go back into the patient. Those sorts  
3 of experiments, while they would be very  
4 interesting to do turn out to be  
5 logistically very difficult.

6 DR. MULÉ: Maha, do you have a  
7 question?

8 DR. HUSSAIN: In the concept of  
9 therapeutics we try to give what we think an  
10 effective dose, and then you understand that  
11 not every patient is going to respond to  
12 what you've given them, and if they don't  
13 respond then you know you have done the best  
14 you can, you've given the effective dose and  
15 it did not work for that cancer. How do  
16 you, in the setting of this, ensure that  
17 every single patient of those 55,000  
18 patients out there who may get this drug are  
19 in fact getting a quality-assured treatment,  
20 understanding that we heard from the FDA  
21 speakers that there's the issue of  
22 leukapheresis and there's a variety of

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1 parameters that impact that, not the least  
2 of which availability of leukapheresis  
3 machines, and then of course who's running  
4 them and how long did it take before it got  
5 to you, and all of these details. And  
6 judging by the fact that, if I understood  
7 the quartiles again correctly, that only  
8 certain patients who are above a certain  
9 level are the ones who benefitted, that even  
10 adds another glitch in this whole process,  
11 you know. And when you have a second study  
12 that's negative then it adds a third glitch  
13 in the process. So what do you do to assure  
14 that a single patient anywhere in the United  
15 States who's going to get this is getting  
16 what you have given them in the study and  
17 have been given a fair trial?

18 DR. PROVOST: The apheresis  
19 process is actually a standard medical  
20 procedure used for donating white blood  
21 cells and fractionating platelets, et  
22 cetera. Standard processing parameters are

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1 used. We qualify the apheresis centers to  
2 make sure they're following protocols. We  
3 have a program that's being planned at the  
4 moment to register those centers and these  
5 apheresis centers will need to be registered  
6 with the FDA as tissue establishments. We  
7 have - I think I mentioned that we have a  
8 normal donor program that we use for  
9 development as well as assay validation and  
10 process validation. And what we see is that  
11 we do occasionally have repeat donors that  
12 come in and those, even if they're going to  
13 the same site, same person, same apheresis  
14 center you do see slight variations, but not  
15 great. And even that being said, early  
16 clinical studies set out to establish some  
17 sort of dose and to look for a response.  
18 The early studies were not survival studies.  
19 They were looking for immune responses or  
20 some indication of disease progression.

21 And those early studies, one,  
22 looked for the lowest dose as a fraction of

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1 an apheresis that could elicit an immune  
2 response against the immunizing antigen.  
3 That turned out to be very low, around one-  
4 tenth of an apheresis. On the flip side,  
5 the early studies looked for limiting dose  
6 toxicities, how high could you go, how many  
7 cells could you infuse before you started to  
8 see adverse events. And we bumped up  
9 against the maximum number of cells that we  
10 could apherese and didn't see them. And  
11 that's how we established one apheresis, one  
12 and a half to two blood volumes in duration.  
13 And that coupled with the CD54 data which  
14 suggests that it's that APC fraction that  
15 takes up, processes, and presents the  
16 antigen led us to then focus on the APC  
17 fraction for dose and allow the rest of  
18 those cells to be there since they didn't  
19 have a positive or negative effect that we  
20 could measure.

21 DR. MULÉ: Larry?

22 DR. KWAK: On the topic of

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1 product characterization we haven't heard  
2 very much either from the sponsor or the FDA  
3 about the recombinant antigen. Just  
4 wondering if you know, quality control,  
5 purity: is this considered a reagent and  
6 therefore not relevant to the discussion,  
7 or?

8 DR. WONNACOTT: I can say that we  
9 find it to be very relevant to the product  
10 and we - I think where we're at is that we  
11 just don't feel like we need the  
12 recommendations of the committee on the  
13 antigen. We're comfortable with the  
14 information that was provided in the BLA.

15 DR. MULÉ: Savio.

16 DR. WOO: My question is just for  
17 some clarification in my own mind. I mean,  
18 today I've heard the presentation on the  
19 CD34 correlates and is being used as a  
20 potency issue that's for the product in  
21 terms of the trial. And then we learned  
22 that the immune response was really seen

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1 with the hybrid protein, but not to the PAP  
2 antigen. And then we were told that the  
3 CD54 up-regulation is really not correlated  
4 with the reactivity to even the hybrid  
5 protein. As we hear more and more about the  
6 CD34 things, and then we heard the sponsor  
7 indicates that the CD54 is really a  
8 manufacturing thing and is not prognostic  
9 and that it's not the only predictor. So I  
10 was wondering you know is CD54 being used  
11 for the potency claim still being maintained  
12 by the sponsor, or is it being withdrawn  
13 because I'm confused.

14 DR. PROVOST: CD54 up-regulation  
15 is used as a product release --  
16 manufacturing product release parameter. We  
17 presented the data looking at CD54 up-  
18 regulation and correlating that with  
19 survival basically as a reality check, to  
20 see is this survival benefit that we  
21 measured attributable or correlating with  
22 anything. Is it a fluke? We don't use CD54

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1 up-regulation in any way as a prognostic  
2 factor. We basically use it as a biological  
3 correlate for activity inasmuch as we  
4 activate cells in the process. We have a  
5 minimum spec for that.

6 DR. WOO: If that were the case  
7 then because the entire concept of this  
8 product is really to stimulate the patient's  
9 immune response to go reject the cancer.  
10 And yet CD54 up-regulation being used in  
11 this correlative sense is not correlated  
12 with the reactivity to even the hybrid  
13 protein. So how can we be assured that this  
14 treatment was actually leading to a T-cell  
15 mediated, or immune-mediated rejection of  
16 tumors? Or is this something that has  
17 happened?

18 DR. PROVOST: Let me back up a  
19 minute and state again that the immune  
20 response against the PA2024 immunizing  
21 antigen, the magnitude of that immune  
22 response as measured in our assays by a T-

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1 cell proliferation assay doesn't correlate  
2 with CD54 up-regulation. Now that's a small  
3 subset of the patients that were measured in  
4 the total trial and that T-cell stimulation  
5 assay was not meant to be correlative to any  
6 other immunological parameter. It was  
7 basically to see whether the patients  
8 responded to the immunizing antigen, and the  
9 data we showed said that yes, they did. It  
10 was a clear difference between those that  
11 were immunized and those that weren't, but  
12 we're not putting any credence behind the  
13 magnitude of the immune response from that  
14 assay.

15 DR. WOO: Could I ask then what  
16 evidence is there to suggest that the  
17 treatment actually leads to any anti-tumor  
18 immune response in the patients? Any  
19 evidence at all.

20 DR. PROVOST: We are not trying  
21 to imply that we're seeing tumor shrinkage.  
22 We didn't see objective responses. We

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1 believe it is probably -

2 DR. WOO: That's not my question.

3 I'm sorry. My question is: is there any

4 evidence that the treatment leads to an

5 anti-tumor immune response in patients.

6 DR. PROVOST: None other than the

7 survival effect and the differences in

8 prostate cancer survival.

9 DR. WOO: Okay, thank you.

10 DR. MULÉ: Savio, my -- in my  
11 view this is more condemnation of the field  
12 as it is not necessarily a condemnation of  
13 what we're asked to review today because in  
14 reality if you scan the literature and you  
15 look at all the clinical trials that have  
16 been done in Phase I/Phase II and you look  
17 at all the intricate monitoring of patients  
18 that have been done with specific peptides,  
19 with T-cell clones, with LE spots, very  
20 quantitative, coded, blinded samples I think  
21 it's fair to say there's absolutely no  
22 correlation between the robustness, the

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1 specificity of whatever monitoring is being  
2 done and clinical response. That's the  
3 reality. That's the reality.

4 DR. DUBINETT: I was going to say  
5 something similar, but also in the same  
6 vein. I would be very surprised, in fact,  
7 if a single antigen-presenting cell marker  
8 predicted a response and I would be very  
9 surprised if it were CD54. So I think I  
10 wouldn't be distracted by the fact that in  
11 fact it may be a manufacturing tool, but as  
12 a single marker I think it would be rather  
13 extraordinary to find a single factor that  
14 predicted that response. It's likely to be  
15 multiple and would require clearly much more  
16 work to be done to define that.

17 DR. MARINCOLA: Can I make a just  
18 brief comment too? I think that in your  
19 help I think that the most compelling reason  
20 to use CD54 as the data show that seems to  
21 be the best marker to delineate those cells  
22 that actually present in the antigen, where

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1 100 percent of the cells. So it's the  
2 potency I think it's the closest that I can  
3 imagine it showing that they're delivering  
4 the number of cells they're delivering and  
5 the quality is appropriate. So definitely  
6 the immune response will tell a different  
7 story and I agree with how everything else  
8 has been said, but I think it's pretty  
9 compelling. CD54 seems to be very, very  
10 good marker for what it's supposed to do.

11 DR. MULÉ: The CD54 discussion,  
12 when I look at the questions they're more  
13 related to 2 so we can continue this  
14 discussion and maybe combine Questions 1 and  
15 2, and Glenn, if you want to continue the  
16 discussion related to 54 with Question 2  
17 that'd be good.

18 DR. DRANOFF: Sure. I think  
19 Question 2 is also intimately linked to  
20 Question 3.

21 (Laughter)

22 DR. DRANOFF: So essentially this

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1 relates to what is the mechanism of action  
2 of this immunotherapeutic approach. And I  
3 think there are several important parameters  
4 to point out. We should talk a little bit  
5 about the prostatic acid phosphatase as an  
6 antigen, whether in fact that is the major  
7 antigen that an immune response is elicited  
8 against, whether there are involvement of  
9 other potential prostate cancer antigens.  
10 We need to talk about what are the specific  
11 immune effector mechanisms that are likely  
12 to be active here. Then we need to think  
13 about whether the antigen-presenting cells  
14 in this product function directly to  
15 stimulate T-cell or B-cell responses to the  
16 prostatic acid phosphatase, or whether they  
17 might work indirectly in vivo. And I think  
18 it's fair to say that all of these issues  
19 are essentially at the heart of much current  
20 work in cancer immunology. We could spend  
21 days at meetings talking about these, so I  
22 don't think we're going to come to a final

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1 resolution, but at least for the folks who  
2 don't think about the cancer immunology  
3 issues all the time it's important to  
4 represent what some of these considerations  
5 are.

6           So first the antigen, prostatic  
7 acid phosphatase. As far as the literature  
8 indicates, it's a protein whose expression  
9 really is limited to prostate or prostatic  
10 carcinoma. The literature doesn't indicate  
11 that it involves any mutations, so it's fair  
12 to classify this protein as a normal  
13 differentiation antigen, and it's fair to  
14 point out that many people in the field  
15 believe that targeting differentiation  
16 antigens can be therapeutic and there are a  
17 large number of clinical trials exploring  
18 that. On the other hand, the protein is  
19 also secreted. We saw how that was used as  
20 one of the patient characteristics and these  
21 characteristics of having a large amount of  
22 the protein in the patient actually make it

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1 much more difficult to generate an immune  
2 response and might account in part for why  
3 the investigators have had difficulty  
4 detecting these responses. Now, in the  
5 literature it is clear, however, that there  
6 are antibodies that can be developed to the  
7 protein. There are CD4 T-cells, or helper  
8 T-cells, and then there also are CD8  
9 cytotoxic T-cells. And while the exact  
10 importance of each of those cell types and  
11 antibodies to an anti-tumor effect is still  
12 a matter of investigation, I think the field  
13 would agree that if you could develop  
14 responses to any one of them or more of them  
15 that would be a useful thing.

16           So we've heard mostly thus far  
17 that the monocyte population in the product  
18 is likely to be the most important antigen-  
19 presenting cell. I think the data is  
20 compelling that the large proportion of the  
21 exogenous protein is taken up by the CD14  
22 probably monocyte population. But there's

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1 another cell population that's much rarer,  
2 the dendritic cells, which are several  
3 orders of magnitude more potent as antigen-  
4 presenting cells than monocytes, and we  
5 really haven't characterized their role yet.  
6 But it's likely that the provision of GMCSF  
7 has been enhancing the activity of both the  
8 monocytes and the dendritic cells.

9           Now, the antigen is given to the  
10 antigen-presenting cells essentially as a  
11 soluble protein and it's quite clear that  
12 that mode of presentation is efficient for  
13 stimulating CD4 responses and indirectly  
14 antibody responses, but it's not a very  
15 efficient way to generate cytotoxic T-cell  
16 responses. And indeed we haven't heard any  
17 discussion about measuring CD8 responses  
18 which many would think might be of great  
19 importance. So it's unlikely in my view  
20 that this approach is going to be a good way  
21 for generating CD8 responses in the direct  
22 mode of presentation. Now, in terms of

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1 measuring whether the antigen-presenting  
2 cells are properly activated, we've heard  
3 from many people already that ICAM is almost  
4 certainly a part of that process, and  
5 there's good evidence that if you block ICAM  
6 function or if you make animals with  
7 deletions in this gene that their antigen-  
8 presenting cells don't work as well. And it  
9 certainly is an easy thing to measure, and I  
10 think the data presented have indicated  
11 quite convincingly that ICAM up-regulation  
12 is an indicator of the response of their  
13 PBMCs to the PAP GMCSF protein.

14           So, from this data can we really  
15 conclude that the intended mode of improving  
16 antigen presentation actually has occurred  
17 in vivo? And, although there really are not  
18 very convincing evidence for PAP-specific  
19 responses in my view, I think there is  
20 compelling evidence for reactivity to the  
21 fusion protein. And it's likely that that  
22 reactivity is because it's easier to

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1 generate immune responses to novel sequences  
2 the patient hasn't been living with, and I  
3 think that that frequency of developing T-  
4 cell and antibody responses to the fusion  
5 protein really does support the idea that  
6 there is improved antigen presentation going  
7 on as a function of this therapy. Now, is  
8 that actually the direct way that this might  
9 work in vivo? And there I think it's fair  
10 to say that's less clear. It is probably  
11 very useful, though, to be infusing into  
12 patients activated antigen-presenting cells.  
13 Rather a large number are being infused and  
14 in my judgment these cells are likely to  
15 traffic throughout the patient and indeed  
16 may even be attracted to areas where there  
17 is some ongoing inflammation, perhaps due to  
18 a tumor deposit. And I think it's  
19 plausible, though clearly more study would  
20 be required, that it's actually the  
21 trafficking of these cells to sites of  
22 tumors or maybe even draining lymph nodes in

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1 the patient which might provide a secondary  
2 activation of antigen-presenting cells in  
3 the patient which could lead to presentation  
4 of many more antigens than PAP, probably  
5 those that could be more important for tumor  
6 rejection. So I'm just trying to outline  
7 some of the complexity of this pathway.

8           There are many unknowns, but  
9 there is clear evidence in my view, that  
10 this manipulation is activating antigen-  
11 presenting cells and I find compelling,  
12 actually, the scenario that Hy Levitsky had  
13 raised that the activation of the PBMCs  
14 that's apparent in the second and third  
15 products is an indirect, but probably  
16 important indicator that the immune system  
17 in the patient has been activated. They  
18 provided in the appendix evidence that  
19 cytokines are being produced. So from the  
20 first principle that you're going to try to  
21 improve antigen presentation; does this  
22 product have the capacity to do that? I

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1 think the answer is clearly yes. The  
2 specificity of that, however, is unclear.

3 DR. MULÉ: Dr. Provost, so  
4 talking about CD54 up-regulation, the  
5 numbers are small, but if you combine  
6 Studies 1 and 2 there were 20 patients that  
7 never received the third infusion, and I  
8 think the numbers were about five or so that  
9 only received one infusion. Have you done  
10 any analysis, number one, of whether or not  
11 the number of infusions are important or any  
12 correlation with cerebrovascular effects,  
13 number one. And number two, I know there  
14 was no correlation with cell number and  
15 cerebrovascular effects, but I don't know if  
16 an analysis - certainly I failed to see it  
17 in the documents, of whether infusion number  
18 had an impact on that, number one, and  
19 number two, when you look at the survival  
20 curves of the quartiles, where do those  
21 patients sit in that analysis?

22 DR. PROVOST: Sorry, I'll go to

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1 the microphone so I can clarify. Where did  
2 - when we look at the quartiles, where did -  
3 which patients? You mean those that only  
4 got one or two?

5 DR. MULÉ: Look at number of  
6 infusions where patients only received one  
7 infusion of Provenge versus two, where do  
8 they lie?

9 DR. PROVOST: I don't have the  
10 data before me, but I could make a guess.  
11 Since the data that I showed you were  
12 cumulative CD54 values, they were more  
13 likely to lie on the lower end, but I  
14 preface that by saying we have not done that  
15 analysis.

16 DR. MULÉ: It's an interesting  
17 component because if you look at the third -  
18 an analysis of phenotype of the third  
19 infusion versus the second infusion, there's  
20 really not a lot of difference.

21 DR. PROVOST: Right.

22 DR. MULÉ: So it begs the

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1 question, do you really need the third  
2 infusion. You know, that's an issue, but  
3 the numbers are small obviously.

4 DR. PROVOST: Right.

5 DR. MULÉ: But I think it's an  
6 analysis that would be worthwhile. And  
7 getting back to the serious adverse events,  
8 did you look at that, whether those  
9 patients, with infusion number?

10 MS. SMITH: I'm going to ask Mark  
11 Frohlich, Vice President of Development.

12 DR. FROHLICH: In terms of the  
13 CVA patients, all of those patients received  
14 three infusions so there didn't appear to be  
15 a correlation with the number of infusions.

16 DR. MULÉ: Other comments?  
17 Doris.

18 DR. TAYLOR: Following up on that  
19 though, you said the salvage patients did  
20 not show any cerebral vascular incidents.  
21 Did they also receive three infusions?

22 DR. FROHLICH: They were all

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1 scheduled to receive three infusions. I  
2 can't speak to the number broken down. The  
3 patients who get the salvage treatment do  
4 receive a somewhat lower dose than the  
5 standard sipuleucel-T.

6 DR. MULÉ: Let's move on to  
7 Question 3 which again was spilling into the  
8 next question with these discussions. But,  
9 Franco, if you could maybe talk a little bit  
10 more about the immune monitoring component.

11 DR. MARINCOLA: Well, a lot has  
12 been said already, so I will summarize  
13 briefly. And I have to say that the - from  
14 the quantitative aspect the effect of the  
15 product has been very striking, so obviously  
16 it is doing something. But the question is  
17 what it's doing as was being pointed out  
18 just now. And you know, of course you can  
19 go into esoteric discussion about the  
20 junction or region of the recombinant  
21 protein being particularly immunogenic  
22 because it's seen as foreign or maybe, I

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1 mean it could be other issues like  
2 contaminant products, contaminants in the  
3 product. There may be - would serve as  
4 immunogens both in in vitro and in vivo. So  
5 I don't know, it's interesting, but of  
6 course lacks a lot of specificity. So I  
7 don't know whether the immunological data  
8 that have been provided are informative at  
9 all to answer the question of whether this  
10 product reaches the desired biological  
11 endpoint - I mean, effects. And of course  
12 it would be nice to know what the  
13 contribution of CD8 cells versus CD4,  
14 cytotoxic T-cells. It would be nice to  
15 prove antigen specificities using the R1  
16 patients who epitopes are known, or use  
17 epitope libraries somebody suggested, or use  
18 - and also use tests, maybe a little bit  
19 more specific than proliferation assays like  
20 - which are obviously biased CD4 responses  
21 or CD8 responses, like LE spot and other  
22 arrays.

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1                   So having said that, however, I  
2                   have to agree with what Hy and - so many  
3                   times Hy Levitsky and maybe Jim just said,  
4                   that truly, does it really matter because  
5                   the evidence in the literature is that  
6                   looking at the systemic responses to  
7                   vaccines there's not a relationship  
8                   whatsoever with the clinical outcome. Maybe  
9                   because we are looking at the wrong place,  
10                  we should look at the tumor side. So there  
11                  is so much immunology that we don't know  
12                  yet, and maybe it's just a nice, very  
13                  important intellectual exercise, academic to  
14                  discuss what happens, but maybe not relevant  
15                  whatsoever to the product. So I think  
16                  discussing the immunology of this product I  
17                  think should be encouraged because obviously  
18                  if you could find -- the sponsor could find  
19                  eventually some kind of relationship between  
20                  some immune responses and clinical outcome  
21                  then one day it could be a good surrogate  
22                  marker instead of having to wait for years

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1 to see what the outcome would be, and to  
2 predict, maybe, the effect of the treatment.  
3 But for the moment I don't think really the  
4 data provide as well as the knowledge of  
5 immunology should bear in the decision-  
6 making about whether the product should be  
7 approved or not. I think it's just an  
8 interesting discussion, and I think we can  
9 talk about that if we have to, but that's my  
10 impression. So whoever wants to say  
11 something.

12 DR. MULÉ: Other comments?

13 DR. DUBINETT: I would only add  
14 that some measure of assessment of what  
15 we've done to T-regulatory activities and  
16 suppression would add to this. And I think  
17 this is in part echoed in what Glenn Dranoff  
18 has recently written about. But we really  
19 have of course embarked on therapies, a  
20 number of which we now know are very good  
21 inducers of suppression. And this would be  
22 an opportunity to find out where this

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1 particular therapy sits in that spectrum of  
2 activity.

3 DR. MARINCOLA: From the academic  
4 standpoint there are lots of interesting  
5 questions to look at, but practically  
6 speaking I think - I guess the most  
7 important thing is whether we believe the  
8 survival data or not.

9 DR. DUBINETT: I agree.  
10 Absolutely.

11 DR. MULÉ: Other comments? Okay,  
12 let's move on to Question 4. What I'd like  
13 to do is go through the questions and then  
14 at the end, I'll ask FDA specifically  
15 whether we've covered what you need and then  
16 we can go back if necessary. Howard?

17 DR. SCHER: So with respect to  
18 the cardiovascular accidents or CVAs as a  
19 potential safety issue, I think this  
20 analysis really reflects some of the issues  
21 that have come up in terms of small numbers  
22 of patients and extrapolating results from

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1 particular prostate cancer cohorts, in this  
2 case patients enrolled on different trials  
3 with different eligibility criteria. So if  
4 you look across the population, the absolute  
5 difference in the cardiovascular events of  
6 1.3 percent certainly is not different. But  
7 then if you look within the androgen-  
8 independent population, for whom the  
9 indication is requested, you do see a  
10 difference that although it does not reach a  
11 0.05 p-value, absolute numbers of 5 percent  
12 versus 1.7 percent, 4.9, do raise some  
13 concerns. And the hazard ratio again of 2.9  
14 again raises concern, but looking at the  
15 numbers of patients this could be anywhere  
16 from protective, 0.84, all the way up to  
17 risk factor - a hazard ratio of 10. So I  
18 believe these sponsors correctly point this  
19 out and do plan to include monitoring for  
20 these effects or these events in future  
21 studies. I do think it remains an issue.

22 In the briefing documents

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1 provided there was some mention of risk  
2 profiles of strokes and I would suggest that  
3 more could be done prospectively to better  
4 define the population in terms of their  
5 cardiovascular histories, concurrent  
6 medications and other comorbidities, and  
7 again I would urge that be included  
8 prospectively in future studies. So I think  
9 it's still an open question.

10 DR. MULÉ: Other comments? Okay.  
11 Number 5, Maha.

12 DR. HUSSAIN: So the essence of  
13 the question is the survival data that's  
14 presented. The intent is to discuss the  
15 persuasiveness of the efficacy evidence  
16 reported in the BLA application and in the  
17 table. And as I read this, it is clear that  
18 there is a survival difference, so we're not  
19 disagreeing on that. The question is does  
20 one believe that the survival difference is  
21 related to a therapy effect. Am I  
22 interpreting that correct? Okay.

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1                   So I'm going to speak not as a  
2                   statistician, but rather as a clinician who  
3                   has been taking care of prostate cancer  
4                   patients for 17 years, or 18 years by now.  
5                   I'm getting old. And as a clinical trialist  
6                   who has written numerous institutional and  
7                   cooperative group clinical trials. And so I  
8                   put that up front so that I can explain the  
9                   rationale, or give you sort of -- in  
10                  essence, a feel for the rationale or the  
11                  position where I'm coming from. So the  
12                  first thing I want to point out, that no one  
13                  disagrees that survival ought to be the key  
14                  factor. However, it's the spirit of how  
15                  that survival has been looked at, not an  
16                  after-effect, not an afterthought, it's  
17                  intended in the first place to be looked at.  
18                  And at ODAC, the FDA had convened a  
19                  committee of clinical trialists and prostate  
20                  cancer experts last year to look at  
21                  endpoints in prostate cancer specifically,  
22                  and I think the unanimous decision was that

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1 the primary endpoints for purposes of  
2 approving a drug, at least among the people  
3 sitting on the table who were not FDA  
4 members, but clinicians, that had to be a  
5 specified up front survival. Unfortunately  
6 that's not the case and the only conclusion  
7 I have is that the trials were designed not  
8 to look at survival, because probably they  
9 didn't think they were going to see a  
10 survival difference and the sample size and  
11 everything else in my opinion is very small,  
12 to me almost equal to a randomized Phase II  
13 trial. So that's one point.

14 The second point is that there  
15 was a lot of discussion back and forth about  
16 side effects, quality-of-life and docetaxel  
17 and such. And I want to point out that this  
18 is not a comparison between this drug and  
19 docetaxel because that's not what the study  
20 on the table is. What's on the table is a  
21 comparison between a vaccine and a placebo.  
22 In a population of patients that are much

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1 more healthy relatively speaking by  
2 comparison to the Taxotere trials who were a  
3 lot sicker patients, and consequently the  
4 burden of benefit is totally different and  
5 cannot really be compared, that you see four  
6 months here, two months there, that for them  
7 this is better, I would try to stress these  
8 are totally different populations.

9 Now, the context in looking at  
10 this is that when I sit down on Monday to  
11 talk to patients, I have to feel maybe not  
12 100 percent, but 90 percent confident that  
13 everything that was presented today is  
14 related to the treatment, and that this is  
15 the best drug for Mr. Smith, who I'm going  
16 to see Monday morning if it's available on  
17 the market, and that I have to feel  
18 confident in advising him about that. And I  
19 guess the answer is I'm not sure. And the  
20 reason I want to say I am not persuaded - if  
21 that's the conclusion, but I'm going to go  
22 through the list if that's okay - is the

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1 following. We start with a study design  
2 that, in effect, is a total of less than 150  
3 patients, 80 patients went on treatment, so  
4 the study is incredibly under-powered. Why  
5 that is important, let me give contrast by  
6 several Phase III trials that are - have  
7 been conducted and are ongoing, and the  
8 smallest of these trials are 700 patients in  
9 prostate cancer that have been conducted and  
10 completed in a timely manner. So it's not  
11 an impossible task, number one.

12 The problem is that when we look  
13 at the confidence interval, and I'm not  
14 speaking as a statistician. When I look at  
15 a result, I want to say that this is not in  
16 the eye of the beholder, that you can go to  
17 the bank and this is real. This is not  
18 something that two people would disagree on.  
19 So I would point out that two randomized  
20 Phase III trials with the drug docetaxel  
21 were conducted. It's incredible how the  
22 survival of the arms, the mitoxantrone, the

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1 Taxotere, despite different sets of  
2 eligibility, different sites, different  
3 everything, were very consistent in that you  
4 could tell a patient that I expect your  
5 median survival with mitoxantrone will be  
6 about 16 months and it's about 18 months  
7 with Taxotere. And that's true for both of  
8 these trials independent of each other.

9           The problem here is that's not  
10 the case. So you have the same company  
11 conducting two trials, and the first trial  
12 gave a median survival on the average of  
13 about 25 months and a hazard ratio that  
14 would have been claimed to be in favor of  
15 the treatment. And yet there is a  
16 comparable eligibility second trial that  
17 failed to demonstrate the effect, but to me  
18 what's scary is the fact that the best arm  
19 in the second trial with a median survival  
20 of 19 months is worse than the mitoxantrone  
21 arm from the asymptomatic cohort in TAX 327  
22 trial where their median survival was 19.8

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1 months. Now that was in Dr. Logothetis's  
2 slide, so I'm not making this up. It's  
3 presented. And that to me is concerning.  
4 Why that is concerning is that, even though  
5 you're starting with patients who you are  
6 assuming are asymptomatic and therefore  
7 comparable, something in there is not  
8 jiving. Immediately you're getting a drop  
9 in the median survival of about six months,  
10 again suggesting there are subtle things  
11 that are not clearly reflected within the  
12 trial.

13           Now, the first trial, so Number  
14 1, had really some imbalance between the  
15 arms. Now, the imbalance cannot be brushed  
16 off because if you're talking about a 1,000-  
17 patient trial and you have maybe 5 percent  
18 change differences is one thing, but when  
19 you're talking about a 80-patient and a 40  
20 in the control arm, little differences in  
21 the potential prognostic variables can  
22 impact interpretation of results. And I

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1 would say that it could be just by chance  
2 that the second trial was not matching the  
3 first trial and has nothing to do with  
4 biology. Again, it's small sample sizes.

5 One area we have not touched on  
6 here and I'm not an expert in immunology,  
7 but it's my understanding that the hormonal  
8 environment impacts the immunologic  
9 response. I don't know if anybody cares to  
10 comment on that later. And there was really  
11 nothing presented here as to the prior  
12 duration of hormone therapy, and as we all  
13 know, those of us who deal with prostate  
14 cancer, people who have a longer natural  
15 history -- respond longer to hormones --  
16 tend to do better in general as opposed to  
17 the ones who have a very violent course.  
18 And that has not been accounted for in  
19 there. Can I keep going? Thank you.

20 The issue with the p-value and  
21 its significance is to me very concerning,  
22 and again I'm not a statistician, but as the

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1 statistical reviewer from the FDA presented  
2 that a p-value of 0.01 does not always  
3 correspond to statistical significance. And  
4 we saw a bunch of p-values being flashed  
5 both from the sponsor and the FDA. It's  
6 really the context. So a 0.01 in the  
7 setting of a survival being the primary  
8 endpoint is one thing, as opposed to a 0.01  
9 in the context of a post hoc analysis is  
10 something else. And I think that that ought  
11 to be kept in mind.

12           There is another, to me,  
13 concerning observation and that is none of  
14 the disease-related manifestation was  
15 impacted. So as a clinician it's hard to  
16 conceive if the disease is progressing at  
17 the same rate, what else is keeping people  
18 alive. And that really is very concerning.  
19 In most of the prostate cancer trials, and I  
20 cannot think of any solid tumor,  
21 understanding it's not vaccines, but  
22 chemotherapy or other biologics that we talk

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1 about, generally the disease manifestation  
2 and disease-related, I guess, manifestation  
3 of disease go together with the survival.  
4 So when you see a survival advantage you see  
5 a time-to-progression advantage, you see a  
6 pain response benefit, you see all of that.  
7 And that was true in the Taxotere trials, at  
8 least if we talk about prostate cancer.  
9 That has not occurred here and that to me  
10 says something. It's maybe the vaccine  
11 didn't really work and maybe that's why  
12 there was no - anything picked up in terms  
13 of immune stimulation and everything that  
14 we're talking about. Maybe something else  
15 was the reason why these patients lived  
16 longer.

17           There are two more things that I  
18 want to mention and that is the reason we do  
19 clinical trials and we use statistics it is  
20 because we want to put a standard for care  
21 that is - that if it's my father, I am happy  
22 with him doing that. I don't want something

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1 that two people look at and say, well,  
2 really oh yes, absolutely this works, or it  
3 really doesn't work. And in this case I  
4 think that a combination of two trials that  
5 went to different ends, a very limited  
6 observation on 80 patients, I feel very  
7 uncomfortable recommending it to the  
8 patients out there. There is an ongoing  
9 definitive trial which I have asked about  
10 three times how far is that trial, so how  
11 many patients have been accrued of the 500?  
12 Four hundred? Okay. So 400 of 500 have  
13 been accrued which means within 100 patients  
14 we would have those results in the next two  
15 to three years reported. If you couple that  
16 with a potentially open or expanded access  
17 program, which is not an impossible thing.  
18 And an expanded access program, I don't know  
19 if - I'm sure you're all familiar with it,  
20 but other companies when there is a  
21 promising drug, and you could always make it  
22 available within certain guidelines to the

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1 patients while you're waiting for your  
2 definitive trial. So I don't see that  
3 rushing to say this is great now is of  
4 utmost urgency because certainly the company  
5 could choose to have open access programs.

6 And I think the reason that's  
7 important is collecting more safety data is  
8 going to be extremely important. I would  
9 only cite out the issue of growth factors  
10 such as the erythropoietin that has been  
11 used for a very long time and we all thought  
12 it was safe and recently there was this  
13 whole thing about it is harmful. And so to  
14 say that we have safety data from three,  
15 four years on a thousand patients, to be  
16 honest with you I'm not so sure that I'm  
17 comfortable in the context of a small,  
18 limited trial that this is actually adequate  
19 safety data. And to say CVA is about three  
20 times the rate, even though it's not  
21 statistically significant, if you open it up  
22 to the 20,000 - 30,000 patients out there,

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1       only you know you have no idea what could  
2       happen. So I think collecting this kind of  
3       information in a controlled manner becomes  
4       important, and I think that's all. Thank  
5       you.

6                     DR. MULÉ: Thanks, Maha.  
7       Comments? Howard?

8                     DR. SCHER: I would just like to  
9       reiterate that I don't think there's any  
10      debate here about the need for more options  
11      and more effective treatments for what's  
12      clearly a lethal disease. But I would also  
13      say that as a physician and a researcher  
14      echoing Maha's comments that part of the  
15      failure and the lack of availability of  
16      drugs is not the fault of the FDA, it's  
17      really our fault in terms of how we design  
18      trials and conduct them. So the 01 and 02  
19      studies were very well-designed for a  
20      primary endpoint of time-to-progression.  
21      They were well-conducted, prospective,  
22      double-blind, randomized. It's really as

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1 good as it gets. Unfortunately it didn't  
2 meet the primary endpoint and then three  
3 years later a survival analysis is reported,  
4 it is observed and there's no question that  
5 this is the gold standard by which we live.

6 So again the question boils down  
7 to is this really a drug effect or is it  
8 simply related to the patient populations.  
9 So as we look back on what was presented we  
10 didn't really see any evidence of a direct  
11 anti-tumor effect, granted that was not part  
12 of the trial, and we all recognize there are  
13 problems. The primary endpoint was not met,  
14 but if you look at the - where the patients  
15 failed, it was again with bone scans which  
16 is similar to another agent that was  
17 presented to the agency a few years ago. We  
18 did see an imbalance in the distribution of  
19 soft tissue disease, but we didn't see  
20 reports of serial imaging actually to  
21 monitor that disease to see that there was a  
22 change in the tempo of the illness. And

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1 again, I would agree there has to be some  
2 point where this is affecting the natural  
3 history and we just haven't seen that.

4 We weren't provided any  
5 information on quality-of-life such as pain  
6 relief or delaying to the development of  
7 pain and the time to the development of - to  
8 the need for chemotherapy which is arguably  
9 an indication that the physicians treating  
10 them felt that the disease had taken a turn  
11 for the worse, also appeared to be similar.  
12 And while we are all looking for  
13 replacements for hormones and recognize the  
14 adverse effects associated with them,  
15 there's no data presented here that this is  
16 in fact a potential replacement for hormone.  
17 It just wasn't the question.

18 So actually what we're shown is a  
19 post hoc analysis with a small number of  
20 patients, and if we were looking at that  
21 result as a Phase II study, and  
22 prospectively asking the question to

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1 demonstrate that treatment effect we need  
2 approximately 500 - 700 patients. And at  
3 some point during the day I would like to  
4 see the details of the Phase III design, you  
5 know, again with the idea to make sure that  
6 it is sufficiently powered and, you know,  
7 again it may be an opportunity to add more  
8 patients if there's any question.

9           So you know, if you ask me the  
10 question does this drug prolong life, I just  
11 don't know at this point in time. So I  
12 start thinking, you know wearing my  
13 physician's hat, obviously I feel extremely  
14 frustrated when there are no options to  
15 offer patients. So if I start thinking, am  
16 I denying a potentially useful agent to men  
17 who clearly need it, the answer is  
18 unfortunately I don't know. So I say well,  
19 what if we think that this really should be  
20 available, start thinking about the number  
21 of agents that are currently under  
22 development. There's now issues of

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1 prioritization. We still have the issue of  
2 toxicity. There was a higher frequency of  
3 strokes, and again if you amplify across the  
4 global population this does create  
5 potentially very serious problems. So in  
6 the same vein where I want to offer  
7 effective therapies, I don't want to offer  
8 those that are ineffective and potentially  
9 toxic. So I think all of these  
10 considerations have to be factored in and I  
11 would reinforce that there are ways to make  
12 drugs available in appropriately controlled  
13 contexts so that patients are not denied it  
14 if they so choose to have it - or want to  
15 pursue it.

16 DR. MULÉ: Other comments?

17 Richard.

18 DR. CHAPPELL: I also don't doubt  
19 the need for this, need for further  
20 effective and less toxic therapies, and I've  
21 carefully read the comments and listened to  
22 those who have benefitted from Provenge. We

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1 obviously can't hear from those who - the  
2 treatment has failed, and there are many of  
3 those, unfortunately. The statisticians  
4 focus on p-value, which is the probability  
5 of erroneously accepting the drug as  
6 improving survival, and Dr. Zhen correctly  
7 said that you can't - it's impossible to  
8 compute a p-value, which hasn't stopped me  
9 from trying just to illustrate some of the  
10 problems in my own mind, and perhaps yours.  
11 So when would we possibly accept or  
12 recommend approving this drug? Now I can  
13 only speculate, but I presume that if in  
14 both trials the primary endpoint were a  
15 significant probability less than 0.05, that  
16 would probably work. Or even if one were  
17 significant, which is a chance of 1 in 20 if  
18 it weren't, and the other wasn't too bad,  
19 and so that's two chances in that case. Or  
20 if neither were significant and the survival  
21 in the first trial were significant, we're  
22 debating approving, recommending approval,

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1 or if neither were significant for the  
2 primary endpoint and survival in the second,  
3 but not the first were significant. And  
4 that's too many - well, that's a lot of  
5 combinations. I'm still not sure it's too  
6 many. But it's a lot of ways in which one  
7 can make a mistake. And so I'm worried  
8 about it. I've seen other clinical trials  
9 in which I've seen p-values of last one  
10 0.004. I won't give you the details, but  
11 the hypothesis was so ridiculous that nobody  
12 would have accepted it. It was just one of  
13 those a posteriori hypotheses which turned  
14 out by coincidence to be significant.

15 And I echo Dr. Scher's emphasis  
16 on the next trial. One always wished one  
17 could change the past. The second best time  
18 to plant a tree is today, if you quote  
19 Confucius, rather than 20 years ago. And so  
20 I am concerned with the possibility of  
21 correcting deficiencies in the design of  
22 this next trial, that the endpoint be what

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1 we would call hard, that is be survival, be  
2 for something very simple, like the log rank  
3 test, rather than a model so we don't have a  
4 debate in a few years over which model do we  
5 choose, one is significant, one is not  
6 significant. Some have missing covariates.  
7 Do we include those or not? And also  
8 whether the outcome, whether we really want  
9 something like the log rank test, because we  
10 realize that at first there is no advantage.  
11 It takes awhile - if it works, it takes  
12 awhile to work. Do we want to a priori  
13 specify a test that down-weights any early  
14 differences in survival curves and  
15 emphasizes later differences which one  
16 expects. So I hope to, regardless of the  
17 outcome today, to emphasize the future, and  
18 make sure that any future results are not  
19 subject to such debate as we've had.

20 DR. MULÉ: Would someone from  
21 Dendreon wish to comment on 9902B? Because  
22 that has come up a number of times by

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1 several members of the advisory committee.

2 DR. FROHLICH: D9902B is a  
3 randomized, multi-center, double-blind,  
4 placebo-controlled trial that's very similar  
5 in design to Studies 1 and 2 that have been  
6 described today. The eligibility criteria  
7 are men with asymptomatic or minimally  
8 symptomatic metastatic androgen-independent  
9 prostate cancer. It's a similar 2 to 1  
10 randomization. The primary endpoint is  
11 overall survival. The secondary endpoint is  
12 time-to-disease-progression. It's an event-  
13 driven analysis for 360 death events. It's  
14 powered at 90 percent for a hazard ratio of  
15 1.45.

16 DR. MULÉ: Howard, does that help  
17 you in your?

18 DR. SCHER: What would come up,  
19 is there a rationale or need to increase  
20 that sample size? Because 1.45 is  
21 significant. I mean, it's been a big bar in  
22 this disease. So assuming that the

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1 analysis, there's been no analyses to date.

2 DR. FROHLICH: So the integrated  
3 analysis of Studies 1 and 2 showed a hazard  
4 ratio of 1.5, so 1.45 was deemed to be a  
5 reasonable estimate given the data we have  
6 to date.

7 DR. MULÉ: Maha?

8 DR. HUSSAIN: I think it's a good  
9 size for looking for that much difference.  
10 The only question, Dr. Frohlich, I had and  
11 that is the symptoms you refer to is not any  
12 symptoms, it's pain I assume.

13 DR. FROHLICH: For the  
14 eligibility criteria?

15 DR. HUSSAIN: Yes.

16 DR. FROHLICH: Minimally  
17 symptomatic disease, right.

18 DR. HUSSAIN: But what is  
19 minimally? Is that -

20 DR. FROHLICH: Not requiring any  
21 narcotic analgesics, and on a visual analog  
22 scale a score of 3 or less.

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1 DR. HUSSAIN: And are you somehow  
2 doing any kind of stratification to account  
3 for potential prognostic variables?

4 DR. FROHLICH: We are stratifying  
5 for Gleason score bisphosphonate use and  
6 study center.

7 DR. HUSSAIN: Thank you.

8 DR. FROHLICH: I'm sorry, number  
9 of bony metastases as well.

10 DR. MULÉ: Richard?

11 DR. CHAPPELL: Dr. Mulé, is it  
12 within our purview today - should we be  
13 discussing this third trial in making  
14 recommendations? Or just the evidence from  
15 -

16 DR. MULÉ: No, it's really to  
17 provide additional information to several of  
18 the committee members who have been trying  
19 to get a better sense of where this is  
20 going.

21 DR. CHAPPELL: Okay.

22 MS. SMITH: Mr. Chairman, is it

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1 possible that we comment on some of the  
2 statistical comments that were made?

3 DR. MULÉ: Yes, sure, go ahead.

4 MS. SMITH: I invite Dr. Brent  
5 Blumenstein to comment on some of the  
6 statistical issues raised.

7 DR. BLUMENSTEIN: The issue of  
8 how to interpret the p-value from the  
9 survival trial is of course central to the  
10 deliberations here. And I agree that it is  
11 difficult to know what significance level to  
12 compare the 0.01 to. In other words, what  
13 kind of adjustment for the actions, the post  
14 hoc nature of the survival and so forth  
15 should be taken into account. However, I  
16 think that one of the things that hasn't  
17 been mentioned so far in this is the special  
18 status that survival has with respect to  
19 time-to-progression. That is, there is a  
20 putative surrogacy relationship between  
21 these two endpoints, and if you accept the  
22 fact that there is that possibility, or even

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1 believe that there is that. I know that  
2 it's not been proven, it's not validated,  
3 that's a very difficult thing to do for  
4 those of you who've been watching that  
5 process of trying to validate surrogate  
6 endpoints. While it isn't validated, one  
7 has to take into account that there's the  
8 possibility that the outcomes of time-to-  
9 progression and survival are correlated in  
10 some manner. And when one thinks about  
11 making p-value adjustments, one can take  
12 into account the correlation between two  
13 endpoints in deciding what should be used as  
14 the significance level at which to judge an  
15 outcome, a p-value. And if one assumed that  
16 these two endpoints were perfectly  
17 correlated, then when you start to make that  
18 adjustment, you would find out that you  
19 didn't need to make the adjustment because  
20 of the correlation.

21 But that's only one way to look  
22 at it because actually I prefer not to look

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1 at TTP, the time-to-progression, and  
2 survival as two endpoints that one is going  
3 to choose between within this trial.  
4 Rather, I like to think of these endpoints  
5 as having this surrogacy relationship. I  
6 mean, I'm trying to - what I'm trying to do  
7 is communicate to you why I feel that the  
8 data from this Study 1 does provide evidence  
9 of efficacy. So I prefer to think of these  
10 endpoints as having that surrogacy  
11 relationship, and thereby not wanting to  
12 make the kind of adjustment one would make  
13 if these two endpoints measured two distinct  
14 features of the patient, perhaps related,  
15 but two features of the patient. So if I go  
16 down the surrogacy route, then I'm in the  
17 position of thinking of the outcome as being  
18 something where both endpoints need to be  
19 met for you to have an overall significance  
20 of the study. Under those conditions, when  
21 you have perfectly correlated endpoints as I  
22 mentioned before you get to the same p-

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1 value, that is - I mean the same  
2 significance level to be used. That would  
3 be 0.05. And so you can get to the 0.05  
4 significance level both ways by making  
5 different assumptions about whether you're  
6 looking at a surrogacy relationship, or  
7 whether you're looking at two endpoints that  
8 might have a high correlation.

9 But I think that the bottom line  
10 of all of this is that we have to stop and  
11 say, well, we really can't know that because  
12 you can only make assumptions, and then  
13 maybe you could do some computations and so  
14 forth and try to get at a significance level  
15 to be used. I think even if you were to do  
16 that you wouldn't find that there would be a  
17 severe penalty on the significance level  
18 because of the correlation, whether you  
19 assume it's one or something less than that.  
20 But I think that there are other things that  
21 have to be taken into consideration, and I  
22 spoke about this briefly this morning. And

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1 one of them is the fact that, and Richard  
2 Chappell mentioned this as well, is that we  
3 have this issue of a delayed effect. And  
4 what that says to me is that the results of  
5 - for TTP in Study 1 can be viewed as having  
6 been spoiled by the failure to take into  
7 account a delayed effect, that is the amount  
8 of time it takes these immunotherapies to  
9 behave. Now, if we assume that the trial  
10 was just under-powered, and we got a  
11 insignificant p-value for TTP, that would be  
12 the end of the story. But if you have a  
13 valid explanation, something that is not  
14 only present in Study 1 but is present in  
15 other immunotherapies and there's a biologic  
16 theory behind it, then you're compelled to  
17 not just look at that p-value for TTP, but  
18 also to look at the estimate of the hazard  
19 ratio, and to see whether that has some kind  
20 of a clinical meaning for you. And the  
21 hazard ratio for Study 1 TTP is 1.45.  
22 That's a large hazard ratio. And so you're

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1 therefore compelled to take that into  
2 account when you compare the even larger  
3 hazard ratio of 1.71.

4 Now, the small trial issue is  
5 another difficulty that's been discussed  
6 here and I think the biggest - the most  
7 important thing to take into account when  
8 you look at the survival result, and in  
9 light of the small trial, that is you have a  
10 - you're sitting there with a significant p-  
11 value, or at least putatively significant p-  
12 value, depending on what kind of reference  
13 significance level you wish to use. You're  
14 sitting there looking at this 0.01 and  
15 you're saying, well, is this 0.01  
16 significant or not, or what does it mean in  
17 the context of this small trial. What you  
18 have to do there is take a look at the  
19 confidence interval, and when you do you  
20 find out that the confidence interval, the  
21 lower bound of that confidence interval is  
22 1.13. Now, Bo Zhen this morning, the

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1       statistician from the FDA says that that's  
2       small. Well, I don't think it is myself. I  
3       think representing a 13 percent higher  
4       hazard rate in the control arm is important  
5       and in fact would, as a lower bound of a  
6       confidence interval, does translate to an  
7       implication of clinical benefit.

8                   And finally, Maha Hussain said  
9       that the - indicated that she thought that  
10      the rest of the data from Study 1 didn't  
11      really speak to the whole study being  
12      significant. I think I see it a different  
13      way. To me, all of the secondary endpoints  
14      go in the right direction. TTP as I've  
15      mentioned before goes in the right  
16      direction. There may be a good explanation  
17      for why it's not statistically significant  
18      based on the presence of this delayed effect  
19      that wasn't taken into account at the time  
20      the study was planned because nobody  
21      understood that at that time. But the other  
22      thing that's important is that we showed

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1 some forest plots where various subsets of  
2 the patients were compared with respect to  
3 the important prognostic factors. And I  
4 think that, again, to get a sense of whether  
5 the study has this internal consistency  
6 that's so important in the interpretation of  
7 a small trial is that you have to remember  
8 that those forest plots, and let's see if  
9 you can bring up the one that shows all the  
10 factors for Study 1. That would be the most  
11 useful one. But if you look at those, then  
12 you can see that almost all of the factors  
13 looked at, almost all of the subgroups -  
14 we're still looking for the one that -  
15 almost the preponderance of them are, in  
16 fact all of them, I think, are on the right  
17 side of the vertical line indicating no  
18 effect, and many of them of course from  
19 Study 1 have confidence intervals that don't  
20 cross that line. This is the one. And so I  
21 think that this is an indication that the  
22 expected outcomes with respect to the

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1 factors that would control - that indicate  
2 consistency, that these factors are all  
3 pretty much in the right direction with  
4 respect to establishing the internal  
5 consistency of this trial.

6           So here I am a statistician, and  
7 I know the rules. In fact I sit on  
8 committees and I often invoke those rules,  
9 but this time I'm sitting on the other side  
10 of the podium, or not at that table, and I'm  
11 going to argue as a mostly naysayer, but I'm  
12 going to argue that in this case, I would be  
13 presented with this dilemma of looking at  
14 all of this evidence together, and I think  
15 that, you know my feeling would be, yes,  
16 this 1.71 hazard ratio with the lower  
17 confidence interval that is 1.13 and all of  
18 these other consistency things, and the fact  
19 that the TTP isn't statistically  
20 significant, but there may be a good  
21 biologic reason to see why it isn't and so  
22 forth. All of this to me would say, yes,

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1 this is a treatment that men probably should  
2 have access to. And then in the end of the  
3 game, if the other trial isn't significant,  
4 nobody will buy it.

5 DR. MULÉ: Kurt?

6 DR. GUNTER: Thank you very much.  
7 So, I wanted to just think about what we're  
8 doing here. We're not reviewing a grant,  
9 we're not reviewing a manuscript, we're  
10 trying to figure out whether needy patients  
11 who don't have anything available can  
12 benefit from this. Personally, I think the  
13 data are persuasive. Now, I know it's not a  
14 perfect study. I think we've covered the  
15 nature of the post hoc problem pretty  
16 substantially thanks to all the  
17 statisticians. I will remind everyone that  
18 it was an endpoint that the FDA states is  
19 the best in current FDA guidance. The  
20 statistical analysis was log rank, did not  
21 exclude anyone, as I understand it, and is  
22 probably the most common way to analyze

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1 survival in current methodology.

2 Now, let's talk about the safety.

3 Oh, and I should point out that the FDA has  
4 stated that the secondary - excuse me, the  
5 sensitivity analyses all support the  
6 significant result on survival. That's in  
7 the FDA's own words. Now, safety. I think  
8 clearly the product is safe except for the  
9 issue of CVA. I think that bears very close  
10 watching. I think it may be a red herring.  
11 I'm impressed or concerned that, in one  
12 study we see a significant effect or much  
13 more CVA effects on the placebo arm than the  
14 treatment arm. I'm sure the company would  
15 be willing to watch that carefully in post-  
16 marketing.

17 So I think that this committee  
18 should take a courageous step. I think that  
19 actually listening to the patients today,  
20 not only was I impressed with their stories,  
21 but I was impressed with their intelligence.  
22 I think patients and physicians could look

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1 at some of these data in labeling and make  
2 their own decisions about whether they want  
3 to take a chance on this.

4 (Applause)

5 DR. GUNTER: So in summary, I  
6 think that we do have persuasive evidence of  
7 efficacy on balance given all the  
8 limitations in the data, and I urge the  
9 committee to think about it very carefully  
10 before they vote.

11 DR. MULÉ: Doris, you had a  
12 question?

13 DR. TAYLOR: Yes. I think  
14 there's no question that we need a  
15 treatment, and but that we need a safe  
16 treatment that's available to everyone. And  
17 I guess the question that continues to be  
18 present in my mind is, does the benefit  
19 outweigh the risk, and what will be done to  
20 continue to assess this risk going forward.  
21 We've heard that there may potentially -  
22 that there will be a vigilance plan put in

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1 place, but I haven't heard anything with  
2 regard to that. And we just heard mention  
3 of biology and growth factors and cells and  
4 looking at models that might be relevant,  
5 but more and more cell therapy data are  
6 emerging that suggest that there can be a  
7 relationship between cells and  
8 cardiovascular events, or even  
9 cerebrovascular events and/or some of the  
10 growth factors, and I think that might bear  
11 monitoring going forward to include safety.

12 The other thing I haven't heard  
13 other than a very brief mention early on was  
14 inclusion of the African-American community  
15 and of other individuals that were under-  
16 represented in the original study. So we  
17 can't really comment on safety or efficacy  
18 in those groups, and those are groups which  
19 also very much need access to a therapeutic  
20 agent. And so I really -

21 DR. MULÉ: Doris, we have -  
22 that's related to Question 6. We'll get to

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1 that specifically and spend some time with  
2 that, okay? So Michelle?

3 DR. CALOS: Yes. I just wonder  
4 if we could discuss, it seems to me that  
5 this treatment, it's - all the data we've  
6 seen is consistent with it being  
7 efficacious, but perhaps not compelling at  
8 this point. So could we could just discuss  
9 a little what are the consequences of  
10 approving something in this situation and  
11 then going forward and finding out that it's  
12 not actually effective. What are the  
13 consequences of that mainly for the patient  
14 population, but also for science and for the  
15 company and for the FDA?

16 DR. MULÉ: Comments about that?  
17 Franco?

18 DR. MARINCOLA: Or the other way  
19 around. What if it is not approved and it  
20 turns out that it is effective and delayed  
21 for years? So either way.

22 DR. MULÉ: Maha.

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1 DR. HUSSAIN: So I want to - I  
2 think the point that was brought is a very  
3 important point, but I want to remind the  
4 members of the committee first of all there  
5 is a 400 of a 500-patient accrued on the  
6 definitive trial. I don't think anybody  
7 around this table suggested that this is a  
8 definitive trial. I think that we all agree  
9 on. And so the definitive trial is being  
10 done and is being completed. I would hope  
11 that if the - whichever way the FDA decides,  
12 pointing out that our role is not to approve  
13 the drug or disapprove it. That's the FDA  
14 decision. But if the decision is made to  
15 approve, that there would be guarantees that  
16 that trial will be continued, because this  
17 will have an implication on the other  
18 definitive trial.

19 And finally, access to patients  
20 can be provided until the results are  
21 available. I can't imagine why this could  
22 not be done. Other companies have done that

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1 waiting for the definitive trials. And  
2 finally, I think somehow we heard repeatedly  
3 there's really nothing out there for  
4 patients. I will tell you that we have  
5 patients in our practice that we are all  
6 caring for with hormone-refractory disease  
7 over a 2-, 3-, 4-year period, so it is  
8 desperate, yes. There aren't anything out  
9 there, but having nothing out there is no  
10 justification to get something that is  
11 suboptimal to patients.

12 DR. MULÉ: Savio.

13 DR. WOO: I'd like to address a  
14 couple of points. I think we're all very  
15 sympathetic to the patients with this  
16 disease, and we've heard from the advocacy  
17 groups very impressive presentations.  
18 Certainly if there is something that in our  
19 judgment is effective, we will love not any  
20 less than you to make it available to the  
21 patients. So the question before us is  
22 really is treatment availability versus

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1 effectiveness. Do we really believe that  
2 this product works? If it works, that's  
3 great, but if it doesn't work, are we then  
4 recommending to tens and hundreds of  
5 thousands of patients a treatment, a very --  
6 albeit maybe not as healthy as some of these  
7 others, but still a potentially toxic event  
8 that could occur, and the morbidity and so  
9 on. Are we recommending to hundreds of  
10 thousands of patients a treatment that's  
11 absolutely worthless? And there are plenty  
12 of examples of those in the New York Times  
13 stories about other conditions in the recent  
14 years. So that's something that to me I  
15 think is very important that some treatment  
16 that comes forward must -- that are we  
17 satisfied that it is most likely to be  
18 effective.

19           The other concern that I have is  
20 that we talk about survival advantage as a  
21 post hoc analysis and so on between Studies  
22 1 and 2. Could it be real effectiveness, or

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1       could it be some other factors? Well, as I  
2       look at the two arms of the trials in both  
3       Studies 1 and 2, there are differences in  
4       terms of the enrolled subjects. The Gleason  
5       scores are different, soft tissue metastases  
6       are different. So because of the small  
7       sample size, can we really rely upon those  
8       post hoc survival advantage data as  
9       definitive proof for effectiveness? I'm not  
10      so sure that I can be convinced. So I'm  
11      also thinking that, gee, you know, since we  
12      have a definitive trial that is ongoing that  
13      is close to completion, perhaps it would be  
14      more prudent to look at those results to be  
15      assured that it is effective before we  
16      recommend them to the patients.

17                   DR. MULÉ: Bob?

18                   MR. SAMUELS: Yes. You know,  
19      it's been very difficult for me to sit here  
20      and try to be totally objective because I am  
21      a 13-year survivor of prostate cancer. And  
22      when I got diagnosed in 1994 and I got

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1 opened up and there was a cancer cell on one  
2 of my lymph nodes, I was told that I  
3 probably had five years left on this earth.  
4 However, I decided to become aggressive and  
5 take charge of this disease that was in my  
6 body. And I sit here now 13 years later  
7 feeling that I'm still doing hormonal  
8 therapy, and at some point it's going to  
9 fail. I know that. And so when it does  
10 fail, I've got to look around and say, okay,  
11 what do I do next. And I look upon this as  
12 an opportunity for me to make a choice, and  
13 I think that's all the patients want. An  
14 opportunity to make a choice.

15 (Applause)

16 MR. SAMUELS: That's what this is  
17 about. Because as they look down the road,  
18 they don't have a very bright future. And  
19 if we can buy them a couple of minutes, a  
20 couple of months, or a couple of years, then  
21 it's our obligation to do that. So it is  
22 not something that I - and I understand and

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1 appreciate the hard work of this committee.  
2 I mean I admire you, and I don't envy you  
3 the decision that you have to make, but at  
4 the end of the day it's not about  
5 statistics, it's about people's lives. And  
6 indeed, we have an obligation to give  
7 patients like us a choice to say, we'll take  
8 the risk. We understand it's a risk, but  
9 it's a risk that I think most of us are  
10 willing to take. But you have to give us  
11 that opportunity.

12 (Applause)

13 DR. MULÉ: Franco.

14 DR. MARINCOLA: Yes, I'd like to  
15 make another comment which is a little  
16 broader. Historically, we're in a very  
17 special moment of tumor immunology. This is  
18 a very rapidly evolving field, and in some  
19 ways this product was designed years ago,  
20 and so it's, you know it's just showing now  
21 some - it is providing one of the best  
22 outcomes so far in immunotherapy, yet

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1 probably is not perfect because it's  
2 delivered as a single agent, and there is so  
3 much more that can be done to understand the  
4 biology of this and make it better. And I  
5 think it's true that maybe the information  
6 has been provided, but the study is not  
7 conclusive, but definitely it is intriguing  
8 enough to believe that it's worth pursuing  
9 it, and definitely - let's put it another  
10 way. If I had prostate cancer, I'd like to  
11 try this before chemotherapy, no matter -  
12 maybe not as a scientist, but as somebody  
13 who has prostate cancer.

14 I think that maybe we are a  
15 little bit too harsh, and most importantly  
16 we are missing the point that we are opening  
17 a new field, and I think the experience,  
18 even if we make the mistake, I think that  
19 maybe this product was not that effective as  
20 it may be. Still, there is so much to learn  
21 by start seeing patients being treated with  
22 this and see what else can be added, and

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1 applying even the new modern understanding  
2 of like the effect of T-regulatory cells and  
3 so forth, adding so much that I think we  
4 should not - we should not underestimate the  
5 importance of this decision. I don't think  
6 it's just about deriving what the drug does,  
7 but it's more opening a field, and the  
8 investigation on that field and the clinical  
9 grounds test of being kind of an esoteric  
10 academic exercise.

11 DR. MULÉ: Bob?

12 MR. SAMUELS: Yes. I would like  
13 to just do an informal survey. How many men  
14 on this panel have ever had a PSA test?  
15 Here we are over 25 years later trying to  
16 evaluate the effectiveness of a PSA test,  
17 all right? We still have not come to  
18 conclusive evidence that it has real value,  
19 but I daresay that the majority of men who  
20 are over age 40 or 50 are getting PSA tests.  
21 But there's no conclusive evidence.  
22 However, prostate cancer has declined, but

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1 we still can't say that the two are related.  
2 So we're still discussing something 25 years  
3 later that most of us feel have had an  
4 impact on diagnosing prostate cancer in this  
5 country. So there's no conclusive evidence.  
6 So I mean we're sort of where we are today.  
7 Somebody had to take a chance, and that's  
8 all we're asking this committee to do.

9 (Applause)

10 DR. MULÉ: Steve?

11 DR. DUBINETT: I would like to go  
12 back to Dr. Zhen and ask you to perhaps  
13 clarify something for us on your second to  
14 last slide, I think it is. You make these  
15 three bullet points about the post hoc  
16 analysis, and -- but finally come in your  
17 last sentence on that slide to say however,  
18 overall survival is a preferred endpoint for  
19 a cancer trial. And I'm wondering if you  
20 could just elaborate for us a little bit to  
21 say, did you mean to have the word "primary"  
22 before "endpoint" in that last bullet point?

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1 I'd like to sort of have you kind of just  
2 really weigh in on this a little bit in  
3 terms of what you meant by that slide.

4 DR. ZHEN: No. Overall survival  
5 is not - was not the primary endpoint for  
6 the two studies. Basically what I'm trying  
7 to say here is, if overall survival is just  
8 like many, many other endpoints that's like  
9 random research. In that case, you can  
10 always get one endpoint which with the p-  
11 value less than 0.05. It's just by chance.  
12 Here I make cases that overall survival is  
13 just not manner of endpoint that can be  
14 randomly selected. It is a very important  
15 endpoint. It is unfortunately the two  
16 studies was not designed to use overall  
17 survival as the primary endpoint and power  
18 the studies with overall survival.

19 DR. MULÉ: Okay. Before we move  
20 on to Question 6, let me remind the  
21 committee that, again, we're not here to  
22 approve or disapprove the product. We're

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1 here obviously to advise the FDA on  
2 decisions relative to the product. And  
3 within that context, I think it's important  
4 to reflect on a comment that Maha had made,  
5 which is there are options in our advice.  
6 In other words, it's not necessarily a no or  
7 a yes. It could reflect a going forward  
8 with this larger definitive trial, but in  
9 essence advising the FDA that maybe there  
10 are options to include a go-ahead with the  
11 proviso that that definitive trial is  
12 completed and reviewed. So again, I think  
13 it's important that we keep in context what  
14 our role here is, and it's not necessarily a  
15 black and white sort of recommendation that  
16 we make. We're here to advise. So with  
17 that said, let's move on to Question 6 and,  
18 Larry, if you can take us through that.

19 DR. KWAK: Okay, so the question  
20 was actually raised by one of our - one of  
21 my fellow panelists earlier this morning,  
22 and it's been pointed out already that it's

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1 a serious, but -- serious limitation, but  
2 it's unfortunately a limitation that's  
3 common to many clinical trials in the United  
4 States. And I guess before -- I mean,  
5 clearly the issue is whether there are  
6 genetic or biologic differences that would  
7 limit us from generalizing the results of  
8 this study to other populations with this  
9 disease. Before I open it up for panel  
10 discussion, I would just say it's a  
11 difficult question, and hopefully this is  
12 going to be addressed in the third study  
13 that's in progress.

14 DR. MULÉ: Other comments? Jeff?

15 DR. CHAMBERLAIN: Well, I mean I  
16 guess I'd sort of like to follow up the  
17 comment that you made, Jim, and I think that  
18 that applies to this question, as well.  
19 That, you know, if we were to advise that  
20 this treatment move forward and be made  
21 available to more people, I would hope that  
22 we would also include a stipulation there

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1 that there absolutely must be additional  
2 data gathered on additional ethnic  
3 minorities, because the data we have I think  
4 absolutely does not generally apply to other  
5 ethnic minorities, yet we absolutely need to  
6 have that information available.

7 DR. MULÉ: Doris, you were next,  
8 then Maha.

9 DR. TAYLOR: Of the 400 patients  
10 that have enrolled in the trial to date,  
11 what's the breakdown with regard to  
12 ethnicity?

13 DR. FROHLICH: Mark Frohlich.  
14 It's similar to Study 1 and 2. We have  
15 roughly 5 percent African-Americans.

16 DR. TAYLOR: Given that, what - I  
17 heard you say this morning that you were  
18 going to do everything you could to ensure  
19 that this was made available to everyone  
20 possible. If you are unable to reach those  
21 patients in the clinical studies, what  
22 evidence do we have that you'll be able to

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1 reach those groups in the community?

2 DR. FROHLICH: I think it's a  
3 problem that pervades all of clinical  
4 trials, enrolling minority subjects. Once  
5 commercial, there are less barriers to  
6 patients enrolling. There's a lot of, you  
7 know, requirement for extensive follow-up  
8 and testing as part of a clinical trial,  
9 which is not required once in clinical  
10 practice. So it would be our goal to try to  
11 specifically target minority patients  
12 through providing information to them,  
13 advertising specifically to those patients  
14 to try to enroll them. It's part of our  
15 planned pharmacovigilance program to  
16 specifically target minorities. We have a  
17 plan to enroll roughly 3,000 patients in a  
18 pharmacovigilance plan, and target roughly  
19 10 percent of those for African-Americans  
20 specifically.

21 DR. MULÉ: Maha?

22 DR. HUSSAIN: This is a question

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1 to the immunologist in the group. Is there  
2 any data that says ethnic subgroups respond  
3 differently to immune stimulation from, say,  
4 any setting? And what is that?

5 DR. MARINCOLA: For example,  
6 African-Americans do not respond as well to  
7 interferon alpha therapy that have chronic  
8 hepatitis C, and there is a group at  
9 Stanford that recently proposed some kind of  
10 a theory, but they don't have - the  
11 signaling is different in response to  
12 interferon alpha, although the reason, the  
13 polymorphism is not known. But definitely  
14 they simply have a lower response to  
15 interferon alpha, even in in vitro testing  
16 to the point you can predict who is going to  
17 respond or not by doing in vitro testing.  
18 So definitely there's plenty of evidence.  
19 And there are other cases, but this is one  
20 of the most striking.

21 MR. SAMUELS: Yes, I just want to  
22 comment on that, which I guess I started

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1 this morning. And that is that, you know,  
2 I've been a survivor now for 13 years.  
3 Prior to that I was a banker in New York for  
4 31 years, and I used to hear many of the  
5 companies that I dealt with talk about the  
6 difficulty they would have in trying to find  
7 African-Americans to be part of their senior  
8 management on their board. And I kept  
9 saying, well perhaps you're looking in the  
10 wrong places, and you're not talking to the  
11 right people. And I've got to say the same  
12 thing here, because if we're talking about a  
13 disease that 30,000 men a year in African-  
14 American communities get diagnosed with,  
15 that's a significant number of men being  
16 diagnosed every year with this disease. And  
17 we can't find more than nine to participate  
18 in a clinical trial? Then I say you're  
19 looking in the wrong places and you're  
20 talking to the wrong people, because it can  
21 be done. And I said it and you look at the  
22 boards today, and boards are much more

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1 integrated, but they made a concentrated  
2 effort to do it, and that's what you've got  
3 to do.

4 DR. MULÉ: Howard?

5 DR. SCHER: This is a question to  
6 Mark. On the one hand, we hear about the  
7 drug available to more people, you don't  
8 need the intensive monitoring, and then the  
9 next sentence is a 3,000-patient  
10 pharmacovigilance. So can you explain the  
11 difference, and maybe give a little more  
12 detail of what the pharmaco -- let's call it  
13 the safety monitoring, pharmacovigilance  
14 entails.

15 DR. FROHLICH: The  
16 pharmacovigilance plan would be roughly  
17 3,000 patients. There would be select  
18 centers that would enroll patients with  
19 consent to be followed. It would require  
20 essentially a collection of basic  
21 demographic historic information on those  
22 patients. They would be followed every six

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1 months for events of special interest,  
2 including cerebral vascular events,  
3 infusion-related events, autoimmune events.  
4 They would be followed for a minimum of  
5 three years for overall survival.

6 DR. MULÉ: Maha?

7 MS. SMITH: It might also be  
8 useful to add, in this context, we have a  
9 very unique access to information for  
10 patients who receive sipuleucel-T. Because  
11 of the autologous nature, we know everybody  
12 who gets it. We have the ability to consent  
13 everybody, to track everyone, to keep in  
14 contact with their physician. So in  
15 contrast to what maybe has been observed in  
16 other pharmacovigilance studies where  
17 sponsors have not done as good a job in  
18 completing those studies. We have a very  
19 good handle on that information.

20 DR. HUSSAIN: And Dr. Frohlich,  
21 just a question, and I don't mean to put you  
22 on the spot, I'm sure there are other

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1       considerations, but could an expanded access  
2       program be made available to patients  
3       pending the definitive trial results?

4                   DR. FROHLICH: I'd like to ask  
5       Liz Smith to take that question.

6                   (Laughter)

7                   MS. SMITH: Again, with this  
8       autologous product, it is not quite as  
9       simple to open up expanded access programs  
10      as we would like. I mean, we are very  
11      committed to making this product available  
12      to as many people as possible, and in fact  
13      we've been quite transparent, I think, about  
14      our commitment to 9902B. It's a large,  
15      highly-powered study. We started this  
16      awhile ago. We are following it very  
17      closely. We are enrolling very  
18      aggressively. Expanded access in this  
19      point, when you open up to whoever is -  
20      whoever wants it, that also takes out  
21      manufacturing capacity, and it actually  
22      takes it away from our clinical trial that

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1 we're trying to finish. So it's sort of a  
2 Catch-22. We know that if we were to open  
3 it up to an expanded access program, we  
4 would probably have a very high demand.  
5 That would not help us get our clinical  
6 trial enrolled.

7 We also have a strong commitment  
8 to making sure that, when this product is  
9 approved, it is widely available, but as a  
10 biotech company who doesn't have a product  
11 approved right now, it's sort of a chicken  
12 and egg thing. When we have approval, we  
13 will have launched up our capacity, we will  
14 be able to serve the whole market. It's  
15 different when you're in a pre-approval  
16 phase.

17 DR. MULÉ: All right. Let me  
18 stop here and ask Dr. Witten and her  
19 colleagues if we've covered at least these  
20 six questions to your satisfaction. If you  
21 have other needs, if you can let us know?  
22 And then we'll move on to the voting

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1 questions.

2 DR. WITTEN: Thank you, no;  
3 you've answered the questions.

4 DR. MULÉ: Okay. So now we'll  
5 move on to the voting questions. There are  
6 two. I'll read the first one. We'll see if  
7 there is additional discussion. These two  
8 questions really reflect what we, in my  
9 opinion what I think we've already covered  
10 in the first six questions. So I'll just  
11 ask for comments, and then we can go forward  
12 with the voting.

13 So the first voting question is,  
14 does the submitted data establish that  
15 sipuleucel-T is reasonably safe for the  
16 intended population. Other comments?  
17 Additional comments? Okay. And the second  
18 voting question is, does the submitted data  
19 establish the efficacy of sipuleucel-T in  
20 the intended population. Okay. All right.  
21 So I think we're ready to move ahead. So  
22 let's go with the first voting question.

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1 Again, I'll read it. Does the submitted  
2 data establish that sipuleucel-T is  
3 reasonably safe for the intended population?  
4 We'll start with Dr. Alexander.

5 DR. ALEXANDER: Yes, I believe  
6 that the data that are submitted has  
7 established that the drug is reasonably,  
8 reasonably safe for the population. And  
9 with the small numbers of patients, the  
10 stroke issue remains very significant to me,  
11 but the plans that I hear around it from the  
12 companies with regard to the intensive  
13 follow-up of a certain number of these  
14 patients I think is reasonable. But yes, I  
15 think it's reasonably safe, and that those  
16 data are persuasive about reasonable safety-  
17 ness.

18 DR. MULÉ: Dr. Chamberlain?

19 DR. CHAMBERLAIN: Yes, so I also  
20 agree that the data at this point makes it  
21 look like the product is reasonably safe. I  
22 also have concerns about the cerebrovascular

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1 incidents, and I would urge that data  
2 continue to be gathered in that area. But I  
3 think with what we know, it's safe enough to  
4 go forward with.

5 DR. MULÉ: Dr. Kwak?

6 DR. KWAK: Yes, I think  
7 unequivocally that it - the available data  
8 suggests, as one might expect for an  
9 ultimate targeted therapy, that it's  
10 reasonably safe.

11 DR. MULÉ: Dr. Calos?

12 DR. CALOS: Yes, I believe that  
13 it's established that it's reasonably safe,  
14 especially relative to the alternatives, and  
15 with continued vigilance, I think that's  
16 fine.

17 DR. MULÉ: Dr. Dubinett?

18 DR. DUBINETT: I agree with the  
19 appearance of its reasonable safety, and  
20 also concur with what's been said about the  
21 appropriate plans of the sponsor.

22 DR. MULÉ: Dr. Allen?

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1 DR. ALLEN: I concur with that.  
2 I believe it's to be safe, and I think that  
3 appropriate monitoring can be followed  
4 appropriately.

5 DR. MULÉ: Dr. Chappell?

6 DR. CHAPPELL: Certainly seems to  
7 be safe in the context of disease commonly  
8 treated with radiation and cytotoxic  
9 chemotherapy.

10 DR. MULÉ: Dr. Hussain?

11 DR. HUSSAIN: Yes.

12 DR. MULÉ: Mr. Samuels?

13 MR. SAMUELS: I believe it to be  
14 reasonably safe, and suggest we move forward  
15 with vigilance, of course.

16 DR. MULÉ: Ms. Terry?

17 MS. TERRY: I agree with that,  
18 and I'd also add that I think many times we  
19 measure these kinds of things, we measure  
20 them up against what is safe in a healthy  
21 population, and we have to be mindful that  
22 once you cross the line through diagnosis,

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1 what is safe and what is not is measured in  
2 a different way. And I agree that, if we're  
3 vigilant, this is safe.

4 DR. MULÉ: Dr. Taylor?

5 DR. TAYLOR: Yes, I would agree  
6 this is safe in a Caucasian population, and  
7 that vigilance needs to be put forward in  
8 all populations.

9 DR. MULÉ: Dr. Woo?

10 DR. WOO: I agree with all the  
11 other committee members that this appears to  
12 be relatively safe for the patient  
13 population.

14 DR. MULÉ: Dr. Marincola?

15 DR. MARINCOLA: Same. I think  
16 it's safe, and I agree with all the comments  
17 so far.

18 DR. MULÉ: Dr. Tomford.

19 DR. TOMFORD: Yes, I agree that  
20 it appears to be reasonably safe in the  
21 population.

22 DR. MULÉ: Dr. Guilak.

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1 DR. GUILAK: I agree that it  
2 appears to be safe in this population.

3 DR. MULÉ: Okay. And Dr. Gunter,  
4 you're the industry rep. You have no  
5 voting, but you're free to comment.

6 DR. GUNTER: Well, I think I've  
7 already commented. I believe the product is  
8 safe. I think the sponsor has done a good  
9 job showing us that. I think labeling  
10 should reflect the potential for CVAs, and  
11 obviously post-marketing pharmacovigilance  
12 is going to be very important.

13 DR. MULÉ: And I agree with the  
14 committee members as well, with additional  
15 vigilance and also taking into account the  
16 need for this question to be better answered  
17 in African-American population, other  
18 minorities.

19 MS. DAPOLITO: Okay, for the  
20 record the vote was 17 yes, zero no, zero  
21 abstain for Question 1.

22 DR. MULÉ: Okay, we'll move on to

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1 Question 2. Again I'll read it. Does the  
2 submitted data establish the efficacy of  
3 sipuleucel-T in the intended population?

4 Dr. Alexander.

5 DR. ALEXANDER: I don't know how  
6 I got the short straw to go first here, but  
7 -

8 (Laughter)

9 DR. ALEXANDER: But my - I took a  
10 lot of notes here, and I'm going to read.  
11 Some of the words that I heard that made an  
12 impact on me, that this Study 1 provides  
13 evidence of efficacy, and there is no  
14 question that Study 1 provides evidence of  
15 efficacy. I think that there's no question  
16 that survival is the most important outcome  
17 that is important in the treatment of  
18 cancer, and followed -- and arguably by  
19 quality-of-life. And there's no question in  
20 my mind that four months of an increased  
21 median survival in the population of men  
22 with metastatic androgen-independent

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1 prostate cancer is a very important  
2 improvement in survival.

3           The question that I grapple with  
4 is, is the evidence that's here so far, does  
5 it establish the therapy. Is the therapy  
6 established that, with full confidence, I  
7 can look my patient in the eye and say that  
8 this is established to be an efficacious  
9 therapy for your disease. And I've lived my  
10 life by the evidence in medicine, and there  
11 are many, many -- there are many ways to  
12 manage patients and deal with them, and  
13 there are many things and many competing  
14 reasons that we seek to do the things that  
15 we do with patients, but for me the most  
16 important, and the thing that we have the  
17 luxury of being asked to do is to say, does  
18 the data establish that this therapy has  
19 efficacy. I think it's a very strong  
20 suggestion, but it is not in my mind  
21 definitive and establish that the therapy is  
22 extending survival because of -- that the

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1 therapy itself is the reason that we see the  
2 differences that's been seen in the data so  
3 far. So I -- my vote is not to say no, but  
4 it's to say that there's clear evidence that  
5 there's some efficacy to the therapy, and I  
6 think that a trial with some 400 patients  
7 already randomized that's ongoing clearly is  
8 going to be the trial that will establish  
9 whether this therapy establishes its  
10 efficacy for patients.

11 I am -- I take care of patients  
12 and I sit opposite, when I hear your stories  
13 I am very compelled by what you say, and I  
14 sit opposite you on a daily basis in the  
15 office and I feel -- I see it, it's the  
16 thing I've led my life trying to do is to  
17 make new immunotherapies for prostate  
18 cancer. And I want this, wanted this, so  
19 wanted to see that I was going to come here  
20 and be totally convinced that the data were  
21 compelling to establish the efficacy of  
22 this, the first treatment, but I haven't

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1       seen it yet.  It's close, but I haven't --  
2       I'm still waiting for me to cast a vote to  
3       say that everyone in this room should go  
4       home and tell their next of kin that this is  
5       an established therapy for this disease.  I  
6       don't think it's there yet.  So I would say  
7       that the trial that's ongoing and actively  
8       enrolling must continue, and I would  
9       encourage the company to redouble their  
10      efforts to get that finished, and that it  
11      sounds like they're well on their way to  
12      recruitment.  So that's - so my vote is, I  
13      don't know what you would call that.  It's a  
14      -

15                   DR. MULÉ:  For the purpose of  
16      enumerating the votes.

17                   (Laughter)

18                   DR. MULÉ:  And I understand  
19      you're the first on the list here.

20                   DR. ALEXANDER:  The answer to the  
21      question has the submitted data established  
22      that this is an efficacious therapy, my

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1 answer is no, not yet. But very close. And  
2 with the proviso that if they need to  
3 continue the big Phase III study.

4 DR. MULÉ: Dr. Chamberlain.

5 DR. CHAMBERLAIN: Well, so I  
6 guess at this point I'm not entirely sure  
7 how to answer this question. It's not a yes  
8 or no question in my opinion the way it's  
9 phrased. I mean, it's really very  
10 absolutely phrased, and I guess I tend to  
11 lean towards agreeing with what Richard was  
12 saying that I think the data is strongly  
13 suggestive that it's an efficacious  
14 treatment. I would like very much to see  
15 this made available to many more patients as  
16 quickly as possible, with the provision that  
17 the ongoing Phase III trial be completed,  
18 and also with the provision that  
19 significantly more ethnic minorities are  
20 enrolled in trials. With the safety data  
21 and with what we've seen, I see no reason  
22 not to make this drug available, but I don't

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1 think it's 100 percent proven that it's  
2 efficacious.

3 DR. MULÉ: Dr. Witten, with  
4 respect to this question --

5 (Laughter)

6 DR. MULÉ: Is it -- from your  
7 standpoint and the FDA's standpoint, are you  
8 looking for definitive answers to this  
9 question? Is it necessary to rephrase this  
10 question?

11 DR. WITTEN: Well, it sounds like  
12 everyone on the advisory committee would  
13 like to rephrase the question, but, you  
14 know, we do need to look at this in terms of  
15 getting advice for what our next step, you  
16 know, your recommendations as our next step.  
17 But having said that, it might be useful to,  
18 you know, instead of -- it might be useful  
19 to actually go around the room, find out  
20 everybody's opinions and then vote, because  
21 it sounds like everybody's sort of  
22 struggling, so. But we do need a vote and,

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1 you know, but if people in the discussion  
2 want to state a different question that  
3 they'd like to answer, and then at the end  
4 vote on the question that we want an answer  
5 to, I'm sure that would be useful to us, as  
6 well.

7 DR. MULÉ: Okay. So I guess what  
8 we'll do is, yes, we'll just move around and  
9 then we can re-vote, I guess. Okay. So Dr.  
10 Kwak?

11 DR. KWAK: Well, as a clinician  
12 who treats cancer patients, I am certainly  
13 aware of the exceptional need for additional  
14 therapies. But I think what's been posed to  
15 us by the FDA is a fairly specific question,  
16 and for this I have to put my scientist hat  
17 on, and give them a yes or no answer against  
18 the statement that the submitted data  
19 established the efficacy of the product. My  
20 reasons for doing that I think have been  
21 stated by many around the table. Concerns  
22 about small sample size, the post hoc nature

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1 of the overall survival analysis, and in  
2 addition to those, for me, the lack of  
3 demonstrated immune responses against the  
4 target antigen. So but you know, I would  
5 agree with Dr. Alexander that it's really a  
6 question, the key word is really, does the  
7 data establish the efficacy, and if forced  
8 to give an answer to that question, I think  
9 for me the answer is no.

10 DR. MULÉ: Okay. Dr. Calos?

11 DR. WITTEN: Excuse me, Dr. Mulé?  
12 Yes. Maybe we should try to rephrase it as  
13 -- I mean, the question is really asking for  
14 you, you know, on the advisory committee, do  
15 you believe that this product works, that  
16 it's efficacious. I mean that's really what  
17 we're asking. So if it's somehow some of  
18 the words are not clear, that's what's  
19 intended. We want to know whether you  
20 believe, as individuals, that this works,  
21 that they've shown that it works.

22 DR. CHAPPELL: There's a degree

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1 of belief, and "establish" implies much more  
2 certainty than a guess. And so if you were  
3 to ask us, you need please, to specify, at  
4 least to me, what you mean.

5 DR. ALEXANDER: Like is it a  
6 reasonable doubt, a shadow of a doubt?

7 (Laughter)

8 DR. WITTEN: Yes. The regulatory  
9 definition is "provide substantial  
10 evidence." So that's our standard. Is  
11 there substantial evidence that it works.  
12 Is there substantial evidence of efficacy,  
13 if that helps. So is there substantial  
14 evidence.

15 DR. MULÉ: Okay. So just to  
16 clarify what you're asking, is there  
17 substantial evidence that the product is  
18 efficacious.

19 DR. WITTEN: Yes.

20 DR. MULÉ: Okay. Okay. So for  
21 the sake of time, I'd like to finish this  
22 voting. So Richard, can you just take this

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1 question now and give us a vote and we'll go  
2 around the table, okay?

3 DR. ALEXANDER: Yes. I mean the  
4 issue is -- yes, there is substantial  
5 evidence. I mean, the 150-some patients,  
6 they're substantial evidence.

7 (Applause)

8 DR. ALEXANDER: Is the evidence  
9 enough to be conclusive to the standard that  
10 we need for approving something? That's up  
11 to the FDA to decide. And from my  
12 standpoint, as designing clinical trials  
13 where I am trying to say that it uses  
14 definitive evidence that something is  
15 conclusive based on a secondary, or not even  
16 a secondary endpoint is, you know, is  
17 statistically not a valid thing. And that's  
18 what -- if we're going to design the study  
19 to answer a question, we have to design the  
20 best study possible, and that study is  
21 ongoing. So that's where I would say, you  
22 know, is there substantial evidence that the

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1 drug has efficacy? Yes. I would say this  
2 qualifies as substantial evidence, but is  
3 not enough for me that if I was in the seat  
4 of saying yea or nay that I would say yea.  
5 I would say nay.

6 DR. MULÉ: Okay. Dr.  
7 Chamberlain?

8 DR. CHAMBERLAIN: I vote yes,  
9 there is substantial evidence.

10 DR. MULÉ: Dr. Kwak?

11 DR. KWAK: Yes, substantial  
12 evidence.

13 DR. MULÉ: Dr. Calos?

14 DR. CALOS: Yes, I think there's  
15 substantial evidence. I don't think that  
16 it's been conclusively established, but  
17 there's substantial evidence, and certainly  
18 it's very exciting, and certainly something  
19 that one would want to see continued, and  
20 hopefully patients would have access to.  
21 But scientifically it falls short of being  
22 established.

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1 DR. MULÉ: Dr. Dubinett?

2 DR. DUBINETT: Yes, I think that  
3 there is substantial evidence for this. You  
4 know, and I also say in sort of coming to  
5 some middle ground is that, you know, I  
6 think that there is precedent if we look to  
7 what happened with gefitinib in lung cancer  
8 is that things went forward with gefitinib,  
9 it was found to not be demonstrated in a  
10 Phase III trial, but another EGFR inhibitor  
11 was. So I think both the patients and the  
12 community benefitted from that approach. So  
13 I think that there is more than one way to  
14 actually approach this, but I would come  
15 down on saying that there's substantial  
16 evidence.

17 DR. MULÉ: Dr. Allen?

18 DR. ALLEN: I believe there's  
19 substantial evidence. I think what's  
20 compelling to me is, although there are  
21 doubts about these primary outcome measures,  
22 for me the point is that this is a new

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1 therapy. We may not -- as scientists, it is  
2 important for us to understand what we don't  
3 know, and one thing we don't know is what  
4 this thing is doing really. It may be  
5 changing the biology of the disease in a way  
6 that chemo drugs just aren't. So for me the  
7 fact that you've got evidence of, in my  
8 opinion, substantial evidence of survival  
9 advantage means that it should be opened up,  
10 given the dire landscape of other drugs out  
11 there, it should be opened up and followed  
12 very, very carefully, but nevertheless I  
13 believe it should be approved.

14 DR. MULÉ: Dr. Chappell?

15 DR. CHAPPELL: No. Regretfully  
16 and very sympathetically, I don't believe  
17 that the data establish efficacy. I dearly  
18 hope that the next trial does, but -- and I  
19 realize the need for hope, but I don't want  
20 to give that hope on a false premise.

21 DR. MULÉ: Dr. Hussain?

22 DR. HUSSAIN: So to me

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1 "substantial" and "establish" are the same,  
2 and no to either. So no to both.

3 DR. MULÉ: Mr. Samuels?

4 MR. SAMUELS: Yes.

5 DR. MULÉ: Ms. Terry?

6 MS. TERRY: So I'm a layperson  
7 and don't have the scientific knowledge to  
8 answer this question scientifically, but I'm  
9 here as the consumer representative, and so  
10 I'm going to answer it from the consumer  
11 point of view. And one of the things I'm  
12 going to harken back to for myself is  
13 remembering going with my brother, who had a  
14 glioblastoma multiforme, to his physician  
15 who said, "There's substantial evidence that  
16 this stereotactic radiosurgery will keep you  
17 alive for 10 years," and he died nine months  
18 later. I think new fields need this kind of  
19 foray, and new fields are hard to foray into  
20 if we wait till everything is perfect. And  
21 so therefore I'm going to vote that there is  
22 substantial evidence.

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1 DR. MULÉ: Dr. Taylor?

2 DR. TAYLOR: I agree with  
3 everything I've heard. I think the real  
4 question, in my mind is, is there a risk-  
5 benefit ratio here that's appropriate go  
6 forward. We've all voted that we believe  
7 that this is safe, and I think we really  
8 don't yet know whether or not there's  
9 compelling data that it's efficacious, but I  
10 think there is substantial evidence, so I  
11 have to vote yes, and let patients make that  
12 decision.

13 DR. MULÉ: Dr. Woo?

14 DR. WOO: In this day and age of  
15 evidence-based medicine, essentially we're  
16 presented results of two studies, and we  
17 were asked to make a judgment on those. The  
18 first one appears to be effective, the  
19 second one does not. So in my opinion there  
20 is some evidence to suggest that this  
21 treatment may be doing something. Does it  
22 rise to the level of substantial evidence

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1 that it is effective? I don't think so, not  
2 even near.

3 DR. MULÉ: Dr. Marincola?

4 DR. MARINCOLA: Well, I think  
5 that, based on the facts and on the  
6 information that we have so far, I think  
7 there is substantial evidence, and I think  
8 that not only about this particular  
9 treatment, but in general in the field, and  
10 I do believe that this is just the beginning  
11 of an era where there is going to be so much  
12 more that can be done to improve these kind  
13 of therapies. If you look at the evolution  
14 of these therapies, it's just the beginning,  
15 and I do think that there is evidence, and  
16 there is a lot of evidence besides this  
17 particular study that immunological  
18 intervention can be very useful, and I think  
19 this is not counter-intuitive as a result,  
20 and so I think it's something that is  
21 promising, and I would offer it to the  
22 people.

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1 DR. MULÉ: Dr. Scher?

2 DR. SCHER: I think we are really  
3 poised at the beginning of what will be  
4 hopefully an outstanding era of  
5 immunotherapy. I think there is sufficient  
6 evidence demonstrated which justifies the  
7 definitive study, and obviously there are  
8 investors in that who concurred, but I think  
9 it does not meet the -- as the question was  
10 phrased, to establish the efficacy. I think  
11 this is still an open question.

12 DR. MULÉ: So I take it you're  
13 saying yes with these provisos?

14 DR. SCHER: We have two  
15 questions. I would say yes to one, no to  
16 the second. The first question as posed, as  
17 established, I say no.

18 DR. MULÉ: No, it's substantial  
19 evidence.

20 DR. SCHER: I will say no.

21 DR. MULÉ: No. Dr. Tomford?

22 DR. TOMFORD: Well, I was

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1 prepared to say no to the submitted data  
2 establish the efficacy, but I believe there  
3 is substantial evidence that the treatment  
4 works in some form. And so what I'm  
5 concerned about is, if it goes forward from  
6 here, and substantial resources are put into  
7 this treatment, I'm not convinced that it  
8 will be something that's really worthwhile.  
9 Immunotherapy I support, but I'm not --  
10 there are too many questions about this.  
11 However, for the substantial evidence  
12 question, yes, I believe there is  
13 substantial evidence for the treatment.

14 DR. MULÉ: Dr. Guilak?

15 DR. GUILAK: I think it's not  
16 unusual in science to have these borderline  
17 p-values, or studies that aren't completely  
18 definitive. I wish we could all have voted  
19 maybe on this, but I don't think we can.  
20 And so I think it does boil down to, as Dr.  
21 Taylor said, a risk-reward issue, and a way  
22 to promote this type of research in the

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1 field, and so I have to say yes, substantial  
2 evidence.

3 DR. MULÉ: Comments from Dr.  
4 Gunter?

5 DR. GUNTER: I appreciate the  
6 chance to comment, and I think I already  
7 stuck my neck out on this one. I do think  
8 it both meets the measure of substantial  
9 evidence, and I also believe that it's  
10 pretty definitive. I think that, in this  
11 day and age, in the treatment of patients,  
12 you know, like Dr. Alexander said, you don't  
13 have to look them in the eye and say, this  
14 is good for you. You need to be able to  
15 look them in the eye and discuss their  
16 treatment options, and present them in a way  
17 that they can understand. And I think that  
18 these data, even though they're complex, can  
19 be presented by oncologists to patients in a  
20 way that they can understand and make  
21 reasonable choices. So I definitely support  
22 that this is an effective therapy.

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1 DR. MULÉ: When I look at the  
2 field in general, immunotherapy field, and  
3 given the question as it's restated  
4 substantial evidence, I vote yes, with the  
5 proviso, however, that the definitive Study  
6 3 is completed, and there's a commitment for  
7 doing so. And wrapped into that is the  
8 concern raised by Mr. Samuels with respect  
9 to recruitment of minority population.

10 MS. DAPOLITO: Okay, for the  
11 public record, the question was, is there  
12 substantial evidence the product is  
13 efficacious. The vote was 13 yes, 4 no,  
14 zero abstain.

15 (Applause)

16 DR. MULÉ: Okay. So I'd like to  
17 thank the members of the committee, and I'd  
18 like to thank our presenters today for  
19 providing us with the information. We're  
20 going to take a short break, 10-minute  
21 break, reconvene for the next portion of the  
22 agenda.

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1                   (Whereupon, the foregoing matter  
2 went off the record at 4:05 p.m. and went  
3 back on the record at 4:33 p.m.)

4                   DR. MULÉ: So we're going to have  
5 an overview of the research programs. Okay,  
6 so we'll start with Dr. Puri, Chief of Tumor  
7 Vaccines and Biotechnology Branch.

8                   DR. PURI: So thank you, Mr.  
9 Chairman, thank you, committee members, for  
10 having a long day and still here to listen  
11 to our presentation. In this session you  
12 will hear two presentations, one by me. I  
13 summarize the research activities,  
14 predominantly a summary of Tumor Vaccines  
15 and Biotechnology Branch that I am the  
16 branch chief, acting branch chief of, and  
17 also Dr. Steve Bauer who is a branch chief  
18 of Cell Tissue Therapy Branch is going to  
19 summarize the research summary of the site  
20 visit presentations that were made by that  
21 branch. In addition, too, we tried to  
22 consolidate our presentations that our

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1 associate director of research would have  
2 made. To spare you one additional  
3 presentation I have merged it with my  
4 presentation. I'll talk to you a little bit  
5 about the mission and organizational  
6 structure of the Office of Cell Tissue and  
7 Gene Therapy and the Division of Cellular  
8 and Gene Therapy. In addition I'll speak to  
9 you a little bit about regulatory scope and  
10 approach to research.

11 The Office of Cell Tissue and  
12 Gene Therapy has three divisions, and those  
13 divisions are listed in the lower boxes in  
14 addition to a regulatory management staff.  
15 This office is directed by Dr. Celia Witten  
16 and additional - the rest of her staff and  
17 management staff is listed in this slide.  
18 The Division of Cellular and Gene Therapy  
19 has five branches. Two branches, Gene  
20 Therapies branch and Cell Therapy branch is  
21 comprised of regulatory scientists. Their  
22 full-time job is to not only evaluate the

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1 regulatory submission that includes multiple  
2 submission mechanisms and I'll show you one  
3 of the slides, but they're also involved in  
4 many policy and guidance document  
5 development. Two branches that were  
6 evaluated at the site visit last year by the  
7 subcommittee of this committee includes  
8 Tumor Vaccines and Biotechnology Branch and  
9 Cellular and Tissue Therapy Branch.

10 The products that our staff  
11 evaluates are a multitude of products we  
12 have, including cell therapy. That could be  
13 cell therapy for Alzheimer's Disease,  
14 Parkinson's Disease, diabetes and what have  
15 you. We have gene therapy, ex vivo or in  
16 vivo gene therapy, cancer vaccines, you  
17 heard the presentation this all day,  
18 immunotherapy, tissue-engineered products,  
19 xenotransplantation products and combination  
20 products where the cells and device or drugs  
21 can be combined, and the devices used with  
22 the cells and tissues in addition to that.

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1                   We have greater than 1,100 INDs,  
2                   IDEs, investigational device exemptions,  
3                   master files and several thousand amendments  
4                   per year in addition to consult review that  
5                   our staff provides. We have one licensed  
6                   product and a growing number of products are  
7                   released to the Phase III clinical trial.  
8                   We evaluate devices and a lot of our staff  
9                   has spent a good chunk of our time in  
10                  providing advice to investigators in a pre-  
11                  IND setting as well as pre-pre-IND setting.  
12                  Our staff is involved in organizing and  
13                  presentations at the advisory committee such  
14                  as here today. They're involved in  
15                  inspections with our colleagues in  
16                  compliance and enforcement actions.

17                  We participate and partner with  
18                  the various programs such as National  
19                  Toxicology Program. Our staff is engaged in  
20                  testing the safety of the retroviral  
21                  vectors, with the NIH, CDC, NCI/FDA  
22                  Interagency Oncology Task Force and a stem

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1 cell task force and other task forces with  
2 the - and in this case MATES is a Multi-  
3 Agency Tissue Engineering Group. We  
4 participate with the international bodies  
5 such as ICH and WHO, and our staff performs  
6 and does a lot of outreach presentations at  
7 various national and international  
8 conferences, academic institutions and  
9 patient and consumer advocacy groups. We  
10 provide a liaison to various professional  
11 societies and our staff publishes articles  
12 based on simplifying the guidance documents  
13 in a publication forum which is available  
14 for peer-reviewed, for publishing in peer-  
15 reviewed and non-peer reviewed journals.

16 The roles of the research-  
17 reviewer is that you are - you evaluated -  
18 the subcommittee evaluated last year and the  
19 full committee is looking - we are being  
20 presented a summary is the product  
21 application review of policy and guidance  
22 document development, and the various

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1 outreach activities, regulatory mentoring,  
2 advisory committee preparations and various  
3 enforcement actions and international  
4 activities. In addition to that research-  
5 reviewers perform research, they do training  
6 of the postdoctoral fellows and mentoring.  
7 They do administrative activities, some of  
8 the like branch chief duties. They  
9 participate in various center-wide or inter-  
10 center or outside committees. They are  
11 involved in writing grant applications  
12 wherever we are allowed to write grants and  
13 participate in various scientific  
14 communities similar to that any principal  
15 investigator at NIH or an academic  
16 institution would do.

17 So our staff pursues research,  
18 Critical Path research to address some of  
19 the technological challenges and to stay  
20 ahead of the curve, but yet we cannot have  
21 expertise in every product area. And we are  
22 cognizant of the fact that we have to stay

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1       abreast with the latest technologies. The  
2       research strategy in the Division of Cell  
3       and Gene Therapy involves to perform a  
4       Critical Path research to fill the gaps,  
5       deal with the scientific challenges and  
6       figure out quickly what is important. As  
7       type of product that we evaluate, the  
8       regulatory paradigm has not been established  
9       or is still being established. Therefore,  
10      we have to be proactive in figuring out what  
11      is important in the cutting edge area of  
12      research that we evaluate.

13               As the sponsors evaluate single  
14      products and the results are often  
15      proprietary, our scientists perform studies  
16      relevant to the entire product class and we  
17      make the result public rapidly, thus  
18      accessible to all the sponsors to advance  
19      the entire field. We have a variety of  
20      different project areas that our staff is  
21      engaged in in research, including virology.  
22      We have expertise on various different

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1 biovectors and viruses, immunology. We have  
2 cell biology, cancer biology and  
3 biotechnology involving genomics, flow  
4 cytometry and proteomics technologies.

5 In the next section of my talk  
6 I'll talk about - present the summary of the  
7 research presentations that were made by two  
8 PIs in Tumor Vaccines and Biotechnology  
9 Branch, myself who studied the cancer  
10 biology and also chair and run the CBER -  
11 participate in CBER's genomics program, and  
12 Dr. Michail Alterman who was recruited last  
13 year, or less than a year go in April to  
14 replace a proteomics PI who had departed FDA  
15 to fill that position and set up a  
16 proteomics program for the Center for  
17 Biologics.

18 So the research in my lab is  
19 focused on targeting cancer and identifying  
20 the new cancer antigens and develop various  
21 different animal models that I'll show you  
22 in a few next slides. But I'd like to

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1 introduce to you some of the key public  
2 health issues and some of the scientific and  
3 regulatory challenges that we try to address  
4 in my research program. As you heard and as  
5 you know, cancer is one of the most  
6 difficult public health problems and the  
7 statistics that American Cancer Society  
8 provided for 2005 alone, more than 1.3  
9 million Americans are diagnosed with this  
10 cancer and about half of them die from this  
11 dreadful disease. One of the scientific  
12 challenges for identifying new treatment for  
13 cancer is to understanding the biology of  
14 cancer and identifying the appropriate  
15 target that one can deliver to the tumor  
16 site to cause a tumor regression. And some  
17 of the products that you actually heard  
18 today, a cancer vaccine in addition to a  
19 variety of different cancer vaccines include  
20 tumor antigens, peptide antigens, dendritic  
21 cells, T lymphocytes, T lymphocyte designed  
22 to express certain T-cell receptors and what

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1 have you. A lot of different types of  
2 cancer vaccines are being tested and one of  
3 the regulatory challenges that this type of  
4 product deal with the appropriate test to  
5 identify a biomarker for the purity, the  
6 identity, and potency of these products. In  
7 addition to they have to have the  
8 appropriate animal model, how to test the  
9 safety of these products and also how to  
10 determine the starting dose in the Phase I  
11 clinical trial. And of course lastly, but  
12 not the least important, is identifying a  
13 biomarker for the disease monitoring as well  
14 as in the response to substantiate the  
15 clinical outcome.

16 So the research program in my lab  
17 that we summarized in last site visit  
18 presentation in the fall of 2006 had three  
19 specific aims and we continue to study on  
20 those three aims, and one is to characterize  
21 the tumor-associated cell surface proteins  
22 which are antigen receptors and to establish

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1 identity of tumor vaccines and identify new  
2 targets for cancer therapy. The second  
3 specific aim in my research program and to  
4 deal with the regulatory challenge is to  
5 establish animal models of human cancer to  
6 assess the safety and the efficacy of tumor-  
7 targeted agents and gene therapy products.  
8 And third aim includes the characterization  
9 of tumor vaccines and use stem cells by  
10 genomics technology to identify biomarkers  
11 for purity, identity and potency, and  
12 research involving stem cell identify cancer  
13 stem cell, perhaps providing additional  
14 target for cancer therapy.

15 So in the next couple of slides  
16 I'll only show you the summary of the  
17 presentation that we made. I am not going  
18 to go in detail, present you every slide we  
19 presented to tell you that we have  
20 discovered two antigens, two targets in the  
21 name of IL-4 receptors and IL-13 receptors,  
22 and these, both of them, are Th2-derived

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1 cytokines. They are produced by Th2 cells.  
2 For some reason nature had provided so many  
3 of these receptors on the cancer cells. We  
4 still do not understand why these receptors  
5 are present on the cancer cells. However,  
6 we have taken the advantage of the knowledge  
7 of the expression of these antigens on the  
8 tumor in targeting these tumors with a  
9 targeted agent. And in that regard, in  
10 collaboration with - at the National Cancer  
11 Institute we created a fusion protein to  
12 demonstrate the proof of principal studies  
13 that this target can be useful target for  
14 the targeting of cancer. And we have looked  
15 at variety of human tumors as shown in this  
16 slide. The tumors listed in yellow were  
17 studied in the review period of four years  
18 prior to my last site visit. For the IL-13  
19 receptor which is a cousin of Interleukin-4  
20 that we have studied in these two tumors in  
21 last review period and we have find that IL-  
22 13 receptors are also highly over-expressed

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1 on the tumor cells.

2 We have studied various different  
3 pathways, why these receptors are present.  
4 We look at the mutation of this receptor on  
5 cancer which we have found none. We have  
6 done a single transduction studies to  
7 identify if the signaling is different from  
8 the tumor cells to the normal cells, and we  
9 have found there are major differences  
10 between the two and actually some of the  
11 summary is provided in the briefing  
12 document.

13 The other specific aim that we  
14 have addressed and I'm going to summarize  
15 here today is that developing the animal  
16 models of human cancer to assess the safety,  
17 toxicity, and effectiveness of the cancer  
18 targeted agent. And again we use - we were  
19 fortunate that we identified two targets and  
20 we developed the two targeted agents. We  
21 used them as a model to test in the  
22 appropriate animal models that we have

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1 established to test the safety and  
2 effectiveness of these approaches. And the  
3 tumor listed here in ovarian cancer shown  
4 here are the immune histochemistry of two  
5 different types of ovarian cancer, serous  
6 adenocarcinoma and clear cell carcinoma seem  
7 to express high level of one of the chains  
8 of IL-13 receptor called IL-13 receptor  
9 alpha 2 chain while the normal ovary or  
10 isotype control does not seem to express  
11 these receptors. And we have developed an  
12 animal model where we created a simulated  
13 Stage III/Stage IV ovarian cancer model by  
14 ototopically implanting ovarian tumor on the  
15 ovary and then in looking at the metastasis  
16 of the tumor as well as the therapy, the  
17 effect of IL-13 toxin and we have published,  
18 this paper just came out recently in *Cancer*.

19 Now, I'll shift to Dr. Michail  
20 Alterman's presentation, and, Dr. Alterman,  
21 if you can identify yourself by raising your  
22 hand. He is in the audience and if you have

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1 any questions he will be very - more than  
2 happy to answer any questions. And also if  
3 I do not represent his slides very well,  
4 please feel free to correct me.

5 Dr. Alterman is addressing the -  
6 and developing analytical proteomics for the  
7 characterization of the biological products  
8 and trying to identify the biomarkers for  
9 different types of products. The specific  
10 aim for his projects are now recently  
11 ongoing, realizing that he has only spent  
12 about less than a year at our place and he  
13 has now established his lab and began to  
14 pursue some of these projects. He took one  
15 of them to develop the mass spectroscopy-  
16 based analytical tools for testing of  
17 biological product quality and identity. In  
18 addition to identify a proteomics-based  
19 cellular molecular signature to be tested as  
20 a predictor of therapeutic success. In that  
21 regard he is focused on two independent  
22 projects, one of them is characterization of

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1 cell substrate used to produce gene therapy  
2 products or preventive and therapeutic  
3 vaccines that you heard. Proteomic  
4 characterization of different cell lines  
5 with the emphasis on the stem cell lines.  
6 In addition to his prior work before he came  
7 to CBER, focused on cytochrome P450 isozyme  
8 expression in tumors and he wanted to  
9 explore that further to identify whether  
10 this P450 isozyme expression serves as a  
11 potential biomarker for cancer.

12 The expected outcome and  
13 deliverables for his research include  
14 development of a simple genetic sample pre-  
15 fabrication technique enabling the reliable  
16 analysis of a representative part of the  
17 cell proteome. Proteomic profiling of the  
18 cell substrate, in this case he chose two  
19 cell substrates which are commonly also used  
20 to create flu vaccine and other cell  
21 substrates are used to produce gene therapy  
22 vectors. Identification of unique protein

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1 signature or a biomarker for human embryonic  
2 stem cells in CD34 cells, hematopoietic stem  
3 cells and an analysis of quantitative and  
4 qualitative changes during the  
5 differentiation of ES cells into CD34 cells,  
6 and that had been already demonstrated in  
7 the literature that you can convert these  
8 cells to these cells which is a very useful  
9 outcome. The discovery of new cytochrome  
10 P450 isozyme in tumor may lead to  
11 development of new biomarkers and perhaps  
12 new anti-cancer drugs and therapy.

13 So overall, the branch's outcome,  
14 regulatory outcome of our research involves  
15 - leads to identification of new antigens  
16 for cancer vaccine characterization and  
17 target for cancer therapy. We are  
18 developing the animal models for a variety  
19 of human cancer to test the safety and  
20 efficacy of targeted agents. We are  
21 promoting the development of novel  
22 technologies such as genomics and proteomics

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1 for product characterization. For example,  
2 biomarker for purity, identity and potency  
3 and safety. And of course this technology  
4 can provide a unique opportunity to identify  
5 molecular markers with the in vivo outcomes  
6 in animals and also hopefully in the clinic.  
7 So I'd like to stop here and, Chair, if you  
8 have any questions I will be happy to answer  
9 and Dr. Alterman is also available to answer  
10 any questions. Thank you.

11 DR. MULÉ: Thanks, Dr. Puri.  
12 Before we open it up for questions I just  
13 want to acknowledge we have new individuals,  
14 well not new individuals, but individuals  
15 from the FDA who have joined us for this  
16 session. If you'll kindly introduce  
17 yourself, I'll start with Dr. Bauer.

18 DR. BAUER: Hi, I'm Steve Bauer.  
19 I'm Chief of the Cell Tissue Gene Therapy  
20 Branch in Division of Cell and Gene  
21 Therapies.

22 DR. EPSTEIN: Suzanne Epstein,

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1 Associate Director for Research of the  
2 Office of Cellular Tissue and Gene  
3 Therapies.

4 DR. CARBONE: Kathy Carbone,  
5 Associate Director of Research for CBER.

6 DR. MULÉ: Thank you. So I'll  
7 open up the floor for questions for Dr.  
8 Puri. Raj, I have one. So I'm going to  
9 lower my voice when I say embryonic stem  
10 cells, but can you give me a sense of where  
11 you're going with the project? More  
12 specifics.

13 DR. PURI: So we are interested  
14 in identifying cancer stem cells and the  
15 approach in the literature, you might have  
16 seen that people have used a one analyte,  
17 for example CD133 or CD24 being expressed in  
18 a variety of different tumors such as brain  
19 tumors and - or in the head and neck tumors.  
20 CD24 being as a cancer stem cell in head and  
21 neck tumors. And because cancer stem cells  
22 provide a unique opportunity to identify

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1       them as a potential target and for the  
2       renewing the cancer that it provides - opens  
3       an entirely new field that I suspect that  
4       will be used as for a potential target for  
5       therapy. That most of the approaches have  
6       been used in the literature were based on  
7       their prior knowledge of one analyte or one  
8       expression of one cell type people have gone  
9       after in identifying cancer stem cells. We  
10      have a unique approach which has not been  
11      tested before and the unique approach being  
12      that we want to express and profile human  
13      embryonic stem cells, the totipotent,  
14      multipotent embryonic stem cell forms all  
15      different types of tissues and identify -  
16      and we have actually identified a signature  
17      of 92 genes. It's called stem nests. And  
18      those genes are uniquely expressed in human  
19      embryonic stem cells but not any of the  
20      adult tissues. Now we want to take  
21      advantage of that knowledge and try to  
22      express and profile the human tumor, cell

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1 lines first and then the tumor tissue  
2 obtained from the Cooperative Human Tissue  
3 Network under the FDA risk-approved  
4 protocols and isolate the tumor from the  
5 tissue section in the expression profile to  
6 see if we can identify that signature or  
7 some of the genes, the cluster of genes  
8 which are present on the tumor that may  
9 provide us some insight rather than one  
10 analyte at a time, identify multi analyte  
11 and maybe we can pull out those cancer stem  
12 cells and to show that they are indeed  
13 cancer stem cells. So that's a very early  
14 stage of this project, but it provides a  
15 unique opportunity to identify new stem  
16 cells in cancer itself.

17 DR. MULÉ: Questions from the  
18 committee?

19 DR. TAYLOR: Why CD34-positive  
20 cells?

21 DR. PURI: So that's a different  
22 project. So that's Dr. Alterman's project.

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1 So there's literature suggests that now that  
2 folks are very impressively can convert  
3 human embryonic stem cells with the  
4 cocultivation - with the different cell type  
5 and convert embryonic stem cell to CD34-  
6 positive cell. So CD34 being hematopoietic  
7 stem cell has many different applications.  
8 And that because it's already established in  
9 the literature, for Dr. Alterman's project  
10 it will be useful to identify the CD34 cells  
11 that you differentiated from ES cells, even  
12 though the expressing CD34 marker have  
13 similar gene expression profile. Are these  
14 cells are different? A simple question: are  
15 these cells different? So I think that's  
16 the initial thinking on this, and also in  
17 addition to that expression profiling,  
18 embryonic stem cells and CD34 cells that as  
19 this technology advance further when the  
20 application is submitted to the FDA we will  
21 be interested in knowing that you do not  
22 have any contaminating embryonic stem cells

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1 in the differentiated product. Because  
2 embryonic stem cells by definition call  
3 teratomas. They call all three germ layers,  
4 ectoderm, endoderm, and mesoderm, and we  
5 will be interested in showing - asking a  
6 question are these cells completely free of  
7 stem cells, embryonic stem cells. So I  
8 think that's some of the work we are trying  
9 to do in-house to come up with some sort of  
10 an assay to assess the perhaps help a  
11 sponsor, advise them to perhaps consider  
12 those tests to come up with the - the safety  
13 of those products before administration.

14 DR. TAYLOR: So then CD34 is just  
15 a population that you chose because it's  
16 being used clinically?

17 DR. PURI: And also been shown in  
18 the literature that ES cells can  
19 differentiate to CD34 cells, right.

20 DR. TAYLOR: Okay. And so really  
21 it's just an example of a cell type to allow  
22 you to look at differentiated cells versus

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1 undifferentiated human embryonic stem cells  
2 so that you can rule out the potential for  
3 teratoma formation down the road.

4 DR. PURI: Absolutely. Yes.  
5 That's one of the applications, right.  
6 Right.

7 DR. TAYLOR: Okay. I guess - I  
8 understand that. I guess I would - the  
9 broader question about why CD34-positive  
10 cells are a huge number of cells that  
11 embryonic stem cells can obviously give rise  
12 to that have been proposed for clinical  
13 studies. CD34 cells are only one and  
14 probably not even the most relevant because  
15 you can get those from so many other places  
16 easily. And so I just wondered if you're  
17 using it as a prototype or if you're really  
18 interested in the CD34-positive cell itself.

19 DR. PURI: We are just using it  
20 as a prototype for our studies. The  
21 feasibility that you can detect the  
22 embryonic stem cells.

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1 DR. MULÉ: Other questions?  
2 Okay, great. Thanks. Before we go to Dr.  
3 Bauer's presentation, an announcement. So  
4 there's a reservation at an Italian  
5 restaurant for dinner at 7:30. If you are  
6 interested the plan is to meet in the lobby  
7 at about 7:15. Do you need, Gail, do you  
8 need a head count? You're okay? We're  
9 okay? All right.

10 Okay, Dr. Bauer.

11 DR. BAUER: Well, good evening  
12 everyone. My name is Steve Bauer as I said  
13 a minute ago and as you just heard, and I'm  
14 going to be talking to you about the  
15 research programs that were site visited on  
16 November 3 of last year for the Cellular and  
17 Tissue Therapies Branch. I'll introduce the  
18 people that are here with us in case we have  
19 questions that come up later on. Deborah  
20 Hursh is back here. Deb, would you raise  
21 your hand or stand up? And Dr. Malcolm Moos  
22 is in the back. I think Dr. Marti intended

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1 to be here but since we're so far ahead of  
2 schedule hasn't arrived yet. Brent McCright  
3 is not here with us today, and then John  
4 Terrig Thomas is also back here. He is part  
5 of Dr. Moos's lab.

6 So this group handles primarily  
7 nowadays a variety of stem cell and other  
8 cellular therapy products, but many of us  
9 have been here for many years and have a  
10 wide variety of expertise in other areas as  
11 well, gene therapy and device regulation and  
12 protein chemistry and so on. So it's a  
13 group that has many years of experience and  
14 is bringing that all to bear on some of the  
15 challenges nowadays with cell therapies. So  
16 as I think you can appreciate from today and  
17 from general knowledge of this area, for a  
18 lot of cell therapies that are currently  
19 being tried and anticipated clinical benefit  
20 is highly variable, it's often hard to  
21 demonstrate and just a few problems are some  
22 - for instance in many cases most cells

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1 actually die pretty quickly after  
2 administration. One of the things we're  
3 worried about is products could be  
4 "misdifferentiating," not doing the intended  
5 function once they're given to a patient.  
6 And often we're manufacturing cells ex vivo  
7 because there's an inadequate supply of the  
8 native cells, so we need to expand them.

9           But really for us the challenges  
10 from these kinds of problems, we really have  
11 a relatively poor understanding of how cells  
12 interact with their microenvironment. And  
13 from our perspective we see often that  
14 really what is currently done to  
15 characterize cell therapy products really is  
16 inadequate in terms of being able to really  
17 predict robustly what cells are going to do  
18 once they're administered to patients and  
19 how they will function and how to predict  
20 whether cells will survive and you know, if  
21 we could increase their survival. So these  
22 are just a few of the challenges, but some

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1 of the ones that I wanted to highlight.

2 I think this group that has been  
3 brought together as the Cell and Tissue  
4 Therapies Branch, we use complementary  
5 approaches. We use frogs, flies, mouse and  
6 man, all of the above, to study some of  
7 these questions, and some of the basic  
8 approaches that we look at are to take  
9 interactions between genes, proteins, cells  
10 and tissues and use what we can find out  
11 about those interactions to study processes  
12 of normal development and tumorigenicity.  
13 And for instance, knowledge and manipulation  
14 of things like growth factor pathways we  
15 think will help us understand cell therapies  
16 better, be able to better predict their  
17 efficacy. And then how we understand  
18 tumorigenicity we think will help us improve  
19 our safety profile for cell therapies  
20 because tumorigenicity is an issue in that  
21 field.

22 So I'm going to now just touch a

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1 few highlights from each one of the research  
2 programs and at least Deb and Malcolm and  
3 John are here - Terrig are here to correct  
4 me if I misspeak representing them. I don't  
5 think Dr. Marti or McCright are here, and  
6 I'll try to field questions if there are any  
7 on their segments. So what I've illustrated  
8 on this slide is a system that I've used  
9 where you can grow mesenchymally derived  
10 stromal cells that support precursor-B cells  
11 upon them. And we discovered - and this is  
12 an illustration. These cells are self-  
13 replicating with - in the presence of IL-7  
14 and the stromal cells, and we discovered on  
15 the surface of the stromal cell there's a  
16 molecule called dlk. And normally under  
17 these circumstances if you remove IL-7,  
18 cells begin to differentiate and die, and  
19 they can become immunoglobulin-positive B-  
20 cells in this culture system. So what we  
21 discovered in efforts to try to figure out  
22 what kind of signals the stroma were passing

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1 to the pre-B cells, if you down-regulated  
2 the dlk on the stromal cells, this normal  
3 process of differentiation or cell death  
4 ceased and these cells instead just kind of  
5 perked along and maintained their status as  
6 pre-B cells. And there were no changes in  
7 any of the markers that we look at normally  
8 to characterize pre-B cells. So this is  
9 analogous to what a cell therapy  
10 characterization protocol would be. You  
11 take the cell surface markers that you know  
12 about and you look at them. So we did that  
13 with flow cytometry, with gene expression  
14 markers. Really no changes, but the take-  
15 home lesson here is that abnormal stromal  
16 cells resulted in abnormal B-lineage cells I  
17 should have said here, cells that look  
18 normal by all the criteria you normally  
19 would apply, but actually are abnormal.

20 We've gone on to look at this in  
21 vivo as well with a dlk mouse, a knockout  
22 mouse. That does alter B-cell development

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1 and function. And we use that to study the  
2 microenvironment in the host and how that  
3 can affect both cells that you take out of  
4 such a host and cells that you might put in.  
5 And I won't go into that.

6 Also, in my lab we've been using  
7 the same system whereby we can - from normal  
8 or frankly neoplastic or pre-neoplastic pre-  
9 B cells establish clonally related colonies  
10 of those and then have a large - of cells by  
11 which we can study mechanisms of  
12 transformation. And we're pursuing that in  
13 hopes of identifying biomarkers of  
14 transformation that could be useful in  
15 looking at cell therapies, and a microarray  
16 is one approach that we're doing that. We  
17 can also take genes that have been  
18 identified as candidates and put them back  
19 into these cells and study, you know, as a  
20 validation approach for biomarker discovery.

21 So the impact for cell therapy of  
22 this kind of research is - I think this is

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1 something that we haven't thought about a  
2 lot in cell therapy in the past, that the  
3 stroma itself, the feeder layers that are  
4 used to propagate cells can alter a product  
5 in a way that might not be revealed in lot  
6 release tests as they currently are done.  
7 And that efficacy of a cell therapy product  
8 could be affected by the microenvironment  
9 during cell product manufacturing, and  
10 perhaps the microenvironment in the patient  
11 as well. In fact, we know that cells can  
12 induce changes in the patient  
13 microenvironment as well as vice versa. And  
14 I've just described our efforts in this  
15 improved tumorigenicity assessments.

16 So now I'll turn to Dr. McCright.  
17 He is pursuing mouse models of organogenesis  
18 in looking at this from the perspective of  
19 cellular- and tissue-engineered therapies.  
20 The approach is to genetically modify mice  
21 and study the functions of proteins that are  
22 thought to be required or shown to be

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1 required for mammalian organ development in  
2 vivo. And this is just an illustration. So  
3 Brent brought with him this technology and  
4 can create multiple animal models. He's  
5 been using that to create models that allow  
6 us to inactivate or over-express Notch2 in a  
7 tissue-specific manner. And you can isolate  
8 stem cells from a mouse, for instance, with  
9 a GFP knock-in so you know that they're  
10 Notch2 expressing, and also to study an  
11 anti-oncogene, B56gamma. So that's  
12 basically the model and just some  
13 highlights. He's been looking at the role  
14 of Notch2 in heart development and shown  
15 that Notch2 expression in heart-specific  
16 inactivation allows you to say that there's  
17 a cell-autonomous requirement for Notch2  
18 during mouse heart development. So this is  
19 an example of putting a marker under the  
20 expression of Notch2. And you can, with  
21 beta-gal for instance show that Notch2 is  
22 expressed in a lot of the tissues and sites

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1 within heart development.

2           What's illustrated over here is  
3 that he's been able to use cell-specific  
4 knockout by using the Cre recombinase system  
5 and having flox Notch2 alleles and then  
6 using tissue in cell-specific Cre over-  
7 expression or expression to specifically  
8 knock out different cells and shown defects  
9 in the heart that are mapped to Notch2  
10 expression. So hearts from newborn mice  
11 which have this Notch2 heart-specific  
12 inactivation die perinatally and you can see  
13 the histological evidence of malformation.

14           So what are the importance of  
15 this kind of research? You can use this  
16 sort of approach to identify and analyze  
17 molecules that we think are required for  
18 mammalian organogenesis. We've shown that  
19 Notch2 could potentially be a biomarker for  
20 evaluating developmental cells that you  
21 might isolate that you think are useful for  
22 cardiac repair. And I didn't really talk

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1 about this, but he also has shown by doing  
2 domain switches at Notch1 or 2 activation  
3 can have similar effects on cell products,  
4 and that exogenous notch activation and  
5 functional requirements for Notch2 can be  
6 studied in most tissues.

7           So now I'll move on to describe  
8 briefly some of the things that Dr. Deborah  
9 Hursh are doing. She's developing a genetic  
10 model of growth factor action to develop -  
11 aimed at developing markers of safety and  
12 efficacy of cell-based products. This is  
13 her depiction of Drosophila as a test tube  
14 with wings and she's using this - it's a  
15 powerful system in order to be able to study  
16 such things as cell communication and intact  
17 tissues using the tools that have been  
18 developed over the years to Drosophila  
19 genetics. You can alter gene expression  
20 very specifically within certain  
21 microenvironments. You can conduct high  
22 throughput screens that are useful to

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1 identify critical control points for cell  
2 development differentiation, and it's a very  
3 nice way to start looking at markers,  
4 biomarkers that can be predictive of pathway  
5 activity, pathways that affect cell  
6 development. You can also do such things as  
7 analyzing cell stress and viability. I  
8 mentioned earlier that that's one of the  
9 problems in cell therapies, that cells seem  
10 to die pretty quickly after administration,  
11 so it would be good to understand that  
12 process and perhaps figure out if there are  
13 markers predictive of survival.

14 So one of the things you can do  
15 very elegantly in Drosophila is do genetic  
16 interaction screens and as I said a minute  
17 ago put genes in specific functional  
18 pathways so you're really using the model  
19 organism to identify critical control  
20 points. This approach avoids some of the  
21 bias of other approaches and abundance in  
22 immunogenicity, other modifications of some

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1 of the other models. But another thing you  
2 can do is look at many, many, many flies so  
3 you can do a sufficiently powerful screen.  
4 I think I've said this several times, but  
5 knowledge of the control points that really  
6 affect cell state and fate we think is very  
7 critical for understanding cell therapies  
8 better. And in her lab, Deb's group has  
9 identified more than 20 genes that interact  
10 with the BMP pathway which is a pretty  
11 profound growth signaling pathway.

12 And as an illustration in this  
13 next slide comparing wild-type fly and one,  
14 it's a BMP mutant. If BMP is lacking this  
15 induces the Jun kinase pathway, and the loss  
16 of this BMP factor causes some of these  
17 cells to be - lose their ability to compete  
18 with their normal neighbors. And here you  
19 can see caspase activity so these cells are  
20 undergoing apoptosis. And this is we think  
21 a very elegant system to explore some of the  
22 problems in cell and tissue engineering, and

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1 particularly having biomarkers that will  
2 improve our ability to predict the survival  
3 of transplanted cells in their new location.  
4 And as a more general approach, to look at  
5 gene and cell interactions in tissue  
6 development.

7 I'll now turn to Dr. Moos's  
8 presentation, and he's primarily been  
9 looking at protein-protein interactions that  
10 are important in joint development. And  
11 what you see here is joint formation in  
12 developing xenopus limbs. And the arrows  
13 point to areas where there needs to be or  
14 there is co-expression in the same place and  
15 at the same time of what are shown in red,  
16 proprotein convertases and GDF5 which need  
17 to colocalize in order to give you a well-  
18 formed joint. This is an illustration of  
19 that same point where you can see where the  
20 colocalization maps.

21 In another similar series of  
22 experiments, Dr. Moos's group with Terrig

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1 Thomas's participation have identified a  
2 novel BMP antagonist that copurifies and  
3 colocalizes, again, with GDF5. And it's the  
4 same idea here, that you need to have  
5 spatial, temporal co-expression,  
6 colocalization in order to successfully make  
7 a joint. The articulate - specifically  
8 articular surface in those joints. So this  
9 illustrates the importance of feedback and  
10 crosstalk in cell and tissue specification,  
11 that colocalization of several signals is  
12 necessary to instruct formation of cartilage  
13 and again, looking at a more global picture,  
14 a system in a way to study developmental  
15 signals that could be important as we move  
16 towards better characterization of cell and  
17 tissue engineering products.

18 And Dr. Marti has had a career-  
19 long interest in chronic lymphocytic  
20 leukemia and studies that both in a mouse  
21 model and in man, and in his work has been  
22 interested in the molecular lesion in

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1 chronic lymphocytic leukemia. And in his  
2 work he's characterized precursor states for  
3 CLL, specifically one called monoclonal B-  
4 cell lymphocytosis and studied familial  
5 chronic lymphocytic leukemia. And more  
6 recently has been - published work in *Blood*  
7 about an NZB mouse model of CLL and the  
8 remarkable finding from that is there's a  
9 shared micro-RNA lesion that both mouse and  
10 - in the mouse model of CLL and which occurs  
11 in human CLL with high frequency.

12 He's also been involved in  
13 setting up consortia to better understand a  
14 biomarker of CLL which correlates with a bad  
15 prognosis in looking at ZAP70  
16 characterization by flow cytometry. And  
17 that leads to the next point. He's had a  
18 long-term interest and involvement in  
19 developing better methods for quantitative  
20 flow cytometry. And I think you saw today  
21 how important that can be in cell therapy  
22 characterization, and he's spent a lot of

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1 time and effort with the community and in  
2 collaboration with NIST and colleagues at  
3 CDC and NIH developing standards for flow  
4 cytometry, both in terms of fluorescence  
5 reference materials, documents that tell you  
6 how to do this. And they've been useful and  
7 continue to be useful in how we characterize  
8 cell therapy products.

9 This is just a diagram showing  
10 the locus that's affected in both the NZB  
11 CLL model and mouse - and human CLL, a locus  
12 called Mir16. So his work is very important  
13 in the concept of earlier detection of  
14 disease and looking at molecular lesions  
15 that are associated with the onset of the  
16 transformed state in leukemogenesis,  
17 potentially targets for intervention. But  
18 his work in flow cytometry in particular is  
19 very important in product characterization  
20 and that's important for flow cytometry,  
21 both in process and as lot release for  
22 cellular and gene therapy products. Another

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1 area I won't say much about, but more and  
2 more we're getting into the area where flow-  
3 sorted cells will be used clinically. So  
4 his expertise and advice in quantitative  
5 flow cytometry has been key in interactions  
6 and facilitating those product developments.

7 So what I hope I've given you a  
8 very quick overview is that in the Cell and  
9 Tissue Therapies Branch we're addressing  
10 many of these cell therapy challenges  
11 through complementary approaches, looking at  
12 cell-cell interactions, genetic interaction  
13 screens, protein-protein interactions,  
14 models of organogenesis and tumorigenesis in  
15 mouse and man. So the current state of the  
16 art is sort of looking at a jet from the  
17 outside where you can see it's a jet, you  
18 know it's underway. We look at, you know,  
19 some of the surface markers of the jet, but  
20 what we really would like to do in order to  
21 facilitate development of cell therapy is  
22 understand what's really going on inside the

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1 cockpit, and that's analogous to what's  
2 going on inside the cell. And that'll tell  
3 us a lot about where cells are going, where  
4 they're headed and so on. So we're looking  
5 at both ways, specific biomarkers that are  
6 associated with certain directions cells  
7 take, but also generalized approaches for  
8 getting a better understanding what those  
9 instructions are within the cell and then  
10 determine cell fate and cell specification  
11 and we hope will lead to improved cell  
12 therapies. And with that I'll take your  
13 questions.

14 DR. MULÉ: Thanks, Dr. Bauer.  
15 Questions?

16 DR. BAUER: Everybody's tired.

17 DR. MULÉ: Okay, I think we're  
18 set. Thank you.

19 DR. BAUER: Thank you.

20 DR. MULÉ: Before we go ahead, we  
21 have two members of the committee who have  
22 joined us for this evening, and that's Dr.

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1 Gerson and Dr. Urba. Okay, so we have  
2 closed session now.

3 (Whereupon, the foregoing matter  
4 went off the record at 5:22 p.m.)

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