

U.S. FOOD AND DRUG ADMINISTRATION

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CENTER FOR BIOLOGICS EVALUATION AND RESEARCH

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CELLULAR, TISSUE AND GENE THERAPIES ADVISORY
COMMITTEE

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OPEN SESSION

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THURSDAY,
MARCH 29, 2007

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The meeting convened at 8:00 a.m.
at the Hilton Washington D.C.
North/Gaithersburg, 620 Perry Parkway,
Gaithersburg, Maryland, James J. Mulé,
Ph.D., Chair, presiding.

PRESENT:

JAMES J. MULÉ, Ph.D., Chair
RICHARD B. ALEXANDER, M.D., Temporary Voting
Member
MATTHEW J. ALLEN, Vet., M.B., Ph.D.
Member
MICHÉLE P. CALOS, Ph.D., Member
JEFFREY S. CHAMBERLAIN, Ph.D., Member
RICHARD J. CHAPPELL, Ph.D., Member
GLENN DRANOFF, M.D., Temporary Non-voting
Member
STEVEN M. DUBINETT, M.D., Temporary Voting
Member

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PRESENT:

STANTON L. GERSON, M.D., Member
 (Topic II only)
 FARSHID GUILAK, Ph.D., Member
 KURT C. GUNTER, M.D., Industry
 Representative
 MAHA HUSSAIN, M.D., FACP, Temporary Voting
 Member
 LARRY W. KWAK, M.D., Ph.D., Member
 FRANCESCO MARINCOLA, M.D., Temporary Voting
 Member
 ROBERT J. SAMUELS, Patient Representative
 HOWARD I. SCHER, M.D., Temporary Voting
 Member
 DORIS A. TAYLOR, Ph.D., Member
 SHARON F. TERRY, M.S., Consumer
 Representative
 WILLIAM W. TOMFORD, M.D., Member
 WALTER J. URBA, M.D., Ph.D. Member
 (Topic II only)
 SAVIO LAU-CHING WOO, Ph.D., Member

FDA PARTICIPANTS:

GAIL DAPOLITO, Executive Secretary
 STEVEN R. BAUER, Ph.D., Chief, Cellular and
 Tissue Therapy Branch
 KATHRYN M. CARBONE, M.D.
 KE LIU, M.D., Ph.D., Division of Clinical
 Evaluation, Pharmacology and
 Toxicology
 RAJ K. PURI, M.D., Ph.D., Director, DCGT,
 and Chief, Tumor Vaccines and
 Biotechnology Branch
 CELIA WITTEN, M.D., Ph.D., Director, Office
 of Cellular, Tissue and Gene Therapies
 KEITH WONNACOTT, Ph.D., Chief, Cell Therapy
 Branch
 BO-GUANG ZHEN, Ph.D., Division of
 Biostatistics

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A-G-E-N-D-A

**TOPIC I: Sipuleucel-T, Dendreon Corporation
(BLA-STN 125197)**

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FDA PRESENTATION

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Therapy Branch
Division of Cellular and Gene
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CBER, FDA

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Division of Clinical Evaluation,
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(DCGT), CBER**

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Director, DCGR and Chief, Tumor
Vaccines and Biotechnology Branch

Steven Bauer, PhD 410
Chief, Cellular and Tissue Therapy
Branch

1 P-R-O-C-E-E-D-I-N-G-S

2 8:01 a.m.

3 DR. MULÉ: I'd like to welcome
4 you to the March 29 meeting of the Cellular,
5 Tissue and Gene Therapies Advisory Committee
6 for the FDA. We have a very full schedule
7 today and so what I'd like to do is, as much
8 as possible to keep us on time, I would ask
9 again the speakers to be cognizant of the
10 fact of the schedule and my job of course is
11 to try to keep things moving along. So
12 again I'd like to welcome you. I'd like to
13 welcome the new members of the committee as
14 well as the other members of our advisory
15 committee for this meeting. So we'll get
16 started by having Gail read the conflict.

17 MS. DAPOLITO: Good morning and
18 welcome. I'm Gail Dapolito, the Executive
19 Secretary for the Cellular, Tissue and Gene
20 Therapies Advisory Committee. Before I read
21 the conflict of interest statement I would
22 like to request that you please silence cell

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1 phones and pagers, and also I would like to
2 request that any media inquiries be directed
3 to Karen Riley or Heidi Rebello from the FDA
4 Office of Public Affairs. And if Karen or
5 Heidi could stand up. They're waving.
6 They're over to my left. Thank you. Now I
7 will read for the public record the conflict
8 of interest statement. One more matter for
9 press inquiries. Dr. Celia Witten will be
10 the sole spokesperson for the FDA. Thank
11 you.

12 The Food and Drug Administration
13 convenes today's meeting of the Cellular,
14 Tissue and Gene Therapies Advisory Committee
15 under the authority of the Federal Advisory
16 Committee Act of 1972. With the exception
17 of the industry representative, all
18 participants of the committee are special
19 government employees or regular federal
20 employees from other agencies and are
21 subject to the federal conflict of interest
22 laws and regulations. The following

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1 information on the status of this advisory
2 committee's compliance with federal ethics
3 and conflict of interest laws, including but
4 not limited to 18 USC Subsection 208 and 21
5 USC Subsection 355(n)(4) is being provided
6 to participants in today's meeting and to
7 the public.

8 FDA has determined that members
9 of this advisory committee are in compliance
10 with federal ethics and conflict of interest
11 laws, including but not limited to 18 USC
12 208 and 21 USC 355(n)(4). Under 18 USC 208,
13 applicable to all government agencies, and
14 21 USC 355, applicable to certain FDA
15 committees, Congress has authorized FDA to
16 grant waivers to special government
17 employees who have financial conflicts when
18 it is determined that the agency's need for
19 a particular individual's services outweighs
20 his or her potential financial conflict of
21 interest, Section 208, and where
22 participation is necessary to afford

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1 essential expertise, Section 355. Members
2 and participants of the committee who are
3 special government employees at today's
4 meeting, including special government
5 employees appointed as temporary voting
6 members, were screened for potential
7 conflicts of interest of their own as well
8 as those imputed to them, including those of
9 their employer, spouse, or minor child
10 related to the following: Topic I, the
11 discussion of Provenge sponsored by
12 Dendreon; Topic II, an overview of research
13 programs in the Division of Cellular and
14 Gene Therapy's Center for Biologics
15 Evaluation and Research; Topic III, draft
16 guidance for industry, minimally
17 manipulated, unrelated allogeneic placental
18 umbilical cord blood intended for
19 hematopoietic reconstitution in patients
20 with hematological malignancies; and Topic
21 IV, a discussion of scientific issues
22 regarding minimally manipulated unrelated

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1 allogeneic peripheral blood stem cells.
2 These interests may include investments,
3 consulting, expert witness testimony,
4 contracts, grants, credits, teaching,
5 speaking, writing, patents and royalties and
6 primary employment.

7 For today's agenda regarding
8 Topic I the committee will discuss and make
9 recommendations on Provenge sponsored by
10 Dendreon in accordance with 18 USC
11 208(b)(3). Waivers were granted to Drs.
12 Maha Hussain, Howard Scher and Savio Woo.
13 Dr. Glenn Dranoff was granted a limited
14 waiver to permit his participation in the
15 discussions. Dr. Dranoff will not vote on
16 this topic.

17 For the discussion of Topic III,
18 draft guidance to industry, Drs. James Mulé,
19 Mary Horowitz and Mary Lachlan each received
20 a waiver under 18 USC Section 208(b)(3).
21 Drs. Stanton Gerson and Walter Urba recused
22 themselves from participation in Topic I.

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1 They may participate fully in Topics II, III
2 and IV. A copy of the written waivers may
3 be obtained by submitting a written request
4 to the agency's Freedom of Information
5 Office, Room 12A30 of the Parklawn Building.

6 With regard to FDA's guest
7 speaker Dr. Pablo Rubinstein - that will be
8 on March 30 - the agency has determined that
9 the information provided by him is
10 essential. The following information is
11 being made public to allow the audience to
12 objectively evaluate any presentation and/or
13 comments made by him. Dr. Pablo Rubinstein
14 is employed by the National Cord Blood
15 Program at the New York Blood Center. Dr.
16 Kurt Gunter is serving as the industry
17 representative acting on behalf of all
18 related industry and is employed by Hospira
19 Incorporated. Industry representatives are
20 not special government employees and do not
21 vote.

22 This conflict of interest

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1 statement will be available for review at
2 the registration table. We would like to
3 remind participants that if the discussions
4 involve any other products or firms not
5 already on the agenda for which an FDA
6 participant has a personal or imputed
7 financial interest, the participants need to
8 exclude themselves from such involvement and
9 their exclusion will be noted for the
10 record. FDA encourages all other
11 participants to advise the committee of any
12 financial relationships that you may have
13 with the sponsor, its product and, if known,
14 its direct competitors in any firms that
15 could be affected by the committee
16 discussions. Thank you.

17 DR. MULÉ: Thank you, Gail.
18 We'll continue by introducing the members of
19 the committee, both the standing members as
20 well as the ad hoc members. To my left is
21 Dr. Woo. If you can kindly give your
22 affiliation and your expertise.

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1 DR. WOO: My name is Savio Woo.
2 I am Professor and Chairman at the Mount
3 Sinai School of Medicine, New York City and
4 my expertise is in the area of gene therapy.

5 DR. MARINCOLA: I'm Franco
6 Marincola. I'm Chief of the Immunogenetic
7 Section and the Clinical Center at National
8 Institutes of Health and my main interest is
9 in immune responses to viral disease and
10 cancer.

11 DR. SCHER: Howard Scher. I'm
12 the Chief of the Geneto-Urinary Oncology
13 Service at Memorial Sloane Kettering in New
14 York with expertise in prostate cancer
15 clinical trials.

16 DR. TOMFORD: William Tomford,
17 Professor of Orthopedic Surgery, Harvard
18 Medical School. I have an interest in bone
19 and cartilage transplantation.

20 DR. GUILAK: Farshid Guilak, Duke
21 University Medical Center. I work in tissue
22 engineering and stem cell therapies for

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1 osteoarthritis.

2 DR. GUNTER: My name's Kurt
3 Gunter. I'm the industry representative on
4 the panel.

5 DR. DRANOFF: I'm Glenn Dranoff
6 from Dana Farber Cancer Institute and I work
7 in cancer immunology.

8 DR. ZHEN: My name is Bo Zhen.
9 I'm a statistical reviewer, CBER, FDA.

10 DR. LIU: Ke Liu, clinical
11 reviewer in the Office of Cellular, Tissue
12 and Gene Therapies, CBER.

13 DR. WONNACOTT: I'm Keith
14 Wonnacott. I'm a product reviewer on the
15 Provenge file.

16 DR. WITTEN: Dr. Celia Witten,
17 Office Director of the Office of Cellular,
18 Tissue and Gene Therapies, CBER, FDA.

19 DR. ALEXANDER: My name is Rich
20 Alexander. I'm Professor of Urology at the
21 University of Maryland. My interest is
22 prostate cancer and cancer immunotherapy.

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1 DR. CHAMBERLAIN: I'm Jeff
2 Chamberlain, a Professor at the University
3 of Washington. I work in areas of gene and
4 stem cell therapies for the muscular
5 dystrophies.

6 DR. KWAK: Larry Kwak, Chairman
7 of the Department of Lymphoma and Myeloma at
8 MD Anderson Cancer Center. My area of
9 interest is tumor immunology.

10 DR. CALOS: Michele Calos. I'm a
11 Professor at Stanford University and my
12 interest is gene therapy.

13 DR. DUBINETT: Steve Dubinett.
14 I'm from UCLA. I direct the UCLA Lung
15 Cancer Research Program in the Division of
16 Pulmonary and Critical Care Medicine. Our
17 research interests focus on lung cancer,
18 immunology and inflammation.

19 DR. ALLEN: Matthew Allen. I'm
20 Associate Professor, Orthopedic Surgery at
21 State University of New York in Syracuse.
22 I'm a veterinarian with an interest in pre-

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1 clinical orthopedic animal models and also
2 animal models of cancer.

3 DR. CHAPPELL: Rich Chappell, the
4 Department of Biostatistics and Medical
5 Informatics at University of Wisconsin where
6 I'm a Professor. And my area of interest is
7 statistical methods and design of clinical
8 trials.

9 DR. HUSSAIN: Maha Hussain,
10 University of Michigan. I'm a Professor of
11 Medicine and Urology there and I am a GU
12 medical oncologist.

13 MR. SAMUELS: My name is Bob
14 Samuels. I am the patient advocate. I am a
15 13-year survivor of prostate cancer, a 7-
16 year survivor of throat cancer. I was a
17 founding chairman of the National Prostate
18 Cancer Coalition and also the Florida
19 Prostate Cancer Network.

20 MS. TERRY: Sharon Terry,
21 President and CEO of Genetic Alliance which
22 is a coalition of 600 disease advocacy

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1 groups and also Chair of the Genetic
2 Alliance Biobank. My expertise is in
3 advocacy, general genetics research and
4 biobanking.

5 DR. TAYLOR: Doris Taylor,
6 Director of the Center for Cardiovascular
7 Repair, University of Minnesota. My
8 interest is in cell therapy for
9 cardiovascular disease.

10 MS. DAPOLITO: Gail Dapolito,
11 Executive Secretary for the committee. And
12 I'd also like to introduce the Committee
13 Management Specialist, Rosanna Harvey.
14 Thank you.

15 DR. MULÉ: Jim Mulé, Executive
16 Vice President for Applied Research, H. Lee
17 Moffitt Comprehensive Cancer Center. My
18 expertise is in tumor immunology and
19 immunotherapy.

20 So we're ahead of time and if
21 Dendreon is ready we can proceed with the
22 presentations. We're about 20 minutes ahead

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1 of schedule. So the first speaker is an
2 introduction from Elizabeth Smith.

3 MS. SMITH: We're ready, but our
4 projector is not ready. Okay. Mr.
5 Chairman, members of the committee, ladies
6 and gentlemen, good morning. My name is
7 Elizabeth Smith. I'm the Vice President of
8 Regulatory Affairs at Dendreon Corporation
9 and on behalf of Dendreon we are honored to
10 be here today to work with this committee to
11 further advance the field of cancer
12 immunotherapies and turn theoretical
13 concepts into real treatment options that
14 have the potential to improve the lives of
15 patients suffering from prostate cancer.

16 Provenge or sipuleucel-T is one
17 of many cell- and immune-based therapies
18 that have been under development over the
19 last decade, but this is the first in this
20 new class of therapy to come before this
21 committee in consideration for licensure.
22 Sipuleucel-T is an autologous active

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1 cellular immunotherapy that is designed to
2 activate the patient's immune system against
3 his prostate cancer. This is a patient-
4 specific product consisting of autologous
5 antigen-presenting cells that are loaded ex
6 vivo with a recombinant fusion protein
7 consisting of human prosthetic acid
8 phosphatase, or PAP, fused to human
9 granulocyte macrophage colony stimulating
10 factor, or GMCSF. Specifically, in a simple
11 and well-defined process peripheral blood
12 mononuclear cells are obtained from each
13 patient via apheresis. These cells are
14 shipped to a Dendreon manufacturing facility
15 for preparation of the sipuleucel-T final
16 product. Using validated aseptic GMP
17 processes, the cells are isolated and they
18 are cultured with the recombinant fusion
19 protein ex vivo. After culture, the cells
20 are harvested, washed, formulated, sampled
21 for QC testing and then shipped to the
22 physician's office for infusion to the

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1 patient. This process is repeated three
2 times at 2-week intervals. The whole course
3 of treatment involves three donations of
4 blood followed by three infusions of
5 product. This basic process was used
6 throughout the clinical development program
7 for sipuleucel-T which has been conducted
8 solely in the prostate cancer setting.

9 After filing our IND in 1996, our
10 initial Phase I and II studies were
11 conducted in men with both asymptomatic and
12 symptomatic hormone-refractory, also known
13 as androgen-independent prostate cancer.
14 The results of these studies demonstrated
15 that infusions of sipuleucel-T up to the
16 maximum dose achieved in the manufacturing
17 process were well tolerated. Signals of
18 delay in disease progression and the
19 generation of immune responses following
20 treatment led us to the design of our Phase
21 III program in men with asymptomatic
22 metastatic AIPC shown here in yellow.

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1 Studies 9901 and 9902A which we
2 will refer to today as Studies 1 and 2
3 respectively, were multi-center, randomized,
4 double blind, placebo-controlled trials.
5 The survival results from these studies will
6 be the focus of our efficacy presentation
7 today. The third study, 9902B, which we
8 will refer to as Study 3, is currently
9 enrolling men with asymptomatic and
10 minimally symptomatic androgen-independent
11 prostate cancer. This study was initiated
12 and designed before the availability of the
13 survival results from Studies 1 and 2.
14 Lastly, Study P11 is being conducted in men
15 with androgen-dependent prostate cancer, and
16 all of these studies contribute to the
17 safety database for sipuleucel-T.

18 The Phase III regulatory history
19 provides important context for the results
20 that will be presented today. In 1999 and
21 early 2000, Studies 1 and 2 were initiated
22 at multiple centers across the United

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1 States. The original intent of the Phase
2 III program was to evaluate the ability of
3 sipuleucel-T to delay the time-to-disease-
4 progression in men with AIPC, which was the
5 primary endpoint of the study, compared to a
6 placebo control. Additionally, while both
7 FDA and Dendreon recognize that neither
8 study was prospectively powered to detect a
9 difference in overall survival, we included
10 a plan to follow all patients for survival
11 for 36 months or until death after
12 randomization.

13 In 2002, Dendreon analyzed the
14 results for Study 1, time to progression.
15 The primary endpoint was not met. The p-
16 value approached but did not achieve
17 statistical significance, suggesting a lack
18 of power, particularly in light of the
19 observed delayed treatment effect of this
20 immunotherapy. The magnitude of the
21 treatment effect, however, was consistent
22 with patient benefit. The results from

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1 Study 1 signaled that Study 2 was unlikely
2 to meet its primary endpoint of progression.
3 Thus Dendreon stopped enrollment in Study 2
4 prematurely. The survival results from
5 Study 1 were not sufficiently mature to
6 conduct an analysis in 2002, so all patients
7 in Studies 1 and 2 continued to be followed
8 for survival per protocol.

9 In 2003, under a special protocol
10 assessment, Study 3 was initiated. Study 3
11 was initiated to continue our clinical
12 investigation of sipuleucel-T, now in men
13 with both asymptomatic and minimally
14 symptomatic androgen-independent prostate
15 cancer complimented by our increased
16 understanding of sipuleucel-T efficacy
17 gained from Study 1. Initially the primary
18 endpoint for Study 3 was time to objective
19 disease progression. It has since been
20 changed to overall survival. The final
21 survival results from Study 3 will be
22 available in 2010.

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1 In 2004, after every subject was
2 followed until death or 36 months, per
3 protocol, the final survival results in the
4 intent-to-treat population demonstrated a
5 clinically meaningful improvement in overall
6 survival compared to placebo. The results
7 from Study 2 showed a trend in the same
8 direction. These results were then
9 discussed with FDA and fast-track
10 designation was granted on the basis of the
11 demonstrated potential of sipuleucel-T to
12 prolong survival while avoiding the
13 toxicities associated with current
14 therapies.

15 Dendreon filed its biologics
16 license application in 2006 and it is
17 currently under priority review. The
18 proposed basis for Dendreon's biologics
19 license application has been demonstrated in
20 multi-center, randomized, double blind,
21 placebo-controlled trials. The primary
22 evidence of efficacy is provided from Study

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1 1. Time to progression was the primary
2 endpoint. The magnitude of the treatment
3 effect for progression in Study 1 was
4 consistent with patient benefit. More
5 important, however, are the results for
6 overall survival. This is the most
7 clinically relevant and objective measure of
8 efficacy in clinical trials in oncology.
9 The overall survival results in the intent-
10 to-treat population were clinically
11 meaningful and statistically persuasive.
12 There was internal consistency within the
13 study. The primary and secondary endpoints
14 all in the same direction and a positive
15 treatment effect across all patient subsets.
16 The survival results have also held up to
17 the challenge of multiple sensitivity
18 analyses.

19 Supportive evidence of efficacy
20 is provided from Study 2 which has shown a
21 trend in the same direction for improvement
22 in survival. The results of exploratory

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1 analyses which integrate the data from
2 Studies 1 and 2 confirm patient benefit and
3 also demonstrate that there is a strong
4 correlation between product potency, a
5 measure of cell activation and overall
6 survival. The totality of the evidence from
7 these studies demonstrate that the results
8 from Study 1 are unlikely to be due to
9 chance. And finally, sipuleucel-T appears
10 to be well-tolerated, providing an appealing
11 benefit-to-risk profile, particularly in
12 light of the limitations of current
13 treatment options. Taken together, these
14 data establish the safety and efficacy of
15 sipuleucel-T and support our proposed
16 indication in the patient population that we
17 studied, namely men with asymptomatic
18 metastatic androgen-independent prostate
19 cancer.

20 In the last 20 years, only four
21 drugs have been approved for the treatment
22 of advanced prostate cancer, and only one of

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1 these, a cytotoxic agent, has shown a modest
2 improvement in overall survival. The
3 expected survival in these patients is
4 approximately 14 to 22 months. Today's
5 proceedings are a significant step toward
6 changing the landscape of prostate cancer
7 treatment. We will present data today to
8 facilitate the committee's review and
9 understanding of sipuleucel-T and
10 demonstrate how, if approved, sipuleucel-T
11 will meet an important unmet medical need to
12 prolong survival in this ultimately fatal
13 disease.

14 Our first speaker today is Dr.
15 Mark Frohlich, Vice President of Clinical
16 Affairs at Dendreon who will describe the
17 clinical development, efficacy and safety of
18 sipuleucel-T.

19 DR. MULÉ: Thank you, Ms. Smith.

20 DR. FROHLICH: Thank you, Liz.

21 Good morning. I'm Mark Frohlich, Vice
22 President of Clinical Affairs at Dendreon

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1 and a medical oncologist. I've been focused
2 on the development of cancer immunotherapies
3 for about the past eight years. My interest
4 in the field was stimulated in part from my
5 experience as a faculty member at University
6 of California-San Francisco in the 1990s
7 where I treated some of the first patients
8 with sipuleucel-T on the Phase I/II clinical
9 trials being conducted there by Dr. Eric
10 Small.

11 The primary evidence for clinical
12 efficacy for sipuleucel-T is the results
13 from two Phase III multi-center, randomized,
14 double blind, placebo-controlled trials that
15 were identical in original design. These
16 trials enrolled men with asymptomatic
17 metastatic androgen-independent prostate
18 cancer. They were randomized 2 to 1 to
19 treatment with sipuleucel-T or placebo.
20 Placebo was designed to serve as an inactive
21 cellular control. It was identical in
22 appearance to sipuleucel-T in order to

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1 preserve the integrity of the study blind.
2 All patients underwent leukapheresis
3 followed by treatment. This was scheduled
4 to occur on three occasions separated
5 approximately two weeks apart. At the time
6 of disease progression patients could be
7 treated at the physician's discretion.
8 Those patients on the placebo arm had the
9 option of being treated on a salvage
10 protocol in which they received a version of
11 sipuleucel-T manufactured from cells
12 cryopreserved at the time of placebo
13 generation. This design allowed men to
14 participate in the salvage protocol without
15 having to undergo three additional
16 leukapheresis procedures.

17 The primary endpoint of the
18 trials was time-to-disease-progression.
19 Time-to-disease-progression was specified as
20 an intent-to-treat analysis, namely
21 including all patients as randomized. The
22 Kaplan-Meier method was used to estimate

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1 survival distributions. The method of
2 analysis was log rank with two-sided p-
3 values and the hazard ratios were calculated
4 from a Cox regression model. The protocol
5 also specified that an efficacy analysis for
6 overall survival would be performed after 36
7 months of follow-up in all patients. It was
8 stated that the Kaplan-Meier method would be
9 used to estimate survival rates at three,
10 six, nine and twelve months and every six
11 months thereafter, and that the Cox
12 regression model would be used to adjust for
13 baseline prognostic factors. The primary
14 method of analysis was log rank, the same
15 method used for the primary endpoint of
16 time-to-disease-progression. The major
17 eligibility criteria were metastatic
18 prostate cancer, no visceral metastases,
19 tumor progression despite androgen
20 deprivation therapy, no cancer-related pain,
21 no systemic steroids or prior immunotherapy
22 and ECOG performance status of zero or 1.

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1 The primary evidence of clinical
2 efficacy in this application is the results
3 from Study 1. The baseline characteristics
4 of Study 1 were well balanced between the
5 treatment arms in terms of age, weight,
6 performance status, ethnicity, laboratory
7 values such as PSA, alkaline phosphatase and
8 LDH. Less than 10 percent of patients on
9 each arm received chemotherapy prior to
10 enrollment. Additional baseline disease
11 parameters were relatively well-balanced in
12 terms of the percentage of patients who had
13 moderately or well-differentiated tumors as
14 assessed by Gleason score. There were a
15 higher percentage or a number of patients -
16 percentage of patients with bone and soft
17 tissue disease in the placebo arm, but a
18 higher percentage of patients on the
19 treatment arm who had greater than 10 bony
20 metastases. None of these between-arm
21 differences had p-values less than 0.05.

22 We further investigated the

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1 balance between the treatment arms using an
2 independently validated model. The model
3 published by Dr. Halabi and colleagues from
4 the CLBG Cooperative Cancer Group is based
5 on more than a thousand patients from six
6 advanced prostate cancer trials. The final
7 model includes seven baseline prognostic
8 factors. We determined an estimated or
9 predicted survival for each patient on the
10 study and the medians of these predicted
11 survivals was very comparable between the
12 two treatment arms at 20.1 and 19.9 months.

13 The primary endpoint of the trial
14 was time-to-disease-progression. Time-to-
15 disease-progression was defined as either
16 radiographic progression, clinical
17 progression events such as development of
18 pathologic fracture or cord compression, or
19 the development of cancer-related pain. PSA
20 increases were not included in the
21 definition of disease progression. The
22 median time-to-disease-progression was

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1 estimated to be 16 weeks in the placebo arm
2 based on the assumption that patients with
3 asymptomatic disease would progress more
4 slowly than those with symptomatic disease.
5 The time-to-disease-progression in the
6 treatment arm was estimated to be 31 weeks
7 for an overall hazard ratio of 1.925.

8 Demonstrating an effect on the
9 time-to-disease-progression endpoint proved
10 challenging because the patients progressed
11 much more rapidly than anticipated. The
12 Kaplan-Meier curves for the intent-to-treat
13 analysis separated 10 weeks and then
14 remained separated throughout the duration
15 of follow-up. The initial p-value reported
16 was 0.085. After unblinding, we found eight
17 errors, four of them clerical in nature and
18 four of them where the algorithm specified
19 in the statistical analysis plan was
20 initially not followed. After correction,
21 the p-value was 0.052 with minimal effect on
22 the hazard ratio. The median time-to-

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1 disease progression was 11.7 weeks in the
2 treatment arm and 10 weeks in the placebo
3 arm. The rate of progression in the
4 asymptomatic patients was much more rapid
5 than the 16 weeks estimated for the placebo
6 arm. The zoledronic acid and atracentin
7 studies have subsequently confirmed that
8 these asymptomatic patients in fact progress
9 at rates that are comparable to those with
10 symptomatic disease.

11 Given the delayed separation of
12 the Kaplan-Meier curves, the treatment
13 effect is best estimated by the hazard ratio
14 of 1.45. This indicates a 45 percent
15 increase in the risk of disease progression
16 in the placebo arm relative to the treatment
17 arm. Stated another way, there's a 31
18 percent reduction in the risk of disease
19 progression in the treatment arm relative to
20 placebo as calculated by 1 minus the
21 reciprocal of the hazard ratio. The
22 secondary endpoints of Study 1 demonstrated

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1 trends in favor of sipuleucel-T. These
2 included time to clinical progression, time
3 to treatment failure and time to disease-
4 related pain. There were no objective
5 responses based on radiographic assessments.

6 In a subset of patients enrolled
7 in the trial we measured immune responses to
8 the immunizing antigen. T-cell
9 proliferation was measured at Weeks Zero, 8
10 and 16. There was a significant immune
11 response in those patients treated with
12 sipuleucel-T as shown in yellow, but not in
13 those who received placebo, as shown in
14 grey. While responses to the immunizing PAP
15 GMCSF antigen have proven a robust and
16 reliable means of assessing the immune
17 response to sipuleucel-T, it has proven
18 challenging to demonstrate immune responses
19 specific for prostatic acid phosphatase.

20 Overall survival is the primary
21 basis of clinical efficacy. Survival was
22 not the primary endpoint, but it was a

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1 planned efficacy analysis. Overall survival
2 is the least biased, least variable and most
3 clinically meaningful assessment of an
4 oncology product. Survival is also the
5 reference endpoint for the putative
6 surrogate endpoint of time-to-disease-
7 progression. The results of Study 1 showed
8 a clinically meaningful improvement in
9 overall survival. The Kaplan-Meier curves
10 separate after approximately 10 months and
11 then continue to separate throughout the
12 follow-up, the 36-month duration of follow-
13 up. The p-value by log rank was 0.01. The
14 hazard ratio 1.71, indicating a 71 percent
15 increase in the risk of disease progression
16 in the placebo arm relative to treatment
17 which translates to a 41 percent reduction
18 in the risk of death in the treatment arm
19 relative to placebo. No patients were lost
20 to follow-up so there was no early censoring
21 prior to the 36-month time point.

22 The survival results by quartile

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1 reflect the increasing separation of the
2 Kaplan-Meier curves over time. The median
3 survival in the treatment arm was 25.9
4 months compared to 21.4 in the placebo arm,
5 a 4 and a half month median survival benefit
6 which increases to more than five months at
7 the 25th percentile. The same trend towards
8 an increasing survival advantage over time
9 is reflected by the percentage of patients
10 alive at 12, 24 and 36 months, such that at
11 36 months there were 34 percent of patients
12 alive in the treatment arm compared to 11
13 percent on the placebo arm. Measured by the
14 overall hazard ratio, the median survival
15 benefit and the percentage of patients alive
16 at 36 months, sipuleucel-T conferred a large
17 survival benefit which increased over time.
18 This survival benefit was observed despite
19 the crossover design of the study.

20 Because overall survival was not
21 the primary endpoint we wanted to ensure
22 that these survival results were real and

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1 not a random result or chance finding.
2 Accordingly, we performed multiple
3 sensitivity analyses in order to test the
4 robustness of these survival results.
5 Specifically, we assessed the consistency of
6 the treatment effect in study cell
7 populations, performed adjustments for
8 baseline prognostic factors, assessed
9 chemotherapy use and timing following
10 investigational therapy and determined
11 prostate cancer-specific survival. To
12 assess for treatment effect consistency in
13 study subpopulations we examined 21 known or
14 potential prognostic factors, many of them
15 well-described in the literature. We
16 categorized each of these variables into two
17 or more subpopulations. So for continuous
18 variables for example this was achieved by
19 partitioning the population into those with
20 values above versus below the median value.
21 As examples, force plots are shown for those
22 eight baseline prognostic factors that

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1 independently were predictive for overall
2 survival in this patient population. This
3 includes factors such as age, laboratory
4 parameters such as PSA, alkaline
5 phosphatase, LDH, localization of disease
6 and the number of bony metastases. The plot
7 shows the magnitude of the treatment effect
8 in each of these partitioned subpopulations.
9 All subpopulations demonstrated a positive
10 treatment effect in terms of the hazard
11 ratio greater than 1. And as you'll find in
12 Appendix 5 of your briefing document, this
13 was true of more than 40 subpopulations
14 based on these 21 baseline prognostic
15 factors. This demonstrates that every
16 subpopulation was contributing to the
17 treatment effect and that it is not being
18 driven by a particular subgroup of patients.

19 Next we sought to adjust the
20 treatment effect for baseline prognostic
21 factors. To adjust for multiple baseline
22 prognostic factors we started with those

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1 eight factors that, individually, were
2 predictive for overall survival in this
3 patient population. Because some of these
4 prognostic factors were correlated we used
5 backwards, stepwise selection to determine
6 the factors that contributed significantly
7 to the fit of the final model. The final
8 model included the five factors, LDH, PSA,
9 number of bone metastases, weight and
10 localization of disease. After adjusting
11 for these factors in the multiple regression
12 model, the treatment effect remained
13 consistent with a hazard ratio of 2.16.
14 This demonstrates that the survival results
15 cannot be explained by imbalances in
16 potential baseline prognostic factors.

17 We next sought to understand
18 whether chemotherapy use following
19 investigational therapy could have affected
20 the survival results now that we know that
21 docetaxel confers a modest survival benefit
22 in this patient population. However, we

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1 were unable to find any evidence of a
2 difference in chemotherapy use or docetaxel
3 use. There was also no evidence of a delay
4 in time to initiation of docetaxel therapy
5 in the placebo arm. The treatment effect
6 also remained strong in the subpopulation of
7 patients who went on to receive docetaxel,
8 both those who received it early and those
9 who received it later, and the treatment
10 effect remained strong after adjusting for
11 docetaxel use in a time-dependent covariant
12 model. We were therefore unable to find any
13 evidence to suggest that post-progression
14 treatment with chemotherapy affects the
15 interpretation of the survival results.

16 Finally, we examined the
17 influence of non-prostate cancer deaths.
18 For this analysis the 17 deaths not
19 attributed to prostate cancer were treated
20 as competing events. The yellow and grey
21 circles represent patients who died from
22 causes other than known or probable prostate

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1 cancer. The blue circles at 36 months
2 represent patients who were still alive at
3 the conclusion of the study. Compared to
4 the overall survival analysis, the treatment
5 effect remains strong with a hazard ratio of
6 2.04, a 51 percent reduction in the risk of
7 prostate cancer death.

8 To summarize, the Study 1 overall
9 survival result treatment effect remained
10 consistent in multiple study subpopulations
11 and after performing adjustments for
12 baseline prognostic factors, for docetaxel
13 use and in determining prostate cancer-
14 specific survival. After considering the
15 totality of the evidence, the survival
16 benefit appears to be, not only clinically
17 significant, but also statistically
18 persuasive. The p-value 0.01, the hazard
19 ratio 1.71 indicating a 41 percent reduction
20 in the risk of death in the treatment arm.
21 The median survival benefit is 4.5 months
22 and the percentage of patients alive at 36

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1 months, 34 percent compared to 11 percent.
2 There was no early censoring prior to the
3 36-month time point.

4 Enrollment in Study 2 was
5 discontinued early and there were therefore
6 fewer events than in Study 1. The baseline
7 prognostic factors were generally balanced
8 between the treatment arms, but some
9 imbalances were noted for PSA, LDH and the
10 number of bony metastases. As shown in the
11 briefing document, the primary endpoint of
12 time-to-disease-progression was not met.
13 The survival data show a trend in the same
14 direction as Study 1. The Kaplan-Meier
15 curves demonstrate an increasing separation
16 over time resulting in a hazard ratio of
17 1.27. This hazard ratio is less than the
18 1.71 observed in Study 1, but does represent
19 a 21 percent reduction in the risk of death
20 in the treatment arm. The p-value was
21 0.331. The median survival benefit was 3.3
22 months. As in Study 1 there was complete

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1 follow-up in these patients through 36
2 months with the exception of two patients
3 who were censored at 26 and 27 months.

4 To test the observed survival
5 result we performed the same sensitivity
6 analyses that we did for Study 1. The
7 hazard ratio remained consistent after
8 adjustment for baseline prognostic factors,
9 adjustment for docetaxel use and in
10 determining prostate cancer-specific
11 survival. The change in hazard ratio
12 following adjustment for prognostic factors
13 likely in part reflects the baseline
14 prognostic factor imbalances noted
15 previously.

16 An additional estimate for the
17 treatment effect in this patient population
18 can be obtained by integrating the data from
19 Studies 1 and 2. The rationale for
20 integrating these two studies is based on
21 the identical trial design, the identical
22 eligibility criteria and the consistent

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1 treatment effect direction. There are 225
2 patients in this analysis which was
3 stratified by study. The p-value was 0.011,
4 the hazard ratio 1.50, indicating a 33
5 percent reduction in the risk of death in
6 the treatment arm. The median survival was
7 4.3 months.

8 The survival results from Study
9 1, Study 2 and the integrated analysis of
10 Studies 1 and 2 demonstrate the clinical
11 efficacy of sipuleucel-T. Studies 1 and 2
12 were randomized, multi-center, double blind,
13 placebo-controlled trials. The hazard ratio
14 in Study 1 was 1.71, in Study 2 it was 1.27
15 and it was 1.5 in the integrated analysis.
16 The median survival benefit was 4.5 months,
17 3.3 months and 4.3 months, and there was
18 consistently a higher percentage of patients
19 alive in the treatment arm at 36 months
20 compared to placebo. The data demonstrate
21 that this survival benefit is real and
22 unlikely to be a false positive, or in

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1 statistical terms, the result of a Type 1
2 error. This is based on the nature of the
3 endpoint, survival being the least variable,
4 the least susceptible to bias and the most
5 clinically meaningful endpoint. Also based
6 on the magnitude of the treatment effect,
7 the hazard ratio of 1.71, a 41 percent
8 reduction in the risk of death in the
9 treatment arm and the low nominal p-value of
10 0.01. We were unable to find any
11 alternative explanation for the survival
12 benefit as demonstrated in multiple
13 sensitivity analyses, including
14 demonstration of consistency of the
15 treatment effect in study subpopulations,
16 adjustment for baseline prognostic factors,
17 adjustment for chemotherapy use and in the
18 determination of prostate cancer-specific
19 survival. Additional support is also
20 provided by the time-to-disease-progression
21 and secondary endpoints of Study 1 and the
22 overall survival results of Study 2 and the

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1 integrated analysis of Studies 1 and 2. As
2 Dr. Provost will explain, there's also a
3 correlation between product potency and
4 overall survival.

5 The safety of sipuleucel-T has
6 been demonstrated in hundreds of patients
7 who collectively have received over a
8 thousand infusions of sipuleucel-T.
9 Dendreon's safety experience to date with
10 autologous cellular infusions for prostate
11 cancer involves the product sipuleucel-T,
12 placebo and the version of sipuleucel-T used
13 in the salvage or crossover protocols. The
14 safety database to date for all cellular
15 products includes more than 2,000 infusions
16 in 669 patients and specifically for
17 sipuleucel-T including estimates for
18 patients - for blinded patients in ongoing
19 studies a total of more than 1,300 infusions
20 in 478 patients. The most common adverse
21 events were infusion-related, transient and
22 did not result in treatment discontinuation.

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1
2 Seven adverse events were
3 observed where the between-arm differences
4 had p-values of less than 0.05. These
5 included chills, pyrexia, headache,
6 asthenia, dyspnea, vomiting and tremor. The
7 tremor appears to be more the shaking
8 associated with chills as opposed to a
9 neurologic event. These seven adverse event
10 terms were considered to be adverse drug
11 reactions likely related to sipuleucel-T and
12 based on a review of the entire safety
13 database, two additional terms, nausea and
14 fatigue, were added to this list of adverse
15 drug reactions. The majority of these
16 events occurred within a day of infusion and
17 typically resolved within one to two days
18 following treatment. Most of the events
19 were mild to moderate in severity with very
20 few Grade 3 or 4 events. The most common of
21 these were chills, dyspnea and pyrexia.

22 We investigated the relationship

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1 between adverse drug reactions and the total
2 nucleated cell dose, the number of CD54
3 cells and CD54 up-regulation ratio. As an
4 example, the adverse drug reaction to
5 sipuleucel-T are shown for those patients
6 with total nucleated cell counts below
7 versus above the median. There was no
8 evidence to suggest an increase in either
9 Grade 1 or 2 events as shown in the first
10 and third columns, or Grade 3 or 4 events as
11 shown in the second and fourth columns for
12 those patients with doses below versus above
13 the median. We found similar results for
14 the total number of CD54 cells and CD54 up-
15 regulation ratio.

16 The percentage of patients who
17 experienced any serious adverse event was
18 comparable between the treatment arms at
19 23.8 percent and 22.4 percent. A higher
20 percentage of serious adverse events were
21 noted in the treatment arm for the serious
22 adverse events of chills, dyspnea, pyrexia

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1 and cerebral vascular events. Adverse
2 events rarely led to discontinuation of
3 treatment in total. Only four patients, or
4 less than 3 percent of the sipuleucel-T
5 safety population were unable to receive all
6 three infusions due to treatment-related
7 adverse events.

8 In order to thoroughly evaluate
9 the possible safety signal for cerebral
10 vascular events we performed additional
11 analyses which included data from two
12 ongoing randomized studies. Conservatively,
13 all types of cerebral vascular events
14 including ischemic, hemorrhagic, transient
15 ischemic attacks or bleeding from dural
16 metastases were included in the definition.
17 The incidence of cerebral vascular events of
18 any etiology was 3.9 percent in the
19 treatment arm and 2.6 percent in the placebo
20 arm, a 1.3 percent absolute difference. The
21 odds ratio was 1.52 with a broad confidence
22 interval overlapping 1. The p-value was

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1 0.5. When the analysis was restricted to
2 studies with only androgen-independent
3 prostate cancer the odds ratio was higher at
4 2.92, but a trend in the opposite direction
5 was noted for the androgen-dependent study.
6 Given the small number of events involved,
7 the figures for all studies may provide the
8 best estimate of the incidences.

9 Of the 231 patients included in
10 the placebo arm, it's important to note that
11 100 of these patients subsequently went on
12 to be treated on the salvage protocol. None
13 of these patients were reported to have
14 experienced a cerebral vascular event.
15 Consistent with the general occurrence of
16 cerebral vascular events in this - in the
17 overall population, there were more ischemic
18 than hemorrhagic events. The incidence of
19 ischemic events was 2.4 percent compared to
20 2.2 percent and for hemorrhagic events 0.6
21 compared to 0.4 percent. The majority of
22 all CVAs reported were not fatal. The

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1 incidence was 1.5 percent in the treatment
2 arm and 0.9 percent in placebo for an odds
3 ratio of 1.77. The p-value was 0.72.

4 Additional analyses performed
5 have demonstrated a variable time-to-onset
6 in these events. The median time-to-onset
7 was somewhat sooner in patients treated with
8 sipuleucel-T relative to placebo, but there
9 was a broad range in both treatment arms
10 ranging from a few days to more than two
11 years. There was no evidence of an
12 increased risk of non-neurologic vascular
13 events and no correlation with cell dose or
14 CD54 up-regulation. We performed an
15 analysis of more than 9,000 patients in a
16 SEER-Medicare database of patients with
17 Stage IV prostate cancer and found a
18 comparable event rate to that in the
19 sipuleucel-T treated patients.

20 In summary, we've observed a 1.3
21 percent increased incidence in sipuleucel-T
22 compared to placebo for cerebral vascular

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1 events. There are large p-values and wide
2 confidence intervals associated with the
3 small number of events. Based on these
4 findings we can find no conclusive evidence
5 demonstrating an association between
6 sipuleucel-T and cerebral vascular events.
7 However, because we cannot definitively rule
8 out an association, we are working with the
9 agency to develop a pharmacovigilance plan
10 to better characterize the nature of these
11 events. A thorough surveillance of events
12 of special interest was also performed.
13 There was no evidence of an increased
14 incidence of autoimmune events, no evidence
15 of an increased incidence of secondary
16 malignancies and no deaths were attributed
17 to the product in the safety population of
18 669 patients as reported by study
19 investigators.

20 In summary, the known adverse
21 drug reactions to sipuleucel-T demonstrate a
22 favorable safety profile. The most frequent

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1 events associated with the product include
2 chills and fever. These were generally mild
3 to moderate in severity with the majority
4 resolving within 24 hours and less than 3
5 percent of patients were unable to receive
6 all three infusions due to treatment-related
7 adverse events.

8 I'd now like to introduce Dr.
9 Nicole Provost, Dendreon's Vice President
10 for Product Development, who will discuss
11 sipuleucel-T's development history and key
12 product attributes.

13 DR. MULÉ: Thank you, Dr.
14 Frohlich.

15 DR. PROVOST: Thanks, Mark. Good
16 morning. I'm Nicole Provost, Vice President
17 of Product Development and I've been working
18 in the expanding field of cellular
19 immunotherapy product development for over
20 15 years. Prior to joining the Dendreon
21 team I helped develop products for
22 hematopoietic stem cell transplantations in

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1 cancer patients.

2 Sipuleucel-T reflects years of
3 work on cancer immunotherapies. As a novel
4 therapeutic, sipuleucel-T has required novel
5 approaches to product development,
6 assessment and trial design. Earlier Liz
7 Smith introduced you to sipuleucel-T. My
8 presentation will briefly describe the
9 development history of sipuleucel-T, some
10 key product attributes and the ways in which
11 those product parameters may relate to
12 clinical outcome.

13 From the start, Dendreon's
14 rationale has been to activate the immune
15 system against cancerous tissues by using
16 well-characterized recombinant antigens and
17 the patient's own immune cells. The
18 pioneering work of Ron Levy, Ed Engleman and
19 their coworkers at Stanford University
20 provided a model for isolating antigen
21 presenting cells, APCs, loading those cells
22 with a target antigen and using those cells

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1 to treat lymphoma. Dendreon's approach to
2 prostate cancer treatment was to target
3 prostatic acid phosphatase, or PAP, a
4 protein relatively specific to prostate
5 tissue and highly expressed in more than 90
6 percent of prostate tumors. The guiding
7 principle was that if self-tolerance to PAP
8 could be overcome, an immune response
9 against prostate cancer cells could also be
10 induced. Granulocyte macrophage colony
11 stimulating factor, or GMCSF, was known to
12 enhance immune responses.

13 Dendreon scientists combined
14 these concepts and demonstrated the ability
15 to break immune tolerance to healthy
16 prostate tissue using a rat pre-clinical
17 model. In those pre-clinical studies when
18 rats were treated with rat PAP alone or with
19 an irrelevant antigen fused to rat GMCSF,
20 their prostate histology was normal as seen
21 in the upper photo panel. However, when rat
22 APCs were pulsed with a recombinant fusion

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1 protein consisting of rat PAP fused to rat
2 GMCSF the treatment induced autoimmune
3 prostatitis. As shown in the lower photo
4 panel, this inflammatory response is
5 characterized by immune cell infiltrates
6 into the prostate tissue. The immune
7 response was tissue-specific. No other
8 organ, system or tissue was affected by the
9 cellular treatment with antigen-pulsed APCs.
10 This pre-clinical framework, ex vivo culture
11 of APCs with a recombinant fusion protein,
12 formed the basis for the human cell product.

13 The manufacturing process is
14 shown here in schematic form. The starting
15 material is peripheral blood mononuclear
16 cells obtained via apheresis. During
17 product manufacturing the cells are isolated
18 by buoyant density separations, then
19 incubated with a recombinant fusion protein
20 comprised of human PAP fused to human GMCSF.
21 After incubation the cells are washed, re-
22 suspended, packaged and shipped for final

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1 infusion. Before being released for
2 infusion, every product is tested to ensure
3 conformance with quality standards. Key
4 manufacturing product parameters include
5 potency, total nucleated cell or TNC counts,
6 identity, viability, sterility and other
7 safety tests. Potency tests include up-
8 regulation of the co-stimulatory molecule
9 CD54 on the APC surface, an enumeration of
10 CD54 positive APCs. When we explored the
11 relationship between these key product
12 parameters and survival we saw some striking
13 results.

14 In order to better illustrate
15 these results I'll first briefly describe
16 the CD54 up-regulation potency assay. I
17 described the potency assay to this
18 committee in February of last year. Here
19 are the essential features of the assay.
20 When APCs are incubated with a recombinant
21 antigen, their expression of the co-
22 stimulatory molecule, CD54, increases, as

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1 indicated by the red spikes in the cartoon
2 above. We used fluorescently labeled
3 antibodies specific for CD54 to quantitate
4 the expression of CD54 on the APC surface.
5 For each lot of sipuleucel-T or salvage
6 product, cells are assayed before and after
7 their ex vivo culture with the recombinant
8 antigen. For each lot of the placebo
9 product, cells are similarly assayed before
10 and after their ex vivo culture in the
11 absence of the recombinant antigen. The
12 mean fluorescence intensity of each sample,
13 illustrated in the box below, is used to
14 calculate the average number of CD54
15 molecules on the APC surface. The ratio of
16 post-culture CD54 expression to pre-culture
17 CD54 expression is defined as CD54 up-
18 regulation, as reflected in the shift to the
19 right on the graph, indicating more CD54
20 molecules on the APC surface. Sipuleucel-T
21 and salvage products demonstrate a several-
22 fold increase in the CD54 expression, while

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1 placebo products do not greatly increase
2 their CD54 expression. When we analyze only
3 manufacturing product release data -- no
4 clinical or immune response information --
5 we find that in general the level of up-
6 regulation increases after the Week Zero
7 infusion of sipuleucel-T.

8 Here, the CD54 up-regulation
9 final manufacturing product release values
10 for over 350 sipuleucel-T product lots are
11 shown as box and whisker plots. The
12 horizontal lines indicate the median values.
13 The boxes describe the inter-quartile range
14 represented by the 25th to 75th percentiles
15 where the bulk of the experimental data
16 reside. The vertical lines and bars denote
17 the upper and lower boundaries of one and a
18 half times the inter-quartile range. The
19 median CD54 up-regulation product release
20 value goes up at the Week 2 infusion and
21 stays up at the Week 4 infusion. The fact
22 that the median CD54 up-regulation, a

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1 product release measure of cell activation,
2 goes up after the first infusion suggests
3 that the immune system may be responding to
4 treatment with sipuleucel-T.

5 We were eager to examine the
6 relationship between CD54 up-regulation and
7 survival once the Phase III clinical data
8 became available. When we looked, we found
9 a positive correlation between CD54 up-
10 regulation and survival. Cumulative values
11 for CD54 up-regulation and TNC were
12 calculated by adding up the manufacturing
13 lot release values over the course of three
14 infusions for all products in Studies 1 and
15 2. Cumulative values for CD54 up-regulation
16 and total nucleated cell counts were then
17 each analyzed as a continuous variable in a
18 correlation analysis with patient survival.
19 There was a positive correlation between
20 greater cumulative CD54 up-regulation and
21 survival with a p-value of 0.009. For TNC,
22 the p-value for the positive correlation was

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1 0.018. These analyses suggest that
2 increasing CD54 up-regulation and total
3 nucleated cell number correlate with
4 prolonged survival. A Kaplan-Meier plot
5 demonstrates this relationship graphically.

6 This is the Kaplan-Meier plot of
7 survival for the integrated Studies 1 and 2.
8 Cumulative CD54 up-regulation was calculated
9 as I just described. The patients treated
10 with sipuleucel-T were stratified into four
11 groups according to their cumulative CD54
12 up-regulation values. The pink line
13 describes the patients with the highest
14 quartile of cumulative CD54 up-regulation.
15 The blue line represents the high middle
16 quartile, the green line the low middle
17 quartile and the orange line represents the
18 lowest quartile of cumulative CD54 values.
19 The overall result is clear. More CD54 up-
20 regulation and hence more cell activation
21 correlated with prolonged survival. We also
22 examined the cumulative TNC values in a

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1 Kaplan-Meier analysis of survival and found
2 a similar result. Higher TNC numbers
3 generally correlated with prolonged
4 survival.

5 Now, one potential explanation
6 for these findings is that patients with
7 higher cumulative CD54 up-regulation values,
8 or higher cumulative TNC values, were just
9 healthier or had better prognoses and
10 therefore had better survival outcomes. To
11 explore this possibility we applied the Cox
12 regression model Mark described earlier to
13 adjust for the five factors that were
14 prognostic for survival. As a reminder,
15 these prognostic factors were LDH, PSA,
16 number of bony metastases, weight and
17 localization of disease. The right-hand
18 column shows the p-values for the
19 correlations after adjusting for these five
20 prognostic variables. The correlation
21 remains strong for CD54 up-regulation with a
22 p-value of 0.022. The p-value for TNC

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1 increased to 0.138 after adjustment,
2 suggesting that TNC is more influenced by
3 patient prognostic factors. The positive
4 correlation between cumulative CD54 up-
5 regulation and survival is strong, and the
6 relationship persists after adjusting for
7 baseline prognostic factors.

8 While we don't know the exact
9 mechanism of action for sipuleucel-T, these
10 results strongly suggest that sipuleucel-T
11 engages the immune system and that the
12 product potency correlates with clinical
13 outcome. The correlation between CD54 up-
14 regulation and overall survival suggests
15 that CD54 up-regulation is a biologically
16 meaningful product parameter to measure.
17 CD54 up-regulation appears to be relatively
18 independent of patient prognostic factors.
19 Even cells from patients with poor
20 prognostic factors were activated by the
21 sipuleucel-T manufacturing process.
22 Finally, the correlation between CD54 up-

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1 regulation and survival provides additional
2 support for the conclusion that sipuleucel-T
3 prolongs survival in men with asymptomatic
4 metastatic androgen-independent prostate
5 cancer. Next, Dr. Christopher Logothetis
6 will present an overview of disease
7 management and treatment options in
8 androgen-independent prostate cancer.

9 DR. MULÉ: Thank you, Dr.
10 Provost.

11 DR. LOGOTHETIS: My name is
12 Christopher Logothetis. I am a medical
13 oncologist at the MD Anderson Cancer Center
14 with a 30-year interest in GU tumors and
15 particularly prostate cancer. I'm going to
16 try to provide context to you on the results
17 that were presented. So what I will discuss
18 is challenges to clinical trial design in
19 prostate cancer patients and the current
20 clinical practice in prostate cancer as it's
21 rolled out in our clinics.

22 There are several limitations

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1 that are specific to prostate cancer in the
2 conduct of clinical trials. These include
3 in the areas of response, progression, and
4 the use of survival. Responses are
5 difficult to assess because a bone scan is a
6 non-specific, sensitive and indirect measure
7 of the disease. PSA remains controversial
8 in patients with advanced disease because
9 it's not tightly correlated with prognosis
10 or survival. As a consequence, progression
11 is difficult to measure. Results are
12 inconsistent, the bone scan issues again
13 remain as a vexing problem and they fail to
14 correlate closely with survival, an
15 important feature that has been confounding
16 the conduct of trials. This appreciation is
17 relatively new and as a consequence,
18 survival has become the most meaningful
19 measure of efficacy of drugs that are
20 reliably presented.

21 Now there are also specific trial
22 design challenges to the use of a therapy

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1 such as sipuleucel-T which has a delayed
2 effect. Because of the recently appreciated
3 in the two clinical trials presented early
4 observed progression of patients with
5 prostate cancer, an agent which has a
6 delayed effect will be greatly influenced by
7 this. Thus, distant endpoints such as
8 survival are more reliable measures for this
9 therapy rather than progression which is a
10 very imprecise clinical measure.

11 Now the challenge of prostate
12 cancer as it confronts us in North America
13 today. There are a total of 132,600
14 patients with androgen-independent prostate
15 cancer today, 96,000 of these approximately
16 have metastatic disease and they're almost
17 evenly split with those patients who have
18 asymptomatic metastatic androgen-independent
19 prostate cancer as opposed to those with
20 metastatic symptomatic androgen-independent
21 prostate cancer.

22 The treatment options in

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1 relationship to the disease state are
2 outlined here, and as I'll note there's a
3 tremendous amount of empiricism that is
4 applied into their application in the clinic
5 today. For patients with localized disease
6 whose survival can be expected to be greater
7 than 15 years the option of surveillance for
8 patients who have low-risk disease is one
9 that is often offered, and among those
10 patients in whom cross the threshold to
11 virulence in their disease, either surgery
12 or radiation therapy is recommended. For
13 those patients who, despite an initial
14 attempt at control of their disease have a
15 later rise in PSA concentration, termed here
16 as serological recurrence, there's even a
17 subset that observation is recommended
18 because of the delayed rise or the rate of
19 rise being so slow which would not indicate
20 an immediate threat. For the patients who
21 have immediate progression of their disease
22 and that rise is considered to be

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1 threatening, hormonal therapy at present
2 remains the standard. The options for
3 patients with truly advanced disease with
4 lethal potential are limited. For patients
5 with serological relapse whose survival is
6 estimated to be less than five years
7 surveillance is recommended for some
8 subsets, motivated different here by the
9 fact that futility for our therapy is often
10 an issue and the use of these agents delayed
11 in order to avoid side effects, and second
12 line hormonal therapies are often given with
13 empirical use and often change the course of
14 PSA concentrations, but have no established
15 long-term efficacy.

16 For patients with visible
17 metastatic disease, the survival will range
18 in the asymptomatic patients from 14 to 22
19 months depending on the study, and in here
20 again because of feeling that the agents may
21 not have possessed sufficient toxicity --
22 sufficient efficacy and the toxicity profile

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1 doesn't favor routine use, observation is
2 used and second-line hormonal therapy. And
3 in a subset of patients in whom symptoms are
4 considered to be imminent, chemotherapy will
5 be used. For patients with metastatic
6 disease, the choices are often between
7 cytotoxic chemotherapy, the only agent that
8 has an impact on survival, or palliative
9 care in order to manage the anticipated
10 symptoms.

11 The improved agents are
12 enumerated here. Only one, docetaxel,
13 impacts the survival of patients with
14 metastatic disease. The remaining agents
15 possess significant but modest effect
16 directed principally at altering the course
17 of the symptoms that patients possess. The
18 impact on survival of docetaxel in the trial
19 comparing docetaxel to mitoxantrone is
20 unquestioned, but unfortunately relatively
21 modest. Seen here you can see in the two
22 categories of patients in question, those

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1 both with asymptomatic and symptomatic
2 disease, there is a modest difference in the
3 palliative effect and the prolongation of
4 survival observed with these agents, leading
5 to the common practice in the clinic of
6 delaying the initiation of cytotoxic therapy
7 till symptoms are either imminent or present
8 in patients with prostate cancer. This
9 perhaps accounts for this surprising
10 finding, and that is that in androgen-
11 independent patients with prostate cancer
12 nationally there's relatively little
13 penetrance of the widespread use of
14 cytotoxic therapy. Only 8 percent of
15 patients at any point in time receive
16 cytotoxic therapy, and for the patients who
17 have metastatic symptomatic disease it's
18 almost 20 percent, for the asymptomatic
19 patients it's 4 percent.

20 So what role would sipuleucel-T
21 be considered for in patients with
22 metastatic prostate cancer? And I believe

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1 it fits into the subset of patients in whom
2 there are minimal symptoms, minimal to no
3 symptoms and in whom hopefully a
4 prolongation of good survival will result in
5 an improved both quality-of-life and length
6 of survival. The limited efficacy of agents
7 in these places, the absence of therapeutic
8 alternatives for patients that are
9 imminently threatened is one that would be a
10 great advance for the patients with prostate
11 cancer. Thank you. And our next speaker.

12 DR. MULÉ: Thank you, Dr.
13 Logothetis.

14 MS. SMITH: Thank you, Dr.
15 Logothetis. The results presented today
16 from Dendreon's multi-center, randomized,
17 double blind, placebo-controlled trials
18 demonstrate that treatment with sipuleucel-T
19 outweighs both the known and potential
20 risks. The risks associated with
21 sipuleucel-T have been well-characterized.
22 Nearly 500 men have received well over 1,350

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1 infusions of product in both controlled and
2 uncontrolled trials. Of the known risks
3 that are treatment-related, the most
4 frequent are chills, fatigue, asthenia,
5 fever, headache, nausea, vomiting, dyspnea
6 and tremor. These are modest in severity,
7 they are most commonly associated with the
8 infusion and they are well-managed through
9 the adequate pre-medication with
10 acetaminophen and diphenhydramine. This
11 represents an excellent tolerability profile
12 in this cancer patient population.

13 Potential risks include those
14 associated with venous access, including the
15 need in some patients to place in-dwelling
16 catheters. The frequency of complications
17 due to catheters was low in all clinical
18 trials. Other process-related risks include
19 the possibility that a patient must undergo
20 an additional leukapheresis in the event
21 that either his leukapheresis product or his
22 final product fails to meet the release

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1 specifications, or fails to be delivered
2 within the expiration period. This
3 requirement was infrequent in clinical
4 trials and exposed the patient to minimal
5 additional risks.

6 Our clinical trial experience to
7 date in controlled trials suggests a
8 possible increased risk of cerebral vascular
9 events. This incidence appears consistent
10 with that seen in men of advanced age with
11 cancer and other risk factors, and while it
12 cannot yet be determined if there's an
13 association between sipuleucel-T treatment
14 and cerebral vascular events, Dendreon will
15 propose increased surveillance in a
16 pharmacovigilance program to better
17 characterize this possible safety signal.
18 In the context of advanced prostate cancer,
19 these risks are very well balanced against
20 the demonstrated benefits of sipuleucel-T
21 treatment, the most important of which is a
22 prolongation in overall survival. This is

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1 achieved in a relatively short duration of a
2 well-tolerated treatment.

3 There was a high rate of
4 compliance in clinical trials. Over 90
5 percent of all subjects received all three
6 infusions and only 3 percent of subjects
7 discontinued due to a treatment-related
8 adverse event. This should translate into
9 high acceptance and high compliance in
10 clinical practice. Finally, treatment with
11 sipuleucel-T does not appear to preclude the
12 use of later treatment with other therapies.

13 In a patient population where the
14 estimated median survival is 14 to 22
15 months, sipuleucel-T, if approved, would
16 provide a well-tolerated treatment option to
17 prolong survival in men with asymptomatic
18 metastatic androgen-independent prostate
19 cancer. Today represents a significant
20 milestone in the development of cellular
21 immunotherapies. This reflects the
22 collective dedication of patients,

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1 physicians and researchers working to
2 improve the lives of patients suffering from
3 prostate cancer. We thank you very much for
4 your attention today. We have the following
5 experts here available for questions.
6 Unfortunately Dr. Eric Small could not join
7 us today due to compliments of United
8 Airlines. Dr. Tia Higano is here who's also
9 an investigator in our study from the
10 University of Washington. Another
11 investigator, Dr. Paul Schellhammer, a
12 urologist at the Virginia Prostate Cancer
13 Center and Eastern Virginia Medical School.
14 In addition, we have Dr. Christopher
15 Logothetis to provide an immunologist
16 perspective, Dr. Hy Levitsky from Johns
17 Hopkins University and finally our external
18 statistician Dr. Brent Blumenstein will
19 address questions relating to the
20 difficulties in interpreting clinical trials
21 when the primary endpoint has not been met.

22 DR. MULÉ: On behalf of the

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1 committee I'd like to thank the Dendreon
2 presenters. And the next phase is to have a
3 question/answer period, and I'll open this
4 up to the committee for any questions for
5 the speakers.

6 MR. SAMUELS: Yes. One of the
7 concerns that I had when I looked at it was
8 the lack of broad participation by diverse
9 communities. As we understand the incidence
10 of the disease, African-American men as you
11 know have a 60 percent higher incidence rate
12 and die at twice the rate of white males,
13 and I'm curious why there was not broader
14 participation by African-Americans in this
15 study. Or in Study 1 and 2, actually.

16 MS. SMITH: We share your concern
17 with the lack of high participation of
18 African-Americans in our trials. We made
19 several attempts to include investigators
20 and study sites who would have a high
21 enrollment rate of African-Americans. We
22 found that our enrollment rate is consistent

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1 with that of other trials in advanced
2 prostate cancer. We are developing a
3 pharmacovigilance plan to better improve our
4 enrollment of African-American men in our
5 ongoing studies. We intend to work with
6 specialized organizations like the National
7 Medical Association and the Prostate Health
8 Education Network to help us improve our
9 enrollment in this population.

10 MR. SAMUELS: Do you think the
11 fact that I saw where two centers enrolled
12 probably 25 percent of your patients. I was
13 curious about where are these centers
14 located and perhaps there may be a broader
15 inclusion of centers that affect that
16 market.

17 MS. SMITH: We have several
18 centers that are in inner cities. We spoke
19 with Howard University, for example, and we
20 were unable to get them on board as a
21 clinical site. There are sites in - several
22 sites in New Jersey, there are several sites

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1 in large cities on the West Coast as well.

2 MR. SAMUELS: My other question

3 had to do with costs to the patient.

4 Understanding that this audience of advanced

5 prostate cancer includes many elderly males

6 on fixed incomes, and again I'm wondering if

7 the company plans for any patient assistance

8 programs that will take into consideration

9 the cost factor.

10 MS. SMITH: We believe that

11 sipuleucel-T should be made available to all

12 patients regardless of their ability to pay

13 or regardless of their insurance coverage.

14 We will work to develop a program for

15 indigent care coverage. We plan to assist

16 in every appropriate way to make sipuleucel-

17 T available to all patients regardless of

18 their insurance coverage.

19 DR. MULÉ: Maha?

20 DR. HUSSAIN: If it's okay I have

21 three hopefully not too long questions. The

22 first one, you showed us the CD54 quartile

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1 levels. What were the number of patients in
2 these quartiles? So the ones that went from
3 75 percent and higher lived the longest, but
4 were there 10 patients, 50 patients in that
5 category? If you don't mind showing us
6 that. And if you are able to put that out,
7 perhaps I can ask another question while
8 somebody else is pulling out this one.

9 MS. SMITH: I'm going to ask Dr.
10 Leon Yu, our Dendreon biostatistician to
11 discuss the number of patients in each one
12 of those quartiles. We basically took the
13 147 subjects that were randomized to
14 treatment and broke them up into equal
15 quartiles. So I can't do the math quickly
16 in my head here, but if you just divided it
17 by four, each one is the same number of
18 patients.

19 DR. HUSSAIN: No, but I thought
20 the quartiles represented actually the level
21 of the CD54, not the number of patients.
22 And so that was if - the group of patients

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1 that had a CD54-positive above 75 percent
2 were the upper quartile lived longer, but
3 what number of patients were in those
4 quartiles?

5 MS. SMITH: I'm sorry, I
6 misunderstood your question. Dr. Provost
7 can expound.

8 DR. PROVOST: They were divided.
9 The patients were divided equally into four
10 quartiles by their CD54 up-regulation
11 values.

12 DR. HUSSAIN: So this is not the
13 level of the CD54.

14 DR. PROVOST: No. It's the
15 patients that had the highest CD54 levels,
16 the patients that had the next highest CD54
17 levels.

18 DR. HUSSAIN: This is 25 percent
19 of the total, 25 percent of the total -

20 DR. PROVOST: Of the total
21 patients.

22 DR. HUSSAIN: Of patients, not

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1 levels.

2 DR. PROVOST: Pardon me? The
3 ratio or the? Absolute number of CD54 or
4 patients? We're looking at the cumulative
5 CD54 up-regulation ratio.

6 DR. SCHER: Right, so it's not
7 the absolute number.

8 DR. PROVOST: Not the absolute
9 number of cells, correct. It's the CD54 up-
10 regulation product release value added for
11 each - for three of the doses.

12 DR. MULÉ: If you would overlap
13 the placebo curve on that graph where would
14 it lie?

15 DR. PROVOST: The placebo
16 patients had CD54 up-regulation values that
17 were lower than the lowest quartile. I'll
18 have to preface. I think I can bring up the
19 slide that has the placebo patients
20 compared. Yes. If we look at the intent-
21 to-treat placebo population, many of them
22 went on to receive salvage which confounds

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1 the issue. So what I can show you that's
2 more clear in terms of CD54 is those
3 patients that had only placebo treatment for
4 comparison with the CD54 up-regulation, and
5 I'll have to also add the disclaimer that
6 this particular analysis has not been
7 formally reviewed by the FDA.

8 DR. WITTEN: You can ask that,
9 but we'd like to point out that it hasn't
10 been reviewed by us and so I think that, you
11 know, this is something the FDA hasn't
12 commented on, but I will just mention this
13 just to clarify this. It says placebo nerve
14 salvage product. So in other words that
15 gray curve does not include all the placebo
16 patients in the trial.

17 DR. PROVOST: Right. Right.
18 These are only patients that did not go on
19 to receive the salvage product. So it's not
20 as randomized. Roughly 25 percent of the
21 placebo patients.

22 DR. HUSSAIN: Okay, so my second

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1 question has to do with Study 3. If I'm not
2 mistaken in the documents we received there
3 was mention about that early on there was an
4 issue about the Gleason score correlation
5 with outcome, and consequently a Study 3 was
6 designed to look at the Gleason 7, or less
7 than 7 I believe. Can you comment about the
8 actual eligibility criteria for Study 3, the
9 sample size of Study 3 and I understand that
10 you were - that that trial is now powered
11 for survival? And when do you expect the
12 results to be available?

13 MS. SMITH: Currently Study 3 is
14 designed to enroll men with asymptomatic
15 metastatic AIPC regardless of their Gleason
16 score. The study is powered for the primary
17 endpoint of survival. It has 90 percent
18 power for an alpha of 0.05. We're targeting
19 about 500 men in this trial.

20 DR. HUSSAIN: And where is that
21 now? When do you expect the survival
22 results to be available?

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1 MS. SMITH: The survival results
2 from Study 3 will be available in 2010.
3 It's an event-driven analysis and based on
4 the current enrollment rate it will be about
5 2010 before those results are available.

6 DR. HUSSAIN: And my final
7 question, and I apologize if it sounds
8 antagonistic, but I can't help but ask it
9 because you've argued so eloquently, both
10 you and your consultant presenters, that
11 survival is the gold standard, it is what we
12 should be using, what we should be looking
13 at. If that is the case, why would you
14 choose, if you really believe that, to do
15 two trials, I believe 1 or 2, and then the
16 other trial, and yet you chose to go with
17 time-to-progression when in fact in prostate
18 cancer the last 70 years of research in this
19 disease tells you time-to-progression is
20 very difficult to obtain. So my question is
21 if you really believe survival is the gold
22 standard, why did you choose to design two

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1 trials that have a problematic endpoint?

2 MS. SMITH: Eight years ago when
3 Studies 1 and 2 were designed, progression
4 was an endpoint that was appropriate for
5 this patient population and was felt that
6 would provide important information for
7 these men, particularly who are
8 asymptomatic. Our Phase I and II studies
9 suggested that sipuleucel-T treatment did
10 have an impact on progression and we took
11 that information to use as the hypothesis
12 for the design of our Phase III trials. We
13 did not have any information at that time on
14 whether sipuleucel-T impacted survival, but
15 we knew that survival was a very important
16 endpoint, it was a very important clinical
17 efficacy measure, so we did include a plan
18 to collect that information and analyze
19 survival after all patients were followed.
20 We just had the most information on
21 progression at that time.

22 DR. MULÉ: Dr. Scher.

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1 DR. SCHER: Personally I have no
2 experience with this agent, so I'd just like
3 to ask the clinicians who have used it, we
4 all understand the difficulties assessing
5 time-to-progression and how it does not
6 associate with survival as we are currently
7 measuring it. So the question is at some
8 point if in fact there is a survival benefit
9 that's real, you have to alter the natural
10 history. So were there other parameters
11 that would - I mean what happened to these
12 patients? They were asymptomatic when they
13 started and then they didn't progress at the
14 same rate using the endpoints that you
15 reported. Did they have you know timing to
16 additional treatment, was that different? I
17 mean, how did this work. Did they all of a
18 sudden become symptomatic and then
19 unfortunately succumb to disease, or were
20 there other ways that you as a treating
21 clinician can say this changed the course
22 for those patients?

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1 MS. SMITH: I'd like to invite
2 Dr. Paul Schellhammer who participated in
3 most of Dendreon's clinical trials of
4 sipuleucel-T.

5 DR. SCHELLHAMMER: I participated
6 in the Phase III clinical trials, all of
7 them. Therefore I have experience with
8 approximately 50 patients. And in answer to
9 your question there were certainly patients
10 who I observed who from a clinical
11 standpoint had a reversal of fortune with
12 regard to their current status, or their
13 status as they entered the trial. Since it
14 was a blinded trial there was difficulties
15 associated with regard to who was obtaining
16 the therapy, but I will comment on the fact
17 that the well-tolerated therapy as it was
18 delivered with absence of adverse events
19 made the attraction to enrollment very high
20 and in my opinion the benefit as well high.
21 Can I answer anything more specifically,
22 Howard?

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1 DR. SCHER: I'm just - I still
2 don't get a sense of how this drug is
3 prolonging survival. Are the patients not
4 developing pain later on? I mean, was
5 therapy immediately changed? I know you
6 looked at docetaxel use in particular and
7 chemotherapy use, but a number of these
8 patients are still hormonally sensitive. So
9 is there a possibility they got for example
10 ketoconazole which may have changed the
11 course? So unfortunately while you do show
12 an intent-to-treat analysis, you still have
13 a relatively small population at the end of
14 the day, and shifts in a few patients can
15 dramatically change the analysis. So I'm
16 just trying to get a sense as a clinician,
17 if I sit with a patient who is asymptomatic,
18 who is progressing biochemically, who has
19 bone metastasis and is destined to develop
20 symptoms let's say in six months based on
21 randomized trials in this group, what do I
22 tell him? You won't develop pain?

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1 DR. SCHELLHAMMER: As I sit with
2 them I think I'm very comfortable with
3 regard to my experience with regard to the
4 adverse event profile and the statistical
5 issue of survival benefit that I know - am
6 aware of because of the trial analysis to
7 convey to them information that is positive
8 and that is optimistic. But in answer to
9 your detailed question about other than an
10 anecdotal memory of individual patients I
11 must look at the statistical overview as my
12 endpoint for advising the patient.

13 MS. SMITH: And Dr. Scher,
14 perhaps we can also provide some more
15 information on the intermediate endpoints
16 that were examined in both studies. We had
17 secondary endpoints. In addition to time-
18 to-progression, the primary endpoint, we had
19 time-to-clinical-progression, time-to-
20 treatment-failure and time-to-pain. Dr.
21 Frohlich?

22 DR. FROHLICH: For those

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1 secondary endpoints, as Ms. Smith noted,
2 showed trends in the same direction as shown
3 here. So time-to-disease-progression, time-
4 to-objective progression as measured only by
5 radiographic means. Time-to-clinical-
6 progression, time-to-treatment-failure as
7 well as time-to-disease-related-pain all
8 showed trends in the same direction. It's
9 also important to note I think part of the
10 challenge with not seeing a stronger
11 association between the two has to do with
12 the variability of the endpoint and in fact
13 how we define disease progression at the
14 present time. If we're seeing an effect in
15 overall survival, presumably we're slowing
16 the progression of the disease subsequent to
17 that disease progression endpoint as we
18 currently define it. And as I'm sure you're
19 aware, there's a lot of interest in divining
20 new ways of defining progression which kind
21 of integrate progression that happens over a
22 longer period of time because this event is

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1 happening so quickly as we currently define
2 it at the present time.

3 DR. MULÉ: We have a number of
4 questions coming up from the committees so
5 we have a list and I'm not ignoring you.
6 What I'm doing is with Gail we're going
7 through the names. So we have Drs. Taylor,
8 Allen, Dranoff, Marincola and Dr. Kwak.
9 Okay, we'll just add to the list. So,
10 Doris?

11 DR. TAYLOR: I have a couple of
12 questions with regard to the CD54 up-
13 regulation again. And was there a
14 difference in the up-regulation of CD54 in
15 the fresh versus frozen sample, and what
16 percentage of patients were treated with the
17 frozen sample, that is the salvage
18 patients? And if you analyze the data with
19 regard to adverse events in those patients
20 was there any difference?

21 MS. SMITH: Dr. Provost? And
22 then I'll invite Dr. Bob Sims to discuss

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1 adverse event profile of the salvage
2 product.

3 DR. PROVOST: Roughly three-
4 quarters of those patients that were
5 randomized to the placebo arm went on to get
6 the salvage treatment. That salvage product
7 was made from frozen cells that were frozen
8 at the time of their initial apheresis. But
9 otherwise the manufacturing process was the
10 same and the product release parameters were
11 the same as the active product.

12 When we look at the CD54 up-
13 regulation values for the salvage patients,
14 if we look in the Week Zero, 2 and 4, on the
15 left is what I showed you in my talk. On
16 the right is those up-regulation values for
17 the salvage products. The median up-
18 regulations were the same between those two
19 groups. The slight differences, you don't
20 see the same bump up in the Week 2 and Week
21 4 infusions.

22 DR. TAYLOR: And these are

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1 measurements made on the product prior to
2 infusion? These are -

3 DR. PROVOST: These are -
4 correct. These are manufacturing product
5 release values.

6 DR. TAYLOR: Okay. And what
7 about adverse events? Was there any
8 difference in the --

9 DR. WITTEN: Can I just make a
10 comment as FDA, please? Yes. I just want
11 to comment that first of all we haven't done
12 an assessment of comparability of the frozen
13 and the fresh product. It's the fresh
14 product that's being proposed for marketing
15 so the advisory committee should keep that
16 in mind, that in our minds we want you to
17 focus on data related to the fresh product.
18 And also, I think that what the sponsor's
19 going to present is if it's information that
20 hasn't been reviewed by FDA they'll let you
21 know. But the comparisons that we're
22 focusing on are from the randomized trial.

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1 DR. TAYLOR: The question really
2 speaks to whether the cardiovascular
3 accident incidence, cerebral vascular
4 accident incidence is increased based on
5 this population.

6 DR. SIMS: As Dr. Frohlich
7 mentioned in his presentation, there were
8 100 patients that received salvage product,
9 and none of those patients experienced a
10 cerebral vascular event following salvage
11 therapy. With regards to your earlier
12 question on adverse events following
13 salvage, this slide summarizes the adverse
14 events. You can see in the column second
15 from the right the 81 subjects treated with
16 placebo followed by salvage have an
17 intermediate incidence of chills, fatigue,
18 fever, pyrexia, headache, nausea. The
19 percentages are intermediate between the
20 sipuleucel-T-treated patients and the
21 placebo-only patients.

22 DR. MULÉ: Dr. Allen.

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1 DR. ALLEN: I have a couple of
2 questions regarding potency of the product.
3 It seems from the data, and correct me if
4 I'm wrong, but it seems that essentially the
5 amount of CD54 up-regulation is fairly
6 predictive of patient response and actually
7 that the patient demographic is less
8 important apparently. Is that correct?

9 MS. SMITH: It appears to be
10 independent of the known prognostic factors.

11 DR. ALLEN: Okay. So based on
12 that then essentially you have a product
13 that, lot to lot, depending on how much
14 patient up-regulation there is, patient-
15 specific up-regulation in your product, that
16 would probably be as good as anything for
17 the clinician to know. The difficulty I see
18 is it appears you have no a priori way of
19 defining that. So in other words your best
20 prognostic data is a correlation between
21 cumulative CD54 over the course of three
22 collections and clinical outcome. So what

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1 are you doing in terms of looking at ways to
2 prospectively determine how good your lot
3 is, how potent it is? Is there anything you
4 can do to increase CD54 at the start of
5 collection, for example, to boost that?
6 Because it seems based on your data you have
7 two clinical studies. One study shows a
8 significant effect. The other study doesn't
9 reach statistical significance although
10 there's a trend. And if you look at the
11 progression data and the survival data, it
12 seems that there's a big difference in
13 basically the progression of disease in
14 those two placebo groups. One potential
15 interpretation would be that you really have
16 a product that is more effective in a slowly
17 advancing disease state and so my suggestion
18 would be that we should focus on ways to
19 essentially get the patient's CD54 activity
20 up and running quicker so we can catch this
21 progressive disease. Do you have any
22 comments?

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1 MS. SMITH: May I have Dr.
2 Provost comment?

3 DR. PROVOST: CD54 up-regulation
4 is a manufacturing potency release
5 criterion. The data that I showed you for
6 the Kaplan-Meier curves came from adding up
7 the potency measurements from those three
8 infusions for each patient. While CD54 up-
9 regulation correlates with prolonged
10 survival, it's not the only prognostic
11 factor. There were other prognostic factors
12 that influenced survival. So one might be
13 reluctant to rely solely on CD54 up-
14 regulation to try and predict certainly from
15 one dose or one infusion to the next using
16 this kind of value, this manufacturing kind
17 of value to predict survival. I will say,
18 having said that, that we're looking at ways
19 to increase the activation in CD54 up-
20 regulation on cells and that is in active
21 development right now.

22 DR. ALLEN: Just to follow up on

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1 that. So at this point though there is no -
2 essentially you have a product that has a
3 total nucleated cell count and you have a
4 measure of in that batch what the response
5 is to the antigen, but you have - do you
6 have a cutoff value that you - you know,
7 you'll only release at X or Y? And is that
8 cutoff value based in anything like the
9 predictive values from the correlations?

10 DR. PROVOST: The cutoff value is
11 based on manufacturing experience. We do
12 have a minimum specification. We don't have
13 a maximum specification.

14 DR. ALLEN: Okay. And what is
15 the trend in survival for that minimum
16 specification? So in other words, if the
17 lot goes out with that minimum
18 specification, where does it fall on the -

19 DR. PROVOST: We don't - we don't
20 specify manufacturing criteria based on
21 survival data. We - these are manufacturing
22 criteria so that we know that the cells were

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1 incubated with antigen, that they did
2 respond to antigen. The other tests that I
3 listed in addition to the potency tests
4 indicate that the manufacturing was
5 performed correctly and that the product is
6 safe for infusion.

7 DR. TAYLOR: That actually - my
8 second question was related to dose and
9 right now my understanding is your dosing is
10 simply based on the ability - or based on
11 what you are able to obtain from the
12 patient. And is there a minimum dose that
13 you're giving, or is there a threshold below
14 which you haven't seen an effect?

15 DR. PROVOST: We have
16 specifications for the number of cells,
17 total nucleated cells, and that
18 specification is for the incoming apheresis
19 package, the cells that come in, so that we
20 know we have enough to manufacture and get a
21 reasonable infusion out at the end. We also
22 have specifications for the number of APCs

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1 and then all the safety tests, identity,
2 potency, et cetera. So we have experience
3 with a wide variety of cell numbers for
4 these products, and as I indicated before
5 we've examined that cell dose, the TNC cell
6 dose. It's not particularly correlated with
7 - or strongly correlated with survival.
8 It's not as strongly correlated as CD54 up-
9 regulation.

10 DR. TAYLOR: But there's not a
11 minimum CD54 dose requirement?

12 DR. PROVOST: There is a minimum
13 CD54 APC dose requirement and a minimum CD54
14 up-regulation requirement for the product to
15 be released.

16 DR. MULÉ: Glenn?

17 DR. DRANOFF: One of the most
18 striking immunologic findings that you
19 include in your report is the relative
20 frequency of responses against your fusion
21 protein, but not against the native PAP
22 protein. So I'm curious how you have

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1 approached this issue, whether in fact you
2 know that the reactivity is devoted toward
3 the novel sequence that's involved in your
4 fusion, but not the PAP, and whether that
5 has any implications for the relative
6 contribution of the PAP part of the product
7 to the efficacy.

8 DR. PROVOST: We have examined
9 the specificity of the immune reaction. The
10 data that you're referring to I think are
11 shown in the briefing document. I'll bring
12 that up. This shows that we get a robust T-
13 cell proliferation immune response when we
14 sample blood, whole blood from the patients
15 at Week Zero, at baseline, and then at Week
16 8 and at 16 as Mark described. But we don't
17 see strong responses to seminal PAP or
18 GMCSF. We find a lot of responses to that
19 junction region because - it's not
20 surprising because this is two molecules
21 fused together. Their confirmation may be
22 slightly different and their immunogenicity

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1 may be slightly different. We do see
2 responses against PAP and we have found T-
3 cells in patients that are directed against
4 PAP epitopes. So their frequency is rather
5 low. We don't know whether this is due to
6 the timing or the compartment, whether we're
7 looking at peripheral blood may be the wrong
8 place to go. Maybe we should be looking at
9 metastases or tumor sites, or whether the
10 assays are just not tuned up. We're working
11 on that actively right now.

12 DR. DRANOFF: And do you know
13 whether those immune responses correlate
14 with the degree of CD54 up-regulation in any
15 way?

16 DR. PROVOST: They do not
17 correlate with CD54 up-regulation. Yes. If
18 you have more kind of general questions
19 regarding immune response I might defer to
20 Dr. Levitsky.

21 DR. LEVITSKY: Thanks. Yes, it
22 is an unfortunate wide experience in the

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1 field to have difficulty in correlating
2 measured immune responses to relevant
3 antigens and in clinical outcome. I've
4 thought a bit about the problem that
5 specifically is before us and the unique
6 fusion protein that is used as the immunogen
7 here clearly has neoepitopes at the fusion
8 junction. And I think of it as somewhat
9 analogous to the large experience with
10 either mutated antigens or orthologous genes
11 where in fact you can raise a very strong
12 response against the ortholog and a
13 relatively modest response against the
14 natural self-antigen, yet that response to
15 the self-antigen in animal models is
16 frequently enough to induce autoimmunity
17 reminiscent of the very nice work that Allen
18 Houten's group has done in pigmented mice.
19 So I think it's still conceivable that PAP-
20 specific responses have in fact been
21 generated. It may be difficult to detect in
22 the blood and as you all know many groups

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1 around the world, notably the group in
2 Brussels, has gone to great pains to
3 literally sequence T-cell receptor sequences
4 and find changes that do correlate, but are
5 far below the level of frequency that could
6 possibly be detected in these kinds of
7 assays, so.

8 DR. MULÉ: Franco.

9 DR. MARINCOLA: One of the
10 questions that was raised about the immune
11 monitoring and the relevance of the
12 immunologic assays. But I still think it
13 would be nice to have some kind of evidence
14 that the immunologic assays are relevant to
15 the disease process. And the recombinant
16 antigen per se I don't think is really
17 useful. But I understand that the reason -
18 hybridoma that you have been using to test
19 the recognition of the antigen presentation,
20 and what is that recognizing? Is that
21 recognizing something that is specific to
22 the recombinant antigen, or just to maybe

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1 the prostate antigen?

2 MS. SMITH: Are you referring to
3 the T-cell hybridomas we've used to
4 correlate with our potency assay?

5 DR. MARINCOLA: Yes, that have
6 been discussed in the briefing.

7 MS. SMITH: Yes. Dr. Provost?

8 DR. MARINCOLA: The R I think 1.
9 The RB1.

10 MS. SMITH: I'm sorry, I couldn't
11 hear you.

12 DR. MARINCOLA: The R beta 1 I
13 think specific associated.

14 DR. PROVOST: Right. We used T-
15 cell hybridomas that are specific for PAP
16 peptides, PAP protein peptides in order to
17 assess the uptake, processing and
18 presentation of those PAP peptides by APCs
19 in this product. It's an in vitro
20 immunological assay. It's not an immune
21 response assay. But what we have done is to
22 show that - these are development data that

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1 show that the cells in the product take up,
2 process and present PAP peptides to PAP-
3 specific T-cell hybridomas. Other fusion
4 proteins which we have which are fused to
5 GMCSF and in a relevant antigen do not
6 stimulate those antigens and stimulate those
7 T-cell hybridomas as well. We've also shown
8 that those cells which present antigen are
9 contained in the CD54 cell population.

10 DR. MARINCOLA: So what about
11 then starting patients they are expressed
12 the R beta 1 ANC, if they're recognized
13 specifically after vaccination? Would that
14 be a reasonable model to look at whether the
15 vaccine is really making a difference in the
16 immune response to the PAP antigen?

17 DR. PROVOST: We have used
18 patient cells to assess their responses in
19 the T-cell hybridoma assay. However,
20 getting those patients to donate blood for
21 the immune monitoring protocol is another
22 thing and that is actually one of the

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1 challenges of a multi-center trial is just
2 getting enough samples together so that you
3 can get all the immune monitoring done.

4 DR. MARINCOLA: I have another
5 question about the survival analysis which
6 seems to be the core of the application is
7 the overall survival. And I have to say
8 that if you look at the first - second study
9 doesn't really show much difference at all,
10 but the most concerning thing is when you
11 combine the two. It seems to me that
12 doesn't make it any better. In fact, even
13 the results of the first get dampened
14 somehow. And one of the reasons maybe is
15 that in the first study I thought there was
16 a pretty strong, although probably not
17 significant, bias in the Gleason score. If
18 you look at the individuals that were less -
19 six or less, or like 26 - 27 - 26.8 percent
20 versus 15.6 percent. And I wonder if
21 somebody can comment on this. Maybe I'm
22 wrong, but.

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1 MS. SMITH: I'll ask Dr. Mark
2 Frohlich to comment on the consistency
3 between Studies 1 and 2 and the impact of
4 Gleason score on the studies.

5 DR. FROHLICH: A lower hazard
6 ratio was observed in Study 2, 1.27, but
7 I'll note the magnitude of that hazard ratio
8 is in fact - demonstrates a 21 percent
9 reduction in risk of death and kind of is on
10 the order of how clinical trials are being
11 designed. CALGB is designing a docetaxel
12 plus or minus bevacizumab trial with a
13 target hazard ratio of 1.25. So still
14 clinically relevant. The p-value is larger
15 because of the smaller number of events.

16 Another potential reason for the
17 smaller hazard ratio observed in Study 2
18 relative to Study 1 may have to do with the
19 degree of imbalance between the two arms in
20 terms of PSA, LDH and the number of bony
21 metastases as shown here. And when one
22 adjusts for those using a Cox multiple

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1 regression model, one finds that the
2 treatment effect in Study 2 is in fact as
3 shown in the blue here. So the unadjusted
4 are shown in yellow, the adjusted shown in
5 blue. You can see that the treatment effect
6 becomes more consistent with that in Study
7 1. Even unadjusted there's consistency of
8 the treatment effects as shown here.
9 They're in the same direction and the
10 confidence intervals overlap. And it's
11 important to note that there are fewer
12 events in Study 2, so there's actually 30
13 percent more death events in Study 1 than
14 Study 2 so it provides - Study 2 provides a
15 less precise estimate than does Study 1.

16 In terms of the Gleason score,
17 there were slight imbalances. We performed
18 univariate adjustments for Gleason score.
19 You'll find in your appendix both for Study
20 1 and also done for Study 2 in which the
21 treatment effect remained consistently
22 strong after adjusting for Gleason score.

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1 We found in both of our studies that Gleason
2 score was not an important predictive factor
3 for overall survival in those patient
4 populations.

5 DR. MULÉ: Larry?

6 DR. KWAK: So I have - my
7 questions focus on product characterization.
8 You showed us up-regulation of CD54 for
9 example on antigen-presenting cells, but
10 what were the characteristics of these cells
11 that were being analyzed, and how much
12 heterogeneity is there within patient
13 products and between patients? For example,
14 is - have you done any experiment, could
15 GMCSF alone be responsible for the CD54 up-
16 regulation, or perhaps impurities in the
17 recombinant protein that they're exposed to?

18 MS. SMITH: Dr. Provost?

19 DR. PROVOST: We've characterized
20 hundreds of sipuleucel-T products, and we
21 can say without a doubt there's a large
22 variability in the number and composition of

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1 the cells. That being said, the
2 manufacturing process and the final results
3 actually accommodate a large variability in
4 the incoming material. Most of the
5 variability that we find is due to the
6 incoming apheresis material. It comes from
7 the patients.

8 If I could have the slide that
9 looks at cell compositions for the products.
10 It gives you a survey of the different cell
11 types throughout the product. We've
12 measured both in the products and in a model
13 system from healthy donors, measured
14 antigen-presenting cells are 54-positive,
15 APCs, T-cells, monocytes, B-cells. That's
16 shown here throughout the manufacturing
17 process. It just illustrates the point that
18 the relative ratios remained fairly constant
19 throughout the manufacturing process and
20 that we have a fairly wide distribution of
21 those cell types in the product.

22 Regarding the CD54 assay, we use

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1 a flow cytometric method to measure CD54.
2 We gate on the monocyte or APC fraction -
3 sorry, I just pulled that down when I meant
4 to pull it up. Can you bring that back up?
5 Thank you. I'll advance that now. This
6 illustrates the method basically that we
7 gate on large CD54-positive cells. We
8 relate the mean fluorescence intensity which
9 is shown in the bottom left - sorry, bottom
10 right. Get my left and right mixed up. The
11 green peak illustrates the mean fluorescence
12 intensity. That mean fluorescence intensity
13 is related back to a standard curve derived
14 from beads which have a known number of PE
15 molecules on each one and we use that to
16 calibrate how many 54 molecules there are on
17 the surface.

18 Within that population we've
19 looked at other - we've done dual staining
20 analyses to assess whether we're looking at
21 antigen-presenting cells primarily or other
22 cells and that's illustrated here. The

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1 predominant portion of that fraction that we
2 gate on is monocyte-derived CD14-positive
3 cells. Very few of them have CD3 or other
4 lineage markers on them.

5 And the role of GM is to activate
6 APCs. That's what it's doing in the fusion
7 protein. We can activate cells with GM
8 alone, but we cannot get PAP-specific
9 presentation to PAP-specific T-cells with GM
10 alone. In addition, in the characterization
11 studies we've done on the product GM alone
12 does not elicit the same sort of cytokine
13 responses and other phenotypic responses we
14 get on the cells in the product.

15 This shows that - here we go. On
16 the left we have responses, CD54 up-
17 regulation ratios. This is from development
18 data. I think I presented this last year at
19 the committee meeting. PA2024 is the
20 immunizing antigen. BA7072 is an irrelevant
21 antigen fused to GMCSF. We get similar up-
22 regulation with those two molecules. Allo-

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1 MLR responses which respond specifically to
2 CD54 up-regulation or APC activation are
3 roughly equivalent, but antigen presentation
4 to PAP-specific T-cells require the use of
5 the PA2024 immunizing antigen.

6 DR. TAYLOR: A question about
7 your previous slide. You said that 82
8 percent - approximately are CD54-positive
9 monocytes. In the FITC data - uptake data
10 you showed us it didn't look like the
11 majority of uptake was into monocytes. Can
12 you - I was confused about how that
13 correlates with this.

14 DR. PROVOST: Let me show you
15 that again. That is a scatter plot, not a
16 FITC label.

17 DR. TAYLOR: But in the briefing
18 document you showed a CD54 uptake - showed
19 uptake of the GMCSF PAP FITC molecule into
20 CD14-positive cells and it didn't seem that
21 that was - that the majority of CD14 cells
22 took this up and yet here you're saying 82

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1 percent of the CD54-positive cells were
2 CD14-positive. And I'm trying to understand
3 the difference in those. And maybe I just -
4 maybe it's a different denominator.

5 DR. PROVOST: I'm trying to
6 recall from the briefing document.

7 DR. TAYLOR: I think that looks
8 like what - yes.

9 DR. PROVOST: Let me display
10 this. This is I believe from the briefing
11 document. What this shows is that the
12 antigen is taken up by CD54-positive cells
13 and also CD40-positive and HLADR-positive
14 cells basically shows that there are other
15 markers, co-stimulatory molecules on the
16 cells that take up the antigen. In
17 addition, we have some data that I believe
18 is in the BLA showing that PA2024 - FITC-
19 labeled PA2024 is taken up by CD54-positive
20 cells, CD14-positive cells. Very little of
21 those cells stain for CD3. CD19-positive B-
22 cells and CD56-positive NK cells have low

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1 uptake.

2 DR. MULÉ: For the sake of time
3 we have a list of committee members who are
4 still waiting for their questions. And what
5 I would ask you to do is we have two more
6 sessions in the agenda for questions and
7 answers. So I would ask you to keep that in
8 mind if those questions are more related to
9 the topics later in the day. With that
10 said, Rich, you're up next.

11 DR. ALEXANDER: I want to ask if
12 you assessed whether at the end the patients
13 were able to discern if they thought they
14 were on the active drug or not compared to
15 placebo. And the reason I want to ask this
16 is because sort of a follow-up to Howard
17 Scher's question is that people before they
18 enter a clinical trial have to be told what
19 the side effects of the drug are, and I'm
20 expecting you probably had to explain to
21 them they were likely to get fever and
22 chills. And so if people with a 50 percent

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1 chance of that in the group getting the
2 treatment and a much lower percent in the
3 placebo, and we're asking what happens to
4 these people and you know, why do men who
5 are facing a lethal disease and want to live
6 longer actually live longer. That's a - I'm
7 not trying to be a Zen master here or
8 something, or a philosophical question, but
9 people who are thinking that they're on an
10 active agent that will help them live longer
11 and they want that to happen, perhaps
12 there's some way that that can happen. So I
13 wonder if - and it would reassure me if they
14 were unable to predict whether they got the
15 drug or not at the end of the trial is a
16 typical thing that we've done in most of the
17 studies that I've been involved with.

18 MS. SMITH: Dr. Frohlich?

19 DR. FROHLICH: First, it's
20 important to note that while there is a
21 characteristic adverse drug reaction profile
22 for the product overall, for example the

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1 most common being chills as you noted at 50
2 percent, that means that half the patients
3 don't have that. So for the individual
4 patient it's not entirely clear and many - a
5 significant percentage of the placebo
6 patients had some of those adverse drug
7 reactions. We actually performed a survey
8 of the patients on the trial in a subset of
9 patients which essentially showed that a
10 third of the patients thought they were on
11 placebo, a third thought they were on
12 treatment and a third said they didn't know
13 which is actually worse than you would
14 expect if you were anticipating a 2 to 1
15 randomization. So there didn't appear to be
16 any knowledge of the patients as to which
17 treatment arm they were on.

18 In terms of influencing
19 subsequent therapy, the only data we have,
20 the only agent which has been shown to
21 prolong survival in this patient population
22 is the agent docetaxel, and that we've

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1 looked very closely at as I outlined in my
2 core presentation, unable to find any
3 evidence to suggest an increased use in the
4 placebo arm, a delayed time to use in the
5 placebo arm - I'm sorry, increased use in
6 the treatment arm, or delayed time to use in
7 placebo arm. And we've also performed
8 adjustments for time-to-chemotherapy use and
9 the treatment effects still remain strong.

10 DR. MULÉ: Bob.

11 MR. SAMUELS: Yes. My question
12 actually relates to the same question and
13 that is that patient-related outcomes are
14 becoming more of an integral part of
15 clinical trials, and I was curious as to
16 whether or not you guys had a formal process
17 for patient-reported outcomes included in
18 this, and if not, do you plan on doing it in
19 future studies.

20 DR. FROHLICH: We have not
21 included formal quality-of-life assessments
22 in Studies 1 and 2. Quality-of-life is

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1 somewhat of a challenging endpoint to
2 interpret the results of, but we are
3 interested in doing that potentially in
4 future studies.

5 MR. SAMUELS: Again, I guess I'm
6 - maybe I'm not clear. Patient-reported
7 outcomes are people who are on studies
8 reporting how they are doing, how they are
9 feeling, are being more and more put into
10 the clinical trial design process.

11 DR. FROHLICH: I'm sorry. To
12 clarify, that's what I meant by quality-of-
13 life assessment. So asking the patient
14 specifically how they're doing, what their
15 impression is, there are instruments that
16 have been designed to assess that, but there
17 are challenges in interpreting those results
18 because of the variability and subjectivity
19 associated with them. But it is an
20 important thing to assess, I agree with you,
21 and that's something we're interested in
22 doing in the future to get a better

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1 understanding of the experience for patients
2 as they go through the process.

3 DR. MULÉ: For the sake of time
4 we have five more individuals with
5 questions, so I'm going to cut off this
6 session for questions after the fifth member
7 of the committee has an opportunity to ask
8 their question. So next is Dr. Chamberlain.

9 DR. CHAMBERLAIN: Okay. Well, I
10 had some questions about again the immune
11 response elicited against your product.
12 Most of those were already answered, but I
13 wanted to follow up two quick areas. One, I
14 guess you implied that the - you appeared to
15 be getting a T-cell response against the
16 novel fusion portion of your antigen, but
17 have you followed that up at all to, for
18 example, by screening peptide libraries
19 around that fusion region to - and in
20 particular, can you tell whether there are
21 any epitopes being recognized that are on
22 the PAP side of the fusion junction?

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1 MS. SMITH: Dr. Provost?

2 DR. PROVOST: We have looked a
3 little bit at the specificity, and we do see
4 reactivities against the PAP portion of the
5 molecule. We are investigating other
6 assays, overlapping peptides, et cetera, so
7 we can better characterize those immune
8 responses.

9 DR. CHAMBERLAIN: Okay, and then
10 a slight follow-up. You may have already
11 answered this, but do you have any data in
12 vivo with stimulating cells only with the
13 GMCSF?

14 DR. PROVOST: Do we have data in
15 vivo? No, that wasn't the objective of the
16 trial. We had plenty of pre-clinical
17 information that told us that the GM alone
18 wasn't going to be the active agent in terms
19 of eliciting the prostatitis. And so we had
20 that fusion protein and had both ends of the
21 molecule there for different reasons.

22 DR. SCHER: I just have a

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1 statistical question. Essentially the one
2 trial that is definitive even in a post hoc
3 analysis is essentially - evaluates 82
4 patients. And the question is how
5 comfortable can you feel extrapolating this
6 if you used Dr. Logothetis's estimates to
7 55,000 men who would represent asymptomatic
8 castration-resistant or androgen-independent
9 disease. There's a lot of sub-analysis
10 here, but I guess the concern is you know
11 again, one or two patients shift and all of
12 a sudden you lose the significance. And
13 many of the analyses, while they do show a
14 relative increase in the hazard ratio, they
15 still touch unity. So again, how confident
16 can you feel in these kinds of
17 extrapolations?

18 MS. SMITH: I'd like to ask Dr.
19 Brent Blumenstein to comment on the
20 statistical implications.

21 DR. BLUMENSTEIN: Well, I think
22 that first of all that the size of the trial

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1 is small, but I think the confidence that
2 you should have in the result would be
3 reflected in the confidence intervals. And
4 one of the computations that we did was to
5 show that the lower confidence interval from
6 this trial for example is higher than the
7 low confidence interval from the docetaxel
8 trial. And so I think that you have - you
9 can take this trial with, even though small,
10 that you can take the results with a great
11 deal of confidence. Did I answer your
12 question?

13 DR. SCHER: A little bit. But in
14 point of fact, the populations in TAX 327
15 are not comparable to this population.
16 Those are - there's a large percentage of
17 those patients who had symptomatic cancer-
18 related pain. So I'm not sure that
19 comparison is -

20 DR. BLUMENSTEIN: Well, I wasn't
21 really comparing the two trials in the sense
22 of that these agents would be used in the

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1 same trial, but I'm talking about the size
2 of the clinical benefit that you can observe
3 from this trial. I mean, I understand the
4 dilemma facing the panel because I've served
5 on these panels before, and as usual, you're
6 having to base your decision on less than
7 perfect data. I think it's important, maybe
8 I can review some of the reasons that I feel
9 that there's compelling evidence of efficacy
10 from Study 1, even though it's not a perfect
11 trial.

12 I think the formal evidence of
13 efficacy is based on survival which is a
14 definite gold standard in oncology. But as
15 you probably have recognized, there was less
16 than complete specification of survival in
17 the - the survival analysis in the protocol
18 and the SAP. But it's also important to
19 note that in all other respects Study 1 and
20 Study 2 can be characterized as well-
21 controlled and well-conducted clinical
22 trials.

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1 I think that the dilemma that is
2 induced by Study 1 is really relatively
3 minor compared to some of the other dilemmas
4 that have been induced by other oncology
5 studies. For example, you're not being
6 asked to make your decision based on a post
7 hoc identification of a subset of patients,
8 and you're not being asked to base your
9 decision on non-standard statistical
10 methods, and you're not being asked to make
11 your decision based on a variation of a
12 primary endpoint. You're also not being
13 asked to base your decision on the secondary
14 endpoint designed to measure some other
15 aspect of the patient's outcome. Finally,
16 you're not being asked to base your decision
17 on a significant time-to-progression finding
18 in the absence of a survival finding.

19 So the main issue is that this
20 Study 1 did not meet the TTP statistical
21 goal, and had Study 1 met that goal there
22 would be no issue considering the fact that

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1 there's a significant survival. So let's
2 talk about that for a minute. And there's
3 one possible explanation of why Study 1
4 didn't meet the survival goal, the
5 statistical goal, and that is based on this
6 delayed effect which you can see, and
7 especially in the right plot there on the
8 graph, that there's a late-emerging
9 separation of the Kaplan-Meier curves. Now
10 this has been observed in other
11 immunotherapies in the last few years. Now,
12 when there exists an identifiable
13 explanation for the lack of statistical
14 significance such as a delayed effect like
15 this, then I think you're compelled to take
16 the clinically meaningful estimate of the
17 hazard ratio of 1.45 from the time-to-
18 progression Kaplan-Meier plot that you see
19 there and that also represents a 31 percent
20 decrease in the hazard of progression, and
21 use that in assessing the overall outcome
22 from this trial when you combine the TTP

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1 results and the survival results. It's also
2 important to think about whether time-to-
3 progression is a putative surrogate for
4 survival, and I think most would agree that
5 under ideal circumstances if time-to-
6 progression is measured well that it is a -
7 that there's a good reason to think of it as
8 a putative surrogate for survival. And what
9 this - the reason that this is important is
10 that in the - under the paradigm of
11 surrogacy, you have the requirement that
12 both endpoints meet statistical significance
13 and that doesn't induce the need to share
14 alpha between two endpoints where you could
15 make a choice between those two endpoints.
16 And if you take the evidence from Study 1's
17 time-to-progression hazard ratio of 1.45 and
18 accept that as an indication of clinical
19 significance from Study 1, then I think it's
20 easy to feel comfortable. And in fact, I
21 mean this is the thought process that leads
22 me to have a high degree of confidence that

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1 these study - the results from Study 1 are
2 real and that there's no inflation of the
3 probability of making a false positive
4 conclusion here.

5 DR. MULÉ: Richard.

6 DR. CHAPPELL: I'd like to ask
7 another question about the cumulative CD54
8 up-regulation clinical results in Slide 60.
9 There's a very dramatic predictive effect of
10 the up-regulation with survival and some of
11 it must be due to the fact that healthier
12 patients have higher up-regulations because
13 if you would overlay the placebo curve it
14 would be at about the green, it would lie
15 pretty much on top of the green curve and
16 placebos have zero percent up-regulation.
17 So if it were only the drug, it would be
18 below all of them. But still, as you
19 demonstrated by your regression analyses,
20 there is some hint that this is a kind of
21 dose response effect. So either way,
22 patients with good up-regulation seem to do

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1 better and my question to you is is there
2 any way to screen patients based on some
3 preliminary information on up-regulation, or
4 do you have any baseline variables, pre-
5 treatment variables that would predict this
6 up-regulation so that you might be able to
7 apply this treatment to the patients who
8 might benefit most?

9 DR. PROVOST: First, just let me
10 say that CD54 up-regulation is not a
11 prognostic variable. When we're looking at
12 these data they're post-manufacturing and
13 cannot be determined until after the -

14 DR. CHAPPELL: Well, my question
15 - can you create a prognostic variable as a
16 substitute for -

17 DR. PROVOST: These are
18 manufacturing data. We can actually - we're
19 investigating now how - what other
20 influences the manufacturing milieu might
21 have on CD54 up-regulation. And we see some
22 slight variations that suggest that the

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1 cellular composition might have an
2 influence, in particular granulocytes may
3 have some influence just in competition for
4 CD54 immunizing antigen for the PAP
5 immunizing antigen. That being said, this
6 is more of a kind of a global issue in terms
7 of overall immune responses and I think I'd
8 like to defer to perhaps Dr. Levitsky who
9 could comment a little more broadly on this
10 type of a readout.

11 DR. LEVITSKY: Thanks. I'd like
12 to give an immunologist's perspective on the
13 observation that the cumulative CD54 up-
14 regulation has a correlation with survival.
15 So first, just a small piece of biology.
16 CD54, also known as ICAM-1, is one of a
17 series of co-stimulatory or adhesion
18 molecules found on antigen-presenting cells
19 that increases when the antigen-presenting
20 cell is activated. And that activation can
21 occur through a number of ways, toll-like
22 receptors and notably CD40. Now, the reason

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1 I'm going into the biology here is because
2 it's at first counter-intuitive that pulling
3 cells out of a patient in Cycle 2 or 3 would
4 give you any different type of antigen-
5 presenting cell than you got from Cycle 1.
6 So how do you explain the cumulative
7 increase in the second and third cycle? And
8 I think the best explanation is not that the
9 antigen-presenting cells are changing, but
10 rather that the T-cells are changing that
11 are in the bag. The reason I'm going
12 through this with you is I would posit that
13 what they're actually measuring, even though
14 it's on the antigen-presenting cells is
15 really reflecting the nature of the T-cell
16 priming that's taking place over time. So
17 by that criteria, if that hypothesis proves
18 to be correct it in and of itself can't be a
19 prognostic variable. And in fact, the
20 company may not even have control over that
21 in terms of it being something that they
22 could control in the manufacturing process.

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1 It is perhaps more indicative of a patient-
2 specific parameter.

3 DR. CHAPPELL: So is there any
4 way to get something like that, or a
5 surrogate for it in advance to know which
6 patients would benefit most?

7 DR. LEVITSKY: So now you're in
8 the realm of who's immunologically
9 responsive and who isn't, and the field
10 hasn't gotten to that point yet.

11 DR. MULÉ: Maha? You're okay.
12 Kurt?

13 DR. GUNTER: I have two very
14 quick questions related to the CVA issue.
15 Perhaps I could ask both questions. I'm
16 guessing you could answer them at the same
17 time. The first question relates to any
18 pre-clinical work which I didn't see a lot
19 of description of that in the briefing
20 package, but were there any safety signals
21 related to neurotoxicity or CVA-like events
22 in any pre-clinical animal studies? That's

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1 question one. Question two is looking at
2 the CVA events in the hormone-independent
3 versus hormone-dependent population, I was
4 struck by the fact that there was about 5
5 percent incidence in the placebo arm versus
6 about 1 percent in the treatment arm in the
7 hormone-dependent and almost the opposite
8 results in the hormone-independent. So can
9 you think of any biological or clinical
10 mechanism or rationale for those apparent
11 discordant results in the two groups?

12 MS. SMITH: Dr. Frohlich? And
13 I'll comment on your first question. We did
14 not have any information from our pre-
15 clinical studies nor our Phase I and II
16 studies to suggest that there was a possible
17 increased incidence of CVA in these
18 patients. This was not observed until we
19 accumulated the safety database from the
20 Phase III trials.

21 DR. FROHLICH: And specifically
22 in terms of the rat models that Dr. Provost

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1 showed which demonstrated autoimmune
2 prostatitis, sections of other organ systems
3 were performed and there was no evidence of
4 cerebritis or lymphocytic infiltrate in the
5 brain. In terms of the difference between
6 androgen-independent prostate cancer and
7 androgen-dependent prostate cancer, there
8 are trends in the opposite direction and I
9 think the challenge here is given the small
10 number of events you know in total out of
11 this roughly 700 patients, you know 18
12 events in treatment and 6 in the placebo,
13 keeping in mind the 2 to 1 randomization, so
14 you're talking about a small number of
15 events here. And I think the key point that
16 we want to make is given the large
17 confidence intervals which overlap one here,
18 it's hard to know whether this is a real
19 difference between androgen-independent and
20 androgen-dependent. And for that reason
21 perhaps the numbers for all studies best
22 reflects this. I mean I think there's no

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1 reason that we would expect that sipuleucel-
2 T would be protective in the androgen-
3 dependent prostate cancer setting.

4 DR. MULÉ: Okay. At this
5 juncture what we'll do is take a 10-minute
6 break and plan to be back at 10:30.

7 (Whereupon, the foregoing matter
8 went off the record at 10:19 a.m. and went
9 back on the record at 10:33 a.m.)

10 DR. MULÉ: Okay, we'll begin with
11 the FDA presentation, and the first speaker
12 is Dr. Wonnacott.

13 DR. WONNACOTT: Good morning. My
14 name is Keith Wonnacott, and I'll lead off
15 the presentations providing the FDA
16 perspective on sipuleucel-T. I'm co-chair
17 of the review committee, and I will
18 represent the product review team. Dr. Ke
19 Liu is the other co-chair of the committee,
20 and he will represent the clinical review
21 team and present the findings - the FDA
22 perspective on the findings from the

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1 clinical trials. And Dr. Bo Zhen is our
2 statistical reviewer, and will talk about
3 the statistical findings. Although you will
4 not hear from the other members of the
5 review team, I would like to acknowledge
6 them, and emphasize that the review of this
7 BLA is a large, multi-disciplinary effort.

8 So I'm going to start with my
9 presentation by providing an overview of the
10 manufacturing process, and there are a few
11 points I'd like to make about the process.
12 The first is that the patient cells are
13 collected by leukapheresis. This means that
14 the patient is hooked up to an apheresis
15 device that collects the white blood cells,
16 or leukocytes, from the patient's blood, and
17 this procedure can take up to several hours.
18 And I mention this step because, as we've
19 heard, the apheresis starting material is
20 the greatest source of variability in the
21 product. The next point I wanted to point
22 out is that the patient cells are cultured

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1 with PA2024 antigen, that is composed of
2 GMCSF, which is an immune stimulant and the
3 prostatic acid phosphatase, which serves as
4 the tumor antigen. And this is the critical
5 step for creating an active product. And
6 finally, this whole process takes three to
7 four days, and the entire process is
8 repeated for each of the three infusions
9 that a patient will receive during the
10 course of therapy.

11 The placebo product is made in
12 generally the same way as sipuleucel-T, with
13 the exception that no PA2024 antigen is
14 added, and the cells are refrigerated rather
15 than cultured. In addition, a portion of
16 the cells are cryopreserved at the end of
17 day zero processing for potential crossover
18 therapy. And the patients who later cross
19 over to receive active therapy will have
20 their cryopreserved cells thawed and
21 reintroduced back into the manufacturing
22 process to be cultured with the antigen, and

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1 later administered to the patients.

2 So this slide outlines in
3 slightly more detail the impact of the
4 manufacturing process on the patient cells.
5 The apheresis starting material, when it
6 arrives at the manufacturing facility,
7 contains a variety of blood cells. The
8 first steps in the manufacturing process are
9 the buoyant density centrifugation steps,
10 designated BDS77 and 65. And these steps
11 enrich for the mononuclear cells, including
12 monocytes, B-cells, T-cells and NK cells.
13 These cells are then put into culture with
14 the PA2024 antigen, and according to the
15 proposed mechanism of action, the monocytes
16 will take up the antigen and become
17 activated antigen-presenting cells. And
18 we've heard about this. So the
19 manufacturing process is designed to enrich
20 for mononuclear leukocytes, and activate
21 antigen-presenting cells, but it is not
22 designed to control cell number, nor is it

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1 designed to control the relative percentages
2 of the different cell types. And so we hope
3 that the - I hope that the data I present in
4 the next few slides will illustrate each of
5 these points, and provide a framework for a
6 meaningful discussion this afternoon about
7 the implications for product quality and
8 consistency.

9 So this slide is intended to show
10 that the manufacturing process does not
11 control the number of cells in sipuleucel-T.
12 The figure shows data from Dendreon's
13 clinical manufacturing experience, and I
14 would like to point out - make three
15 observations about the data. First, as
16 Nicole said, Dendreon has established a
17 minimum number of total nucleated cells
18 required for the apheresis starting
19 material, but there is no maximum number,
20 and the range in total nucleated cell number
21 is quite large. Second, the manufacturing
22 process does significantly reduce the number

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1 of total nucleated cells in the product,
2 from apheresis starting material to the
3 final product. And finally, in the final
4 product there is no upper or lower limit for
5 total nucleated cell number, and the range
6 is still quite broad. In fact, there have
7 been differences of greater than a
8 hundredfold in the number of cells that a
9 patient receives.

10 So this slide is intended to show
11 that the manufacturing process doesn't
12 control the relative percentages of cell
13 types in sipuleucel-T. And you've seen a
14 version of this figure already. It depicts
15 the change in relative percentage of the
16 predominant cell types in the product during
17 manufacturing. The predominant cell types
18 include monocytes which express CD14 and as
19 you heard also are the major cell type
20 expressing CD54, B-cells, which express
21 CD19, T-cells which express CD3, and NK
22 cells which express CD56. The relative

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1 percentages were measured at several steps
2 in the manufacturing process, in the
3 apheresis starting material, after the BDS77
4 separation, after the BDS65 separation, and
5 in the final product. And what you can see
6 for each of the cell types is that the
7 change in the relative percentage of the
8 cell type is small due to manufacturing
9 compared to the relative variability
10 inherent in the patient themselves. And of
11 note, the potent cells, the CD54 cells, can
12 range from above 50 percent to less than 5
13 percent of the total number of cells
14 present. So as I said earlier, the process
15 is designed to activate antigen-presenting
16 cells, and this is consistent with the
17 proposed mechanism of action.

18 So I wanted to present the
19 proposed mechanism of action. And as I
20 mentioned, the antigen-presenting cells take
21 up the antigen, become activated, and
22 process and present the antigen on the cell

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1 surface, all of this occurring during the
2 manufacturing process. The cells are then
3 given back to the patient where the APCs are
4 thought to be able to stimulate antigen-
5 specific T-cells that can go back and attack
6 the cancer cells. So based on this
7 mechanism of action, there could be a
8 potential delay in the effect of the therapy
9 as the immune response develops in the
10 patient. The therapy is thus unlike other
11 cytotoxic cancer agents that directly kill
12 cancer cells. But I will say that, while
13 this is the proposed mechanism of action, we
14 don't know if it is the correct mechanism of
15 action, or alternatively, if it is the only
16 mechanism of action.

17 So in the next few slides I'll
18 summarize the types of in vitro data to
19 support the proposed activation and antigen
20 presentation activity of sipuleucel-T.
21 First I would like to talk about which cells
22 in sipuleucel-T are responsible for antigen

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1 uptake, and based on all the good questions,
2 you've seen a little bit of this data
3 already. So these data show the ability of
4 the cell types present in sipuleucel-T to
5 take up fluorescently labeled PA2024
6 antigen. The Y-axis is - represents a cell
7 type-specific marker, and the X-axis
8 represents antigen uptake. So the cells
9 that are specific for the marker and take up
10 antigen will be found in the upper right-
11 hand quadrant of the histograms. This data
12 shows that monocytes efficiently take up the
13 antigen, while T-cells, B-cells and NK cells
14 only weakly or don't take up antigen. These
15 cells - or I mean, this data show that
16 monocytes, which are CD14-positive, are the
17 predominant cell type in sipuleucel-T that
18 express CD54 as it is measured, or as the
19 cells are gated by Dendreon, although we
20 know that other cell types present in the
21 product do express CD54.

22 Dendreon also provided data to

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1 demonstrate that the antigen-presenting
2 cells show increased expression of co-
3 stimulatory molecules. And so these
4 histograms show the up-regulation of various
5 cell surface markers before and after
6 culture. These molecules are generally
7 recognized as co-stimulatory molecules, and
8 are used to measure cellular activation.
9 The expression of each of these markers is
10 increased during culture with PA2024
11 antigen. And the expression of these -
12 Dendreon has provided data to show that, as
13 was asked, the GMCSF portion of the fusion
14 protein is responsible for this antigen-
15 presenting cell activation, and the
16 expression of these markers does not
17 increase in the placebo product, supporting
18 the idea that the manufacturing process is
19 able to activate the antigen-presenting
20 cells. But as was also mentioned, it's
21 important that there be a response to the
22 PAP, which is the tumor antigen, and so the

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1 last set of slides will show that the
2 sponsor - what the sponsor did to correlate
3 - or Dendreon did to correlate CD54
4 expression with antigen presentation.

5 And so this slide shows IL-2
6 production by a PAP-specific T-cell clone
7 that Dendreon generated. This T-cell clone
8 secretes IL-2 when it is able to recognize
9 antigen PAP that is processed and presented
10 on the cell surface. The data show that
11 CD54-positive cells are able to present
12 antigen, the PAP antigen on its cell
13 surface, that can be recognized by these T-
14 cell clones, while CD54-negative cells do
15 not present antigen that can be recognized
16 by these T-cell clones. So the ability of
17 CD54-positive cells to process and present
18 antigen is consistent with the idea that
19 they are the active antigen-presenting
20 cells.

21 So based on these data, Dendreon
22 has established the potency assay described

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1 that is designed to detect activated
2 antigen-presenting cells. Potency is
3 measured as a minimum number of CD54-
4 positive cells that must be present in the
5 product. CD54 is used as a marker of
6 antigen-presenting cells, and it's an
7 indirect indication, based on the data that
8 we've seen, that cells can process and
9 present antigen. Potency is also measured
10 by the up-regulation of CD54, which is a
11 ratio of the CD54 expression before and
12 after culture with PA2024, and up-regulation
13 of CD54 indicates, or is a direct measure of
14 cellular activation.

15 While the potency assay tells us
16 some valuable information about product
17 quality, there are limitations. One
18 limitation is that the impact of the
19 manufacturing process on cell types other
20 than the antigen-presenting cells, and the
21 role of those cells is unknown. This is a
22 concern since CD54 cells typically represent

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1 only about 20 percent of the final product,
2 and as we saw, can be even less than 5
3 percent of the total cell population. The
4 role and impact of manufacturing on B-cells,
5 T-cells and NK cells is also unknown.
6 Another limitation of the potency assay is
7 that the ability of sipuleucel-T to induce
8 an immune response against the patient's
9 prostate cancer is unknown, and we've heard
10 a little bit, and Dr. Liu will discuss a
11 little bit more the immune response data in
12 his clinical presentation.

13 So these points summarize what we
14 hope will form the foundation of a
15 meaningful discussion this afternoon.
16 First, the number of cells present in
17 sipuleucel-T is quite variable. Second, the
18 relative percentages of the different cell
19 types in sipuleucel-T is highly variable.
20 Third, sipuleucel-T contains activated
21 antigen-presenting cells that can process
22 and present tumor antigen, but the function

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1 of these cells when they are returned to the
2 patient is not fully understood. And
3 finally, the contribution of other cells to
4 product activity is not known. And so we're
5 asking the advice of the committee on the
6 potential impact of these observations on
7 the quality and consistency of sipuleucel-T.
8 And that concludes my remarks. Our next
9 speaker will be Dr. Ke Liu.

10 DR. LIU: Good morning. My name
11 is Ke Liu. I am the clinical reviewer for
12 this BLA. And I'm going to present FDA
13 clinical review and the findings efficacy
14 and safety as outlined here.

15 Before I start, I'd like to make
16 sure that all of us are on the same page in
17 terms of terminology for my presentation.
18 Study names Study 1 as sponsor referred to,
19 D9901, and Study 2 meaning D9902A. So you
20 see 1 is 1, 2 is 2. Study agents:
21 sipuleucel-T you go to APC8015, and placebo
22 meaning APC placebo, APC8015F meaning frozen

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1 and thawed peripheral blood mononuclear
2 cells as source material, and then prepared
3 similarly as sipuleucel-T.

4 Proposed indication for this BLA
5 is for the treatment of men with
6 asymptomatic metastatic androgen-independent
7 prostate cancer, or AIPC. The efficacy -
8 the basis for the efficacy claim is based on
9 overall survival difference observed in two
10 Phase III studies, D9901 and D9902A. In
11 D9901, a 4.5-month overall survival
12 difference was seen, and in D9902A, a 3.3-
13 month overall survival was seen, but not
14 statistically significant.

15 These two Phase III studies were
16 similarly designed, randomized, double-
17 blinded, placebo-controlled trials in men
18 with asymptomatic metastatic AIPC. The
19 primary endpoint for each study was time-to-
20 disease-progression. D9901 enrolled 127
21 subjects, 82 in sipuleucel-T arm, 45 in
22 placebo. D9902A planned 120 subject, but

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1 terminated early, as I will discuss later,
2 contained 65 subjects in sipuleucel-T arm,
3 33 in placebo. Study periods are shown
4 here. The key eligibility criteria,
5 treatment schema and treatment regimen has
6 been presented by the sponsor in detail. I
7 will not discuss this further here.

8 Now I turn to study design. The
9 primary endpoint for each study was time-to-
10 disease-progression as defined by time from
11 randomization to the first observation of
12 disease progression, and assessed by three
13 criteria. First, radiologic progression by
14 scans. Bone scans at the baseline, and
15 every eight weeks, CT or an MRI at baseline,
16 and only if the results were positive,
17 repeat every eight weeks. It should be
18 noted that, by this study design, the soft
19 tissue disease progression in bone-only
20 subject may have been missed because of a
21 lack of regular scans for soft tissue. The
22 second criterion for the disease progression

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1 was new onset of cancer-related pain
2 correlated with X-ray findings. The third
3 one was occurrence of the clinical events
4 such as pathologic fracture, cord or nerve
5 root compression, or other clinically
6 significant disease-specific events. The
7 second endpoint is shown on this slide. I
8 am not going to read them.

9 Statistical assumptions are as
10 follows. Based on sponsor's past Phase II
11 experience and review of literature, the
12 median time-to-progression was assumed for
13 placebo arm to be 16 weeks. For the
14 sipuleucel-T arm, predicted to be 31 weeks.
15 The trial was designed with 2 to 1
16 randomization of sipuleucel-T to placebo, 80
17 percent power and 5 percent of two-sided
18 alpha error.

19 Now I turn to efficacy results,
20 starting with D9901 first, followed by
21 D9902A. This slide shows D9901 patients'
22 demographic and baseline characteristics.

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1 There's no significant imbalance between two
2 arms for median age, ethnicity, or ECOG
3 performance status. However, about 90
4 percent of subjects are Caucasian men, with
5 10 percent of subjects being other ethnic
6 populations. Because of this under-
7 representation of other ethnic populations,
8 it is not known whether the study results
9 can be generalized to the general
10 population, because the biology and
11 prognosis of the prostate cancer in other
12 ethnic populations may be different from
13 those of Caucasian men.

14 This slide shows distribution of
15 disease status between the two arms in Study
16 D9901 subjects. There are some imbalances
17 noted in Gleason score, disease location,
18 and number of bone metastases per subject.
19 For example, sipuleucel-T arm had more
20 subjects who had lower Gleason score, and
21 more subjects with bone-only disease, and
22 has more subjects with more than 10 bone

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1 metastases per subject than placebo. On the
2 other hand, placebo arm had more subjects
3 who had higher a Gleason score, and more
4 subjects with disease lesions in both bone
5 and soft tissue. These imbalances could
6 have led to the biases to the study results.
7 However, sensitivity analysis indicated that
8 these imbalances did not have impact on
9 overall survival results.

10 Now the results for D9901.
11 Primary endpoint, time-to-disease-
12 progression, or TTP. One hundred twenty-
13 seven subjects randomized, 114 had disease
14 progression events. No deaths prior to
15 progression events. Progression was
16 documented by imaging in 97 subjects, by
17 clinical events in 10 subjects, and by new
18 onset of disease-related pain correlated
19 with imaging in seven subjects. Shown here
20 is the Kaplan-Meier curves for primary
21 endpoint TTP. Top curve sipuleucel-T,
22 bottom curve APC placebo. Although the

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1 curve appears to be separating around Week
2 10, there was no overall statistical
3 significance between the two curves. The p-
4 value was 0.085. Median TTP in sipuleucel-T
5 arm was 11.1 week, placebo, 9.1 week. As
6 you recall, the sponsor presented p-value of
7 0.052. That was a change from 0.085 after
8 initial analysis. This change from 0.085 to
9 0.052 was based upon unblended audit of
10 clinical data, and revisions in the
11 progression dates, primarily driven by the
12 change of progression dates, or censoring
13 from two subjects in a study with a small
14 sample size.

15 In addition, difficulties in the
16 interpretation of TTP results are shown in
17 these slides. First, overestimation of
18 time-to-progression. The sipuleucel-T arm
19 presumed TTP was 31 weeks. Actually
20 observed was only 11.1. That's about one-
21 third of the prediction, illustrating the
22 overestimation of the TTP in sipuleucel-T

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1 based on non-randomized Phase II study.
2 Second, median progression occurred before
3 the scheduled second assessment for
4 progression around Week 16. Third, lack of
5 soft tissue scans in some bone-only subjects
6 could have missed the detection of the soft
7 tissue progression in the subject according
8 to the study design. Lastly, some
9 progression dates in some subjects were not
10 interpretable because of the protocol
11 violations. Thus, FDA considers the p-value
12 of 0.05 by log rank test to be the primary
13 results from the primary analysis specified
14 in the protocol, and the p-value of 0.052 to
15 be derived from an exploratory analysis. To
16 conclude on TTP, D9901 failed to show a
17 sipuleucel-T treatment effects on the
18 primary endpoint in delaying time-to-
19 progression. There was no difference
20 observed between the two arms for any of the
21 following second endpoints as listed here.

22 Now, D9901 overall survival

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1 results. Shown here are the Kaplan-Meier
2 survival curves for D9901 subjects. Top one
3 is sipuleucel-T, bottom one is placebo.
4 There was a separation of the curve
5 occurring around Month 10, and this
6 separation remains throughout the study
7 period. There was an overall statistical
8 significance between these two curves, p-
9 value equal to 0.10. Median survival time
10 for sipuleucel-T arm was 25.9 months, for
11 placebo 21.4 months, 4.5-month difference.
12 Looking at survival rate, at Month 36 where
13 the data was cut off, 34 percent of
14 sipuleucel-T subjects were still alive, and
15 11 percent of placebo subjects were still
16 alive, 23 percent difference, also reached
17 statistical significance. Dr. Bo-Guang Zhen
18 will discuss to you about how to interpret
19 those p-values in his presentation.

20 There are several factors that
21 might have potentially compounded overall
22 survival results observed in D9901. First

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1 was a crossover. This crossover could have
2 actually negated the overall survival
3 results observed in D9901. The other one is
4 chemotherapy use. The higher percentage and
5 earlier, longer, or higher dosage of
6 chemotherapy in sipuleucel-T subjects could
7 have led to increased overall survival
8 difference observed in D9901. Now looking
9 at crossover, 75.6 percent of placebo
10 subjects was crossover to receive this
11 APC8015F, a different product other than the
12 sipuleucel-T. Looking at chemotherapy use,
13 shown here is a percentage of the subjects
14 who received chemotherapy after disease
15 progression. Actually, the higher
16 percentage of placebo subjects received
17 chemotherapy, either taxane or any
18 chemotherapy. Analysis of the time from
19 randomization to first chemotherapy use also
20 performed, which did not suggest an early
21 initiation of chemotherapy in sipuleucel-T
22 subjects. However, the dose and cycles of

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1 chemotherapy were not collected during study
2 period. Thus, although unlikely, the
3 potential chemotherapy confounding effects
4 on overall survival cannot be ruled out.

5 To summarize for D9901 efficacy
6 results, 127 subjects randomized 2 to 1, to
7 sipuleucel-T, to placebo, a small sample
8 size. No difference was observed between
9 two arms in the pre-specified endpoint.
10 Overall survival analysis, however, revealed
11 a 4.5 months difference in the median
12 survival in sipuleucel-T arm.

13 As Dr. Provost and Dr. Wonnacott
14 described earlier, CD54 up-regulation was
15 used in the potency measurement. Shown here
16 is the correlation of the CD54 up-regulation
17 and survival in Study D9901 subjects using
18 the mean. The top curve is the curve for
19 sipuleucel-T subjects whose CD54 up-
20 regulation above the mean, the middle curve
21 is the subjects, sipuleucel-T subjects with
22 CD54 up-regulation below the mean, and the

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1 third curve is placebo subject. It appears
2 that a higher CD54 up-regulation had better
3 survival. However, the results are
4 difficult to interpret because of the
5 following. It's not known whether this up-
6 regulation of CD54 results represents
7 intrinsic property of the individual
8 patients. Meaning, if patients are going to
9 do better would have a higher CD54 up-
10 regulation, or it's due to the intrinsic
11 property of the individual products after
12 manufacturing process. Should be noted that
13 the placebo cells did not undergo the
14 similar manufacturing process as sipuleucel-
15 T, or this up-regulation is due to other
16 factors.

17 Another analysis, as Dr.
18 Wonnacott alluded to earlier, was the T-cell
19 stimulation immune response monitoring.
20 Shown here are the T-cell stimulation assay
21 in a limited number of sipuleucel-T and
22 placebo subjects analyzed at Week 8 and Week

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1 16, normalized to Week Zero, using antigens
2 of PA2024 or human seminal PAP. End results
3 are compared between the two arms. It
4 appears that the sipuleucel-T subjects had a
5 higher T-cell stimulation index. Again, the
6 results are difficult to interpret because
7 the proliferation assay used was not the
8 direct measure for T-cell response, and
9 assays performed were only in a small subset
10 of patients. More difficult to interpret,
11 as we had a little bit of discussion, was
12 the fact there's no immune response were
13 found to the human PAP.

14 Now I turn to D9902A efficacy
15 results. A little history about D9902. It
16 was similarly designed as D9901, planned to
17 enroll 120 subjects, and primary endpoint
18 was time-to-disease-progression. It was
19 terminated early because of D9901 overall
20 negative efficacy results. At the time of
21 termination, 98 subjects already enrolled.
22 The study was renamed the D9902A. Because

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1 of this early termination, this study
2 contained insufficient sample size, not
3 powered to see a difference in TTP or
4 overall survival.

5 This slide shows D9902A subject
6 patient demographic and baseline
7 characteristics. There's no significant
8 imbalances between median age - between two
9 arms for median age, ethnicity, or ECOG
10 performance status. However, again noted is
11 90 percent of the study subjects being
12 Caucasian men with under-representation of
13 other ethnic populations. This slide shows
14 the distribution of disease status in D9902A
15 subjects between the two arms. The same
16 patterns of imbalances were noted here in
17 Gleason score, disease location, and number
18 of bony metastases per subject as noted in
19 the Study D9901.

20 Now the results for D9902A.
21 Primary endpoint time-to-disease-
22 progression. Shown here are two curves of

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1 sipuleucel-T and placebo Kaplan-Meier curves
2 basically overlaps each other. No
3 statistical significance. P-value is 0.719.
4 The median time-to-progression was 10.9
5 weeks in sipuleucel-T arm, and 9.9 weeks in
6 placebo arm, which was consistent with
7 what's seen in Study D9901. Survival for
8 D9902A. Shown here is the Kaplan-Meier
9 survival curves. Top curve is sipuleucel-T,
10 bottom curve is placebo. There was no
11 overall statistical significance between
12 these two curves. P-value equal to 0.331.
13 Median survival time for sipuleucel-T, 19
14 months, and placebo, 15.7 months, 3.3 months
15 difference. It should be noted that the
16 survival time in this study was shorter than
17 the counterparts in the D9901, which
18 suggests that the patient populations in
19 these two studies may not be exactly the
20 same. To summarize for D9902A efficacy
21 results, 98 subjects randomized 2 to 1 to
22 sipuleucel-T to placebo. Similar trial

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1 design and execution as D9901. Stopped
2 early, insufficient sample size to detect a
3 difference in TTP or overall survival.

4 Now I turn to safety evaluation.
5 The mean analysis were derived from D9901
6 and D9902A database, which included 146
7 subjects who received sipuleucel-T, and 76
8 subjects who received placebo. In addition,
9 the sponsor submitted an updated information
10 on cerebral vascular accident events, or CVA
11 events, included CVA events from other Phase
12 III trials, D9902B and P-11. The complete
13 safety database update was suddenly last
14 week to include a total of 461 subjects in
15 sipuleucel-T, and 231 subjects who received
16 a placebo. Looking at infusion exposure,
17 vast majority of subjects received scheduled
18 three infusions, about 90 percent in each
19 arm. This slide shows death events in these
20 two studies. Most subjects died from
21 disease progression, and it appeared that
22 fewer sipuleucel-T subjects died from

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1 prostate cancer, 65 percent versus 78
2 percent. No deaths were reported within 30
3 days after last infusion. Noted here was
4 the deaths related to CVA increase in the
5 sipuleucel-T arm, 4.6 percent versus 1.5
6 percent.

7 This slide shows serious adverse
8 events other than death in these two
9 studies. Noted again was the increased CVA
10 events among other events in sipuleucel-T
11 arm was 2.0 compared to none in placebo.
12 This slide shows common adverse events that
13 occurred in more than 10 percent sipuleucel-
14 T subjects in these two studies. Adverse
15 events listed here occurred more often in
16 sipuleucel-T arms compared to placebo,
17 including chills, pyrexia, headache, and
18 others as listed in this table.

19 Now, I'll turn to the CVA events.
20 As you saw previously, it appears that more
21 CVA events were observed in sipuleucel-T
22 subjects than in the placebo. The sponsor

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1 subsequently updated CVA safety information,
2 which included D9902B, 198 subjects in
3 sipuleucel-T, and 96 subjects in placebo.
4 D9902B is another Phase III study with
5 similar patient population as D9901 and
6 D9902A. Ongoing, study is still blinded.
7 Also updated information for CVA included
8 116 subjects of sipuleucel-T, and 59
9 placebo. In another Phase III study, P-11,
10 which closed to enrollment with a different
11 patient population which was androgen-
12 dependent prostate cancer, gave rise to a
13 total of subject number for the CVA summary
14 of 461 for sipuleucel-T, and 231 for
15 placebo.

16 For all subjects from these four
17 randomized trials, the rate of CVA was 3.9
18 percent in sipuleucel-T compared to 0.6
19 percent in placebo, odds ratio 1.52. The
20 deaths attributed to CVA was 1.5 percent in
21 sipuleucel-T compared to 0.9 percent, odds
22 ratio of 1.76. In the proposed indication

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1 for intended population, androgen-
2 independent prostate cancer, the CVA rate
3 was 4.9 percent in sipuleucel-T compared to
4 1.7 percent in placebo. The deaths
5 attributed to CVA in sipuleucel-T arm was
6 2.0 percent compared to 1.2 percent, the
7 odds ratio 1.76. In P-11, the different
8 patient population, ADPC, the CVA rate
9 increase went to the other direction, higher
10 in the placebo arm. Percentage was 5.1
11 percent compared to 0.9 percent in
12 sipuleucel-T. And no deaths were
13 attributable to CVA in P-11. So overall in
14 these four Phase III trials, a higher
15 percentage of CVA event was observed in
16 subjects who received sipuleucel-T, 1.3
17 percent more than the placebo.

18 To conclude on safety, almost all
19 sipuleucel-T subjects developed adverse
20 events, not different from placebo. Most
21 AEs were Grade I or II, and resolved within
22 48 hours. Twenty-four percent sipuleucel-T

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1 subjects developed serious adverse events
2 not different from 23 percent of placebo-
3 treated subjects. Although the difference
4 did not reach statistical significance, the
5 increased CVA events observed in sipuleucel-
6 T subjects is a potential safety signal.

7 To conclude on efficacy, neither
8 studies of D9901 and D9902A met pre-
9 specified efficacy endpoint. However,
10 survival analysis revealed a 4.5-month
11 overall survival difference, statistically
12 significant in D9901, and a 3.3-month
13 overall survival difference in D9902A, which
14 was not statistically significant. This
15 slide shows the advantage of using overall
16 survival in cancer clinical trials as
17 contained in the FDA draft guidance document
18 entitled Clinical Trial Endpoints for the
19 Approval of Cancer Drugs in Biologics.
20 Overall survival is the most reliable cancer
21 endpoint, usually the preferred endpoint,
22 and studies can be conducted to adequately

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1 assess it. An improvement in survival is a
2 clinical benefit. The endpoint is precise
3 and easy to measure, document by the date of
4 death. Bias is not a factor in endpoint
5 measurement. Demonstration of a statistical
6 significant improvement in overall survival
7 has supported new drug approvals.

8 Now, let's look at overall
9 survival difference in D9901. This 4.5-
10 month median survival difference is
11 clinically meaningful, but it has the
12 following limitations, as Dr. Bo-Guang Zhen
13 will discuss in detail in his presentation.
14 First, post hoc analysis. All survival
15 analysis were done post hoc, because
16 survival was not the pre-specified endpoint,
17 the primary method for survival analysis,
18 and its comparison was not pre-specified.
19 Second, it's one study with a small sample
20 size, so the difference could be due to
21 chance alone. Therefore, uncertainties
22 exist regarding the persuasiveness of the

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1 survival results in the support of
2 sipuleucel-T BLA efficacy claim, and that's
3 the reason why we're all here to discuss
4 these issues today, and FDA would like to
5 seek advice from the advisory committee.
6 Now I turn the podium to Dr. Bo-Guang Zhen,
7 who is going to discuss the overall survival
8 difference from statistical perspective.

9 DR. MULÉ: Thanks, Dr. Liu.

10 DR. ZHEN: Good morning. My
11 name's Bo Zhen. I'm a statistical reviewer
12 for FDA. I'm going to present statistical
13 review and findings. First, I will give a
14 quick review on efficacy results, and then
15 bring up the issues in survival analysis,
16 and the limitations of using post hoc
17 analysis results. Then I will describe the
18 challenges we are facing for this BLA from
19 statistical standpoint.

20 Here is the quick review. Data
21 from two Phase III studies were submitted to
22 support license application. I call them

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1 Study 1 and Study 2. Both studies failed to
2 meet the primary endpoint, and also failed
3 to demonstrate statistical significance for
4 other pre-specified endpoints. The key
5 efficacy evidence was based on the
6 difference in overall survival between the
7 two arms. So the focus of this talk will be
8 on survival.

9 Here is the review for survival
10 analysis. The sample size is relatively
11 small for Study 1 and Study 2. And the
12 differences in median survival between the
13 two arms is 4.5 months for Study 1, and 3.3
14 months for Study 2. However, there are
15 higher levels of variation. As you can see
16 there, the confidence interval for median
17 survival between the two arms, they are
18 overlapped. And the lower bounds of the
19 confidence interval for hazard ratio is
20 1.13, which is quite close to 1. One means
21 there's no difference between the two
22 groups. And also the survival experience

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1 between the two studies are quite different.
2 The placebo patients, the median survival in
3 Study 1 is 21.4 months, compared to the
4 treated patients, the median survival in
5 treated patients in Study 2. This
6 difference could be due to the difference in
7 baseline characteristics between the two
8 studies, and also could be due to the
9 variation, because the sample size is
10 relatively smaller for both studies.

11 This slide shows some of the
12 sensitivity analysis for Study 1. P equals
13 0.01 from log rank test. And this p-value
14 reduced to 0.002 using the Cox regression
15 model after adjusting for a set of
16 covariates. However, there are so many ways
17 to use Cox regression model. You can select
18 different sets of covariates. You can also
19 pick different scale for a covariate. For
20 example, in the way you use the original
21 scale and use the log scale for PSA and the
22 power points for bone metastases. As you

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1 can see there, different models. Using
2 different models can come up with different
3 hazard ratios and p-values. This one you
4 get a p-value, it's 0.002, which could be in
5 one of the best case scenario. And this
6 one, you've got p-value of 0.078, which is
7 not statistically significant. That could
8 be in one of the worst case scenario. And
9 this one is 0.048. The other critical
10 issues in using Cox model is excluding
11 patients from the model because of missing
12 covariate data. For this model, 10 patients
13 were excluded. And the next slide will show
14 you how bias can be introduced by excluding
15 patients from the model.

16 This slide shows that sipuleucel-
17 T treated patients who were excluded from
18 the model had a median survival of 19.4
19 compared to the rest of the treated patients
20 in the model. And in contrast, placebo-
21 treated patients excluded from the model had
22 median survival is 22.1 months compared to

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1 the rest of the placebo-treated patients.
2 This is how bias could make the p-value look
3 smaller, and also make the treatment effect
4 looks much better than what it should be.

5 Here is the summary for Study 1.
6 Exclusion of patients due to missing
7 covariate data could lead to biased
8 estimate. This bias could be in either
9 direction, which means you could increase
10 the treatment effect, or decrease the method
11 of the treatment effect. Although p-values
12 for treatment effect were greater than 0.05
13 in a few sensitivity analyses, the majority
14 of the sensitivity analyses result in a p-
15 value of less than 0.05. So the sensitivity
16 analyses supported the statistically
17 significant findings for overall survival
18 for Study 1. However, I used quotation
19 marks here. Means the so-called statistical
20 significance have the p-value less than 0.05
21 without adjustment for multiple comparisons.
22 I will have more discussions for these

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1 later.

2 And for Study 2, p equals 0.331
3 based on log rank test. Also excluding
4 patients in Cox model could also lead to
5 biased estimate. Hypothesis test for
6 treatment effect in Cox model resulted in a
7 p-value range from 0.023 to 0.642. However,
8 in most analyses, p is greater than 0.05, so
9 the sensitivity analysis did not support the
10 statistically significant findings for Study
11 2. I also used quotation marks here. This
12 graph summarizes the efficacy survival
13 results. Some of you would like to look at
14 the scale on the log scale. But I used the
15 informatic scale just in order to be
16 consistent with the other presentations.

17 So the sensitivity analysis
18 support the statistically significant
19 findings for Study 1, but not for Study 2.
20 So it seems the difference in Study 1 is
21 real. However, is this difference
22 statistically significant? In other words,

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1 is this difference due to the treatment
2 effect, or by chance alone. There are some
3 issues here for these kinds of analysis.
4 Here's the issues in survival analysis.
5 Overall survival as an endpoint was not
6 defined in either study protocol. A
7 statistical analysis method for the primary
8 comparisons in overall survival was not pre-
9 specified. Because of these two reasons, so
10 the alpha level, which means the probability
11 of making a false positive claim for
12 treatment effect was not allocated to the
13 primary test for overall survival. We call
14 this as post hoc analysis. And the post hoc
15 analysis make it difficult to interpret the
16 hypothesis test result.

17 To know the limitations of post
18 hoc analysis, first of all we should know
19 what is a well pre-specified analysis. For
20 this type of analysis it is very essential
21 to, number one, define endpoint clearly,
22 describe statistical analysis methods, and,

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1 if it's more than one method, state which
2 one would be used for primary comparison,
3 and set the alpha level, which in general is
4 0.05 level. These are also called
5 statistical significance level sometimes.
6 And allocate the alpha level to each test if
7 multiplicity adjustment is needed. Then one
8 is able to say the difference is
9 statistically significant or not based on
10 the p-value from the primary comparisons.
11 Otherwise, it is difficult to interpret the
12 p-values.

13 And this slide has nothing to do
14 with the submission, but it's very important
15 for statistical concepts. I use
16 hypothetical cases just to show the
17 interpretation of p-value in studies with
18 pre-specified analysis. Just hopefully,
19 through these hypothetical cases, you
20 understand how difficult to interpret the p-
21 value from post hoc analysis. Three
22 different designs are presented here. Trial

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1 1, there's only one primary endpoint here,
2 but three primary comparisons, two for
3 interim, and one for final. In order to
4 control the alpha level, that's the
5 probability of making a false positive claim
6 for treatment effect. At the 0.05 level, we
7 need to split this level into several parts.
8 This is one of the ways to split the level.
9 If this is the p-value you obtained from the
10 hypothesis test, they are now statistically
11 significant, although you can see this one
12 is 0.01, because it is greater than the
13 corresponding values. And Trial B and C
14 have two primary endpoints, one primary
15 comparisons for each endpoint, and this is
16 the way how they split the alpha level. If
17 this is the p-value you get from the
18 hypothesis test, this trial is also not
19 statistically significant. So therefore, if
20 you want to control the probability of
21 making a false positive claim for treatment
22 effect under this level, 0.05 level. So all

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1 these trials should be considered failure.

2 So from the previous slide we
3 show that obtaining a p-value of 0.01 or
4 less than 0.05 may not always be considered
5 statistically significant in the well pre-
6 specified analysis. When a study fails to
7 meet its primary endpoints, there's no alpha
8 left for other endpoints analysis. So
9 literally, means from pure statistical point
10 of view, the difference in other endpoints
11 should not be considered statistically
12 significant. Therefore, it is very
13 difficult to interpret the hypothesis test
14 result for overall survival in Study 1.

15 Because in post hoc analysis, one
16 could keep conducting hypothesis tests for
17 treatment effect on different endpoints and
18 - or on the same endpoint using different
19 analyses methods. Just as I show you the
20 Cox regression model for Study 1, different
21 methods, you would come up with different p-
22 values and hazard ratio. Then one - it's

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1 very easy to obtain a so-called
2 statistically significant result, even when
3 there's no treatment effect. So if overall
4 survival is one of the many unspecified
5 endpoints, under testing it is very possible
6 that a p-value of 0.01 was observed just by
7 chance. However, survival is not one of the
8 many, many endpoints that can be randomly
9 selected for testing. Survival is a
10 preferred endpoint for cancer trial. As
11 Dendreon and Dr. Liu just mentioned, this
12 endpoint is reliable, clinically meaningful.
13 This is why we are here seeking advice from
14 the advisory committee meeting.

15 But here's the changes in
16 survival analysis. Since the analysis was
17 based on post hoc analysis. So it's
18 difficult to interpret the p-value. Here's
19 0.01 for Study 1. Even someone can make a
20 judgment, this 0.01 is statistically
21 significant. But that statistical
22 significance only demonstrate in Study 1,

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1 though there's a trend for Study 2. And the
2 lower bound of 95 percent confidence
3 interval for hazard ratio is 1.13, quite
4 close to 1, so these results also may not be
5 that robust. That's the end of my talk.
6 Thank you.

7 DR. MULÉ: Thanks, Dr. Zhen.
8 Okay, we'll open the floor up for questions
9 from the committee. And again, I just want
10 you to be cognizant that the questions may
11 come up this afternoon again. So why don't
12 we proceed and see what we have.

13 DR. HUSSAIN: This is a question
14 not so much on the presentations, but to the
15 FDA based on the documents you provided us.
16 When I looked at the timelines and the
17 discussions and the summaries of these
18 discussions and agreements between the FDA
19 and the sponsor, one is left with the
20 impression that the FDA did agree to a
21 progression - sort of time-to-progression
22 endpoint for a possible registration trial.

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1 Is that accurate?

2 And if that's the case, in
3 another committee that I'm part of, ODAC, it
4 was clearly made by several FDA
5 representatives that in the - the
6 progression-free survival will be only
7 accepted in lieu of survival if somehow it
8 was proven in that disease entity as being
9 predictive. And there are some members
10 sitting in the back; they can confirm if I'm
11 misquoting. And that it's my understanding
12 since in prostate cancer progression-free
13 survival or time-to-progression have never
14 been proven to be predictive of survival,
15 that generally this would not be accepted
16 for the purpose of registration. Can you
17 clarify that for us, please?

18 DR. WITTEN: I can't comment on
19 what we would or wouldn't accept in general,
20 and I do want to point out a couple of
21 things, and one is some of these trials are
22 developed as the discussions take place, and

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1 then there are subsequent, you know,
2 scientific information and discussions that,
3 you know, that might inform the development.
4 But if we have an ongoing trial, we, you
5 know, we may have developed that trial prior
6 to those discussions. We do participate in
7 the endpoint development program with ODAC.
8 We have representatives there, and so we're
9 - you know, we do keep in mind what those,
10 you know, what those discussions are.

11 DR. HUSSAIN: Yes, I can't help
12 but feel that there is an inconsistency in
13 the FDA position on what would be or would
14 not be accepted for a registration purpose.
15 So here we heard that survival is an
16 endpoint that is accepted. That's not an
17 issue. That's not a problem. In my two
18 years on ODAC, I am left with the impression
19 that, in a disease where there's never been
20 surrogacy demonstrated, a progression-free
21 survival will not be accepted, or time-to-
22 progression is not accepted. So my question

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1 goes back to 1999 and thereafter, the
2 conversations. Why would, say, the CBER I
3 guess accept it, but not CDER accepts it.
4 That's my request for clarification.

5 DR. WITTEN: Well, maybe I didn't
6 explain it clearly, but we do collaborate
7 with the Center for Drugs in these
8 discussions about endpoints. But when there
9 are studies, they may be developed prior to
10 discussions, and so you have to look at the
11 study development based on where the science
12 is, where the field is, and, you know, the
13 FDA also, when they design trials, they have
14 to do it based on what the information is at
15 that time. So there may be subsequent
16 discussions that would affect studies, you
17 know, future studies in that area, but you
18 don't go back, you know, I don't think
19 anywhere in FDA that you then go back in
20 general and look at all the studies you have
21 ongoing and ask sponsors to redesign those
22 trials. So I think that's, you know, that's

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1 true here. That's true in other
2 indications. That's true elsewhere. And,
3 you know, I think in this case, you know,
4 what we really are focusing on now is, is
5 survival, which I think is not disputable as
6 something that, you know, should be looked
7 at in one of these trials, or would be
8 desirable to look at in one of these trials.

9 DR. MULÉ: Howard?

10 DR. SCHER: So I guess there's no
11 argument that overall survival is a
12 definitive endpoint, and that's what we're
13 all seeking to achieve with our treatments.
14 And the question I guess we're being faced
15 with is, how do we estimate what the
16 probability of this being an incorrect or
17 false positive conclusion is. And I was
18 wondering if the statisticians might comment
19 on that to some degree.

20 DR. ZHEN: Well, my comment is I
21 don't have any way to estimate the
22 probability of making false positive claim

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1 for the treatment effect, which means the
2 Type 1 error rate. We don't know with this
3 study. I don't see any methods to estimate.
4 There's the use of the alpha level for the
5 primary endpoint. That's it.

6 DR. MULÉ: Kurt?

7 DR. GUNTER: Thank you very much.
8 I'm not a biostatistician, but I understand
9 that survival, overall survival is a gold
10 standard endpoint. I wonder if the - you
11 could comment on the use of the log rank
12 test. I see that used a lot in survival
13 analysis. Is that a standard way - would
14 that be considered a gold standard test for
15 estimating survival?

16 DR. ZHEN: I'm not sure I can
17 think log rank test is a gold standard way
18 for survival. I can see many studies that
19 use log rank test. But also there are some
20 studies also use Cox regression models too,
21 and there's also pros and cons between these
22 two methods. But for these type of data

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1 sets I would prefer - for the post hoc
2 analysis, I would prefer to look at the
3 values from log rank test, because if you
4 use models, you could end up with excluding
5 some of the patients due to the missing
6 information for covariate data sets. That
7 could introduce a lot of bias there.

8 DR. MULÉ: Maha?

9 DR. HUSSAIN: This is a question
10 perhaps for Dr. Chappell and Dr. Zhen, but
11 Dr. Zhen first. If - so the sponsor
12 presented how changes in a couple of
13 patients brought the p-value down to 0.052,
14 and I understand the FDA position about not
15 accepting that. And supposing there was a
16 third patient, and that p-value came down
17 smack into 0.045. Does that mean if a
18 survival - in that setting, if the survival
19 was not a primary or secondary endpoint, and
20 their primary endpoint hit the p-value that
21 was unequivocally positive, would we still
22 be here? Do you understand what I'm trying

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1 to say here?

2 DR. WITTEN: Can I answer that?

3 DR. HUSSAIN: Please.

4 DR. WITTEN: Because I'm not sure
5 it's a statistical question versus, you
6 know, just a general FDA question. And I'll
7 just say it's a little bit hard to answer
8 hypothetical questions like that. You know,
9 we're given the application based on
10 survival. We think there's no question that
11 this application shows that the study failed
12 in terms of time-to-progression. And so
13 what we would do if the study had shown
14 something else, I don't think we really can
15 answer that. I think we, you know, we
16 really want to focus on what did the study
17 results as demonstrated in this study mean.

18 DR. HUSSAIN: I still think it's
19 statistical, but I'm going to accept your
20 answer. Because you went through the whole
21 trouble of explaining why is it if your p-
22 value was not significant for your primary

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1 endpoint, why the rest of it doesn't flow,
2 but I will accept that.

3 I guess my question is this.
4 It's my understanding from colleagues within
5 the Southwest Oncology Group, biostatistical
6 colleagues, that in - there had been at
7 least literature or exercises in terms of
8 simulations driven by different sample sizes
9 and estimates of error rates based on the
10 sample size. Can anyone from the
11 biostatistical group here comment about that
12 by any chance? Because it goes to the heart
13 of the sample size in this case. That a
14 trial with a lower sample size, you have a
15 higher chance of potential error as opposed
16 to a 700-patient trial.

17 DR. ZHEN: I can just have like a
18 general comments. That's true, if you have
19 a very small sample size, the variation is
20 large, and there's always raise the issues
21 that when you see something different, it's
22 difference due to treatment effect or due to

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1 just by chance alone. There's always issues
2 there, unless you have like a large sample
3 size to stabilize everything. That's one
4 issue is sample size, small sample size.
5 But the other things also important is the
6 alpha level. When you use up all the alpha
7 level, and then there's no alpha level left,
8 you apparently just compare to zero. So it
9 becomes difficult to interpret that kind of
10 results, too.

11 DR. CHAPPELL: I agree with Dr.
12 Zhen, and would rephrase that there's
13 various issues. One, bias has been
14 mentioned, but if one avoids dropping
15 missing data and the randomization will
16 eliminate the bias, so I'm not so worried
17 about that. Another is the test used, but
18 log rank, if not the gold standard, is the
19 most common. And the third, as Dr. Zhen
20 eloquently put it, is the division of the
21 alpha, which an informal way of describing
22 that is worrying about fishing, a fishing

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1 expedition after the analysis has been done.
2 We're not so worried about what will be done
3 if you specify the protocol, but picking
4 what has been done afterwards, and
5 statisticians have no way of adjusting for
6 all the multiple possibilities of what might
7 have happened.

8 DR. MULÉ: Doris?

9 DR. TAYLOR: I'm trying to -
10 excuse me. Trying to speak. I'm trying to
11 understand what the likelihood is of
12 underestimating or incorrectly estimating
13 the relationship between active treatment
14 and cerebral vascular accidents. And then
15 you didn't mention anything about the
16 temporal relationship trend between active
17 treatment and those accidents. Is there
18 anything that we can understand from those
19 data that is statistically meaningful?

20 DR. LIU: You were asking about
21 the onset of CVAs after the product
22 administration in each of the two arms.

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1 Actually, I think the sponsor may have the
2 better answer for that. They did - yes.

3 DR. TAYLOR: I guess the
4 statistical part of my question is, the data
5 we saw earlier this morning, we were told
6 there was no good evidence for a statistical
7 relationship between an increased risk for
8 cerebral vascular accidents and the active
9 treatment. And I guess I'm asking for your
10 interpretation of that. Do you concur with
11 that assessment?

12 DR. BRAUN: I'd just like to
13 address - my name's Miles Braun with the
14 Division of Epidemiology at CBER. And one
15 needs to realize that, as we were
16 discussing, there is one primary outcome
17 that was specified in the study, and Dr.
18 Zhen spoke very well about the statistical
19 aspects of that. Once one enters into the
20 multiplicity of adverse events which are
21 almost infinite that can occur, the concept
22 of asking to assess the statistics I think

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1 is very challenging, and a lot of the
2 certainty that's associated with specifying
3 primary endpoints falls away. And so to
4 some extent, I think one is left with a
5 clinical kind of assessment, and a lot of
6 judgment needs to be used. And I think
7 time-to-onset is certainly one that we use
8 in biological plausibility, but I think it
9 becomes, except in exceptional
10 circumstances, not necessarily a statistical
11 issue. Thank you.

12 DR. MULÉ: Bill?

13 DR. TOMFORD: Thank you. I've
14 heard it said twice that if a difference was
15 noted at 10 or 11 months, that we wouldn't
16 be here. So I'll turn that around and ask,
17 at 36 months, was this trial continued at
18 the request of the FDA? How does the FDA
19 deal with a situation where when the trial
20 is continued on a difference or possible
21 difference is noted at 36 months, is that
22 built into, obviously not a predetermined

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1 point, but built into all trials? Or how
2 did that happen?

3 DR. WITTEN: I'm not sure I
4 understand your question, but can I answer -
5 rephrase it and answer it? So, the trial
6 was designed as to follow the subjects for
7 36 months or until death. And I think that,
8 you know, the majority of the patients had,
9 except for 30 percent, as you say, in the
10 treatment arm and 10 percent in the control
11 arm had reached the mortality endpoint at
12 that time. There was some additional
13 information that I think was provided the
14 sponsor, but not on a formally planned way
15 on later death events. So the 36-months
16 follow-up for mortality, I think, is what we
17 can you know rely on in terms of having
18 information that's comparative between the
19 two arms. Does that answer your question?

20 DR. TOMFORD: Yes, thank you.

21 DR. WITTEN: Okay.

22 DR. MULÉ: Franco?

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1 DR. MARINCOLA: Maybe it's a
2 naive question, but I'm somewhat bothered by
3 the - some of the p-values that have been
4 presented. The first study showed a
5 significance of 0.01. The second study was
6 not significant, although there was a trend
7 to improve survival, but the rationalization
8 is because it was under-powered. But then
9 when you put the two studies together you
10 would expect in that case, and naive it may
11 be since I'm not a statistician, that the p-
12 value would get better, but in fact it's
13 worse, 0.011 using the same method. Can
14 somebody explain to me what the implication
15 is that and the reason for it? Why wouldn't
16 it get better if it was just a matter of
17 numbers?

18 DR. ZHEN: One explanation is,
19 when you look at the median survival, the
20 survival experience is quite different
21 between the two studies. Okay, you can see
22 the placebo, the median survival for the

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1 placebo is 31. It's better than the treated
2 patients in Study 2. That's one reason when
3 you combine together they did not add
4 anything. And the 0.01 and 0.011 I would
5 think pretty much the same.

6 DR. MARINCOLA: So what's the
7 implication for interpretation of the
8 overall experience? What is the
9 interpretation?

10 DR. ZHEN: Well, there's two ways
11 to explain that. One would be just a
12 baseline characteristic difference. There
13 are some baseline characteristic difference
14 or some unknown prognostic factors, they are
15 different, if there is a treatment effect
16 there. The other explanation is because
17 sample size relatively small. That could be
18 due to the variations, which is also make us
19 think - whether that difference is because
20 the variations or is the treatment effect.

21 DR. MULÉ: Matthew?

22 DR. CHAPPELL: Sample sizes of

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1 that size, that small magnitude I would say
2 it's less surprising than expected.

3 DR. ALLEN: I have a question
4 that's about statistical design. This is
5 purely for informational purposes for myself
6 and educational purposes, but if one was to
7 design a study now so I understand that when
8 one designs a study and looks at power of
9 the study, the variables there are important
10 things. Basically the natural progression
11 of this disease, the fact that it's fairly
12 variable. In 1998-1999 the assumption was
13 made the disease would have a median
14 survival of X, and now it's actually Y in
15 this study group. If one was now going to
16 ask a potential sponsor of a new agent to
17 design a study that would demonstrate as a
18 primary endpoint survival, how many patients
19 would need to be treated in order to
20 demonstrate statistical significance to the
21 happiness and satisfaction of the FDA, and
22 how long would it take to enroll such a

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1 study?

2 DR. ZHEN: Well, this also
3 depends on what is the delta. What is the
4 treatment effect you believe, okay? If you
5 believe the -

6 DR. ALLEN: Let me just - let me
7 put it this way. What about demonstrating
8 that something, any new agent is better than
9 docetaxel?

10 DR. ZHEN: Okay.

11 DR. ALLEN: 2.4 months.
12 Something that's better than 2.4 months to
13 give patients who need this therapy some
14 improvement in length of life.

15 (Applause)

16 DR. ZHEN: And if you say 2.4
17 months -- I don't think I have a calculator
18 here, but it could require like at least
19 more than 500 patients is my rough estimate.

20 DR. ALLEN: I guess that was my
21 concept. Okay, thank you.

22 DR. DRANOFF: I may have missed

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1 this, but the Phase III study that's ongoing
2 now, what are the primary endpoints and the
3 statistical analysis for that?

4 DR. LIU: You are asking FDA or
5 sponsor?

6 DR. DRANOFF: Either one. It
7 just seems appropriate at this time to know.

8 DR. WITTEN: I think we would
9 defer to the sponsor to provide any
10 information on that study that the advisory
11 committee was interested in.

12 DR. MULÉ: We're speaking about
13 the 9902B, is that correct?

14 DR. FROHLICH: The primary
15 endpoint of Study 3 is overall survival.
16 Secondary endpoint is time-to-disease-
17 progression. It has 80 percent power to
18 detect a hazard ratio of 1.45.

19 DR. DRANOFF: How large is the
20 trial?

21 MS. DAPOLITO: Please use your
22 microphone.

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1 DR. FROHLICH: It's an event-
2 driven analysis for 360 death events. We
3 anticipate roughly 500 patients to achieve
4 that. The primary method of analysis was -
5 is presently a Cox regression model.

6 DR. SCHER: Just a question to
7 the agency statistician, Dr. Zhen. You
8 mentioned having a pre-specified survival
9 analysis plan. So if the sponsor has to
10 design a trial with a TTP endpoint and then
11 does not meet that endpoint, it seems - was
12 there some agreement on the 36-month as an
13 endpoint, or is there still an opportunity
14 to pre-specify a survival analysis plan? Or
15 is it all done on completion of the trial?
16 I mean, is there any opportunity to sort of
17 I won't say salvage, but salvage the study
18 as you look for longer follow-up and see if,
19 in fact, you do impact on survival.

20 DR. ZHEN: I think from pure
21 statistical point of view there's no chance
22 to justify this. However, I think that

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1 because overall survival is such an
2 important endpoint it does - one can just
3 use your judgment. It's difficult to
4 quantify the level of the false claim
5 positive treatment effect. It's very
6 difficult.

7 DR. MULÉ: Okay, I think for the
8 sake of time we'll move ahead to the open
9 public forum. And each speaker will be
10 allowed three and a half minutes. You can
11 use any of the microphones in the room,
12 including the podium, particularly if you
13 have papers and a need to read. So I'll
14 begin by reading the following from the FDA,
15 which is the open public hearing
16 announcement for particular matters meeting,
17 for example product-specific.

18 Both the Food and Drug
19 Administration, FDA, and the public believe
20 in a transparent process for information-
21 gathering and decision-making. To ensure
22 such transparency at the open public hearing

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1 session of the advisory committee meeting,
2 FDA believes that it is important to
3 understand the context of an individual's
4 presentation. For this reason, FDA
5 encourages you, the open public hearing
6 speaker, at the beginning of your written or
7 oral statement to advise the committee of
8 any financial relationship that you may have
9 with the sponsor, its product, or if known,
10 its direct competitors. For example, this
11 financial information may include the
12 sponsor's payment of your travel, lodging,
13 or other expenses in connection with your
14 attendance at the meeting. Likewise, FDA
15 encourages you at the beginning of your
16 statement to advise the committee if you did
17 not have any such financial relationships.
18 If you choose not to address this issue of
19 financial relationships at the beginning of
20 your statement, it will not preclude you
21 from speaking. So the first speaker is Jim
22 Kiefert.

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1 DR. KIEFERT: Mr. Chairman,
2 committee members and active participants, I
3 really value the opportunity to be here. My
4 name is Jim Kiefert. I'm a 17-year and a
5 half survivor of prostate cancer and I'm
6 here to make the point that we need more
7 options for treatment for men with prostate
8 cancer.

9 I was diagnosed in 1989 with a
10 PSA of 39. I was 50 years old. I did my
11 surgery, I did my radiation, and when it
12 failed my doctor looked at me and said, 'You
13 better get your life in order because you
14 might have one to three years.' That was 17
15 and a half years ago. Right now, we need
16 options.

17 I spent most of my career as an
18 educator. I have a doctorate in education.
19 I was a school administrator, university
20 professor and now I've turned my energies to
21 working with Us TOO, International. Us TOO,
22 International is the largest prostate cancer

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1 education and support organization in the
2 world. We're made up of thousands of
3 volunteers, 325 chapters throughout the
4 United States and many throughout other
5 countries. We're a non-profit organization.
6 Our commitment is to have - to communicate
7 timely and reliable information enabling
8 informed choices regarding detection and
9 treatment of prostate cancer. We need more
10 options for the men with advanced prostate
11 cancer. I manage a support group in
12 Olympia, Washington. I have a number of men
13 who have advanced prostate cancer, and they
14 are pleading for something other than the
15 one drug that's been approved in the last 30
16 years that will extend survival, and that's
17 chemotherapy.

18 Us TOO meets with people with
19 prostate cancer through our chapter
20 meetings. We have a website that gets
21 approximately 325,000 hits a month. Men
22 trying to get information about prostate

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1 cancer diagnosis and treatment. We're
2 getting more and more people attending our
3 meetings. We send out 20,000 hot sheets
4 every month to all of our chapters. We're
5 trying to get men informed so they can make
6 informed decisions about their treatments.
7 We also encourage men to be involved in
8 clinical trials, which is not an easy task,
9 as most of you know.

10 I talk to men on a daily basis
11 about prostate cancer. They call me, scared
12 to death, when they're diagnosed and then
13 they call me really scared to death when
14 they become androgen-independent. That is
15 the scariest time of any man's life when he
16 has prostate cancer because the only option
17 available to them is to go through a
18 chemotherapy regime. We found out in a
19 survey of our members that only 52 percent
20 of the men with advanced prostate cancer
21 would even consider chemotherapy. Sixty-
22 four percent of them said the adverse effect

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1 on their quality-of-life was too great for
2 them to consider that kind of a treatment.
3 I have a handout for you that'll be coming
4 around with some statements from the men who
5 were in our survey. They said, "I'm
6 concerned about the limited options that I
7 have." "I would like some long-term, not
8 just short-term treatments." "I want to
9 enjoy life for a little while." They see
10 their end of life getting very close to
11 them. "I don't believe that any of the
12 options will improve the quality of my
13 life," and many of them say things like, I
14 would just as soon take pain pills and die
15 of my disease than to take a treatment that
16 has such adverse effects on them.

17 I had the privilege of meeting
18 some of the men that were in the Provenge
19 study. They came to our support group. And
20 when they started telling us about the
21 minimal side effects of their treatment, the
22 guys in my group stood up and applauded.

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1 They said we finally have something that is
2 a treatment that's not such an assault on
3 our masculinity. Prostate cancer is a
4 family disease. It affects my wife, my
5 children, my grandchildren and it seems to
6 last a while for some of us, fortunately.

7 My urge to you is that we need
8 options. I've said it twice. There's a
9 group called A Voice for Cancer. We are
10 trying to get our word out that we need
11 options. Men are begging for anything else
12 that they can do to save their life and have
13 some quality-of-life. Thank you very much
14 for your consideration.

15 (Applause)

16 DR. MULÉ: Thank you, Dr.
17 Kiefert. Dr. Penson?

18 DR. PENSON: Ladies and
19 gentlemen, members of the panel, good
20 afternoon. I am Dr. David Penson. I am an
21 Associate Professor of Urology and
22 Preventative Medicine at the Keck School of

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1 Medicine, University of Southern California,
2 in Los Angeles, California. As per FDA
3 policy, I'd like to make a few disclosures.
4 I am a site investigator for Dendreon's
5 9902B study. That means my institution
6 receives research support, but it also means
7 I have firsthand experience with this agent.
8 I do have a consulting agreement with
9 Dendreon. However, neither I nor any member
10 of my family has any financial position,
11 stock or otherwise, with the company. Those
12 statements aside, I come to you today as an
13 independent clinician scientist. I am not
14 receiving any support from Dendreon. They
15 have not paid for my lodging, they are not
16 providing me with an honorarium, and
17 importantly, I have not discussed my
18 testimony with anyone from the company, any
19 employees. As they say, I've come to you on
20 my own dime.

21 I do not come to you today as a
22 clinician who treats prostate cancer

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1 patients. I am, but you already have those
2 people on your committee. Rather, I come to
3 you today as a health services researcher
4 with a Master's in Public Health and a
5 research expertise in quality-of-life in
6 prostate cancer. I am well-published in
7 this area and I am the principal
8 investigator of an NCI-funded study
9 examining long-term quality-of-life outcomes
10 in prostate cancer.

11 With that stated, I want to start
12 by saying that I firmly believe that
13 Provenge is effective and will extend life
14 in androgen-independent prostate cancer,
15 based on the clinical trial data showed
16 today. However, that is not my decision to
17 make, it is yours and ultimately the FDA's.
18 What my goal is today is to provide you with
19 additional information to help in your
20 deliberations. I want to make two points to
21 you today. The first is that I believe that
22 there is a quality-of-life advantage to

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1 Provenge over existing therapies, and the
2 second is, I want to remind you that your
3 decision today has public health
4 ramifications beyond what you may think.
5 Let me address each of those points
6 individually.

7 First, to quality-of-life. As
8 was already stated, there is a single FDA-
9 approved agent which has been shown to
10 extend life in androgen-independent prostate
11 cancer. There is no doubt that docetaxel is
12 effective and is a valuable tool in treating
13 these patients, but it has been said time
14 and time again today, the median survival
15 advantage is roughly two to three months.
16 As the last speaker alluded to, this is a
17 difficult drug for patients. The
18 administration is prolonged, and there are
19 many side effects that come with it. These
20 toxicities are significant and often will
21 require inpatient hospitalization, and this
22 clearly affects quality-of-life. With this

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1 in mind we have to ask the question is the
2 modest survival benefit that we get with
3 docetaxel negated by the potential negative
4 quality-of-life effect of prolonged
5 administration and potential toxicity? I am
6 afraid that the answer to this question is
7 yes.

8 Now unfortunately, quality-of-
9 life was not studied in the Provenge trials.
10 However, as you've seen this morning, the
11 toxicity profile is clearly quite benign.
12 This drug allows patients to live their
13 lives while they are on the drug. It does
14 not seem to affect quality-of-life in my
15 opinion. So let me repeat again. It is my
16 expert opinion that Provenge offers a
17 considerable quality-of-life advantage over
18 the existing treatment docetaxel with an
19 equivalent or possibly better survival
20 advantage, and I implore the panel to
21 consider this in you deliberations.

22 My second point concerns the

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1 public health ramifications. I don't need
2 to tell you that prostate cancer is a
3 considerable public health burden in this
4 country. Hundreds of thousands of men are
5 diagnosed with this disease every year and
6 tens of thousands of men die of it. As you
7 know, any delay in approval, assuming this
8 drug is effective, will likely shorten the
9 lives of tens of thousands of men with
10 androgen-independent prostate cancer. The
11 advocates will drive that point home
12 shortly.

13 But I want to make a point to
14 you. There is an additional ramification
15 here. Delayed approval of this drug will
16 send the wrong message to the research
17 community. If you turn this drug down, it
18 will likely set back the innovative field of
19 active cellular immunotherapy in cancer
20 many, many years. So this will not only
21 affect prostate cancer patients, but it may
22 have an effect on the larger population of

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1 oncology patients in general. So I do hope
2 that the panel will consider both of these
3 points in your deliberations. I am very
4 confident that you will make the right
5 choice. Thank you very much for your
6 attention.

7 DR. MULÉ: Thank you, Dr. Penson.

8 (Applause)

9 DR. MULÉ: Thomas Farrington?

10 MR. FARRINGTON: Good afternoon
11 panel members and thank you for the
12 opportunity to present before you today. My
13 name is Thomas Farrington. I am a 7-year
14 prostate cancer survivor who has witnessed
15 the deaths of my father and both
16 grandfathers from this sinister prostate
17 cancer disease. I have seen the devastation
18 of this disease up close and personal for
19 much of my life, and believe me, it is not a
20 pretty picture. I have written two books
21 and founded the Prostate Health Education
22 Network in efforts to address the African-

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1 American prostate cancer disparity. PHEN is
2 on a continuing quest to identify treatments
3 and other strategies to help eliminate these
4 disparities.

5 I would also like to point out
6 that with me today is Mr. Lou Delvidio who
7 is the District Director in Congressman
8 Albert Wynn's office here. He represents
9 this district in the U.S. House of
10 Representatives. I am pleased - Congressman
11 Wynn also is a cosponsor of legislation that
12 has now been filed in the U.S. Congress to
13 designate prostate cancer among African-
14 American men as an epidemic. He is one of
15 100 cosponsors of this legislation.

16 As African-Americans, we are in
17 the midst of a prostate cancer epidemic
18 within all of our communities, and we need
19 help now. With a death rate 140 percent
20 higher than for other men coupled with a
21 comparable level of suffering and quality-
22 of-life loss, our need for new and

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1 innovative treatments is desperate and
2 unparalleled relative to any other type of
3 cancer in terms of the death rate disparity.

4 PHEN has studied active cellular
5 immunotherapy. After closely studying these
6 results, our position is that Provenge
7 should be approved because of the treatment
8 advantage it provides when compared to
9 chemotherapy treatments which are now the
10 only choices for men with late-stage
11 prostate cancer. We understand, appreciate,
12 and respect the challenges before this
13 committee. However, I cannot stress strong
14 enough the immediate need for relief from
15 this disease, a disease that during its
16 later stages is relentless -- and taken away
17 our quality-of-life and then our lives. All
18 prostate cancer survivors live in fear of
19 cancer recurrence. We also live with hope
20 that should our cancer reoccur our lives and
21 the quality of our lives can be saved. This
22 is our reality, what I refer to as battling

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1 the killer within.

2 Relative to current treatments
3 available for hormone-refractory metastatic
4 disease, data shows that treatment with
5 Provenge allowed patients to maintain a much
6 higher quality-of-life. If Provenge did not
7 exhibit a survival benefit at all, the
8 quality-of-life benefit alone would
9 represent a tremendous help and improvement
10 for survivors. However, Provenge clinical
11 trials show a statistically significant
12 survival benefit, which represents increased
13 hope. We ask that the committee understand,
14 appreciate and respect the real-life needs
15 of prostate cancer survivors and approve
16 Provenge to make it immediately available to
17 help reduce the suffering currently
18 experienced by men with hormone-refractory
19 metastatic disease. Would it be a right or
20 moral decision to deny any prostate cancer
21 patient faced with the possible end of his
22 life the relief that Provenge has proven to

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1 provide now? What is the benefit in
2 waiting?

3 During this deliberation, we also
4 ask that the committee strongly consider the
5 urgent needs of the segment of the U.S.
6 population that is suffering from prostate
7 cancer at epidemic levels. If the entire
8 U.S. prostate cancer population was
9 experiencing a death rate 2.4 times the
10 current level, would there not be an all-out
11 urgency to quickly bring to market
12 treatments that could help reduce suffering
13 and extend life? This is the critical
14 condition within black communities today,
15 and it is real. We are due the same
16 valuation on our lives and urgency of
17 action. Most every African-American family
18 today is facing prostate cancer at some
19 level, and the fear and suffering is
20 palpable. We ask that the committee both
21 understand and accept that another important
22 reason for approval of Provenge immediately

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1 is because it is needed to help fight the
2 ravages of an epidemic-level condition in a
3 segment of our nation's population. Again,
4 I ask would it be a right or moral decision
5 to deny addressing an epidemic-level
6 condition with Provenge, a treatment that
7 has proven to be safe with the ability to
8 help reduce suffering now? What is the
9 benefit in waiting?

10 The prostate cancer survivor
11 community is excited that active cellular
12 immunotherapy could eventually provide a
13 broader range of treatment options to help
14 us fight this disease and maintain our
15 quality-of-life. We are prayerful that the
16 dawn of this new era will be launched with
17 the immediate approval of Provenge. I
18 appreciate the committee's consideration of
19 my comments and thank you for allowing me to
20 raise a voice on this issue.

21 (Applause)

22 DR. MULÉ: Thank you, Mr.

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1 Farrington. Eduardo Garcia?

2 MR. GIACOMO: My name is George
3 Giacomo. This is my cousin Eddie, and this
4 is our grandfather Eduardo Garcia.

5 About six years our grandfather
6 was diagnosed with prostate cancer. It was
7 a difficult time for me and my family
8 because he was the patriarch of our family.
9 We had always known him to be very energetic
10 and fun. In fact, at 60 he started his own
11 business. He enjoyed taking us camping and
12 to the movies, and for his age he was
13 extremely active. Shortly after the cancer
14 spread to his bones, however, he became
15 listless. He no longer had the energy or
16 the will to do things he regularly did. He
17 was often tired and wasn't able to play with
18 his dogs or take his regular walks. His
19 illness was keeping him from doing the
20 things he loved.

21 Doctors offered him few treatment
22 options, including radiation and chemo.

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1 They warned him about the side effect
2 profile and the little benefit they may - he
3 may receive from treatment for his advanced
4 diseases. My grandfather refused because,
5 as he put it, he preferred to die with
6 dignity. Then his doctor mentioned a study
7 that was being done for an experimental
8 treatment. We urged him to try it and he
9 figured he had nothing to lose. Just a few
10 months after beginning the clinical study
11 for Provenge, his bone scans showed that the
12 cancer had stopped growing. After a while,
13 he started to get some of his energy back.
14 Even his mood improved. He was able to play
15 with his dogs again, which you have to
16 understand is a very important part of his
17 life. He was able to travel and see his
18 friends. He was back to doing the things
19 that he loved to do regularly before the
20 cancer. As you can imagine, it was a relief
21 for all of us.

22 Before my grandfather took part

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1 in Dendreon's study, we had been preparing
2 ourselves for the end. This new drug
3 offered us some hope. We're grateful for it
4 because Provenge extended his life. Since
5 taking Provenge he's had the opportunity to
6 see two grandchildren get married and the
7 birth of his first great-grandchild. He's
8 taken multiple trips to Mexico and toured
9 around Europe. He's even making plans to
10 open another business. As far as his family
11 is concerned, we're extremely grateful for
12 Provenge because it's given us more time
13 with him. It's allowed him to live a full
14 life and one with dignity. On behalf of
15 myself and my family, I'd like to thank the
16 doctors and scientists who created Provenge,
17 and we'd like to ask this panel to recommend
18 to the FDA to approve Provenge so that other
19 families can have more time with their loved
20 ones, as we've had with our grandfather.

21 MR. GARCIA: Good morning. My
22 name is Eduardo Garcia, and I would like to

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1 have a few words why Provenge is important
2 to me. Since my grandmother passed, I have
3 been the only one that's lived with my
4 grandfather. I live with him, same house,
5 same roof, and through these eight years
6 that Provenge has given him, it's given me
7 an opportunity to spend very memorable times
8 with my grandfather, such as 16, buying the
9 new car, he was there. Eighteen is the
10 legal drinking age in Mexico, he was there.

11 (Laughter)

12 MR. GARCIA: And finally, just
13 recently, 21 which is now legal here. You
14 see, my grandfather is not just an old man
15 you go see on Sundays. He is like a third
16 parent to me, and if it were not for
17 Provenge he would not be here with me. So I
18 would just like to thank the people who
19 created the drug and this panel for
20 recommending the approval of this drug so
21 that other families can experience some of
22 the memorable moments that I experienced

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1 with my grandpa.

2 MR. GARCIA: I am not a doctor.
3 I cannot tell you all the things I've been
4 hearing all morning. I mean to me it was
5 like a foreign language.

6 (Laughter)

7 MR. GARCIA: My name is Eduardo
8 Garcia. I'm 83 years old and I've been a
9 survivor of the bone cancer for seven years.
10 Now, the way I see things here, the way I
11 hear things here is that everything has been
12 studied, you know, what's going to happen.
13 The main thing is, suppose you don't approve
14 this drug and there's thousands of patients
15 who are going to have to look for something
16 different, different options, which is not
17 the chemo because I know chemo would really
18 - I mean, the quality-of-life is very
19 important, especially for an old man like
20 me. So it's really up to you people to
21 think about it, not us, but the ones who are
22 coming, the ones who are going to need

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1 something to do besides the others. Thank
2 you very much.

3 (Applause)

4 DR. MULÉ: Thank you, gentlemen.
5 Steven Fleischmann.

6 MR. FLEISCHMANN: Good morning,
7 ladies and gentlemen. My name is Steve
8 Fleischmann, and my wife Patty and I are
9 honored to be here today, and we're from
10 Seattle, Washington.

11 In July of 2003 I was 47 years
12 old, and I went in for my routine physical.
13 And although my PSA level was very low, my
14 doctor thought that he had felt something
15 odd on my prostate, so he encouraged me to
16 go in for a biopsy. So of course, to be
17 safe, I went in soon after and had a biopsy
18 done. And I can tell you that I will never
19 forget what happened the next week when I
20 received a call from my doctor. While
21 holding my breath, he said what I never
22 thought I would hear. "Steve, you have

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1 prostate cancer. And not only do you have
2 prostate cancer, but you have a very
3 aggressive prostate cancer." and at 47 years
4 old I had a Gleason 7. I was scared to
5 death. I went into shock. I could not
6 believe that I had cancer, but it quickly
7 became my reality.

8 After searching my options, I
9 chose to have a radical prostatectomy on
10 September 9, 2003. And after that I had a
11 new sense of purpose in life. I wanted to
12 make this difference and this experience
13 less frightening for other men diagnosed
14 with prostate cancer, and number two, I
15 wanted to raise money to advance research to
16 eventually cure this disease.

17 So I have made it my life's
18 mission, aside from taking care of my family
19 and my health, to be an advocate for the men
20 throughout the United States who are
21 diagnosed with prostate cancer. I created
22 the first prostate cancer fundraiser in the

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1 United States where I did a fundraising
2 breakfast, which I call Survivor
3 Celebration, in Seattle, Washington, and
4 where every table captain is a prostate
5 cancer survivor. In just two years I have
6 raised \$4 million for prostate cancer
7 research, and I am proud to say that at my
8 last breakfast where I had 1,200 attendees
9 that Lance Armstrong was my keynote speaker.

10 In addition, I receive two to
11 three phone calls a week from men from all
12 over the United States who contact me who
13 have just been diagnosed with prostate
14 cancer, and I help them to deal with the
15 initial shock. They are scared and confused
16 and don't know what to do. And I help them
17 establish a game plan for dealing with their
18 options. So I know firsthand how badly
19 prostate cancer patients need help. They
20 want and deserve treatments that will help
21 them live longer but won't compromise the
22 quality of their life, like chemotherapy.

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1 And that's why I'm here today, to tell you
2 they need a treatment like Provenge. We
3 need it now, not in several years from now.
4 We need it today.

5 Just a few weeks ago, I was told
6 that my cancer has now come back. Being
7 told that I had had cancer in 2003 was the
8 biggest shock of my life, but I got over it.
9 I just dealt with it. Hearing that my
10 cancer is back is ten times more
11 frightening, and it feels ten times more
12 devastating for me and my family. So as a
13 man who has time working against him, how
14 young I am, advancing care for prostate
15 cancer patients is of vital importance. The
16 timely approval of Provenge just has to
17 happen.

18 You all have the opportunity to
19 make history today. Provenge would not only
20 be the first cancer immunotherapy ever
21 approved by the FDA, but its approval would
22 be the only thing that will help drive

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1 future research to find a cure for prostate
2 cancer. As someone who has made a living in
3 the financial and investing business, I know
4 how it works. A positive decision today
5 will accelerate the research, investment and
6 support of immunotherapy prostate cancers
7 and other cancers. By you recommending the
8 approval of this first generation of
9 Provenge, you are creating a launching pad
10 for a dramatic increase in the enthusiasm
11 and investment for cancer research, which we
12 all know will ultimately put us much closer
13 to the second and the third and the fourth
14 generation of this kind of product.

15 I have an 8-year-old daughter and
16 a 5-year-old son. I want to be around to
17 see my kids grow up. I want to see them go
18 to college, get married, and I want to see
19 them have their children. I don't want to
20 die. I want to stay alive.

21 Now that I have cancer again, I
22 know how it feels to be vulnerable every

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1 single day, and I am concerned about my
2 future now more than ever. This kind of
3 drug, Provenge, is all I can think of right
4 now to give me hope, and as someone who
5 coaches new patients each week I can tell
6 you that the idea of Provenge will give them
7 hope and the will to survive if they get
8 their cancer back. What is the harm of
9 approving a drug that has been shown to let
10 men live longer? I don't care whether it
11 helped 100 or 100,000 men to live longer, it
12 does, and that's what counts, and it is
13 incredibly safe.

14 I know that you are all a panel
15 of esteemed medical experts who are charged
16 with looking at the data that has been
17 presented to you in making a decision. I
18 only ask that you also consider the fact
19 that you have the power to alter the way
20 cancer is treated by approving Provenge.
21 You can give the 230,000 who will be
22 diagnosed with prostate cancer this year

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1 alone the opportunity to live longer, better
2 lives. You can give me the opportunity to
3 live and with time working against me I
4 can't afford to wait any longer. On behalf
5 of my wife and my two children I thank you
6 for the opportunity to speak here today and
7 for listening to me. Thank you.

8 (Applause)

9 DR. MULÉ: Thank you, Mr.
10 Fleischmann. Jack Kriney?

11 MR. KRINEY: Thank you. Good
12 morning. Ladies and gentlemen, my name is
13 John Kriney, and I'm a patient advocate with
14 Raise a Voice speaking in support of
15 Provenge. I have no relationship to the
16 sponsor and I must say I'm humbled to be in
17 the company of the advocates that I've seen
18 and heard here today.

19 I was diagnosed with prostate
20 cancer in November of 2005 with a Gleason
21 score of 8, four plus four. I underwent a
22 robotic-assisted laporoscopic radical

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1 prostatectomy on December 20, 2005, but the
2 procedure failed and I began initial hormone
3 therapy in January, 2006. After some
4 difficulties with my initial urologist I was
5 ultimately successful in drawing together a
6 team comprised of a new urologist, medical
7 oncologist and radiation oncologist, all
8 specialists in prostate cancer treatment. I
9 quickly began receiving increased dosages of
10 additional hormone therapies, and a second
11 expert opinion was ordered on my surgical
12 pathology which upgraded my Gleason score to
13 9, four plus five.

14 I began 45 IMRT radiation
15 treatments in August, 2006, which then ended
16 in October, 2006. During the time I was
17 undergoing radiation therapy, I had three
18 severe drug reactions and was diagnosed with
19 Grover's Disease after suffering six
20 iterations of full body rashes and boils as
21 well as stress onset bipolar 2 mental
22 disorder. A good portion of the radiation

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1 therapy was into the rectum and caused a
2 fair amount of transitory side effects,
3 which passed within months. However, my
4 hormone therapy side effects of
5 irritability, lack of focus, lack of
6 concentration, depression, inability to
7 multitask and physical effects like breast
8 growth with tenderness and fatigue continued
9 to plague me. I do not suffer the normal
10 side effects of lack of sexual drive, since
11 my prostatectomy was non-nerve sparing. In
12 August, 2007, my oncologist and I have
13 decided that I will go on intermittent
14 hormone therapy in order to ameliorate these
15 effects as well as the other long-term
16 systemic side effects associated with
17 hormone therapy.

18 Drugs like Provenge, when you
19 deem them safe and effective, are important
20 in our arsenal of tools that we must have to
21 fight prostate cancer with every today. I
22 am not here to tell you how safe or

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1 effective I think Provenge is. I would not
2 presume to do so. That is your job, and you
3 know it and do it well. What I do know as
4 an advanced prostate cancer patient is that
5 I need drugs and treatments that do not
6 leave me with unnecessary side effects,
7 especially side effects that interact with
8 other drugs and make my life miserable. As
9 a patient, I want longevity if you can give
10 it to me, but as importantly I want quality-
11 of-life along with that longevity. I am not
12 hormone-refractory yet, but I do have
13 metastatic disease, and I know I am playing
14 a waiting and delaying game, a nightmare
15 that I live with every day.

16 I want to raise a voice today so
17 that when the time comes with drugs like
18 Provenge I will have it available for me
19 while I still have a chance to use it, while
20 I still have an immune system, while I still
21 have something left to fight with. I am
22 here today to try to help others who are

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1 advancing with disease before me and who may
2 not have or get the opportunity to wait
3 another six or nine months for a drug like
4 Provenge to get to market. I hope that you
5 will look at the people and not just look at
6 the numbers or the design of a study. I am
7 here asking today for you to help me and
8 others like me. You can help with the
9 stress of my disease by making Provenge
10 available to the market so that we patients
11 with our doctors can make the informed
12 choice to determine if a safe and effective
13 drug that you have investigated may help
14 prolong our lives and our quality-of-life
15 for us when we need it. Some of us don't
16 have the time to wait for trials and more
17 trials. We depend on you, all of you
18 sitting here, to lead us to the innovative
19 life-saving drug, vaccine, or therapy that
20 will save our lives and not protect us from
21 that same vaccine or therapy while we stand
22 in line dying, waiting for it. As

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1 importantly, when you approve this drug and
2 mode of treatment that others offer - I'm
3 sorry. When you approve this drug and mode
4 of treatment that offers little or no side
5 effects, you will dramatically improve the
6 quality-of-life for a great number of
7 advanced prostate cancer patients. When it
8 is available, we can use it as indicated or
9 off-label and improve our survivability and
10 quality-of-life. Relief from hormone
11 therapy, chemotherapy and the roller coaster
12 of wondering what will work and when are the
13 benefits we will have if we have access to a
14 vaccine that helps our immune system do as
15 it was designed to do in the first place.

16 FDA Commissioner of Food and
17 Drugs Dr. Andrew C. Von Eschenbach is quoted
18 as saying, "From new life-saving drugs and
19 vaccines to innovative devices, the lives of
20 millions of people have been improved by the
21 dedicated efforts of FDA employees. It is a
22 strong foundation upon which to build in the

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1 21st century." If you deem Provenge to be
2 safe and effective at all, your action will
3 be the very first innovative step on the
4 path of a longer and better life for the
5 advanced prostate cancer patient and
6 survivor in this 21st century. Thank you
7 very much for your care, understanding and
8 patience in listening to us, the surviving
9 prostate cancer patient.

10 DR. MULÉ: Thank you, Mr. Kriney.

11 (Applause)

12 DR. MULÉ: Is Thomas Powell here?
13 Thomas Powell? Okay. Michael Bernstein.

14 MR. BERNSTEIN: Good afternoon.
15 Thank you for allowing me the opportunity to
16 address the committee. I don't have any
17 financial interest in the sponsor here. I'm
18 a partner in a large Washington-based law
19 firm, and we do represent various
20 pharmaceutical companies, but not the
21 sponsor.

22 I'm here today not in my

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1 professional capacity but because my father
2 has advanced prostate cancer and he's
3 recently found out that it's androgen-
4 independent and his PSA is going up. He's
5 asymptomatic at this point, so I understand
6 and he understands from his doctors at the
7 Cleveland Clinic that he's in the population
8 group for which Provenge would be ideally
9 targeted. He said that his medical
10 oncologist and his urologist are watching
11 very carefully the Provenge approval process
12 because of the stage of his disease and
13 because this is the time when it would be
14 likely to have the biggest effect for him.

15 My father is a religious Jew and
16 he goes to synagogue every day, every
17 morning, praying that he'll have the
18 opportunity to see my son become Bar Mitzvah
19 in three years and two months from now.
20 This is his remaining goal in life, really
21 his only substantial remaining goal in life.
22 Of course, it's not clear that he'll make it

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1 even with Provenge. Who knows? But it does
2 seem clear to me that his chances are much
3 more - are substantially enhanced with
4 Provenge than without Provenge. And we have
5 the hope that with this treatment, combined
6 with other treatments which he's willing to
7 deal with even though they have very
8 substantial side effects in order to achieve
9 his goal, that he may make it to see Josh's
10 Bar Mitzvah.

11 Now I know that if you look at
12 this from the perspective of a statistician,
13 I'm sure you could come up with reasons to
14 defer approval if you wanted to. You could
15 talk about what the primary endpoint was and
16 what it should have been and statistical
17 analysis and Cox regression and other
18 regressions and so forth. And I'm sure you
19 could come up with a reason to defer it.
20 But if you look at this from the perspective
21 of my father and those like him, it seems
22 clear that the better course is to approve

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1 the treatment now. If you ask the question
2 during your deliberations, "Is Mr. Bernstein
3 in Florida more likely to live to see his
4 grandson's Bar Mitzvah with Provenge
5 approved or without it approved," I think
6 the answer is very clear. And I submit to
7 you that under the present circumstances
8 that's the right question to ask. You have
9 a terminal disease. You have no other
10 treatments that are particularly effective,
11 and the couple of treatments that there are
12 at this stage, or maybe the one treatment is
13 very, very unpleasant. And you have a new,
14 apparently safe treatment with very modest
15 side effects that gives guys like my dad a
16 chance to make it a few more years, which is
17 all he's asking for. You should look at
18 this from the patients' perspective. You
19 should put the patients' interest first. I
20 heard reference to the gold standard here.
21 I can tell you, I can assure you that from
22 my dad's perspective survival is absolutely

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1 the gold standard. So on behalf of my
2 father, who can't be here today I ask you to
3 recommend prompt approval of Provenge so
4 that we can have the best possible chance
5 for him to attend Josh's Bar Mitzvah. Thank
6 you.

7 (Applause)

8 DR. MULÉ: Thank you, Mr.
9 Bernstein. Joel Nowak?

10 MR. NOWAK: Good afternoon. I'd
11 like to first say that I nor any of my
12 family members to the best of my knowledge
13 have any financial interest in the sponsor.
14 My name is Joel T. Nowak, and I'm here today
15 both as a consumer and also as a
16 representative of the advocacy groups Raise
17 a Voice and MaleCare, for which I serve as
18 the Program Director for Advanced Prostate
19 Cancer.

20 I am 56 years old, I live in
21 Brooklyn, and I am a 3-time cancer survivor.
22 I have been diagnosed with thyroid cancer,

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1 kidney cancer and prostate cancer, advanced
2 prostate cancer. The cancer that scares me
3 the most, probably based on my condition, is
4 the prostate cancer. Fortunately, both the
5 thyroid and the kidney cancer are currently
6 under control, but the prostate cancer is
7 not. My initial diagnosis was in August of
8 2001 and I had a laparoscopic prostatectomy.
9 In December of 2005 I was diagnosed with
10 recurrent advanced prostate cancer. This is
11 not a curable disease. That's the key. It
12 is not curable, at least not yet.

13 According to the National Cancer
14 Institute, the expected mortality rate for
15 advanced prostate cancer is over 50 percent
16 within 36 months of diagnosis. If you take
17 the statistical next step, since I've
18 already exhausted 16 of those months, which
19 means I may have only but 20 months left to
20 be on this Earth. What are my treatment
21 choices? Unfortunately they're fairly non-
22 existent with other than one exception.

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1 Those of us who suffer with advanced
2 prostate cancer have already gone through
3 the mill of barbaric treatments. We've had
4 our prostates removed or radiated, often
5 leaving us with varying degrees of
6 incontinence and impotence, and then 30
7 percent of us suffer a recurrence. This
8 signals the beginning of our clock's final
9 countdown on this Earth. We try to buy a
10 little more time. We try salvage radiation
11 or surgery. We start a hormone blockade
12 that leaves us as physical and chemical
13 eunuchs. We lose the little sexual ability
14 that we may have managed to cobble together
15 and trade it for hot flashes, loss of muscle
16 mass, loss of bone density, peripheral
17 neuropathy, mood swings, and a host of other
18 ailments. Despite the suffering that we
19 endure, our cancer continues to march on.
20 Now our only option to survive a little
21 longer as it exists today is chemotherapy,
22 where we have to introduce into our bodies

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1 chemicals that will hopefully kill the
2 cancer, but will also kill us.

3 Provenge will not cure my
4 disease, that's clear, but it does offer an
5 opportunity to extend my life. Even a 4.5-
6 month life extension, which probably doesn't
7 sound like a lot to those of you who are
8 blessedly healthy, but to me this is a 20
9 percent increase of my life expectancy. I
10 still will not live long enough to see my
11 son successful in the theater, or my younger
12 son fulfill his dream of going to law
13 school, or more importantly to ever meet any
14 of my grandchildren. But I will have some
15 additional time to hold my wife and laugh
16 with my children, and therefore, I wish to
17 urge this committee to recommend that the
18 FDA approve the pending application. I
19 appreciate this opportunity to have
20 addressed you and thank you so much.

21 (Applause)

22 DR. MULÉ: Thank you, Mr. Nowak.

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1 James Waldenfels?

2 MR. WALDENFELS: I am Jim
3 Waldenfels, a board member of the Virginia
4 Prostate Cancer Coalition, but speaking on
5 my own behalf. I have no financial
6 conflicts of interest or sponsor ties.
7 Thank you for incorporating a public comment
8 period into your review process. This is
9 why I have a very personal interest in
10 Provenge.

11 My first PSA test result, when I
12 was age 56, was 113 and within days of
13 biopsy indicated an aggressive Gleason 7
14 cancer with all cores positive, most 100
15 percent. Within a month, respected
16 urologists from Johns Hopkins and the City
17 of Hope had both given me a prognosis of
18 five years, three good years and two
19 declining years. That was December and
20 January of 1999 and 2000. Today, seven
21 years later, I am fit and vigorous as I
22 enter the fourth off-therapy - fourth month

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1 off therapy under my second off-therapy
2 cycle of intermittent triple blockade,
3 achieved without surgery or radiation. At
4 the end of both off-therapy cycles I
5 achieved a PSA low point of less than 0.01.
6 During the first off-therapy period,
7 virtually all my side effects disappeared,
8 and I expect the same for this period.
9 However, despite my highly successful
10 treatment, my cancer is still likely to
11 become resistant to hormone blockade at some
12 point. My case illustrates that prostate
13 cancer is developing so rapidly that the -
14 technology, the knowledge about it is
15 developing so rapidly that even good doctors
16 cannot keep up with all developments, and
17 key new knowledge emerges in the middle of
18 clinical trials.

19 Before retiring, I served as a
20 Navy contract specialist and contracting
21 officer for the research and development
22 test and evaluation of weapons systems. DoD

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1 faced a similar problem to that facing the
2 prognostic factor prostate cancer community.
3 The nature of the threats and technologies
4 was changing so rapidly in the `90s that our
5 standard procurement and development methods
6 were not keeping up, and we were risking
7 obsolescence at first delivery of equipment.
8 In order to meet needs, we had to radically
9 change our way of doing business, and we
10 did. Similarly here, cancer technology and
11 particularly the knowledge of the effect of
12 prostate cancer immune responses to drugs is
13 changing more rapidly than can be
14 accommodate in trial designs. That puts a
15 high premium on judgment in capitalizing on
16 trial results.

17 The 55,000 patients now hormone-
18 refractory and asymptomatic and those of us
19 waiting in the wings are counting on this
20 committee to give us Provenge as a badly-
21 needed option. Its effectiveness has been
22 proven. Remember those patients who beat

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1 the heck out of the median like Mr. Garcia.
2 We haven't heard much about that in this
3 meeting, but remember that. We can look
4 forward to even better targeting of this
5 drug. It has an excellent side effect
6 profile. Please help us.

7 (Applause)

8 DR. MULÉ: Thank you, Mr.
9 Waldenfels. Ed Grove?

10 MR. GROVE: Good afternoon. My
11 name is Ed Grove. I have no financial
12 connection with the sponsor, and I would
13 also like to thank Raise a Voice because if
14 I hadn't heard from them I wouldn't be here,
15 and I think it's just very, very important
16 for me to be here along with the rest of
17 you.

18 My name is Ed Grove and I'm a
19 prostate cancer survivor for 14 years. I've
20 been chairman of the INOVA Fairfax Virginia
21 prostate cancer support group for 10 years,
22 and we have about 60 members in our email

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1 list. We are very active and have a monthly
2 meeting with a very rich group of speakers.
3 I am also on the board of the Virginia
4 Prostate Cancer Coalition along with Jim
5 Waldenfels.

6 In my situation I currently have
7 a slow-growing recurrent prostate cancer.
8 It is asymptomatic, but probably not
9 metastatic, and certainly not now hormone-
10 refractory. However, I strongly believe
11 Provenge could help me and my situation, and
12 have tried to get on existing Provenge
13 trials to no avail because they are only for
14 men with very advanced disease. Those of us
15 with recurrent disease must be warriors
16 actively fighting this disease, rather than
17 passive warriors, and this is the reason why
18 I am sort of looking out towards Provenge
19 right now, because I have the sense, and
20 again this is just an intuitive sense, that
21 for people with - and it may be in the data
22 too, but for people with less advanced

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1 disease, Provenge might even work better,
2 and it might even work better earlier. So
3 again I'm, you know, I really firmly believe
4 that those of us with recurrent disease must
5 be warriors actively fighting it rather than
6 passive survivors, and I am so glad to see
7 so many active warriors here today. So and
8 another way I look at this is I believe that
9 prostate cancer warriors, we all need as
10 many arrows as we can get for our quivers,
11 and Provenge really could be one of them,
12 particularly since it could strengthen our
13 immune system with minimal side effects.

14 Indeed, I have a unique journey
15 here. My immune system has played quite a
16 critical role in my journey with prostate
17 cancer. Diagnosed with early-stage disease
18 in `92 and after having had what I call
19 plain vanilla external beam radiation in
20 early `93 I was doing fine with a nadir PSA
21 of 0.06. However, I also had thyroid cancer
22 in 1966 and it was in remission, but in 1997

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1 it came back again after 30 years. And so
2 what happened to me is when I had this
3 recurrent thyroid cancer in 1997 I had to go
4 off my thyroid medication. This
5 substantially reduced my metabolism. Then I
6 was zapped by a significant dose of
7 radioactive iodine, which further
8 compromised my immune system. The good news
9 is that my thyroid cancer was driven into
10 remission and has not returned. However,
11 during and following this treatment my PSA
12 rose, at one point tripling at only nine
13 months. Fortunately, as my immune system
14 recovered from the thyroid cancer treatment,
15 the PSA rise slowed.

16 During the eight years from 1998
17 to 2006, I was able to slow further the rise
18 of my PSA, and this is because I found three
19 non-invasive arrows for my quiver. The
20 first was the active form of Vitamin D
21 called calcitriol. A small study by Dr.
22 Thomas Stamey at Stanford showed that

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1 calcitriol markedly decreased the PSA
2 doubling time of radiation in surgery
3 patients with recurrent disease. Calcitriol
4 did a good job for me of slowing my PSA for
5 two years.

6 I then began to use the alpha 5
7 reductase inhibitors, first proscar and
8 later avodart. The second arrow worked for
9 an additional four years. However, after
10 this time my PSA had reached the mid-teens,
11 but then I saw a West Coast study on leukine
12 by Dr. Eric Small which substantially
13 increased the PSA doubling time of most men
14 with recurrent prostate cancer in this
15 trial. The immunotherapy leukine which I
16 was able to be able to use kept my PSA
17 stable for two more years before it reached
18 18. However, because of reaching this level
19 and it looked like the leukine was having to
20 work hard just to keep it there, last fall I
21 went on triple hormonal therapy, adding
22 casodex and lupron to the avodart I was

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1 taking. It is working well, and I hope to
2 stop it after a year.

3 However, when I go off hormonal
4 therapy and knowing that Provenge, like
5 leukine, also strengthens the immune system,
6 I would hope Provenge would at least be
7 available then for men with advanced
8 disease. This is especially true, since
9 clinical trials of Provenge have shown
10 significant additional survival for men with
11 very advanced disease. Once Provenge
12 becomes available, I believe there's a
13 further possibility that men with less
14 advanced disease and good immune systems
15 like myself could conceivably benefit
16 markedly from it. I would really like to
17 see Provenge be the fourth arrow in my
18 quiver. I appreciate the time this
19 committee has taken for careful
20 consideration of Provenge and I fervently
21 hope that you approve its use now.

22 DR. MULÉ: Thank you, Mr. Grove.

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1 (Applause)

2 DR. MULÉ: Alvin Chin?

3 MR. CHIN: Good afternoon. I
4 have no conflicts of interest to declare. I
5 am here as the coordinator for the speaker's
6 bureau of the Virginia Prostate Cancer
7 Coalition, member of the planning group of
8 the Fairfax INOVA prostate cancer support
9 group and as a member of the Prostate
10 Pointers listserv.

11 I was diagnosed about three years
12 ago, shortly after retiring from government
13 service. I got my diagnosis shortly after
14 retiring and I thought maybe I should have
15 gone to the beach and gotten skin cancer
16 instead. But that was not my fate and I'm
17 here today spending time with you, your
18 valuable time and I thank you for that.

19 At my support group I meet some
20 of those men who are metastatic, are
21 hormone-resistant and are with or without
22 symptoms. They become different people when

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1 they hear that they have moved to the next
2 stage, a stage that takes them closer to
3 their final hour. They are bewildered, they
4 are often aimless and they are scared. That
5 has been repeated. You've heard that
6 before.

7 Noone wants to die a hopeless and
8 painful death, and worst of all noone gladly
9 accepts chemotherapy, the ultimate treatment
10 now that you have run your course with the
11 limited treatments available to men with
12 hormone-resistant prostate cancer.

13 Typically you have suffered
14 through surgery and/or radiation or
15 cryoablation, and if the primary treatments
16 fail you then have to face the fatigue, the
17 mental exhaustion of hormonal therapy.
18 Finally, with hormone resistance you are
19 left with just chemotherapy where they burn
20 the rest of your insides futilely, trying to
21 kill the cancer cells. The side effects are
22 so bad that men refuse to accept the

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1 treatment because they choose to have an
2 improved quality-of-life in their final
3 years.

4 But lo, on the horizon comes a
5 vaccine which has few side effects,
6 Provenge, because it is autologous and uses
7 dendritic cells from one's own body to spark
8 the body's own immune system. Hope is
9 restored. Little or no side effects, and
10 yet one is able to prolong life. I've
11 spoken to many men and they want this. They
12 want another option besides the pain of
13 chemotherapy. They want something that will
14 work and allow them to keep the quality-of-
15 life, especially if it is to be the last
16 years of their life. It is important to
17 them that they live it well. They and their
18 families demand it. It is also important
19 that they attempt to extend their lives.
20 Provenge offers them this, and for the many
21 men that have prostate cancer I ask that you
22 recommend to the FDA that they approve this

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1 revolutionary and historical prostate cancer
2 treatment.

3 At this point in my notes I would
4 have - it says I would have introduced Andy.
5 And I saw Andy, he's a member of my prostate
6 cancer support group. I saw him last night,
7 and I would have asked him to hold up his
8 hands and picture this. He had Band-aids on
9 each one of his fingertips. I don't know
10 about you, but years ago I lost a thumbnail
11 because I hit it with a hammer, and it was
12 painful for months until another nail grew
13 back. In his case all 10 of his fingernails
14 fell off because of the Taxotere treatment
15 that he's on. So it must be very painful
16 for him, and he would have brought it home,
17 but he had to leave early because he was
18 feeling exhausted.

19 Anyway, I understand that
20 Taxotere was approved as a primary
21 chemotherapy when it extended life over
22 placebo by only a couple of months.

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1 Provenge extends life more than twice as
2 long without the pain. The loss of hair,
3 fingernails, vitality, your dignity is
4 something you don't lose with Provenge. Men
5 will gladly trade the side effects of the
6 present hormonal and chemotherapy side
7 effects for the few and transient side
8 effects associated with Provenge and gain
9 more life in the process. The public
10 perception is that Provenge is safe and
11 effective and should be approved.

12 By recommending approval you will
13 give up to 50,000 waiting men, maybe more,
14 new hope and new life with an alternative
15 treatment that works. You will be making
16 substantial history today by approving this
17 new alternative treatment, and I thank you
18 from all those men that you will help today.
19 Thank you.

20 (Applause)

21 DR. MULÉ: Thank you, Mr. Chin.

22 Richard Gillespie?

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1 MR. GILLESPIE: My name is Dick
2 Gillespie. I'm chairman of the Virginia
3 Prostate Cancer Coalition. I also run a
4 very successful Us TOO group.

5 My cancer is low-grade, but
6 within my group there are a number of senior
7 individuals, basically, whose hormone
8 therapy is no longer working. They're sort
9 of bereft of hope, and they're scared to
10 death of chemotherapy. And to bring a
11 little more - something more personal in
12 this thing, one of the members of my
13 prostate cancer support group, my neighbor,
14 was one of the most conscientious
15 individuals in learning new procedures and
16 following them. All of a sudden he got to
17 the point, hormone therapy really was not
18 working anymore, and it - we had a speaker
19 from the National Cancer Institute come over
20 and talk about vaccines. After that, he
21 went up and talked to them and the
22 individuals felt very strongly he should get

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1 into the clinical trial program, probably on
2 Provenge. His health wasn't quite up to it,
3 however, and before he was able to start,
4 the PSA really spiked. He was put on
5 Taxotere. Taxotere, the side effects drove
6 his white blood cells and his red blood
7 cells down to nothing. He went into the
8 hospital for a whole series of blood
9 transfusions. From there on in, his demise
10 was painful and quick. Here again, as I
11 review my own relationship with my neighbor
12 over there, if he had Provenge this all
13 might have been prevented. Thank you.

14 (Applause)

15 DR. MULÉ: Thank you, Mr.
16 Gillespie. The final speaker is Jan
17 Manarite.

18 MS. MANARITE: I'd like to ask
19 you all to close your eyes for a moment
20 because I want to paint you a picture. PSA
21 7,096.0. Prostate cancer to the bone,
22 including hips, pelvis, spine and skull.

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1 Bone metastasis to the entire spinal cord,
2 including the thoracic 7, 8 and 9, which
3 included complete marrow involvement and
4 spinal cord compression. This patient had
5 to be totally sedated for MRI and bone scan
6 because of undiagnosed pain. He did not
7 know his PSA was over 7,000 because he had
8 never had one. He was 58. This patient
9 named Dominic awoke from sedation for his
10 imaging. He looked at his wife and said,
11 "Baby, did they cut me because I'm so cold?"
12 "No, honey," I said, "they didn't cut you.
13 You're okay." Dominic was paralyzed from
14 the waist down and his entire left side.
15 This man is my husband.

16 My name is Jan Manarite. I am
17 the Florida educational facilitator for the
18 Prostate Cancer Research Institute. I am
19 here on behalf of a grassroots initiative
20 for advanced prostate cancer patients called
21 Raise a Voice. Today, I am one voice.

22 We went to a leading cancer

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1 institution for a second opinion. By the
2 way, my husband did recover and four days
3 later, after bilateral laminectomy he walked
4 out of that hospital. I want you to know
5 that. I am told that that doesn't always
6 happen. So we went to a leading cancer
7 institution in Florida, about two hours
8 north of Fort Myers, very close to St.
9 Petersburg for a second expert opinion.
10 They wrote my husband off and offered no
11 treatment options. The one doctor we saw
12 was a urologist who specialized in geriatric
13 medicine. My husband was only 58. He said,
14 "I would not give a bisphosphonate to my
15 brother." He said something about efficacy,
16 which I didn't fully understand at the time
17 and an endpoint which was never proven at
18 his institution. It made no sense to me
19 even though I was not a physician and I knew
20 little about prostate cancer at the time, so
21 we fought for a bisphosphonate. We fought
22 for Aredia because Omeda was not yet

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1 approved. We fought the doctor, we fought
2 the insurance company. My poor husband was
3 just trying to fight his cancer. We won.

4 Dominic went seven years without
5 a fracture, pathologic or because of
6 osteoporosis, induced by hormone therapy
7 which gave him no testosterone for seven
8 years. That is because of the
9 bisphosphonate that we fought for. The
10 bisphosphonate is what he needed. A miracle
11 is what we fought for and what we received.

12 I forgave that institution
13 because God had bigger plans for this
14 family. That was March of 2000. Today
15 Dominic's PSA is about 2.7. Our son is 16.
16 He's preparing for varsity football in his
17 senior year in high school. He was nine
18 when my husband was diagnosed in fourth
19 grade. We purchased new memories because we
20 fought. I forgave that institution because
21 it is not the nature of science to be
22 perfect. It is the nature of science to

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1 provide for humanity with excellent
2 probabilities. One famous scientist said,
3 "It runs as follows. The state is made for
4 man, not man for the state. The same may be
5 said of science." Science is made to serve
6 humanity, not humanity to serve science.
7 This scientist went on to say, "These are
8 old sayings, coined by men for whom human
9 personality has the highest human value. I
10 should shrink from repeating them were it
11 not that they were forever threatening to
12 fall into oblivion." That was Albert
13 Einstein. It was 1931.

14 Dr. Mulé, you know more about
15 immunology than most of us in this room will
16 ever hope to forget or pronounce. We are
17 thankful for that and we are thankful to all
18 of you because all of you here do something
19 that we cannot. I forgave that institution.
20 Dr. Mulé, I'm going to ask you to forgive me
21 because I'm about to quote you. You have a
22 commentary that was published with Jeffrey

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1 S. Weber in the Journal of Clinical
2 Investigation, March, 2001. It was
3 entitled, "How Much Help Does a Vaccine-
4 Induced T-Cell Response Need?" The
5 commentary was about breast cancer
6 immunotherapy, including HER-2/neu. At the
7 conclusion, trial design was discussed,
8 including this statement. "A secondary
9 endpoint would be to correlate immune
10 response with survival, the ultimate
11 challenge to the cancer vaccine field." If
12 that be the case, then hasn't Provenge met
13 the ultimate challenge?

14 Today there are things we know
15 and there are things that we do not know.
16 Here's what I do not know. Can Provenge be
17 single-handedly responsible for reducing the
18 prostate cancer death rate of 27,000 per
19 year, 520 a week? Since I got here 24 hours
20 ago, 74 more men have died and their
21 families are mourning right now. I don't
22 know if that's possible, but I wonder. Will

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1 you make history today by approving the
2 first therapeutic immunotherapy for cancer?
3 I don't know, but I wonder. Will other
4 cancers eventually benefit from Provenge
5 being approved, melanoma, breast cancer,
6 lymphoma? I don't know, but I wonder.

7 It is not the nature of science
8 to be perfect. No studies are perfect.
9 None yield 100 percent results. It is the
10 nature of science to be sound, to give us
11 excellent probabilities with honest
12 representation and to serve humanity. Today
13 you bring us the science. We bring you
14 humanity. Thank you.

15 (Applause)

16 DR. MULÉ: Thank you, Mrs.
17 Manarite. On behalf of the committee, I'd
18 like to thank all the speakers for sharing
19 your personal experiences and stories with
20 us. At this juncture, we'll break for lunch
21 and we'll plan to reconvene at 1:45.

22 (Whereupon, the foregoing matter

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1 went off the record at 1:03 p.m. and went
2 back on the record at 1:52 p.m.)

3 DR. MULÉ: Okay, this part of the
4 agenda will deal with specific questions
5 that were comprised by the FDA for the
6 committee and for discussion by the
7 committee. To expedite the process
8 individuals were selected from the committee
9 to start off each question for discussion.
10 Once we go through that then we'll have the
11 vote. With respect to the vote, when I ask
12 a committee member for his or her vote, I
13 will also ask for a brief reason for the
14 vote. And again, there will be two separate
15 votes which will cover Questions 7 and 8
16 which are the voting questions.

17 So we'll begin with Advisory
18 Committee Question Number 1 which is listed
19 here and we have Dr. Dubinett to lead us off
20 on that discussion.

21 DR. DUBINETT: So the first
22 question relates to how the variability in

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1 each product dose in respect to the total
2 number and range in cell ratios can be
3 expected to affect product quality, safety,
4 or effectiveness. And just -- you know --
5 to briefly summarize, to go back as
6 summarized in the final slide as presented
7 by Dr. Wonnacott earlier, the product has
8 cell numbers that vary, the relative
9 percentage of those cells vary and the
10 contribution of other cells to the product
11 activity is not known. And so I think that,
12 in terms of how we view the product, we're
13 actually dealing with something that does
14 not draw any real analogy perhaps to
15 cytotoxics or other types of therapies. And
16 so I think what is before us is making some
17 assessment of a product that, by necessity,
18 is variable by virtue not necessarily of the
19 manufacturing process from the data that
20 we've seen, but in fact is variable by - as
21 a function of the individual patient's
22 leukapheresis product is what I've

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1 understood from what we've seen.

2 And so I think we could begin the
3 discussion just to ask - have a discussion
4 of how these variables might affect quality,
5 safety and effectiveness. And I can just
6 begin the discussion by suggesting and going
7 back to something I think that was said
8 earlier, and that is that although we're
9 looking at CD54, that this I think as Dr.
10 Levitsky mentioned and I think built a
11 cogent hypothesis to suggest, that, in fact,
12 the phenotype of the antigen-presenting cell
13 may well be dictated by T-cell elements in
14 the environment, either in vivo or in the
15 product. So I think one of the questions
16 that we could ask is what other cellular
17 elements and phenotypes might be there in
18 addition to those that we've seen. For
19 example, are the CD3 cells containing a
20 population of T-regulatory cells that are
21 not appreciated. So we can have some
22 discussion of that from committee members.

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1 DR. MULÉ: Any comments about the
2 other cell types within the product and how
3 those other cells may influence positively
4 or negatively the APCs within the product?

5 DR. TAYLOR: I'd like to ask if
6 there's been any double-staining of CD54 and
7 the other markers, CD14, CD3. I didn't see
8 any of those data. And if so, if we could
9 get a sense of what percentage of the
10 population is doubly positive that might
11 actually narrow down the efficacious cells.

12 DR. MULÉ: Is there someone from
13 Dendreon who would like to take that?

14 MS. SMITH: Nicole Provost.

15 DR. PROVOST: We don't routinely
16 double-stain for manufacturing data. It's a
17 - adds double the work. But we have done
18 development studies to look at the CD54
19 population, both from the large cell forward
20 scatter graph that I showed you and the
21 total CD54 population. We're having trouble
22 getting data projected. Yes, we're shifting

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1 between systems here.

2 DR. MULÉ: Maybe you could just
3 summarize without the slide.

4 DR. PROVOST: Okay. The vast
5 majority of CD54-positive cells are
6 monocyte-derived. However, you do see a
7 shift in the total CD54 population, not the
8 large cells. The large cells are what we
9 use for lot release and it is that number,
10 the large cell APC fraction of 54-positive
11 cells that we use as the lot release value
12 for determining acceptance or rejection of
13 the product. And it's that APC number that
14 is correlated with the Kaplan-Meier
15 survival.

16 I can refer you to Figure 36 in
17 the briefing document, in our briefing
18 document, if you want to read along. When
19 we looked just at CD54-positive cells in
20 total - at Week Zero we have a higher
21 fraction of those cells being monocyte or
22 CD14. And the relative percentage as a

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1 function of the weeks of infusion, Weeks
2 Zero, 2 and 4 goes up over time. We see
3 slight variations, although probably not
4 really significant in the B-cells and the
5 NK-cells and their percentage of the CD54
6 population. So we do have reason to believe
7 that the T-cells may be getting activated
8 during the course of the treatment. We
9 don't have antigen-specific information in
10 terms of what those T-cells are directed
11 against because of the difficulties with HLA
12 typing and actually assaying each patient
13 lot.

14 DR. DUBINETT: So do you know
15 anything about the population of CD3 cells
16 in terms of the percentage that may be T-
17 regulatory or CD4-, CD25-positive?

18 DR. PROVOST: We've done
19 phenotyping, but we haven't done systematic
20 studies for the patient populations. Those
21 are difficult studies to do just in terms of
22 getting samples from manufacturing lots. We

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1 can tell you they're there. We haven't seen
2 large changes in those populations, but I
3 couldn't definitively give you information
4 on the T-regs.

5 DR. MULÉ: Dr. Levitsky made a
6 very good point, and he's rarely wrong,
7 about the role, potential role of T-cells in
8 further activating or up-regulating CD54 on
9 monocytes, particularly in the second
10 leukapheresis product. You know, the
11 question always is is there any evidence
12 that the T-cells within the second product
13 are reactive to antigen, and also are the B-
14 cells within the second product producing
15 antibodies say to PAP. Because it gets back
16 to the issue do you really want to remove
17 cells that may be beneficial and complicate
18 the process if there's really no need to do
19 that, first of all if there's no negative
20 influence and secondly, if there is indeed
21 some evidence, even if it's laboratory-based
22 data that there's a hint that the T-cells or

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1 B-cells within the second and third products
2 may have activity.

3 DR. PROVOST: Regarding antibody
4 concentrations, the only solid data we have
5 are from the immune monitoring patients
6 where we assayed for antibody concentrations
7 as well as T-cell stimulations. And we did
8 find antibody responses against the PA2024
9 again, not that many against seminal PAP,
10 kind of middling values against the GMCSF
11 portion of the molecule, and virtually none
12 in the placebo group that were studied.

13 Regarding the notion of
14 separating or otherwise segregating the cell
15 population, the rationale was that this is -
16 these are blood-borne cells, they come in
17 with a large variety of cells. We are
18 targeting the APC fraction, but we're not
19 precluding the interaction of all the other
20 cell types that are there. We didn't see
21 any dose relationships for those other cell
22 types with regard to survival. And that's

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1 not necessarily surprising because you
2 wouldn't expect this to be a titrate-able
3 sort of activity as you would a drug which
4 binds to a receptor on a particular set of
5 cells.

6 DR. DUBINETT: I think that you
7 had mentioned earlier that there was a
8 granulocyte relationship you thought with
9 the CD54 expression?

10 DR. PROVOST: Yes, I mentioned
11 that we have some weak correlations right
12 now. We haven't got enough to actually
13 stand on it yet. That's why I'm not showing
14 it to you. One of the issues is that our
15 process actually reduces granulocytes. I
16 think that was pointed out well in the FDA
17 briefing document. And when you get down to
18 those low levels, they're actually hard to
19 measure, actually hard to quantitate. So
20 getting a reliable number is difficult.
21 What we've done are some add-back studies to
22 show that we can affect that.

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1 DR. MULÉ: Franco.

2 DR. MARINCOLA: A clarification,
3 maybe I missed it, but in the material you
4 provided I saw that a lot of CD54 up-
5 regulation is due to T-cell activation.
6 It's not only just the monocytes component,
7 but also T-cell and NK-cell seems to up-
8 regulate. In the data that you showed about
9 the relationship with CD54 expression and
10 survival, are - what are you looking on?
11 Are you looking only at large cells, or the
12 whole population? Because that might
13 explain why you might have a better --

14 DR. PROVOST: Right. The data
15 that I showed you regarding the survival
16 correlation was only for the APC population.

17 DR. MARINCOLA: So is that
18 specific?

19 DR. PROVOST: That's specific for
20 the APC population. That's the release
21 assay for manufacturing.

22 DR. MULÉ: So when you did the

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1 analysis of the quartile of increases in
2 CD54 up-regulation with survival, was there
3 any link with contaminants like NK, presence
4 of T-cells, or no?

5 DR. PROVOST: We phenotyped all
6 of those cell populations as part of the lot
7 release criteria. We didn't see any other
8 linkage.

9 DR. MULÉ: Kurt?

10 DR. GUNTER: It would seem to me
11 that since this is an autologous product,
12 you know, the product should be given some
13 latitude in terms of specs because every
14 product is unique for every patient. We
15 could easily sit here and decide we're going
16 to define arbitrary thresholds below or
17 above which you can't give the product, but
18 that would probably result in a lot of
19 patients not being able to get product. I
20 mean I could see if this was an allogeneic
21 product where we should work really hard to
22 define some reasonable specs for the

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1 product, but I just don't think it's going
2 to be reasonable, except if we find some
3 data that would indicate that there's a
4 safety issue. Then I think we should make
5 some pretty strict cutoffs about cell
6 numbers, et cetera.

7 DR. MULÉ: Other comments?
8 Matthew.

9 DR. ALLEN: I'd preface this; I'm
10 not an immunologist, so this may be a bit
11 naive, but can I just - point of clarity.
12 When you stimulate with the antigen, you're
13 doing what with essentially the product, the
14 whole product, so it's antigen-presenting
15 cells plus whatever else is in there. So I
16 guess my question is, and this is just
17 approaching it from a sort of simplistic
18 point of view, is if you have a product that
19 contains antigen-presenting cells and other
20 cells, and if you have the ability with flow
21 to determine. do they have phenotype, can
22 you not do cell sorting and select out. So

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1 for example, could I not do an - if I wanted
2 to know whether or not activation of T-cells
3 in some way was an issue, could I not do an
4 experiment where, admittedly with frozen
5 products, I took the original product and
6 then the product from the second pheresis
7 and then split up the antigen-presenting
8 cells and the T-cells and fed them back and
9 did a flip-flop experiment. Because the
10 premise would be if T-cells are important,
11 then I'm going to get more CD54 up-
12 regulation with my antigen-presenting cells
13 from batch one using batch two's T-cells.
14 Is that not a logical thing that could be
15 done, and has anything like that been done?

16 DR. PROVOST: Well, you might be
17 able to do that in syngeneic mice. I'm not
18 even sure you could, but in the patient
19 population batch two, Week 2 depends on Week
20 1 or Week Zero having been infused. So
21 since this is a fresh product, all the
22 uptake of antigen is in the presence of all

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1 the other cell types, all of those cell
2 types go back into the patient. Those sorts
3 of experiments, while they would be very
4 interesting to do turn out to be
5 logistically very difficult.

6 DR. MULÉ: Maha, do you have a
7 question?

8 DR. HUSSAIN: In the concept of
9 therapeutics we try to give what we think an
10 effective dose, and then you understand that
11 not every patient is going to respond to
12 what you've given them, and if they don't
13 respond then you know you have done the best
14 you can, you've given the effective dose and
15 it did not work for that cancer. How do
16 you, in the setting of this, ensure that
17 every single patient of those 55,000
18 patients out there who may get this drug are
19 in fact getting a quality-assured treatment,
20 understanding that we heard from the FDA
21 speakers that there's the issue of
22 leukapheresis and there's a variety of

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1 parameters that impact that, not the least
2 of which availability of leukapheresis
3 machines, and then of course who's running
4 them and how long did it take before it got
5 to you, and all of these details. And
6 judging by the fact that, if I understood
7 the quartiles again correctly, that only
8 certain patients who are above a certain
9 level are the ones who benefitted, that even
10 adds another glitch in this whole process,
11 you know. And when you have a second study
12 that's negative then it adds a third glitch
13 in the process. So what do you do to assure
14 that a single patient anywhere in the United
15 States who's going to get this is getting
16 what you have given them in the study and
17 have been given a fair trial?

18 DR. PROVOST: The apheresis
19 process is actually a standard medical
20 procedure used for donating white blood
21 cells and fractionating platelets, et
22 cetera. Standard processing parameters are

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1 used. We qualify the apheresis centers to
2 make sure they're following protocols. We
3 have a program that's being planned at the
4 moment to register those centers and these
5 apheresis centers will need to be registered
6 with the FDA as tissue establishments. We
7 have - I think I mentioned that we have a
8 normal donor program that we use for
9 development as well as assay validation and
10 process validation. And what we see is that
11 we do occasionally have repeat donors that
12 come in and those, even if they're going to
13 the same site, same person, same apheresis
14 center you do see slight variations, but not
15 great. And even that being said, early
16 clinical studies set out to establish some
17 sort of dose and to look for a response.
18 The early studies were not survival studies.
19 They were looking for immune responses or
20 some indication of disease progression.

21 And those early studies, one,
22 looked for the lowest dose as a fraction of

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1 an apheresis that could elicit an immune
2 response against the immunizing antigen.
3 That turned out to be very low, around one-
4 tenth of an apheresis. On the flip side,
5 the early studies looked for limiting dose
6 toxicities, how high could you go, how many
7 cells could you infuse before you started to
8 see adverse events. And we bumped up
9 against the maximum number of cells that we
10 could apherese and didn't see them. And
11 that's how we established one apheresis, one
12 and a half to two blood volumes in duration.
13 And that coupled with the CD54 data which
14 suggests that it's that APC fraction that
15 takes up, processes, and presents the
16 antigen led us to then focus on the APC
17 fraction for dose and allow the rest of
18 those cells to be there since they didn't
19 have a positive or negative effect that we
20 could measure.

21 DR. MULÉ: Larry?

22 DR. KWAK: On the topic of

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1 product characterization we haven't heard
2 very much either from the sponsor or the FDA
3 about the recombinant antigen. Just
4 wondering if you know, quality control,
5 purity: is this considered a reagent and
6 therefore not relevant to the discussion,
7 or?

8 DR. WONNACOTT: I can say that we
9 find it to be very relevant to the product
10 and we - I think where we're at is that we
11 just don't feel like we need the
12 recommendations of the committee on the
13 antigen. We're comfortable with the
14 information that was provided in the BLA.

15 DR. MULÉ: Savio.

16 DR. WOO: My question is just for
17 some clarification in my own mind. I mean,
18 today I've heard the presentation on the
19 CD34 correlates and is being used as a
20 potency issue that's for the product in
21 terms of the trial. And then we learned
22 that the immune response was really seen

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1 with the hybrid protein, but not to the PAP
2 antigen. And then we were told that the
3 CD54 up-regulation is really not correlated
4 with the reactivity to even the hybrid
5 protein. As we hear more and more about the
6 CD34 things, and then we heard the sponsor
7 indicates that the CD54 is really a
8 manufacturing thing and is not prognostic
9 and that it's not the only predictor. So I
10 was wondering you know is CD54 being used
11 for the potency claim still being maintained
12 by the sponsor, or is it being withdrawn
13 because I'm confused.

14 DR. PROVOST: CD54 up-regulation
15 is used as a product release --
16 manufacturing product release parameter. We
17 presented the data looking at CD54 up-
18 regulation and correlating that with
19 survival basically as a reality check, to
20 see is this survival benefit that we
21 measured attributable or correlating with
22 anything. Is it a fluke? We don't use CD54

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1 up-regulation in any way as a prognostic
2 factor. We basically use it as a biological
3 correlate for activity inasmuch as we
4 activate cells in the process. We have a
5 minimum spec for that.

6 DR. WOO: If that were the case
7 then because the entire concept of this
8 product is really to stimulate the patient's
9 immune response to go reject the cancer.
10 And yet CD54 up-regulation being used in
11 this correlative sense is not correlated
12 with the reactivity to even the hybrid
13 protein. So how can we be assured that this
14 treatment was actually leading to a T-cell
15 mediated, or immune-mediated rejection of
16 tumors? Or is this something that has
17 happened?

18 DR. PROVOST: Let me back up a
19 minute and state again that the immune
20 response against the PA2024 immunizing
21 antigen, the magnitude of that immune
22 response as measured in our assays by a T-

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1 cell proliferation assay doesn't correlate
2 with CD54 up-regulation. Now that's a small
3 subset of the patients that were measured in
4 the total trial and that T-cell stimulation
5 assay was not meant to be correlative to any
6 other immunological parameter. It was
7 basically to see whether the patients
8 responded to the immunizing antigen, and the
9 data we showed said that yes, they did. It
10 was a clear difference between those that
11 were immunized and those that weren't, but
12 we're not putting any credence behind the
13 magnitude of the immune response from that
14 assay.

15 DR. WOO: Could I ask then what
16 evidence is there to suggest that the
17 treatment actually leads to any anti-tumor
18 immune response in the patients? Any
19 evidence at all.

20 DR. PROVOST: We are not trying
21 to imply that we're seeing tumor shrinkage.
22 We didn't see objective responses. We

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1 believe it is probably -

2 DR. WOO: That's not my question.

3 I'm sorry. My question is: is there any

4 evidence that the treatment leads to an

5 anti-tumor immune response in patients.

6 DR. PROVOST: None other than the

7 survival effect and the differences in

8 prostate cancer survival.

9 DR. WOO: Okay, thank you.

10 DR. MULÉ: Savio, my -- in my

11 view this is more condemnation of the field

12 as it is not necessarily a condemnation of

13 what we're asked to review today because in

14 reality if you scan the literature and you

15 look at all the clinical trials that have

16 been done in Phase I/Phase II and you look

17 at all the intricate monitoring of patients

18 that have been done with specific peptides,

19 with T-cell clones, with LE spots, very

20 quantitative, coded, blinded samples I think

21 it's fair to say there's absolutely no

22 correlation between the robustness, the

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1 specificity of whatever monitoring is being
2 done and clinical response. That's the
3 reality. That's the reality.

4 DR. DUBINETT: I was going to say
5 something similar, but also in the same
6 vein. I would be very surprised, in fact,
7 if a single antigen-presenting cell marker
8 predicted a response and I would be very
9 surprised if it were CD54. So I think I
10 wouldn't be distracted by the fact that in
11 fact it may be a manufacturing tool, but as
12 a single marker I think it would be rather
13 extraordinary to find a single factor that
14 predicted that response. It's likely to be
15 multiple and would require clearly much more
16 work to be done to define that.

17 DR. MARINCOLA: Can I make a just
18 brief comment too? I think that in your
19 help I think that the most compelling reason
20 to use CD54 as the data show that seems to
21 be the best marker to delineate those cells
22 that actually present in the antigen, where

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1 100 percent of the cells. So it's the
2 potency I think it's the closest that I can
3 imagine it showing that they're delivering
4 the number of cells they're delivering and
5 the quality is appropriate. So definitely
6 the immune response will tell a different
7 story and I agree with how everything else
8 has been said, but I think it's pretty
9 compelling. CD54 seems to be very, very
10 good marker for what it's supposed to do.

11 DR. MULÉ: The CD54 discussion,
12 when I look at the questions they're more
13 related to 2 so we can continue this
14 discussion and maybe combine Questions 1 and
15 2, and Glenn, if you want to continue the
16 discussion related to 54 with Question 2
17 that'd be good.

18 DR. DRANOFF: Sure. I think
19 Question 2 is also intimately linked to
20 Question 3.

21 (Laughter)

22 DR. DRANOFF: So essentially this

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1 relates to what is the mechanism of action
2 of this immunotherapeutic approach. And I
3 think there are several important parameters
4 to point out. We should talk a little bit
5 about the prostatic acid phosphatase as an
6 antigen, whether in fact that is the major
7 antigen that an immune response is elicited
8 against, whether there are involvement of
9 other potential prostate cancer antigens.
10 We need to talk about what are the specific
11 immune effector mechanisms that are likely
12 to be active here. Then we need to think
13 about whether the antigen-presenting cells
14 in this product function directly to
15 stimulate T-cell or B-cell responses to the
16 prostatic acid phosphatase, or whether they
17 might work indirectly in vivo. And I think
18 it's fair to say that all of these issues
19 are essentially at the heart of much current
20 work in cancer immunology. We could spend
21 days at meetings talking about these, so I
22 don't think we're going to come to a final

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1 resolution, but at least for the folks who
2 don't think about the cancer immunology
3 issues all the time it's important to
4 represent what some of these considerations
5 are.

6 So first the antigen, prostatic
7 acid phosphatase. As far as the literature
8 indicates, it's a protein whose expression
9 really is limited to prostate or prostatic
10 carcinoma. The literature doesn't indicate
11 that it involves any mutations, so it's fair
12 to classify this protein as a normal
13 differentiation antigen, and it's fair to
14 point out that many people in the field
15 believe that targeting differentiation
16 antigens can be therapeutic and there are a
17 large number of clinical trials exploring
18 that. On the other hand, the protein is
19 also secreted. We saw how that was used as
20 one of the patient characteristics and these
21 characteristics of having a large amount of
22 the protein in the patient actually make it

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1 much more difficult to generate an immune
2 response and might account in part for why
3 the investigators have had difficulty
4 detecting these responses. Now, in the
5 literature it is clear, however, that there
6 are antibodies that can be developed to the
7 protein. There are CD4 T-cells, or helper
8 T-cells, and then there also are CD8
9 cytotoxic T-cells. And while the exact
10 importance of each of those cell types and
11 antibodies to an anti-tumor effect is still
12 a matter of investigation, I think the field
13 would agree that if you could develop
14 responses to any one of them or more of them
15 that would be a useful thing.

16 So we've heard mostly thus far
17 that the monocyte population in the product
18 is likely to be the most important antigen-
19 presenting cell. I think the data is
20 compelling that the large proportion of the
21 exogenous protein is taken up by the CD14
22 probably monocyte population. But there's

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1 another cell population that's much rarer,
2 the dendritic cells, which are several
3 orders of magnitude more potent as antigen-
4 presenting cells than monocytes, and we
5 really haven't characterized their role yet.
6 But it's likely that the provision of GMCSF
7 has been enhancing the activity of both the
8 monocytes and the dendritic cells.

9 Now, the antigen is given to the
10 antigen-presenting cells essentially as a
11 soluble protein and it's quite clear that
12 that mode of presentation is efficient for
13 stimulating CD4 responses and indirectly
14 antibody responses, but it's not a very
15 efficient way to generate cytotoxic T-cell
16 responses. And indeed we haven't heard any
17 discussion about measuring CD8 responses
18 which many would think might be of great
19 importance. So it's unlikely in my view
20 that this approach is going to be a good way
21 for generating CD8 responses in the direct
22 mode of presentation. Now, in terms of

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1 measuring whether the antigen-presenting
2 cells are properly activated, we've heard
3 from many people already that ICAM is almost
4 certainly a part of that process, and
5 there's good evidence that if you block ICAM
6 function or if you make animals with
7 deletions in this gene that their antigen-
8 presenting cells don't work as well. And it
9 certainly is an easy thing to measure, and I
10 think the data presented have indicated
11 quite convincingly that ICAM up-regulation
12 is an indicator of the response of their
13 PBMCs to the PAP GMCSF protein.

14 So, from this data can we really
15 conclude that the intended mode of improving
16 antigen presentation actually has occurred
17 in vivo? And, although there really are not
18 very convincing evidence for PAP-specific
19 responses in my view, I think there is
20 compelling evidence for reactivity to the
21 fusion protein. And it's likely that that
22 reactivity is because it's easier to

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1 generate immune responses to novel sequences
2 the patient hasn't been living with, and I
3 think that that frequency of developing T-
4 cell and antibody responses to the fusion
5 protein really does support the idea that
6 there is improved antigen presentation going
7 on as a function of this therapy. Now, is
8 that actually the direct way that this might
9 work in vivo? And there I think it's fair
10 to say that's less clear. It is probably
11 very useful, though, to be infusing into
12 patients activated antigen-presenting cells.
13 Rather a large number are being infused and
14 in my judgment these cells are likely to
15 traffic throughout the patient and indeed
16 may even be attracted to areas where there
17 is some ongoing inflammation, perhaps due to
18 a tumor deposit. And I think it's
19 plausible, though clearly more study would
20 be required, that it's actually the
21 trafficking of these cells to sites of
22 tumors or maybe even draining lymph nodes in

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1 the patient which might provide a secondary
2 activation of antigen-presenting cells in
3 the patient which could lead to presentation
4 of many more antigens than PAP, probably
5 those that could be more important for tumor
6 rejection. So I'm just trying to outline
7 some of the complexity of this pathway.

8 There are many unknowns, but
9 there is clear evidence in my view, that
10 this manipulation is activating antigen-
11 presenting cells and I find compelling,
12 actually, the scenario that Hy Levitsky had
13 raised that the activation of the PBMCs
14 that's apparent in the second and third
15 products is an indirect, but probably
16 important indicator that the immune system
17 in the patient has been activated. They
18 provided in the appendix evidence that
19 cytokines are being produced. So from the
20 first principle that you're going to try to
21 improve antigen presentation; does this
22 product have the capacity to do that? I

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1 think the answer is clearly yes. The
2 specificity of that, however, is unclear.

3 DR. MULÉ: Dr. Provost, so
4 talking about CD54 up-regulation, the
5 numbers are small, but if you combine
6 Studies 1 and 2 there were 20 patients that
7 never received the third infusion, and I
8 think the numbers were about five or so that
9 only received one infusion. Have you done
10 any analysis, number one, of whether or not
11 the number of infusions are important or any
12 correlation with cerebrovascular effects,
13 number one. And number two, I know there
14 was no correlation with cell number and
15 cerebrovascular effects, but I don't know if
16 an analysis - certainly I failed to see it
17 in the documents, of whether infusion number
18 had an impact on that, number one, and
19 number two, when you look at the survival
20 curves of the quartiles, where do those
21 patients sit in that analysis?

22 DR. PROVOST: Sorry, I'll go to

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1 the microphone so I can clarify. Where did
2 - when we look at the quartiles, where did -
3 which patients? You mean those that only
4 got one or two?

5 DR. MULÉ: Look at number of
6 infusions where patients only received one
7 infusion of Provenge versus two, where do
8 they lie?

9 DR. PROVOST: I don't have the
10 data before me, but I could make a guess.
11 Since the data that I showed you were
12 cumulative CD54 values, they were more
13 likely to lie on the lower end, but I
14 preface that by saying we have not done that
15 analysis.

16 DR. MULÉ: It's an interesting
17 component because if you look at the third -
18 an analysis of phenotype of the third
19 infusion versus the second infusion, there's
20 really not a lot of difference.

21 DR. PROVOST: Right.

22 DR. MULÉ: So it begs the

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1 question, do you really need the third
2 infusion. You know, that's an issue, but
3 the numbers are small obviously.

4 DR. PROVOST: Right.

5 DR. MULÉ: But I think it's an
6 analysis that would be worthwhile. And
7 getting back to the serious adverse events,
8 did you look at that, whether those
9 patients, with infusion number?

10 MS. SMITH: I'm going to ask Mark
11 Frohlich, Vice President of Development.

12 DR. FROHLICH: In terms of the
13 CVA patients, all of those patients received
14 three infusions so there didn't appear to be
15 a correlation with the number of infusions.

16 DR. MULÉ: Other comments?
17 Doris.

18 DR. TAYLOR: Following up on that
19 though, you said the salvage patients did
20 not show any cerebral vascular incidents.
21 Did they also receive three infusions?

22 DR. FROHLICH: They were all

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1 scheduled to receive three infusions. I
2 can't speak to the number broken down. The
3 patients who get the salvage treatment do
4 receive a somewhat lower dose than the
5 standard sipuleucel-T.

6 DR. MULÉ: Let's move on to
7 Question 3 which again was spilling into the
8 next question with these discussions. But,
9 Franco, if you could maybe talk a little bit
10 more about the immune monitoring component.

11 DR. MARINCOLA: Well, a lot has
12 been said already, so I will summarize
13 briefly. And I have to say that the - from
14 the quantitative aspect the effect of the
15 product has been very striking, so obviously
16 it is doing something. But the question is
17 what it's doing as was being pointed out
18 just now. And you know, of course you can
19 go into esoteric discussion about the
20 junction or region of the recombinant
21 protein being particularly immunogenic
22 because it's seen as foreign or maybe, I

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1 mean it could be other issues like
2 contaminant products, contaminants in the
3 product. There may be - would serve as
4 immunogens both in in vitro and in vivo. So
5 I don't know, it's interesting, but of
6 course lacks a lot of specificity. So I
7 don't know whether the immunological data
8 that have been provided are informative at
9 all to answer the question of whether this
10 product reaches the desired biological
11 endpoint - I mean, effects. And of course
12 it would be nice to know what the
13 contribution of CD8 cells versus CD4,
14 cytotoxic T-cells. It would be nice to
15 prove antigen specificities using the R1
16 patients who epitopes are known, or use
17 epitope libraries somebody suggested, or use
18 - and also use tests, maybe a little bit
19 more specific than proliferation assays like
20 - which are obviously biased CD4 responses
21 or CD8 responses, like LE spot and other
22 arrays.

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1 So having said that, however, I
2 have to agree with what Hy and - so many
3 times Hy Levitsky and maybe Jim just said,
4 that truly, does it really matter because
5 the evidence in the literature is that
6 looking at the systemic responses to
7 vaccines there's not a relationship
8 whatsoever with the clinical outcome. Maybe
9 because we are looking at the wrong place,
10 we should look at the tumor side. So there
11 is so much immunology that we don't know
12 yet, and maybe it's just a nice, very
13 important intellectual exercise, academic to
14 discuss what happens, but maybe not relevant
15 whatsoever to the product. So I think
16 discussing the immunology of this product I
17 think should be encouraged because obviously
18 if you could find -- the sponsor could find
19 eventually some kind of relationship between
20 some immune responses and clinical outcome
21 then one day it could be a good surrogate
22 marker instead of having to wait for years

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1 to see what the outcome would be, and to
2 predict, maybe, the effect of the treatment.
3 But for the moment I don't think really the
4 data provide as well as the knowledge of
5 immunology should bear in the decision-
6 making about whether the product should be
7 approved or not. I think it's just an
8 interesting discussion, and I think we can
9 talk about that if we have to, but that's my
10 impression. So whoever wants to say
11 something.

12 DR. MULÉ: Other comments?

13 DR. DUBINETT: I would only add
14 that some measure of assessment of what
15 we've done to T-regulatory activities and
16 suppression would add to this. And I think
17 this is in part echoed in what Glenn Dranoff
18 has recently written about. But we really
19 have of course embarked on therapies, a
20 number of which we now know are very good
21 inducers of suppression. And this would be
22 an opportunity to find out where this

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1 particular therapy sits in that spectrum of
2 activity.

3 DR. MARINCOLA: From the academic
4 standpoint there are lots of interesting
5 questions to look at, but practically
6 speaking I think - I guess the most
7 important thing is whether we believe the
8 survival data or not.

9 DR. DUBINETT: I agree.
10 Absolutely.

11 DR. MULÉ: Other comments? Okay,
12 let's move on to Question 4. What I'd like
13 to do is go through the questions and then
14 at the end, I'll ask FDA specifically
15 whether we've covered what you need and then
16 we can go back if necessary. Howard?

17 DR. SCHER: So with respect to
18 the cardiovascular accidents or CVAs as a
19 potential safety issue, I think this
20 analysis really reflects some of the issues
21 that have come up in terms of small numbers
22 of patients and extrapolating results from

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1 particular prostate cancer cohorts, in this
2 case patients enrolled on different trials
3 with different eligibility criteria. So if
4 you look across the population, the absolute
5 difference in the cardiovascular events of
6 1.3 percent certainly is not different. But
7 then if you look within the androgen-
8 independent population, for whom the
9 indication is requested, you do see a
10 difference that although it does not reach a
11 0.05 p-value, absolute numbers of 5 percent
12 versus 1.7 percent, 4.9, do raise some
13 concerns. And the hazard ratio again of 2.9
14 again raises concern, but looking at the
15 numbers of patients this could be anywhere
16 from protective, 0.84, all the way up to
17 risk factor - a hazard ratio of 10. So I
18 believe these sponsors correctly point this
19 out and do plan to include monitoring for
20 these effects or these events in future
21 studies. I do think it remains an issue.

22 In the briefing documents

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1 provided there was some mention of risk
2 profiles of strokes and I would suggest that
3 more could be done prospectively to better
4 define the population in terms of their
5 cardiovascular histories, concurrent
6 medications and other comorbidities, and
7 again I would urge that be included
8 prospectively in future studies. So I think
9 it's still an open question.

10 DR. MULÉ: Other comments? Okay.
11 Number 5, Maha.

12 DR. HUSSAIN: So the essence of
13 the question is the survival data that's
14 presented. The intent is to discuss the
15 persuasiveness of the efficacy evidence
16 reported in the BLA application and in the
17 table. And as I read this, it is clear that
18 there is a survival difference, so we're not
19 disagreeing on that. The question is does
20 one believe that the survival difference is
21 related to a therapy effect. Am I
22 interpreting that correct? Okay.

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1 So I'm going to speak not as a
2 statistician, but rather as a clinician who
3 has been taking care of prostate cancer
4 patients for 17 years, or 18 years by now.
5 I'm getting old. And as a clinical trialist
6 who has written numerous institutional and
7 cooperative group clinical trials. And so I
8 put that up front so that I can explain the
9 rationale, or give you sort of -- in
10 essence, a feel for the rationale or the
11 position where I'm coming from. So the
12 first thing I want to point out, that no one
13 disagrees that survival ought to be the key
14 factor. However, it's the spirit of how
15 that survival has been looked at, not an
16 after-effect, not an afterthought, it's
17 intended in the first place to be looked at.
18 And at ODAC, the FDA had convened a
19 committee of clinical trialists and prostate
20 cancer experts last year to look at
21 endpoints in prostate cancer specifically,
22 and I think the unanimous decision was that

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1 the primary endpoints for purposes of
2 approving a drug, at least among the people
3 sitting on the table who were not FDA
4 members, but clinicians, that had to be a
5 specified up front survival. Unfortunately
6 that's not the case and the only conclusion
7 I have is that the trials were designed not
8 to look at survival, because probably they
9 didn't think they were going to see a
10 survival difference and the sample size and
11 everything else in my opinion is very small,
12 to me almost equal to a randomized Phase II
13 trial. So that's one point.

14 The second point is that there
15 was a lot of discussion back and forth about
16 side effects, quality-of-life and docetaxel
17 and such. And I want to point out that this
18 is not a comparison between this drug and
19 docetaxel because that's not what the study
20 on the table is. What's on the table is a
21 comparison between a vaccine and a placebo.
22 In a population of patients that are much

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1 more healthy relatively speaking by
2 comparison to the Taxotere trials who were a
3 lot sicker patients, and consequently the
4 burden of benefit is totally different and
5 cannot really be compared, that you see four
6 months here, two months there, that for them
7 this is better, I would try to stress these
8 are totally different populations.

9 Now, the context in looking at
10 this is that when I sit down on Monday to
11 talk to patients, I have to feel maybe not
12 100 percent, but 90 percent confident that
13 everything that was presented today is
14 related to the treatment, and that this is
15 the best drug for Mr. Smith, who I'm going
16 to see Monday morning if it's available on
17 the market, and that I have to feel
18 confident in advising him about that. And I
19 guess the answer is I'm not sure. And the
20 reason I want to say I am not persuaded - if
21 that's the conclusion, but I'm going to go
22 through the list if that's okay - is the

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1 following. We start with a study design
2 that, in effect, is a total of less than 150
3 patients, 80 patients went on treatment, so
4 the study is incredibly under-powered. Why
5 that is important, let me give contrast by
6 several Phase III trials that are - have
7 been conducted and are ongoing, and the
8 smallest of these trials are 700 patients in
9 prostate cancer that have been conducted and
10 completed in a timely manner. So it's not
11 an impossible task, number one.

12 The problem is that when we look
13 at the confidence interval, and I'm not
14 speaking as a statistician. When I look at
15 a result, I want to say that this is not in
16 the eye of the beholder, that you can go to
17 the bank and this is real. This is not
18 something that two people would disagree on.
19 So I would point out that two randomized
20 Phase III trials with the drug docetaxel
21 were conducted. It's incredible how the
22 survival of the arms, the mitoxantrone, the

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1 Taxotere, despite different sets of
2 eligibility, different sites, different
3 everything, were very consistent in that you
4 could tell a patient that I expect your
5 median survival with mitoxantrone will be
6 about 16 months and it's about 18 months
7 with Taxotere. And that's true for both of
8 these trials independent of each other.

9 The problem here is that's not
10 the case. So you have the same company
11 conducting two trials, and the first trial
12 gave a median survival on the average of
13 about 25 months and a hazard ratio that
14 would have been claimed to be in favor of
15 the treatment. And yet there is a
16 comparable eligibility second trial that
17 failed to demonstrate the effect, but to me
18 what's scary is the fact that the best arm
19 in the second trial with a median survival
20 of 19 months is worse than the mitoxantrone
21 arm from the asymptomatic cohort in TAX 327
22 trial where their median survival was 19.8

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1 months. Now that was in Dr. Logothetis's
2 slide, so I'm not making this up. It's
3 presented. And that to me is concerning.
4 Why that is concerning is that, even though
5 you're starting with patients who you are
6 assuming are asymptomatic and therefore
7 comparable, something in there is not
8 jiving. Immediately you're getting a drop
9 in the median survival of about six months,
10 again suggesting there are subtle things
11 that are not clearly reflected within the
12 trial.

13 Now, the first trial, so Number
14 1, had really some imbalance between the
15 arms. Now, the imbalance cannot be brushed
16 off because if you're talking about a 1,000-
17 patient trial and you have maybe 5 percent
18 change differences is one thing, but when
19 you're talking about a 80-patient and a 40
20 in the control arm, little differences in
21 the potential prognostic variables can
22 impact interpretation of results. And I

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1 would say that it could be just by chance
2 that the second trial was not matching the
3 first trial and has nothing to do with
4 biology. Again, it's small sample sizes.

5 One area we have not touched on
6 here and I'm not an expert in immunology,
7 but it's my understanding that the hormonal
8 environment impacts the immunologic
9 response. I don't know if anybody cares to
10 comment on that later. And there was really
11 nothing presented here as to the prior
12 duration of hormone therapy, and as we all
13 know, those of us who deal with prostate
14 cancer, people who have a longer natural
15 history -- respond longer to hormones --
16 tend to do better in general as opposed to
17 the ones who have a very violent course.
18 And that has not been accounted for in
19 there. Can I keep going? Thank you.

20 The issue with the p-value and
21 its significance is to me very concerning,
22 and again I'm not a statistician, but as the

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1 statistical reviewer from the FDA presented
2 that a p-value of 0.01 does not always
3 correspond to statistical significance. And
4 we saw a bunch of p-values being flashed
5 both from the sponsor and the FDA. It's
6 really the context. So a 0.01 in the
7 setting of a survival being the primary
8 endpoint is one thing, as opposed to a 0.01
9 in the context of a post hoc analysis is
10 something else. And I think that that ought
11 to be kept in mind.

12 There is another, to me,
13 concerning observation and that is none of
14 the disease-related manifestation was
15 impacted. So as a clinician it's hard to
16 conceive if the disease is progressing at
17 the same rate, what else is keeping people
18 alive. And that really is very concerning.
19 In most of the prostate cancer trials, and I
20 cannot think of any solid tumor,
21 understanding it's not vaccines, but
22 chemotherapy or other biologics that we talk

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1 about, generally the disease manifestation
2 and disease-related, I guess, manifestation
3 of disease go together with the survival.
4 So when you see a survival advantage you see
5 a time-to-progression advantage, you see a
6 pain response benefit, you see all of that.
7 And that was true in the Taxotere trials, at
8 least if we talk about prostate cancer.
9 That has not occurred here and that to me
10 says something. It's maybe the vaccine
11 didn't really work and maybe that's why
12 there was no - anything picked up in terms
13 of immune stimulation and everything that
14 we're talking about. Maybe something else
15 was the reason why these patients lived
16 longer.

17 There are two more things that I
18 want to mention and that is the reason we do
19 clinical trials and we use statistics it is
20 because we want to put a standard for care
21 that is - that if it's my father, I am happy
22 with him doing that. I don't want something

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1 that two people look at and say, well,
2 really oh yes, absolutely this works, or it
3 really doesn't work. And in this case I
4 think that a combination of two trials that
5 went to different ends, a very limited
6 observation on 80 patients, I feel very
7 uncomfortable recommending it to the
8 patients out there. There is an ongoing
9 definitive trial which I have asked about
10 three times how far is that trial, so how
11 many patients have been accrued of the 500?
12 Four hundred? Okay. So 400 of 500 have
13 been accrued which means within 100 patients
14 we would have those results in the next two
15 to three years reported. If you couple that
16 with a potentially open or expanded access
17 program, which is not an impossible thing.
18 And an expanded access program, I don't know
19 if - I'm sure you're all familiar with it,
20 but other companies when there is a
21 promising drug, and you could always make it
22 available within certain guidelines to the

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1 patients while you're waiting for your
2 definitive trial. So I don't see that
3 rushing to say this is great now is of
4 utmost urgency because certainly the company
5 could choose to have open access programs.

6 And I think the reason that's
7 important is collecting more safety data is
8 going to be extremely important. I would
9 only cite out the issue of growth factors
10 such as the erythropoietin that has been
11 used for a very long time and we all thought
12 it was safe and recently there was this
13 whole thing about it is harmful. And so to
14 say that we have safety data from three,
15 four years on a thousand patients, to be
16 honest with you I'm not so sure that I'm
17 comfortable in the context of a small,
18 limited trial that this is actually adequate
19 safety data. And to say CVA is about three
20 times the rate, even though it's not
21 statistically significant, if you open it up
22 to the 20,000 - 30,000 patients out there,

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1 only you know you have no idea what could
2 happen. So I think collecting this kind of
3 information in a controlled manner becomes
4 important, and I think that's all. Thank
5 you.

6 DR. MULÉ: Thanks, Maha.
7 Comments? Howard?

8 DR. SCHER: I would just like to
9 reiterate that I don't think there's any
10 debate here about the need for more options
11 and more effective treatments for what's
12 clearly a lethal disease. But I would also
13 say that as a physician and a researcher
14 echoing Maha's comments that part of the
15 failure and the lack of availability of
16 drugs is not the fault of the FDA, it's
17 really our fault in terms of how we design
18 trials and conduct them. So the 01 and 02
19 studies were very well-designed for a
20 primary endpoint of time-to-progression.
21 They were well-conducted, prospective,
22 double-blind, randomized. It's really as

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1 good as it gets. Unfortunately it didn't
2 meet the primary endpoint and then three
3 years later a survival analysis is reported,
4 it is observed and there's no question that
5 this is the gold standard by which we live.

6 So again the question boils down
7 to is this really a drug effect or is it
8 simply related to the patient populations.
9 So as we look back on what was presented we
10 didn't really see any evidence of a direct
11 anti-tumor effect, granted that was not part
12 of the trial, and we all recognize there are
13 problems. The primary endpoint was not met,
14 but if you look at the - where the patients
15 failed, it was again with bone scans which
16 is similar to another agent that was
17 presented to the agency a few years ago. We
18 did see an imbalance in the distribution of
19 soft tissue disease, but we didn't see
20 reports of serial imaging actually to
21 monitor that disease to see that there was a
22 change in the tempo of the illness. And

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1 again, I would agree there has to be some
2 point where this is affecting the natural
3 history and we just haven't seen that.

4 We weren't provided any
5 information on quality-of-life such as pain
6 relief or delaying to the development of
7 pain and the time to the development of - to
8 the need for chemotherapy which is arguably
9 an indication that the physicians treating
10 them felt that the disease had taken a turn
11 for the worse, also appeared to be similar.
12 And while we are all looking for
13 replacements for hormones and recognize the
14 adverse effects associated with them,
15 there's no data presented here that this is
16 in fact a potential replacement for hormone.
17 It just wasn't the question.

18 So actually what we're shown is a
19 post hoc analysis with a small number of
20 patients, and if we were looking at that
21 result as a Phase II study, and
22 prospectively asking the question to

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1 demonstrate that treatment effect we need
2 approximately 500 - 700 patients. And at
3 some point during the day I would like to
4 see the details of the Phase III design, you
5 know, again with the idea to make sure that
6 it is sufficiently powered and, you know,
7 again it may be an opportunity to add more
8 patients if there's any question.

9 So you know, if you ask me the
10 question does this drug prolong life, I just
11 don't know at this point in time. So I
12 start thinking, you know wearing my
13 physician's hat, obviously I feel extremely
14 frustrated when there are no options to
15 offer patients. So if I start thinking, am
16 I denying a potentially useful agent to men
17 who clearly need it, the answer is
18 unfortunately I don't know. So I say well,
19 what if we think that this really should be
20 available, start thinking about the number
21 of agents that are currently under
22 development. There's now issues of

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1 prioritization. We still have the issue of
2 toxicity. There was a higher frequency of
3 strokes, and again if you amplify across the
4 global population this does create
5 potentially very serious problems. So in
6 the same vein where I want to offer
7 effective therapies, I don't want to offer
8 those that are ineffective and potentially
9 toxic. So I think all of these
10 considerations have to be factored in and I
11 would reinforce that there are ways to make
12 drugs available in appropriately controlled
13 contexts so that patients are not denied it
14 if they so choose to have it - or want to
15 pursue it.

16 DR. MULÉ: Other comments?

17 Richard.

18 DR. CHAPPELL: I also don't doubt
19 the need for this, need for further
20 effective and less toxic therapies, and I've
21 carefully read the comments and listened to
22 those who have benefitted from Provenge. We

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1 obviously can't hear from those who - the
2 treatment has failed, and there are many of
3 those, unfortunately. The statisticians
4 focus on p-value, which is the probability
5 of erroneously accepting the drug as
6 improving survival, and Dr. Zhen correctly
7 said that you can't - it's impossible to
8 compute a p-value, which hasn't stopped me
9 from trying just to illustrate some of the
10 problems in my own mind, and perhaps yours.
11 So when would we possibly accept or
12 recommend approving this drug? Now I can
13 only speculate, but I presume that if in
14 both trials the primary endpoint were a
15 significant probability less than 0.05, that
16 would probably work. Or even if one were
17 significant, which is a chance of 1 in 20 if
18 it weren't, and the other wasn't too bad,
19 and so that's two chances in that case. Or
20 if neither were significant and the survival
21 in the first trial were significant, we're
22 debating approving, recommending approval,

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1 or if neither were significant for the
2 primary endpoint and survival in the second,
3 but not the first were significant. And
4 that's too many - well, that's a lot of
5 combinations. I'm still not sure it's too
6 many. But it's a lot of ways in which one
7 can make a mistake. And so I'm worried
8 about it. I've seen other clinical trials
9 in which I've seen p-values of last one
10 0.004. I won't give you the details, but
11 the hypothesis was so ridiculous that nobody
12 would have accepted it. It was just one of
13 those a posteriori hypotheses which turned
14 out by coincidence to be significant.

15 And I echo Dr. Scher's emphasis
16 on the next trial. One always wished one
17 could change the past. The second best time
18 to plant a tree is today, if you quote
19 Confucius, rather than 20 years ago. And so
20 I am concerned with the possibility of
21 correcting deficiencies in the design of
22 this next trial, that the endpoint be what

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1 we would call hard, that is be survival, be
2 for something very simple, like the log rank
3 test, rather than a model so we don't have a
4 debate in a few years over which model do we
5 choose, one is significant, one is not
6 significant. Some have missing covariates.
7 Do we include those or not? And also
8 whether the outcome, whether we really want
9 something like the log rank test, because we
10 realize that at first there is no advantage.
11 It takes awhile - if it works, it takes
12 awhile to work. Do we want to a priori
13 specify a test that down-weights any early
14 differences in survival curves and
15 emphasizes later differences which one
16 expects. So I hope to, regardless of the
17 outcome today, to emphasize the future, and
18 make sure that any future results are not
19 subject to such debate as we've had.

20 DR. MULÉ: Would someone from
21 Dendreon wish to comment on 9902B? Because
22 that has come up a number of times by

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1 several members of the advisory committee.

2 DR. FROHLICH: D9902B is a
3 randomized, multi-center, double-blind,
4 placebo-controlled trial that's very similar
5 in design to Studies 1 and 2 that have been
6 described today. The eligibility criteria
7 are men with asymptomatic or minimally
8 symptomatic metastatic androgen-independent
9 prostate cancer. It's a similar 2 to 1
10 randomization. The primary endpoint is
11 overall survival. The secondary endpoint is
12 time-to-disease-progression. It's an event-
13 driven analysis for 360 death events. It's
14 powered at 90 percent for a hazard ratio of
15 1.45.

16 DR. MULÉ: Howard, does that help
17 you in your?

18 DR. SCHER: What would come up,
19 is there a rationale or need to increase
20 that sample size? Because 1.45 is
21 significant. I mean, it's been a big bar in
22 this disease. So assuming that the

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1 analysis, there's been no analyses to date.

2 DR. FROHLICH: So the integrated
3 analysis of Studies 1 and 2 showed a hazard
4 ratio of 1.5, so 1.45 was deemed to be a
5 reasonable estimate given the data we have
6 to date.

7 DR. MULÉ: Maha?

8 DR. HUSSAIN: I think it's a good
9 size for looking for that much difference.
10 The only question, Dr. Frohlich, I had and
11 that is the symptoms you refer to is not any
12 symptoms, it's pain I assume.

13 DR. FROHLICH: For the
14 eligibility criteria?

15 DR. HUSSAIN: Yes.

16 DR. FROHLICH: Minimally
17 symptomatic disease, right.

18 DR. HUSSAIN: But what is
19 minimally? Is that -

20 DR. FROHLICH: Not requiring any
21 narcotic analgesics, and on a visual analog
22 scale a score of 3 or less.

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1 DR. HUSSAIN: And are you somehow
2 doing any kind of stratification to account
3 for potential prognostic variables?

4 DR. FROHLICH: We are stratifying
5 for Gleason score bisphosphonate use and
6 study center.

7 DR. HUSSAIN: Thank you.

8 DR. FROHLICH: I'm sorry, number
9 of bony metastases as well.

10 DR. MULÉ: Richard?

11 DR. CHAPPELL: Dr. Mulé, is it
12 within our purview today - should we be
13 discussing this third trial in making
14 recommendations? Or just the evidence from
15 -

16 DR. MULÉ: No, it's really to
17 provide additional information to several of
18 the committee members who have been trying
19 to get a better sense of where this is
20 going.

21 DR. CHAPPELL: Okay.

22 MS. SMITH: Mr. Chairman, is it

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1 possible that we comment on some of the
2 statistical comments that were made?

3 DR. MULÉ: Yes, sure, go ahead.

4 MS. SMITH: I invite Dr. Brent
5 Blumenstein to comment on some of the
6 statistical issues raised.

7 DR. BLUMENSTEIN: The issue of
8 how to interpret the p-value from the
9 survival trial is of course central to the
10 deliberations here. And I agree that it is
11 difficult to know what significance level to
12 compare the 0.01 to. In other words, what
13 kind of adjustment for the actions, the post
14 hoc nature of the survival and so forth
15 should be taken into account. However, I
16 think that one of the things that hasn't
17 been mentioned so far in this is the special
18 status that survival has with respect to
19 time-to-progression. That is, there is a
20 putative surrogacy relationship between
21 these two endpoints, and if you accept the
22 fact that there is that possibility, or even

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1 believe that there is that. I know that
2 it's not been proven, it's not validated,
3 that's a very difficult thing to do for
4 those of you who've been watching that
5 process of trying to validate surrogate
6 endpoints. While it isn't validated, one
7 has to take into account that there's the
8 possibility that the outcomes of time-to-
9 progression and survival are correlated in
10 some manner. And when one thinks about
11 making p-value adjustments, one can take
12 into account the correlation between two
13 endpoints in deciding what should be used as
14 the significance level at which to judge an
15 outcome, a p-value. And if one assumed that
16 these two endpoints were perfectly
17 correlated, then when you start to make that
18 adjustment, you would find out that you
19 didn't need to make the adjustment because
20 of the correlation.

21 But that's only one way to look
22 at it because actually I prefer not to look

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1 at TTP, the time-to-progression, and
2 survival as two endpoints that one is going
3 to choose between within this trial.
4 Rather, I like to think of these endpoints
5 as having this surrogacy relationship. I
6 mean, I'm trying to - what I'm trying to do
7 is communicate to you why I feel that the
8 data from this Study 1 does provide evidence
9 of efficacy. So I prefer to think of these
10 endpoints as having that surrogacy
11 relationship, and thereby not wanting to
12 make the kind of adjustment one would make
13 if these two endpoints measured two distinct
14 features of the patient, perhaps related,
15 but two features of the patient. So if I go
16 down the surrogacy route, then I'm in the
17 position of thinking of the outcome as being
18 something where both endpoints need to be
19 met for you to have an overall significance
20 of the study. Under those conditions, when
21 you have perfectly correlated endpoints as I
22 mentioned before you get to the same p-

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1 value, that is - I mean the same
2 significance level to be used. That would
3 be 0.05. And so you can get to the 0.05
4 significance level both ways by making
5 different assumptions about whether you're
6 looking at a surrogacy relationship, or
7 whether you're looking at two endpoints that
8 might have a high correlation.

9 But I think that the bottom line
10 of all of this is that we have to stop and
11 say, well, we really can't know that because
12 you can only make assumptions, and then
13 maybe you could do some computations and so
14 forth and try to get at a significance level
15 to be used. I think even if you were to do
16 that you wouldn't find that there would be a
17 severe penalty on the significance level
18 because of the correlation, whether you
19 assume it's one or something less than that.
20 But I think that there are other things that
21 have to be taken into consideration, and I
22 spoke about this briefly this morning. And

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1 one of them is the fact that, and Richard
2 Chappell mentioned this as well, is that we
3 have this issue of a delayed effect. And
4 what that says to me is that the results of
5 - for TTP in Study 1 can be viewed as having
6 been spoiled by the failure to take into
7 account a delayed effect, that is the amount
8 of time it takes these immunotherapies to
9 behave. Now, if we assume that the trial
10 was just under-powered, and we got a
11 insignificant p-value for TTP, that would be
12 the end of the story. But if you have a
13 valid explanation, something that is not
14 only present in Study 1 but is present in
15 other immunotherapies and there's a biologic
16 theory behind it, then you're compelled to
17 not just look at that p-value for TTP, but
18 also to look at the estimate of the hazard
19 ratio, and to see whether that has some kind
20 of a clinical meaning for you. And the
21 hazard ratio for Study 1 TTP is 1.45.
22 That's a large hazard ratio. And so you're

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1 therefore compelled to take that into
2 account when you compare the even larger
3 hazard ratio of 1.71.

4 Now, the small trial issue is
5 another difficulty that's been discussed
6 here and I think the biggest - the most
7 important thing to take into account when
8 you look at the survival result, and in
9 light of the small trial, that is you have a
10 - you're sitting there with a significant p-
11 value, or at least putatively significant p-
12 value, depending on what kind of reference
13 significance level you wish to use. You're
14 sitting there looking at this 0.01 and
15 you're saying, well, is this 0.01
16 significant or not, or what does it mean in
17 the context of this small trial. What you
18 have to do there is take a look at the
19 confidence interval, and when you do you
20 find out that the confidence interval, the
21 lower bound of that confidence interval is
22 1.13. Now, Bo Zhen this morning, the

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1 statistician from the FDA says that that's
2 small. Well, I don't think it is myself. I
3 think representing a 13 percent higher
4 hazard rate in the control arm is important
5 and in fact would, as a lower bound of a
6 confidence interval, does translate to an
7 implication of clinical benefit.

8 And finally, Maha Hussain said
9 that the - indicated that she thought that
10 the rest of the data from Study 1 didn't
11 really speak to the whole study being
12 significant. I think I see it a different
13 way. To me, all of the secondary endpoints
14 go in the right direction. TTP as I've
15 mentioned before goes in the right
16 direction. There may be a good explanation
17 for why it's not statistically significant
18 based on the presence of this delayed effect
19 that wasn't taken into account at the time
20 the study was planned because nobody
21 understood that at that time. But the other
22 thing that's important is that we showed

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1 some forest plots where various subsets of
2 the patients were compared with respect to
3 the important prognostic factors. And I
4 think that, again, to get a sense of whether
5 the study has this internal consistency
6 that's so important in the interpretation of
7 a small trial is that you have to remember
8 that those forest plots, and let's see if
9 you can bring up the one that shows all the
10 factors for Study 1. That would be the most
11 useful one. But if you look at those, then
12 you can see that almost all of the factors
13 looked at, almost all of the subgroups -
14 we're still looking for the one that -
15 almost the preponderance of them are, in
16 fact all of them, I think, are on the right
17 side of the vertical line indicating no
18 effect, and many of them of course from
19 Study 1 have confidence intervals that don't
20 cross that line. This is the one. And so I
21 think that this is an indication that the
22 expected outcomes with respect to the

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1 factors that would control - that indicate
2 consistency, that these factors are all
3 pretty much in the right direction with
4 respect to establishing the internal
5 consistency of this trial.

6 So here I am a statistician, and
7 I know the rules. In fact I sit on
8 committees and I often invoke those rules,
9 but this time I'm sitting on the other side
10 of the podium, or not at that table, and I'm
11 going to argue as a mostly naysayer, but I'm
12 going to argue that in this case, I would be
13 presented with this dilemma of looking at
14 all of this evidence together, and I think
15 that, you know my feeling would be, yes,
16 this 1.71 hazard ratio with the lower
17 confidence interval that is 1.13 and all of
18 these other consistency things, and the fact
19 that the TTP isn't statistically
20 significant, but there may be a good
21 biologic reason to see why it isn't and so
22 forth. All of this to me would say, yes,

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1 this is a treatment that men probably should
2 have access to. And then in the end of the
3 game, if the other trial isn't significant,
4 nobody will buy it.

5 DR. MULÉ: Kurt?

6 DR. GUNTER: Thank you very much.
7 So, I wanted to just think about what we're
8 doing here. We're not reviewing a grant,
9 we're not reviewing a manuscript, we're
10 trying to figure out whether needy patients
11 who don't have anything available can
12 benefit from this. Personally, I think the
13 data are persuasive. Now, I know it's not a
14 perfect study. I think we've covered the
15 nature of the post hoc problem pretty
16 substantially thanks to all the
17 statisticians. I will remind everyone that
18 it was an endpoint that the FDA states is
19 the best in current FDA guidance. The
20 statistical analysis was log rank, did not
21 exclude anyone, as I understand it, and is
22 probably the most common way to analyze

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1 survival in current methodology.

2 Now, let's talk about the safety.

3 Oh, and I should point out that the FDA has
4 stated that the secondary - excuse me, the
5 sensitivity analyses all support the
6 significant result on survival. That's in
7 the FDA's own words. Now, safety. I think
8 clearly the product is safe except for the
9 issue of CVA. I think that bears very close
10 watching. I think it may be a red herring.
11 I'm impressed or concerned that, in one
12 study we see a significant effect or much
13 more CVA effects on the placebo arm than the
14 treatment arm. I'm sure the company would
15 be willing to watch that carefully in post-
16 marketing.

17 So I think that this committee
18 should take a courageous step. I think that
19 actually listening to the patients today,
20 not only was I impressed with their stories,
21 but I was impressed with their intelligence.
22 I think patients and physicians could look

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1 at some of these data in labeling and make
2 their own decisions about whether they want
3 to take a chance on this.

4 (Applause)

5 DR. GUNTER: So in summary, I
6 think that we do have persuasive evidence of
7 efficacy on balance given all the
8 limitations in the data, and I urge the
9 committee to think about it very carefully
10 before they vote.

11 DR. MULÉ: Doris, you had a
12 question?

13 DR. TAYLOR: Yes. I think
14 there's no question that we need a
15 treatment, and but that we need a safe
16 treatment that's available to everyone. And
17 I guess the question that continues to be
18 present in my mind is, does the benefit
19 outweigh the risk, and what will be done to
20 continue to assess this risk going forward.
21 We've heard that there may potentially -
22 that there will be a vigilance plan put in

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1 place, but I haven't heard anything with
2 regard to that. And we just heard mention
3 of biology and growth factors and cells and
4 looking at models that might be relevant,
5 but more and more cell therapy data are
6 emerging that suggest that there can be a
7 relationship between cells and
8 cardiovascular events, or even
9 cerebrovascular events and/or some of the
10 growth factors, and I think that might bear
11 monitoring going forward to include safety.

12 The other thing I haven't heard
13 other than a very brief mention early on was
14 inclusion of the African-American community
15 and of other individuals that were under-
16 represented in the original study. So we
17 can't really comment on safety or efficacy
18 in those groups, and those are groups which
19 also very much need access to a therapeutic
20 agent. And so I really -

21 DR. MULÉ: Doris, we have -
22 that's related to Question 6. We'll get to

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1 that specifically and spend some time with
2 that, okay? So Michelle?

3 DR. CALOS: Yes. I just wonder
4 if we could discuss, it seems to me that
5 this treatment, it's - all the data we've
6 seen is consistent with it being
7 efficacious, but perhaps not compelling at
8 this point. So could we could just discuss
9 a little what are the consequences of
10 approving something in this situation and
11 then going forward and finding out that it's
12 not actually effective. What are the
13 consequences of that mainly for the patient
14 population, but also for science and for the
15 company and for the FDA?

16 DR. MULÉ: Comments about that?
17 Franco?

18 DR. MARINCOLA: Or the other way
19 around. What if it is not approved and it
20 turns out that it is effective and delayed
21 for years? So either way.

22 DR. MULÉ: Maha.

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1 DR. HUSSAIN: So I want to - I
2 think the point that was brought is a very
3 important point, but I want to remind the
4 members of the committee first of all there
5 is a 400 of a 500-patient accrued on the
6 definitive trial. I don't think anybody
7 around this table suggested that this is a
8 definitive trial. I think that we all agree
9 on. And so the definitive trial is being
10 done and is being completed. I would hope
11 that if the - whichever way the FDA decides,
12 pointing out that our role is not to approve
13 the drug or disapprove it. That's the FDA
14 decision. But if the decision is made to
15 approve, that there would be guarantees that
16 that trial will be continued, because this
17 will have an implication on the other
18 definitive trial.

19 And finally, access to patients
20 can be provided until the results are
21 available. I can't imagine why this could
22 not be done. Other companies have done that

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1 waiting for the definitive trials. And
2 finally, I think somehow we heard repeatedly
3 there's really nothing out there for
4 patients. I will tell you that we have
5 patients in our practice that we are all
6 caring for with hormone-refractory disease
7 over a 2-, 3-, 4-year period, so it is
8 desperate, yes. There aren't anything out
9 there, but having nothing out there is no
10 justification to get something that is
11 suboptimal to patients.

12 DR. MULÉ: Savio.

13 DR. WOO: I'd like to address a
14 couple of points. I think we're all very
15 sympathetic to the patients with this
16 disease, and we've heard from the advocacy
17 groups very impressive presentations.
18 Certainly if there is something that in our
19 judgment is effective, we will love not any
20 less than you to make it available to the
21 patients. So the question before us is
22 really is treatment availability versus

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1 effectiveness. Do we really believe that
2 this product works? If it works, that's
3 great, but if it doesn't work, are we then
4 recommending to tens and hundreds of
5 thousands of patients a treatment, a very --
6 albeit maybe not as healthy as some of these
7 others, but still a potentially toxic event
8 that could occur, and the morbidity and so
9 on. Are we recommending to hundreds of
10 thousands of patients a treatment that's
11 absolutely worthless? And there are plenty
12 of examples of those in the New York Times
13 stories about other conditions in the recent
14 years. So that's something that to me I
15 think is very important that some treatment
16 that comes forward must -- that are we
17 satisfied that it is most likely to be
18 effective.

19 The other concern that I have is
20 that we talk about survival advantage as a
21 post hoc analysis and so on between Studies
22 1 and 2. Could it be real effectiveness, or

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1 could it be some other factors? Well, as I
2 look at the two arms of the trials in both
3 Studies 1 and 2, there are differences in
4 terms of the enrolled subjects. The Gleason
5 scores are different, soft tissue metastases
6 are different. So because of the small
7 sample size, can we really rely upon those
8 post hoc survival advantage data as
9 definitive proof for effectiveness? I'm not
10 so sure that I can be convinced. So I'm
11 also thinking that, gee, you know, since we
12 have a definitive trial that is ongoing that
13 is close to completion, perhaps it would be
14 more prudent to look at those results to be
15 assured that it is effective before we
16 recommend them to the patients.

17 DR. MULÉ: Bob?

18 MR. SAMUELS: Yes. You know,
19 it's been very difficult for me to sit here
20 and try to be totally objective because I am
21 a 13-year survivor of prostate cancer. And
22 when I got diagnosed in 1994 and I got

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1 opened up and there was a cancer cell on one
2 of my lymph nodes, I was told that I
3 probably had five years left on this earth.
4 However, I decided to become aggressive and
5 take charge of this disease that was in my
6 body. And I sit here now 13 years later
7 feeling that I'm still doing hormonal
8 therapy, and at some point it's going to
9 fail. I know that. And so when it does
10 fail, I've got to look around and say, okay,
11 what do I do next. And I look upon this as
12 an opportunity for me to make a choice, and
13 I think that's all the patients want. An
14 opportunity to make a choice.

15 (Applause)

16 MR. SAMUELS: That's what this is
17 about. Because as they look down the road,
18 they don't have a very bright future. And
19 if we can buy them a couple of minutes, a
20 couple of months, or a couple of years, then
21 it's our obligation to do that. So it is
22 not something that I - and I understand and

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1 appreciate the hard work of this committee.
2 I mean I admire you, and I don't envy you
3 the decision that you have to make, but at
4 the end of the day it's not about
5 statistics, it's about people's lives. And
6 indeed, we have an obligation to give
7 patients like us a choice to say, we'll take
8 the risk. We understand it's a risk, but
9 it's a risk that I think most of us are
10 willing to take. But you have to give us
11 that opportunity.

12 (Applause)

13 DR. MULÉ: Franco.

14 DR. MARINCOLA: Yes, I'd like to
15 make another comment which is a little
16 broader. Historically, we're in a very
17 special moment of tumor immunology. This is
18 a very rapidly evolving field, and in some
19 ways this product was designed years ago,
20 and so it's, you know it's just showing now
21 some - it is providing one of the best
22 outcomes so far in immunotherapy, yet

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1 probably is not perfect because it's
2 delivered as a single agent, and there is so
3 much more that can be done to understand the
4 biology of this and make it better. And I
5 think it's true that maybe the information
6 has been provided, but the study is not
7 conclusive, but definitely it is intriguing
8 enough to believe that it's worth pursuing
9 it, and definitely - let's put it another
10 way. If I had prostate cancer, I'd like to
11 try this before chemotherapy, no matter -
12 maybe not as a scientist, but as somebody
13 who has prostate cancer.

14 I think that maybe we are a
15 little bit too harsh, and most importantly
16 we are missing the point that we are opening
17 a new field, and I think the experience,
18 even if we make the mistake, I think that
19 maybe this product was not that effective as
20 it may be. Still, there is so much to learn
21 by start seeing patients being treated with
22 this and see what else can be added, and

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1 applying even the new modern understanding
2 of like the effect of T-regulatory cells and
3 so forth, adding so much that I think we
4 should not - we should not underestimate the
5 importance of this decision. I don't think
6 it's just about deriving what the drug does,
7 but it's more opening a field, and the
8 investigation on that field and the clinical
9 grounds test of being kind of an esoteric
10 academic exercise.

11 DR. MULÉ: Bob?

12 MR. SAMUELS: Yes. I would like
13 to just do an informal survey. How many men
14 on this panel have ever had a PSA test?
15 Here we are over 25 years later trying to
16 evaluate the effectiveness of a PSA test,
17 all right? We still have not come to
18 conclusive evidence that it has real value,
19 but I daresay that the majority of men who
20 are over age 40 or 50 are getting PSA tests.
21 But there's no conclusive evidence.
22 However, prostate cancer has declined, but

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1 we still can't say that the two are related.
2 So we're still discussing something 25 years
3 later that most of us feel have had an
4 impact on diagnosing prostate cancer in this
5 country. So there's no conclusive evidence.
6 So I mean we're sort of where we are today.
7 Somebody had to take a chance, and that's
8 all we're asking this committee to do.

9 (Applause)

10 DR. MULÉ: Steve?

11 DR. DUBINETT: I would like to go
12 back to Dr. Zhen and ask you to perhaps
13 clarify something for us on your second to
14 last slide, I think it is. You make these
15 three bullet points about the post hoc
16 analysis, and -- but finally come in your
17 last sentence on that slide to say however,
18 overall survival is a preferred endpoint for
19 a cancer trial. And I'm wondering if you
20 could just elaborate for us a little bit to
21 say, did you mean to have the word "primary"
22 before "endpoint" in that last bullet point?

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1 I'd like to sort of have you kind of just
2 really weigh in on this a little bit in
3 terms of what you meant by that slide.

4 DR. ZHEN: No. Overall survival
5 is not - was not the primary endpoint for
6 the two studies. Basically what I'm trying
7 to say here is, if overall survival is just
8 like many, many other endpoints that's like
9 random research. In that case, you can
10 always get one endpoint which with the p-
11 value less than 0.05. It's just by chance.
12 Here I make cases that overall survival is
13 just not manner of endpoint that can be
14 randomly selected. It is a very important
15 endpoint. It is unfortunately the two
16 studies was not designed to use overall
17 survival as the primary endpoint and power
18 the studies with overall survival.

19 DR. MULÉ: Okay. Before we move
20 on to Question 6, let me remind the
21 committee that, again, we're not here to
22 approve or disapprove the product. We're

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1 here obviously to advise the FDA on
2 decisions relative to the product. And
3 within that context, I think it's important
4 to reflect on a comment that Maha had made,
5 which is there are options in our advice.
6 In other words, it's not necessarily a no or
7 a yes. It could reflect a going forward
8 with this larger definitive trial, but in
9 essence advising the FDA that maybe there
10 are options to include a go-ahead with the
11 proviso that that definitive trial is
12 completed and reviewed. So again, I think
13 it's important that we keep in context what
14 our role here is, and it's not necessarily a
15 black and white sort of recommendation that
16 we make. We're here to advise. So with
17 that said, let's move on to Question 6 and,
18 Larry, if you can take us through that.

19 DR. KWAK: Okay, so the question
20 was actually raised by one of our - one of
21 my fellow panelists earlier this morning,
22 and it's been pointed out already that it's

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1 a serious, but -- serious limitation, but
2 it's unfortunately a limitation that's
3 common to many clinical trials in the United
4 States. And I guess before -- I mean,
5 clearly the issue is whether there are
6 genetic or biologic differences that would
7 limit us from generalizing the results of
8 this study to other populations with this
9 disease. Before I open it up for panel
10 discussion, I would just say it's a
11 difficult question, and hopefully this is
12 going to be addressed in the third study
13 that's in progress.

14 DR. MULÉ: Other comments? Jeff?

15 DR. CHAMBERLAIN: Well, I mean I
16 guess I'd sort of like to follow up the
17 comment that you made, Jim, and I think that
18 that applies to this question, as well.
19 That, you know, if we were to advise that
20 this treatment move forward and be made
21 available to more people, I would hope that
22 we would also include a stipulation there

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1 that there absolutely must be additional
2 data gathered on additional ethnic
3 minorities, because the data we have I think
4 absolutely does not generally apply to other
5 ethnic minorities, yet we absolutely need to
6 have that information available.

7 DR. MULÉ: Doris, you were next,
8 then Maha.

9 DR. TAYLOR: Of the 400 patients
10 that have enrolled in the trial to date,
11 what's the breakdown with regard to
12 ethnicity?

13 DR. FROHLICH: Mark Frohlich.
14 It's similar to Study 1 and 2. We have
15 roughly 5 percent African-Americans.

16 DR. TAYLOR: Given that, what - I
17 heard you say this morning that you were
18 going to do everything you could to ensure
19 that this was made available to everyone
20 possible. If you are unable to reach those
21 patients in the clinical studies, what
22 evidence do we have that you'll be able to

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1 reach those groups in the community?

2 DR. FROHLICH: I think it's a
3 problem that pervades all of clinical
4 trials, enrolling minority subjects. Once
5 commercial, there are less barriers to
6 patients enrolling. There's a lot of, you
7 know, requirement for extensive follow-up
8 and testing as part of a clinical trial,
9 which is not required once in clinical
10 practice. So it would be our goal to try to
11 specifically target minority patients
12 through providing information to them,
13 advertising specifically to those patients
14 to try to enroll them. It's part of our
15 planned pharmacovigilance program to
16 specifically target minorities. We have a
17 plan to enroll roughly 3,000 patients in a
18 pharmacovigilance plan, and target roughly
19 10 percent of those for African-Americans
20 specifically.

21 DR. MULÉ: Maha?

22 DR. HUSSAIN: This is a question

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1 to the immunologist in the group. Is there
2 any data that says ethnic subgroups respond
3 differently to immune stimulation from, say,
4 any setting? And what is that?

5 DR. MARINCOLA: For example,
6 African-Americans do not respond as well to
7 interferon alpha therapy that have chronic
8 hepatitis C, and there is a group at
9 Stanford that recently proposed some kind of
10 a theory, but they don't have - the
11 signaling is different in response to
12 interferon alpha, although the reason, the
13 polymorphism is not known. But definitely
14 they simply have a lower response to
15 interferon alpha, even in in vitro testing
16 to the point you can predict who is going to
17 respond or not by doing in vitro testing.
18 So definitely there's plenty of evidence.
19 And there are other cases, but this is one
20 of the most striking.

21 MR. SAMUELS: Yes, I just want to
22 comment on that, which I guess I started

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1 this morning. And that is that, you know,
2 I've been a survivor now for 13 years.
3 Prior to that I was a banker in New York for
4 31 years, and I used to hear many of the
5 companies that I dealt with talk about the
6 difficulty they would have in trying to find
7 African-Americans to be part of their senior
8 management on their board. And I kept
9 saying, well perhaps you're looking in the
10 wrong places, and you're not talking to the
11 right people. And I've got to say the same
12 thing here, because if we're talking about a
13 disease that 30,000 men a year in African-
14 American communities get diagnosed with,
15 that's a significant number of men being
16 diagnosed every year with this disease. And
17 we can't find more than nine to participate
18 in a clinical trial? Then I say you're
19 looking in the wrong places and you're
20 talking to the wrong people, because it can
21 be done. And I said it and you look at the
22 boards today, and boards are much more

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1 integrated, but they made a concentrated
2 effort to do it, and that's what you've got
3 to do.

4 DR. MULÉ: Howard?

5 DR. SCHER: This is a question to
6 Mark. On the one hand, we hear about the
7 drug available to more people, you don't
8 need the intensive monitoring, and then the
9 next sentence is a 3,000-patient
10 pharmacovigilance. So can you explain the
11 difference, and maybe give a little more
12 detail of what the pharmaco -- let's call it
13 the safety monitoring, pharmacovigilance
14 entails.

15 DR. FROHLICH: The
16 pharmacovigilance plan would be roughly
17 3,000 patients. There would be select
18 centers that would enroll patients with
19 consent to be followed. It would require
20 essentially a collection of basic
21 demographic historic information on those
22 patients. They would be followed every six

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1 months for events of special interest,
2 including cerebral vascular events,
3 infusion-related events, autoimmune events.
4 They would be followed for a minimum of
5 three years for overall survival.

6 DR. MULÉ: Maha?

7 MS. SMITH: It might also be
8 useful to add, in this context, we have a
9 very unique access to information for
10 patients who receive sipuleucel-T. Because
11 of the autologous nature, we know everybody
12 who gets it. We have the ability to consent
13 everybody, to track everyone, to keep in
14 contact with their physician. So in
15 contrast to what maybe has been observed in
16 other pharmacovigilance studies where
17 sponsors have not done as good a job in
18 completing those studies. We have a very
19 good handle on that information.

20 DR. HUSSAIN: And Dr. Frohlich,
21 just a question, and I don't mean to put you
22 on the spot, I'm sure there are other

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1 considerations, but could an expanded access
2 program be made available to patients
3 pending the definitive trial results?

4 DR. FROHLICH: I'd like to ask
5 Liz Smith to take that question.

6 (Laughter)

7 MS. SMITH: Again, with this
8 autologous product, it is not quite as
9 simple to open up expanded access programs
10 as we would like. I mean, we are very
11 committed to making this product available
12 to as many people as possible, and in fact
13 we've been quite transparent, I think, about
14 our commitment to 9902B. It's a large,
15 highly-powered study. We started this
16 awhile ago. We are following it very
17 closely. We are enrolling very
18 aggressively. Expanded access in this
19 point, when you open up to whoever is -
20 whoever wants it, that also takes out
21 manufacturing capacity, and it actually
22 takes it away from our clinical trial that

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1 we're trying to finish. So it's sort of a
2 Catch-22. We know that if we were to open
3 it up to an expanded access program, we
4 would probably have a very high demand.
5 That would not help us get our clinical
6 trial enrolled.

7 We also have a strong commitment
8 to making sure that, when this product is
9 approved, it is widely available, but as a
10 biotech company who doesn't have a product
11 approved right now, it's sort of a chicken
12 and egg thing. When we have approval, we
13 will have launched up our capacity, we will
14 be able to serve the whole market. It's
15 different when you're in a pre-approval
16 phase.

17 DR. MULÉ: All right. Let me
18 stop here and ask Dr. Witten and her
19 colleagues if we've covered at least these
20 six questions to your satisfaction. If you
21 have other needs, if you can let us know?
22 And then we'll move on to the voting

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1 questions.

2 DR. WITTEN: Thank you, no;
3 you've answered the questions.

4 DR. MULÉ: Okay. So now we'll
5 move on to the voting questions. There are
6 two. I'll read the first one. We'll see if
7 there is additional discussion. These two
8 questions really reflect what we, in my
9 opinion what I think we've already covered
10 in the first six questions. So I'll just
11 ask for comments, and then we can go forward
12 with the voting.

13 So the first voting question is,
14 does the submitted data establish that
15 sipuleucel-T is reasonably safe for the
16 intended population. Other comments?
17 Additional comments? Okay. And the second
18 voting question is, does the submitted data
19 establish the efficacy of sipuleucel-T in
20 the intended population. Okay. All right.
21 So I think we're ready to move ahead. So
22 let's go with the first voting question.

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1 Again, I'll read it. Does the submitted
2 data establish that sipuleucel-T is
3 reasonably safe for the intended population?
4 We'll start with Dr. Alexander.

5 DR. ALEXANDER: Yes, I believe
6 that the data that are submitted has
7 established that the drug is reasonably,
8 reasonably safe for the population. And
9 with the small numbers of patients, the
10 stroke issue remains very significant to me,
11 but the plans that I hear around it from the
12 companies with regard to the intensive
13 follow-up of a certain number of these
14 patients I think is reasonable. But yes, I
15 think it's reasonably safe, and that those
16 data are persuasive about reasonable safety-
17 ness.

18 DR. MULÉ: Dr. Chamberlain?

19 DR. CHAMBERLAIN: Yes, so I also
20 agree that the data at this point makes it
21 look like the product is reasonably safe. I
22 also have concerns about the cerebrovascular

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1 incidents, and I would urge that data
2 continue to be gathered in that area. But I
3 think with what we know, it's safe enough to
4 go forward with.

5 DR. MULÉ: Dr. Kwak?

6 DR. KWAK: Yes, I think
7 unequivocally that it - the available data
8 suggests, as one might expect for an
9 ultimate targeted therapy, that it's
10 reasonably safe.

11 DR. MULÉ: Dr. Calos?

12 DR. CALOS: Yes, I believe that
13 it's established that it's reasonably safe,
14 especially relative to the alternatives, and
15 with continued vigilance, I think that's
16 fine.

17 DR. MULÉ: Dr. Dubinett?

18 DR. DUBINETT: I agree with the
19 appearance of its reasonable safety, and
20 also concur with what's been said about the
21 appropriate plans of the sponsor.

22 DR. MULÉ: Dr. Allen?

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1 DR. ALLEN: I concur with that.
2 I believe it's to be safe, and I think that
3 appropriate monitoring can be followed
4 appropriately.

5 DR. MULÉ: Dr. Chappell?

6 DR. CHAPPELL: Certainly seems to
7 be safe in the context of disease commonly
8 treated with radiation and cytotoxic
9 chemotherapy.

10 DR. MULÉ: Dr. Hussain?

11 DR. HUSSAIN: Yes.

12 DR. MULÉ: Mr. Samuels?

13 MR. SAMUELS: I believe it to be
14 reasonably safe, and suggest we move forward
15 with vigilance, of course.

16 DR. MULÉ: Ms. Terry?

17 MS. TERRY: I agree with that,
18 and I'd also add that I think many times we
19 measure these kinds of things, we measure
20 them up against what is safe in a healthy
21 population, and we have to be mindful that
22 once you cross the line through diagnosis,

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1 what is safe and what is not is measured in
2 a different way. And I agree that, if we're
3 vigilant, this is safe.

4 DR. MULÉ: Dr. Taylor?

5 DR. TAYLOR: Yes, I would agree
6 this is safe in a Caucasian population, and
7 that vigilance needs to be put forward in
8 all populations.

9 DR. MULÉ: Dr. Woo?

10 DR. WOO: I agree with all the
11 other committee members that this appears to
12 be relatively safe for the patient
13 population.

14 DR. MULÉ: Dr. Marincola?

15 DR. MARINCOLA: Same. I think
16 it's safe, and I agree with all the comments
17 so far.

18 DR. MULÉ: Dr. Tomford.

19 DR. TOMFORD: Yes, I agree that
20 it appears to be reasonably safe in the
21 population.

22 DR. MULÉ: Dr. Guilak.

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1 DR. GUILAK: I agree that it
2 appears to be safe in this population.

3 DR. MULÉ: Okay. And Dr. Gunter,
4 you're the industry rep. You have no
5 voting, but you're free to comment.

6 DR. GUNTER: Well, I think I've
7 already commented. I believe the product is
8 safe. I think the sponsor has done a good
9 job showing us that. I think labeling
10 should reflect the potential for CVAs, and
11 obviously post-marketing pharmacovigilance
12 is going to be very important.

13 DR. MULÉ: And I agree with the
14 committee members as well, with additional
15 vigilance and also taking into account the
16 need for this question to be better answered
17 in African-American population, other
18 minorities.

19 MS. DAPOLITO: Okay, for the
20 record the vote was 17 yes, zero no, zero
21 abstain for Question 1.

22 DR. MULÉ: Okay, we'll move on to

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1 Question 2. Again I'll read it. Does the
2 submitted data establish the efficacy of
3 sipuleucel-T in the intended population?

4 Dr. Alexander.

5 DR. ALEXANDER: I don't know how
6 I got the short straw to go first here, but
7 -

8 (Laughter)

9 DR. ALEXANDER: But my - I took a
10 lot of notes here, and I'm going to read.
11 Some of the words that I heard that made an
12 impact on me, that this Study 1 provides
13 evidence of efficacy, and there is no
14 question that Study 1 provides evidence of
15 efficacy. I think that there's no question
16 that survival is the most important outcome
17 that is important in the treatment of
18 cancer, and followed -- and arguably by
19 quality-of-life. And there's no question in
20 my mind that four months of an increased
21 median survival in the population of men
22 with metastatic androgen-independent

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1 prostate cancer is a very important
2 improvement in survival.

3 The question that I grapple with
4 is, is the evidence that's here so far, does
5 it establish the therapy. Is the therapy
6 established that, with full confidence, I
7 can look my patient in the eye and say that
8 this is established to be an efficacious
9 therapy for your disease. And I've lived my
10 life by the evidence in medicine, and there
11 are many, many -- there are many ways to
12 manage patients and deal with them, and
13 there are many things and many competing
14 reasons that we seek to do the things that
15 we do with patients, but for me the most
16 important, and the thing that we have the
17 luxury of being asked to do is to say, does
18 the data establish that this therapy has
19 efficacy. I think it's a very strong
20 suggestion, but it is not in my mind
21 definitive and establish that the therapy is
22 extending survival because of -- that the

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1 therapy itself is the reason that we see the
2 differences that's been seen in the data so
3 far. So I -- my vote is not to say no, but
4 it's to say that there's clear evidence that
5 there's some efficacy to the therapy, and I
6 think that a trial with some 400 patients
7 already randomized that's ongoing clearly is
8 going to be the trial that will establish
9 whether this therapy establishes its
10 efficacy for patients.

11 I am -- I take care of patients
12 and I sit opposite, when I hear your stories
13 I am very compelled by what you say, and I
14 sit opposite you on a daily basis in the
15 office and I feel -- I see it, it's the
16 thing I've led my life trying to do is to
17 make new immunotherapies for prostate
18 cancer. And I want this, wanted this, so
19 wanted to see that I was going to come here
20 and be totally convinced that the data were
21 compelling to establish the efficacy of
22 this, the first treatment, but I haven't

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1 seen it yet. It's close, but I haven't --
2 I'm still waiting for me to cast a vote to
3 say that everyone in this room should go
4 home and tell their next of kin that this is
5 an established therapy for this disease. I
6 don't think it's there yet. So I would say
7 that the trial that's ongoing and actively
8 enrolling must continue, and I would
9 encourage the company to redouble their
10 efforts to get that finished, and that it
11 sounds like they're well on their way to
12 recruitment. So that's - so my vote is, I
13 don't know what you would call that. It's a
14 -

15 DR. MULÉ: For the purpose of
16 enumerating the votes.

17 (Laughter)

18 DR. MULÉ: And I understand
19 you're the first on the list here.

20 DR. ALEXANDER: The answer to the
21 question has the submitted data established
22 that this is an efficacious therapy, my

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1 answer is no, not yet. But very close. And
2 with the proviso that if they need to
3 continue the big Phase III study.

4 DR. MULÉ: Dr. Chamberlain.

5 DR. CHAMBERLAIN: Well, so I
6 guess at this point I'm not entirely sure
7 how to answer this question. It's not a yes
8 or no question in my opinion the way it's
9 phrased. I mean, it's really very
10 absolutely phrased, and I guess I tend to
11 lean towards agreeing with what Richard was
12 saying that I think the data is strongly
13 suggestive that it's an efficacious
14 treatment. I would like very much to see
15 this made available to many more patients as
16 quickly as possible, with the provision that
17 the ongoing Phase III trial be completed,
18 and also with the provision that
19 significantly more ethnic minorities are
20 enrolled in trials. With the safety data
21 and with what we've seen, I see no reason
22 not to make this drug available, but I don't

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1 think it's 100 percent proven that it's
2 efficacious.

3 DR. MULÉ: Dr. Witten, with
4 respect to this question --

5 (Laughter)

6 DR. MULÉ: Is it -- from your
7 standpoint and the FDA's standpoint, are you
8 looking for definitive answers to this
9 question? Is it necessary to rephrase this
10 question?

11 DR. WITTEN: Well, it sounds like
12 everyone on the advisory committee would
13 like to rephrase the question, but, you
14 know, we do need to look at this in terms of
15 getting advice for what our next step, you
16 know, your recommendations as our next step.
17 But having said that, it might be useful to,
18 you know, instead of -- it might be useful
19 to actually go around the room, find out
20 everybody's opinions and then vote, because
21 it sounds like everybody's sort of
22 struggling, so. But we do need a vote and,

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1 you know, but if people in the discussion
2 want to state a different question that
3 they'd like to answer, and then at the end
4 vote on the question that we want an answer
5 to, I'm sure that would be useful to us, as
6 well.

7 DR. MULÉ: Okay. So I guess what
8 we'll do is, yes, we'll just move around and
9 then we can re-vote, I guess. Okay. So Dr.
10 Kwak?

11 DR. KWAK: Well, as a clinician
12 who treats cancer patients, I am certainly
13 aware of the exceptional need for additional
14 therapies. But I think what's been posed to
15 us by the FDA is a fairly specific question,
16 and for this I have to put my scientist hat
17 on, and give them a yes or no answer against
18 the statement that the submitted data
19 established the efficacy of the product. My
20 reasons for doing that I think have been
21 stated by many around the table. Concerns
22 about small sample size, the post hoc nature

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1 of the overall survival analysis, and in
2 addition to those, for me, the lack of
3 demonstrated immune responses against the
4 target antigen. So but you know, I would
5 agree with Dr. Alexander that it's really a
6 question, the key word is really, does the
7 data establish the efficacy, and if forced
8 to give an answer to that question, I think
9 for me the answer is no.

10 DR. MULÉ: Okay. Dr. Calos?

11 DR. WITTEN: Excuse me, Dr. Mulé?
12 Yes. Maybe we should try to rephrase it as
13 -- I mean, the question is really asking for
14 you, you know, on the advisory committee, do
15 you believe that this product works, that
16 it's efficacious. I mean that's really what
17 we're asking. So if it's somehow some of
18 the words are not clear, that's what's
19 intended. We want to know whether you
20 believe, as individuals, that this works,
21 that they've shown that it works.

22 DR. CHAPPELL: There's a degree

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1 of belief, and "establish" implies much more
2 certainty than a guess. And so if you were
3 to ask us, you need please, to specify, at
4 least to me, what you mean.

5 DR. ALEXANDER: Like is it a
6 reasonable doubt, a shadow of a doubt?

7 (Laughter)

8 DR. WITTEN: Yes. The regulatory
9 definition is "provide substantial
10 evidence." So that's our standard. Is
11 there substantial evidence that it works.
12 Is there substantial evidence of efficacy,
13 if that helps. So is there substantial
14 evidence.

15 DR. MULÉ: Okay. So just to
16 clarify what you're asking, is there
17 substantial evidence that the product is
18 efficacious.

19 DR. WITTEN: Yes.

20 DR. MULÉ: Okay. Okay. So for
21 the sake of time, I'd like to finish this
22 voting. So Richard, can you just take this

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1 question now and give us a vote and we'll go
2 around the table, okay?

3 DR. ALEXANDER: Yes. I mean the
4 issue is -- yes, there is substantial
5 evidence. I mean, the 150-some patients,
6 they're substantial evidence.

7 (Applause)

8 DR. ALEXANDER: Is the evidence
9 enough to be conclusive to the standard that
10 we need for approving something? That's up
11 to the FDA to decide. And from my
12 standpoint, as designing clinical trials
13 where I am trying to say that it uses
14 definitive evidence that something is
15 conclusive based on a secondary, or not even
16 a secondary endpoint is, you know, is
17 statistically not a valid thing. And that's
18 what -- if we're going to design the study
19 to answer a question, we have to design the
20 best study possible, and that study is
21 ongoing. So that's where I would say, you
22 know, is there substantial evidence that the

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1 drug has efficacy? Yes. I would say this
2 qualifies as substantial evidence, but is
3 not enough for me that if I was in the seat
4 of saying yea or nay that I would say yea.
5 I would say nay.

6 DR. MULÉ: Okay. Dr.
7 Chamberlain?

8 DR. CHAMBERLAIN: I vote yes,
9 there is substantial evidence.

10 DR. MULÉ: Dr. Kwak?

11 DR. KWAK: Yes, substantial
12 evidence.

13 DR. MULÉ: Dr. Calos?

14 DR. CALOS: Yes, I think there's
15 substantial evidence. I don't think that
16 it's been conclusively established, but
17 there's substantial evidence, and certainly
18 it's very exciting, and certainly something
19 that one would want to see continued, and
20 hopefully patients would have access to.
21 But scientifically it falls short of being
22 established.

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1 DR. MULÉ: Dr. Dubinett?

2 DR. DUBINETT: Yes, I think that
3 there is substantial evidence for this. You
4 know, and I also say in sort of coming to
5 some middle ground is that, you know, I
6 think that there is precedent if we look to
7 what happened with gefitinib in lung cancer
8 is that things went forward with gefitinib,
9 it was found to not be demonstrated in a
10 Phase III trial, but another EGFR inhibitor
11 was. So I think both the patients and the
12 community benefitted from that approach. So
13 I think that there is more than one way to
14 actually approach this, but I would come
15 down on saying that there's substantial
16 evidence.

17 DR. MULÉ: Dr. Allen?

18 DR. ALLEN: I believe there's
19 substantial evidence. I think what's
20 compelling to me is, although there are
21 doubts about these primary outcome measures,
22 for me the point is that this is a new

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1 therapy. We may not -- as scientists, it is
2 important for us to understand what we don't
3 know, and one thing we don't know is what
4 this thing is doing really. It may be
5 changing the biology of the disease in a way
6 that chemo drugs just aren't. So for me the
7 fact that you've got evidence of, in my
8 opinion, substantial evidence of survival
9 advantage means that it should be opened up,
10 given the dire landscape of other drugs out
11 there, it should be opened up and followed
12 very, very carefully, but nevertheless I
13 believe it should be approved.

14 DR. MULÉ: Dr. Chappell?

15 DR. CHAPPELL: No. Regretfully
16 and very sympathetically, I don't believe
17 that the data establish efficacy. I dearly
18 hope that the next trial does, but -- and I
19 realize the need for hope, but I don't want
20 to give that hope on a false premise.

21 DR. MULÉ: Dr. Hussain?

22 DR. HUSSAIN: So to me

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1 "substantial" and "establish" are the same,
2 and no to either. So no to both.

3 DR. MULÉ: Mr. Samuels?

4 MR. SAMUELS: Yes.

5 DR. MULÉ: Ms. Terry?

6 MS. TERRY: So I'm a layperson
7 and don't have the scientific knowledge to
8 answer this question scientifically, but I'm
9 here as the consumer representative, and so
10 I'm going to answer it from the consumer
11 point of view. And one of the things I'm
12 going to harken back to for myself is
13 remembering going with my brother, who had a
14 glioblastoma multiforme, to his physician
15 who said, "There's substantial evidence that
16 this stereotactic radiosurgery will keep you
17 alive for 10 years," and he died nine months
18 later. I think new fields need this kind of
19 foray, and new fields are hard to foray into
20 if we wait till everything is perfect. And
21 so therefore I'm going to vote that there is
22 substantial evidence.

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1 DR. MULÉ: Dr. Taylor?

2 DR. TAYLOR: I agree with
3 everything I've heard. I think the real
4 question, in my mind is, is there a risk-
5 benefit ratio here that's appropriate go
6 forward. We've all voted that we believe
7 that this is safe, and I think we really
8 don't yet know whether or not there's
9 compelling data that it's efficacious, but I
10 think there is substantial evidence, so I
11 have to vote yes, and let patients make that
12 decision.

13 DR. MULÉ: Dr. Woo?

14 DR. WOO: In this day and age of
15 evidence-based medicine, essentially we're
16 presented results of two studies, and we
17 were asked to make a judgment on those. The
18 first one appears to be effective, the
19 second one does not. So in my opinion there
20 is some evidence to suggest that this
21 treatment may be doing something. Does it
22 rise to the level of substantial evidence

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1 that it is effective? I don't think so, not
2 even near.

3 DR. MULÉ: Dr. Marincola?

4 DR. MARINCOLA: Well, I think
5 that, based on the facts and on the
6 information that we have so far, I think
7 there is substantial evidence, and I think
8 that not only about this particular
9 treatment, but in general in the field, and
10 I do believe that this is just the beginning
11 of an era where there is going to be so much
12 more that can be done to improve these kind
13 of therapies. If you look at the evolution
14 of these therapies, it's just the beginning,
15 and I do think that there is evidence, and
16 there is a lot of evidence besides this
17 particular study that immunological
18 intervention can be very useful, and I think
19 this is not counter-intuitive as a result,
20 and so I think it's something that is
21 promising, and I would offer it to the
22 people.

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1 DR. MULÉ: Dr. Scher?

2 DR. SCHER: I think we are really
3 poised at the beginning of what will be
4 hopefully an outstanding era of
5 immunotherapy. I think there is sufficient
6 evidence demonstrated which justifies the
7 definitive study, and obviously there are
8 investors in that who concurred, but I think
9 it does not meet the -- as the question was
10 phrased, to establish the efficacy. I think
11 this is still an open question.

12 DR. MULÉ: So I take it you're
13 saying yes with these provisos?

14 DR. SCHER: We have two
15 questions. I would say yes to one, no to
16 the second. The first question as posed, as
17 established, I say no.

18 DR. MULÉ: No, it's substantial
19 evidence.

20 DR. SCHER: I will say no.

21 DR. MULÉ: No. Dr. Tomford?

22 DR. TOMFORD: Well, I was

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1 prepared to say no to the submitted data
2 establish the efficacy, but I believe there
3 is substantial evidence that the treatment
4 works in some form. And so what I'm
5 concerned about is, if it goes forward from
6 here, and substantial resources are put into
7 this treatment, I'm not convinced that it
8 will be something that's really worthwhile.
9 Immunotherapy I support, but I'm not --
10 there are too many questions about this.
11 However, for the substantial evidence
12 question, yes, I believe there is
13 substantial evidence for the treatment.

14 DR. MULÉ: Dr. Guilak?

15 DR. GUILAK: I think it's not
16 unusual in science to have these borderline
17 p-values, or studies that aren't completely
18 definitive. I wish we could all have voted
19 maybe on this, but I don't think we can.
20 And so I think it does boil down to, as Dr.
21 Taylor said, a risk-reward issue, and a way
22 to promote this type of research in the

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1 field, and so I have to say yes, substantial
2 evidence.

3 DR. MULÉ: Comments from Dr.
4 Gunter?

5 DR. GUNTER: I appreciate the
6 chance to comment, and I think I already
7 stuck my neck out on this one. I do think
8 it both meets the measure of substantial
9 evidence, and I also believe that it's
10 pretty definitive. I think that, in this
11 day and age, in the treatment of patients,
12 you know, like Dr. Alexander said, you don't
13 have to look them in the eye and say, this
14 is good for you. You need to be able to
15 look them in the eye and discuss their
16 treatment options, and present them in a way
17 that they can understand. And I think that
18 these data, even though they're complex, can
19 be presented by oncologists to patients in a
20 way that they can understand and make
21 reasonable choices. So I definitely support
22 that this is an effective therapy.

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1 DR. MULÉ: When I look at the
2 field in general, immunotherapy field, and
3 given the question as it's restated
4 substantial evidence, I vote yes, with the
5 proviso, however, that the definitive Study
6 3 is completed, and there's a commitment for
7 doing so. And wrapped into that is the
8 concern raised by Mr. Samuels with respect
9 to recruitment of minority population.

10 MS. DAPOLITO: Okay, for the
11 public record, the question was, is there
12 substantial evidence the product is
13 efficacious. The vote was 13 yes, 4 no,
14 zero abstain.

15 (Applause)

16 DR. MULÉ: Okay. So I'd like to
17 thank the members of the committee, and I'd
18 like to thank our presenters today for
19 providing us with the information. We're
20 going to take a short break, 10-minute
21 break, reconvene for the next portion of the
22 agenda.

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1 (Whereupon, the foregoing matter
2 went off the record at 4:05 p.m. and went
3 back on the record at 4:33 p.m.)

4 DR. MULÉ: So we're going to have
5 an overview of the research programs. Okay,
6 so we'll start with Dr. Puri, Chief of Tumor
7 Vaccines and Biotechnology Branch.

8 DR. PURI: So thank you, Mr.
9 Chairman, thank you, committee members, for
10 having a long day and still here to listen
11 to our presentation. In this session you
12 will hear two presentations, one by me. I
13 summarize the research activities,
14 predominantly a summary of Tumor Vaccines
15 and Biotechnology Branch that I am the
16 branch chief, acting branch chief of, and
17 also Dr. Steve Bauer who is a branch chief
18 of Cell Tissue Therapy Branch is going to
19 summarize the research summary of the site
20 visit presentations that were made by that
21 branch. In addition, too, we tried to
22 consolidate our presentations that our

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1 associate director of research would have
2 made. To spare you one additional
3 presentation I have merged it with my
4 presentation. I'll talk to you a little bit
5 about the mission and organizational
6 structure of the Office of Cell Tissue and
7 Gene Therapy and the Division of Cellular
8 and Gene Therapy. In addition I'll speak to
9 you a little bit about regulatory scope and
10 approach to research.

11 The Office of Cell Tissue and
12 Gene Therapy has three divisions, and those
13 divisions are listed in the lower boxes in
14 addition to a regulatory management staff.
15 This office is directed by Dr. Celia Witten
16 and additional - the rest of her staff and
17 management staff is listed in this slide.
18 The Division of Cellular and Gene Therapy
19 has five branches. Two branches, Gene
20 Therapies branch and Cell Therapy branch is
21 comprised of regulatory scientists. Their
22 full-time job is to not only evaluate the

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1 regulatory submission that includes multiple
2 submission mechanisms and I'll show you one
3 of the slides, but they're also involved in
4 many policy and guidance document
5 development. Two branches that were
6 evaluated at the site visit last year by the
7 subcommittee of this committee includes
8 Tumor Vaccines and Biotechnology Branch and
9 Cellular and Tissue Therapy Branch.

10 The products that our staff
11 evaluates are a multitude of products we
12 have, including cell therapy. That could be
13 cell therapy for Alzheimer's Disease,
14 Parkinson's Disease, diabetes and what have
15 you. We have gene therapy, ex vivo or in
16 vivo gene therapy, cancer vaccines, you
17 heard the presentation this all day,
18 immunotherapy, tissue-engineered products,
19 xenotransplantation products and combination
20 products where the cells and device or drugs
21 can be combined, and the devices used with
22 the cells and tissues in addition to that.

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1 We have greater than 1,100 INDs,
2 IDEs, investigational device exemptions,
3 master files and several thousand amendments
4 per year in addition to consult review that
5 our staff provides. We have one licensed
6 product and a growing number of products are
7 released to the Phase III clinical trial.
8 We evaluate devices and a lot of our staff
9 has spent a good chunk of our time in
10 providing advice to investigators in a pre-
11 IND setting as well as pre-pre-IND setting.
12 Our staff is involved in organizing and
13 presentations at the advisory committee such
14 as here today. They're involved in
15 inspections with our colleagues in
16 compliance and enforcement actions.

17 We participate and partner with
18 the various programs such as National
19 Toxicology Program. Our staff is engaged in
20 testing the safety of the retroviral
21 vectors, with the NIH, CDC, NCI/FDA
22 Interagency Oncology Task Force and a stem

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1 cell task force and other task forces with
2 the - and in this case MATES is a Multi-
3 Agency Tissue Engineering Group. We
4 participate with the international bodies
5 such as ICH and WHO, and our staff performs
6 and does a lot of outreach presentations at
7 various national and international
8 conferences, academic institutions and
9 patient and consumer advocacy groups. We
10 provide a liaison to various professional
11 societies and our staff publishes articles
12 based on simplifying the guidance documents
13 in a publication forum which is available
14 for peer-reviewed, for publishing in peer-
15 reviewed and non-peer reviewed journals.

16 The roles of the research-
17 reviewer is that you are - you evaluated -
18 the subcommittee evaluated last year and the
19 full committee is looking - we are being
20 presented a summary is the product
21 application review of policy and guidance
22 document development, and the various

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1 outreach activities, regulatory mentoring,
2 advisory committee preparations and various
3 enforcement actions and international
4 activities. In addition to that research-
5 reviewers perform research, they do training
6 of the postdoctoral fellows and mentoring.
7 They do administrative activities, some of
8 the like branch chief duties. They
9 participate in various center-wide or inter-
10 center or outside committees. They are
11 involved in writing grant applications
12 wherever we are allowed to write grants and
13 participate in various scientific
14 communities similar to that any principal
15 investigator at NIH or an academic
16 institution would do.

17 So our staff pursues research,
18 Critical Path research to address some of
19 the technological challenges and to stay
20 ahead of the curve, but yet we cannot have
21 expertise in every product area. And we are
22 cognizant of the fact that we have to stay

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1 abreast with the latest technologies. The
2 research strategy in the Division of Cell
3 and Gene Therapy involves to perform a
4 Critical Path research to fill the gaps,
5 deal with the scientific challenges and
6 figure out quickly what is important. As
7 type of product that we evaluate, the
8 regulatory paradigm has not been established
9 or is still being established. Therefore,
10 we have to be proactive in figuring out what
11 is important in the cutting edge area of
12 research that we evaluate.

13 As the sponsors evaluate single
14 products and the results are often
15 proprietary, our scientists perform studies
16 relevant to the entire product class and we
17 make the result public rapidly, thus
18 accessible to all the sponsors to advance
19 the entire field. We have a variety of
20 different project areas that our staff is
21 engaged in in research, including virology.
22 We have expertise on various different

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1 biovectors and viruses, immunology. We have
2 cell biology, cancer biology and
3 biotechnology involving genomics, flow
4 cytometry and proteomics technologies.

5 In the next section of my talk
6 I'll talk about - present the summary of the
7 research presentations that were made by two
8 PIs in Tumor Vaccines and Biotechnology
9 Branch, myself who studied the cancer
10 biology and also chair and run the CBER -
11 participate in CBER's genomics program, and
12 Dr. Michail Alterman who was recruited last
13 year, or less than a year go in April to
14 replace a proteomics PI who had departed FDA
15 to fill that position and set up a
16 proteomics program for the Center for
17 Biologics.

18 So the research in my lab is
19 focused on targeting cancer and identifying
20 the new cancer antigens and develop various
21 different animal models that I'll show you
22 in a few next slides. But I'd like to

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1 introduce to you some of the key public
2 health issues and some of the scientific and
3 regulatory challenges that we try to address
4 in my research program. As you heard and as
5 you know, cancer is one of the most
6 difficult public health problems and the
7 statistics that American Cancer Society
8 provided for 2005 alone, more than 1.3
9 million Americans are diagnosed with this
10 cancer and about half of them die from this
11 dreadful disease. One of the scientific
12 challenges for identifying new treatment for
13 cancer is to understanding the biology of
14 cancer and identifying the appropriate
15 target that one can deliver to the tumor
16 site to cause a tumor regression. And some
17 of the products that you actually heard
18 today, a cancer vaccine in addition to a
19 variety of different cancer vaccines include
20 tumor antigens, peptide antigens, dendritic
21 cells, T lymphocytes, T lymphocyte designed
22 to express certain T-cell receptors and what

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1 have you. A lot of different types of
2 cancer vaccines are being tested and one of
3 the regulatory challenges that this type of
4 product deal with the appropriate test to
5 identify a biomarker for the purity, the
6 identity, and potency of these products. In
7 addition to they have to have the
8 appropriate animal model, how to test the
9 safety of these products and also how to
10 determine the starting dose in the Phase I
11 clinical trial. And of course lastly, but
12 not the least important, is identifying a
13 biomarker for the disease monitoring as well
14 as in the response to substantiate the
15 clinical outcome.

16 So the research program in my lab
17 that we summarized in last site visit
18 presentation in the fall of 2006 had three
19 specific aims and we continue to study on
20 those three aims, and one is to characterize
21 the tumor-associated cell surface proteins
22 which are antigen receptors and to establish

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1 identity of tumor vaccines and identify new
2 targets for cancer therapy. The second
3 specific aim in my research program and to
4 deal with the regulatory challenge is to
5 establish animal models of human cancer to
6 assess the safety and the efficacy of tumor-
7 targeted agents and gene therapy products.
8 And third aim includes the characterization
9 of tumor vaccines and use stem cells by
10 genomics technology to identify biomarkers
11 for purity, identity and potency, and
12 research involving stem cell identify cancer
13 stem cell, perhaps providing additional
14 target for cancer therapy.

15 So in the next couple of slides
16 I'll only show you the summary of the
17 presentation that we made. I am not going
18 to go in detail, present you every slide we
19 presented to tell you that we have
20 discovered two antigens, two targets in the
21 name of IL-4 receptors and IL-13 receptors,
22 and these, both of them, are Th2-derived

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1 cytokines. They are produced by Th2 cells.
2 For some reason nature had provided so many
3 of these receptors on the cancer cells. We
4 still do not understand why these receptors
5 are present on the cancer cells. However,
6 we have taken the advantage of the knowledge
7 of the expression of these antigens on the
8 tumor in targeting these tumors with a
9 targeted agent. And in that regard, in
10 collaboration with - at the National Cancer
11 Institute we created a fusion protein to
12 demonstrate the proof of principal studies
13 that this target can be useful target for
14 the targeting of cancer. And we have looked
15 at variety of human tumors as shown in this
16 slide. The tumors listed in yellow were
17 studied in the review period of four years
18 prior to my last site visit. For the IL-13
19 receptor which is a cousin of Interleukin-4
20 that we have studied in these two tumors in
21 last review period and we have find that IL-
22 13 receptors are also highly over-expressed

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1 on the tumor cells.

2 We have studied various different
3 pathways, why these receptors are present.
4 We look at the mutation of this receptor on
5 cancer which we have found none. We have
6 done a single transduction studies to
7 identify if the signaling is different from
8 the tumor cells to the normal cells, and we
9 have found there are major differences
10 between the two and actually some of the
11 summary is provided in the briefing
12 document.

13 The other specific aim that we
14 have addressed and I'm going to summarize
15 here today is that developing the animal
16 models of human cancer to assess the safety,
17 toxicity, and effectiveness of the cancer
18 targeted agent. And again we use - we were
19 fortunate that we identified two targets and
20 we developed the two targeted agents. We
21 used them as a model to test in the
22 appropriate animal models that we have

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1 established to test the safety and
2 effectiveness of these approaches. And the
3 tumor listed here in ovarian cancer shown
4 here are the immune histochemistry of two
5 different types of ovarian cancer, serous
6 adenocarcinoma and clear cell carcinoma seem
7 to express high level of one of the chains
8 of IL-13 receptor called IL-13 receptor
9 alpha 2 chain while the normal ovary or
10 isotype control does not seem to express
11 these receptors. And we have developed an
12 animal model where we created a simulated
13 Stage III/Stage IV ovarian cancer model by
14 ototopically implanting ovarian tumor on the
15 ovary and then in looking at the metastasis
16 of the tumor as well as the therapy, the
17 effect of IL-13 toxin and we have published,
18 this paper just came out recently in *Cancer*.

19 Now, I'll shift to Dr. Michail
20 Alterman's presentation, and, Dr. Alterman,
21 if you can identify yourself by raising your
22 hand. He is in the audience and if you have

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1 any questions he will be very - more than
2 happy to answer any questions. And also if
3 I do not represent his slides very well,
4 please feel free to correct me.

5 Dr. Alterman is addressing the -
6 and developing analytical proteomics for the
7 characterization of the biological products
8 and trying to identify the biomarkers for
9 different types of products. The specific
10 aim for his projects are now recently
11 ongoing, realizing that he has only spent
12 about less than a year at our place and he
13 has now established his lab and began to
14 pursue some of these projects. He took one
15 of them to develop the mass spectroscopy-
16 based analytical tools for testing of
17 biological product quality and identity. In
18 addition to identify a proteomics-based
19 cellular molecular signature to be tested as
20 a predictor of therapeutic success. In that
21 regard he is focused on two independent
22 projects, one of them is characterization of

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1 cell substrate used to produce gene therapy
2 products or preventive and therapeutic
3 vaccines that you heard. Proteomic
4 characterization of different cell lines
5 with the emphasis on the stem cell lines.
6 In addition to his prior work before he came
7 to CBER, focused on cytochrome P450 isozyme
8 expression in tumors and he wanted to
9 explore that further to identify whether
10 this P450 isozyme expression serves as a
11 potential biomarker for cancer.

12 The expected outcome and
13 deliverables for his research include
14 development of a simple genetic sample pre-
15 fabrication technique enabling the reliable
16 analysis of a representative part of the
17 cell proteome. Proteomic profiling of the
18 cell substrate, in this case he chose two
19 cell substrates which are commonly also used
20 to create flu vaccine and other cell
21 substrates are used to produce gene therapy
22 vectors. Identification of unique protein

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1 signature or a biomarker for human embryonic
2 stem cells in CD34 cells, hematopoietic stem
3 cells and an analysis of quantitative and
4 qualitative changes during the
5 differentiation of ES cells into CD34 cells,
6 and that had been already demonstrated in
7 the literature that you can convert these
8 cells to these cells which is a very useful
9 outcome. The discovery of new cytochrome
10 P450 isozyme in tumor may lead to
11 development of new biomarkers and perhaps
12 new anti-cancer drugs and therapy.

13 So overall, the branch's outcome,
14 regulatory outcome of our research involves
15 - leads to identification of new antigens
16 for cancer vaccine characterization and
17 target for cancer therapy. We are
18 developing the animal models for a variety
19 of human cancer to test the safety and
20 efficacy of targeted agents. We are
21 promoting the development of novel
22 technologies such as genomics and proteomics

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1 for product characterization. For example,
2 biomarker for purity, identity and potency
3 and safety. And of course this technology
4 can provide a unique opportunity to identify
5 molecular markers with the in vivo outcomes
6 in animals and also hopefully in the clinic.
7 So I'd like to stop here and, Chair, if you
8 have any questions I will be happy to answer
9 and Dr. Alterman is also available to answer
10 any questions. Thank you.

11 DR. MULÉ: Thanks, Dr. Puri.
12 Before we open it up for questions I just
13 want to acknowledge we have new individuals,
14 well not new individuals, but individuals
15 from the FDA who have joined us for this
16 session. If you'll kindly introduce
17 yourself, I'll start with Dr. Bauer.

18 DR. BAUER: Hi, I'm Steve Bauer.
19 I'm Chief of the Cell Tissue Gene Therapy
20 Branch in Division of Cell and Gene
21 Therapies.

22 DR. EPSTEIN: Suzanne Epstein,

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1 Associate Director for Research of the
2 Office of Cellular Tissue and Gene
3 Therapies.

4 DR. CARBONE: Kathy Carbone,
5 Associate Director of Research for CBER.

6 DR. MULÉ: Thank you. So I'll
7 open up the floor for questions for Dr.
8 Puri. Raj, I have one. So I'm going to
9 lower my voice when I say embryonic stem
10 cells, but can you give me a sense of where
11 you're going with the project? More
12 specifics.

13 DR. PURI: So we are interested
14 in identifying cancer stem cells and the
15 approach in the literature, you might have
16 seen that people have used a one analyte,
17 for example CD133 or CD24 being expressed in
18 a variety of different tumors such as brain
19 tumors and - or in the head and neck tumors.
20 CD24 being as a cancer stem cell in head and
21 neck tumors. And because cancer stem cells
22 provide a unique opportunity to identify

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1 them as a potential target and for the
2 renewing the cancer that it provides - opens
3 an entirely new field that I suspect that
4 will be used as for a potential target for
5 therapy. That most of the approaches have
6 been used in the literature were based on
7 their prior knowledge of one analyte or one
8 expression of one cell type people have gone
9 after in identifying cancer stem cells. We
10 have a unique approach which has not been
11 tested before and the unique approach being
12 that we want to express and profile human
13 embryonic stem cells, the totipotent,
14 multipotent embryonic stem cell forms all
15 different types of tissues and identify -
16 and we have actually identified a signature
17 of 92 genes. It's called stem nests. And
18 those genes are uniquely expressed in human
19 embryonic stem cells but not any of the
20 adult tissues. Now we want to take
21 advantage of that knowledge and try to
22 express and profile the human tumor, cell

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1 lines first and then the tumor tissue
2 obtained from the Cooperative Human Tissue
3 Network under the FDA risk-approved
4 protocols and isolate the tumor from the
5 tissue section in the expression profile to
6 see if we can identify that signature or
7 some of the genes, the cluster of genes
8 which are present on the tumor that may
9 provide us some insight rather than one
10 analyte at a time, identify multi analyte
11 and maybe we can pull out those cancer stem
12 cells and to show that they are indeed
13 cancer stem cells. So that's a very early
14 stage of this project, but it provides a
15 unique opportunity to identify new stem
16 cells in cancer itself.

17 DR. MULÉ: Questions from the
18 committee?

19 DR. TAYLOR: Why CD34-positive
20 cells?

21 DR. PURI: So that's a different
22 project. So that's Dr. Alterman's project.

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1 So there's literature suggests that now that
2 folks are very impressively can convert
3 human embryonic stem cells with the
4 cocultivation - with the different cell type
5 and convert embryonic stem cell to CD34-
6 positive cell. So CD34 being hematopoietic
7 stem cell has many different applications.
8 And that because it's already established in
9 the literature, for Dr. Alterman's project
10 it will be useful to identify the CD34 cells
11 that you differentiated from ES cells, even
12 though the expressing CD34 marker have
13 similar gene expression profile. Are these
14 cells are different? A simple question: are
15 these cells different? So I think that's
16 the initial thinking on this, and also in
17 addition to that expression profiling,
18 embryonic stem cells and CD34 cells that as
19 this technology advance further when the
20 application is submitted to the FDA we will
21 be interested in knowing that you do not
22 have any contaminating embryonic stem cells

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1 in the differentiated product. Because
2 embryonic stem cells by definition call
3 teratomas. They call all three germ layers,
4 ectoderm, endoderm, and mesoderm, and we
5 will be interested in showing - asking a
6 question are these cells completely free of
7 stem cells, embryonic stem cells. So I
8 think that's some of the work we are trying
9 to do in-house to come up with some sort of
10 an assay to assess the perhaps help a
11 sponsor, advise them to perhaps consider
12 those tests to come up with the - the safety
13 of those products before administration.

14 DR. TAYLOR: So then CD34 is just
15 a population that you chose because it's
16 being used clinically?

17 DR. PURI: And also been shown in
18 the literature that ES cells can
19 differentiate to CD34 cells, right.

20 DR. TAYLOR: Okay. And so really
21 it's just an example of a cell type to allow
22 you to look at differentiated cells versus

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1 undifferentiated human embryonic stem cells
2 so that you can rule out the potential for
3 teratoma formation down the road.

4 DR. PURI: Absolutely. Yes.
5 That's one of the applications, right.
6 Right.

7 DR. TAYLOR: Okay. I guess - I
8 understand that. I guess I would - the
9 broader question about why CD34-positive
10 cells are a huge number of cells that
11 embryonic stem cells can obviously give rise
12 to that have been proposed for clinical
13 studies. CD34 cells are only one and
14 probably not even the most relevant because
15 you can get those from so many other places
16 easily. And so I just wondered if you're
17 using it as a prototype or if you're really
18 interested in the CD34-positive cell itself.

19 DR. PURI: We are just using it
20 as a prototype for our studies. The
21 feasibility that you can detect the
22 embryonic stem cells.

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1 DR. MULÉ: Other questions?
2 Okay, great. Thanks. Before we go to Dr.
3 Bauer's presentation, an announcement. So
4 there's a reservation at an Italian
5 restaurant for dinner at 7:30. If you are
6 interested the plan is to meet in the lobby
7 at about 7:15. Do you need, Gail, do you
8 need a head count? You're okay? We're
9 okay? All right.

10 Okay, Dr. Bauer.

11 DR. BAUER: Well, good evening
12 everyone. My name is Steve Bauer as I said
13 a minute ago and as you just heard, and I'm
14 going to be talking to you about the
15 research programs that were site visited on
16 November 3 of last year for the Cellular and
17 Tissue Therapies Branch. I'll introduce the
18 people that are here with us in case we have
19 questions that come up later on. Deborah
20 Hursh is back here. Deb, would you raise
21 your hand or stand up? And Dr. Malcolm Moos
22 is in the back. I think Dr. Marti intended

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1 to be here but since we're so far ahead of
2 schedule hasn't arrived yet. Brent McCright
3 is not here with us today, and then John
4 Terrig Thomas is also back here. He is part
5 of Dr. Moos's lab.

6 So this group handles primarily
7 nowadays a variety of stem cell and other
8 cellular therapy products, but many of us
9 have been here for many years and have a
10 wide variety of expertise in other areas as
11 well, gene therapy and device regulation and
12 protein chemistry and so on. So it's a
13 group that has many years of experience and
14 is bringing that all to bear on some of the
15 challenges nowadays with cell therapies. So
16 as I think you can appreciate from today and
17 from general knowledge of this area, for a
18 lot of cell therapies that are currently
19 being tried and anticipated clinical benefit
20 is highly variable, it's often hard to
21 demonstrate and just a few problems are some
22 - for instance in many cases most cells

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1 actually die pretty quickly after
2 administration. One of the things we're
3 worried about is products could be
4 "misdifferentiating," not doing the intended
5 function once they're given to a patient.
6 And often we're manufacturing cells ex vivo
7 because there's an inadequate supply of the
8 native cells, so we need to expand them.

9 But really for us the challenges
10 from these kinds of problems, we really have
11 a relatively poor understanding of how cells
12 interact with their microenvironment. And
13 from our perspective we see often that
14 really what is currently done to
15 characterize cell therapy products really is
16 inadequate in terms of being able to really
17 predict robustly what cells are going to do
18 once they're administered to patients and
19 how they will function and how to predict
20 whether cells will survive and you know, if
21 we could increase their survival. So these
22 are just a few of the challenges, but some

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1 of the ones that I wanted to highlight.

2 I think this group that has been
3 brought together as the Cell and Tissue
4 Therapies Branch, we use complementary
5 approaches. We use frogs, flies, mouse and
6 man, all of the above, to study some of
7 these questions, and some of the basic
8 approaches that we look at are to take
9 interactions between genes, proteins, cells
10 and tissues and use what we can find out
11 about those interactions to study processes
12 of normal development and tumorigenicity.
13 And for instance, knowledge and manipulation
14 of things like growth factor pathways we
15 think will help us understand cell therapies
16 better, be able to better predict their
17 efficacy. And then how we understand
18 tumorigenicity we think will help us improve
19 our safety profile for cell therapies
20 because tumorigenicity is an issue in that
21 field.

22 So I'm going to now just touch a

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1 few highlights from each one of the research
2 programs and at least Deb and Malcolm and
3 John are here - Terrig are here to correct
4 me if I misspeak representing them. I don't
5 think Dr. Marti or McCright are here, and
6 I'll try to field questions if there are any
7 on their segments. So what I've illustrated
8 on this slide is a system that I've used
9 where you can grow mesenchymally derived
10 stromal cells that support precursor-B cells
11 upon them. And we discovered - and this is
12 an illustration. These cells are self-
13 replicating with - in the presence of IL-7
14 and the stromal cells, and we discovered on
15 the surface of the stromal cell there's a
16 molecule called dlk. And normally under
17 these circumstances if you remove IL-7,
18 cells begin to differentiate and die, and
19 they can become immunoglobulin-positive B-
20 cells in this culture system. So what we
21 discovered in efforts to try to figure out
22 what kind of signals the stroma were passing

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1 to the pre-B cells, if you down-regulated
2 the dlk on the stromal cells, this normal
3 process of differentiation or cell death
4 ceased and these cells instead just kind of
5 perked along and maintained their status as
6 pre-B cells. And there were no changes in
7 any of the markers that we look at normally
8 to characterize pre-B cells. So this is
9 analogous to what a cell therapy
10 characterization protocol would be. You
11 take the cell surface markers that you know
12 about and you look at them. So we did that
13 with flow cytometry, with gene expression
14 markers. Really no changes, but the take-
15 home lesson here is that abnormal stromal
16 cells resulted in abnormal B-lineage cells I
17 should have said here, cells that look
18 normal by all the criteria you normally
19 would apply, but actually are abnormal.

20 We've gone on to look at this in
21 vivo as well with a dlk mouse, a knockout
22 mouse. That does alter B-cell development

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1 and function. And we use that to study the
2 microenvironment in the host and how that
3 can affect both cells that you take out of
4 such a host and cells that you might put in.
5 And I won't go into that.

6 Also, in my lab we've been using
7 the same system whereby we can - from normal
8 or frankly neoplastic or pre-neoplastic pre-
9 B cells establish clonally related colonies
10 of those and then have a large - of cells by
11 which we can study mechanisms of
12 transformation. And we're pursuing that in
13 hopes of identifying biomarkers of
14 transformation that could be useful in
15 looking at cell therapies, and a microarray
16 is one approach that we're doing that. We
17 can also take genes that have been
18 identified as candidates and put them back
19 into these cells and study, you know, as a
20 validation approach for biomarker discovery.

21 So the impact for cell therapy of
22 this kind of research is - I think this is

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1 something that we haven't thought about a
2 lot in cell therapy in the past, that the
3 stroma itself, the feeder layers that are
4 used to propagate cells can alter a product
5 in a way that might not be revealed in lot
6 release tests as they currently are done.
7 And that efficacy of a cell therapy product
8 could be affected by the microenvironment
9 during cell product manufacturing, and
10 perhaps the microenvironment in the patient
11 as well. In fact, we know that cells can
12 induce changes in the patient
13 microenvironment as well as vice versa. And
14 I've just described our efforts in this
15 improved tumorigenicity assessments.

16 So now I'll turn to Dr. McCright.
17 He is pursuing mouse models of organogenesis
18 in looking at this from the perspective of
19 cellular- and tissue-engineered therapies.
20 The approach is to genetically modify mice
21 and study the functions of proteins that are
22 thought to be required or shown to be

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1 required for mammalian organ development in
2 vivo. And this is just an illustration. So
3 Brent brought with him this technology and
4 can create multiple animal models. He's
5 been using that to create models that allow
6 us to inactivate or over-express Notch2 in a
7 tissue-specific manner. And you can isolate
8 stem cells from a mouse, for instance, with
9 a GFP knock-in so you know that they're
10 Notch2 expressing, and also to study an
11 anti-oncogene, B56gamma. So that's
12 basically the model and just some
13 highlights. He's been looking at the role
14 of Notch2 in heart development and shown
15 that Notch2 expression in heart-specific
16 inactivation allows you to say that there's
17 a cell-autonomous requirement for Notch2
18 during mouse heart development. So this is
19 an example of putting a marker under the
20 expression of Notch2. And you can, with
21 beta-gal for instance show that Notch2 is
22 expressed in a lot of the tissues and sites

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1 within heart development.

2 What's illustrated over here is
3 that he's been able to use cell-specific
4 knockout by using the Cre recombinase system
5 and having flox Notch2 alleles and then
6 using tissue in cell-specific Cre over-
7 expression or expression to specifically
8 knock out different cells and shown defects
9 in the heart that are mapped to Notch2
10 expression. So hearts from newborn mice
11 which have this Notch2 heart-specific
12 inactivation die perinatally and you can see
13 the histological evidence of malformation.

14 So what are the importance of
15 this kind of research? You can use this
16 sort of approach to identify and analyze
17 molecules that we think are required for
18 mammalian organogenesis. We've shown that
19 Notch2 could potentially be a biomarker for
20 evaluating developmental cells that you
21 might isolate that you think are useful for
22 cardiac repair. And I didn't really talk

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1 about this, but he also has shown by doing
2 domain switches at Notch1 or 2 activation
3 can have similar effects on cell products,
4 and that exogenous notch activation and
5 functional requirements for Notch2 can be
6 studied in most tissues.

7 So now I'll move on to describe
8 briefly some of the things that Dr. Deborah
9 Hursh are doing. She's developing a genetic
10 model of growth factor action to develop -
11 aimed at developing markers of safety and
12 efficacy of cell-based products. This is
13 her depiction of Drosophila as a test tube
14 with wings and she's using this - it's a
15 powerful system in order to be able to study
16 such things as cell communication and intact
17 tissues using the tools that have been
18 developed over the years to Drosophila
19 genetics. You can alter gene expression
20 very specifically within certain
21 microenvironments. You can conduct high
22 throughput screens that are useful to

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1 identify critical control points for cell
2 development differentiation, and it's a very
3 nice way to start looking at markers,
4 biomarkers that can be predictive of pathway
5 activity, pathways that affect cell
6 development. You can also do such things as
7 analyzing cell stress and viability. I
8 mentioned earlier that that's one of the
9 problems in cell therapies, that cells seem
10 to die pretty quickly after administration,
11 so it would be good to understand that
12 process and perhaps figure out if there are
13 markers predictive of survival.

14 So one of the things you can do
15 very elegantly in *Drosophila* is do genetic
16 interaction screens and as I said a minute
17 ago put genes in specific functional
18 pathways so you're really using the model
19 organism to identify critical control
20 points. This approach avoids some of the
21 bias of other approaches and abundance in
22 immunogenicity, other modifications of some

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1 of the other models. But another thing you
2 can do is look at many, many, many flies so
3 you can do a sufficiently powerful screen.
4 I think I've said this several times, but
5 knowledge of the control points that really
6 affect cell state and fate we think is very
7 critical for understanding cell therapies
8 better. And in her lab, Deb's group has
9 identified more than 20 genes that interact
10 with the BMP pathway which is a pretty
11 profound growth signaling pathway.

12 And as an illustration in this
13 next slide comparing wild-type fly and one,
14 it's a BMP mutant. If BMP is lacking this
15 induces the Jun kinase pathway, and the loss
16 of this BMP factor causes some of these
17 cells to be - lose their ability to compete
18 with their normal neighbors. And here you
19 can see caspase activity so these cells are
20 undergoing apoptosis. And this is we think
21 a very elegant system to explore some of the
22 problems in cell and tissue engineering, and

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1 particularly having biomarkers that will
2 improve our ability to predict the survival
3 of transplanted cells in their new location.
4 And as a more general approach, to look at
5 gene and cell interactions in tissue
6 development.

7 I'll now turn to Dr. Moos's
8 presentation, and he's primarily been
9 looking at protein-protein interactions that
10 are important in joint development. And
11 what you see here is joint formation in
12 developing xenopus limbs. And the arrows
13 point to areas where there needs to be or
14 there is co-expression in the same place and
15 at the same time of what are shown in red,
16 proprotein convertases and GDF5 which need
17 to colocalize in order to give you a well-
18 formed joint. This is an illustration of
19 that same point where you can see where the
20 colocalization maps.

21 In another similar series of
22 experiments, Dr. Moos's group with Terrig

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1 Thomas's participation have identified a
2 novel BMP antagonist that copurifies and
3 colocalizes, again, with GDF5. And it's the
4 same idea here, that you need to have
5 spatial, temporal co-expression,
6 colocalization in order to successfully make
7 a joint. The articulate - specifically
8 articular surface in those joints. So this
9 illustrates the importance of feedback and
10 crosstalk in cell and tissue specification,
11 that colocalization of several signals is
12 necessary to instruct formation of cartilage
13 and again, looking at a more global picture,
14 a system in a way to study developmental
15 signals that could be important as we move
16 towards better characterization of cell and
17 tissue engineering products.

18 And Dr. Marti has had a career-
19 long interest in chronic lymphocytic
20 leukemia and studies that both in a mouse
21 model and in man, and in his work has been
22 interested in the molecular lesion in

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1 chronic lymphocytic leukemia. And in his
2 work he's characterized precursor states for
3 CLL, specifically one called monoclonal B-
4 cell lymphocytosis and studied familial
5 chronic lymphocytic leukemia. And more
6 recently has been - published work in *Blood*
7 about an NZB mouse model of CLL and the
8 remarkable finding from that is there's a
9 shared micro-RNA lesion that both mouse and
10 - in the mouse model of CLL and which occurs
11 in human CLL with high frequency.

12 He's also been involved in
13 setting up consortia to better understand a
14 biomarker of CLL which correlates with a bad
15 prognosis in looking at ZAP70
16 characterization by flow cytometry. And
17 that leads to the next point. He's had a
18 long-term interest and involvement in
19 developing better methods for quantitative
20 flow cytometry. And I think you saw today
21 how important that can be in cell therapy
22 characterization, and he's spent a lot of

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1 time and effort with the community and in
2 collaboration with NIST and colleagues at
3 CDC and NIH developing standards for flow
4 cytometry, both in terms of fluorescence
5 reference materials, documents that tell you
6 how to do this. And they've been useful and
7 continue to be useful in how we characterize
8 cell therapy products.

9 This is just a diagram showing
10 the locus that's affected in both the NZB
11 CLL model and mouse - and human CLL, a locus
12 called Mir16. So his work is very important
13 in the concept of earlier detection of
14 disease and looking at molecular lesions
15 that are associated with the onset of the
16 transformed state in leukemogenesis,
17 potentially targets for intervention. But
18 his work in flow cytometry in particular is
19 very important in product characterization
20 and that's important for flow cytometry,
21 both in process and as lot release for
22 cellular and gene therapy products. Another

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1 area I won't say much about, but more and
2 more we're getting into the area where flow-
3 sorted cells will be used clinically. So
4 his expertise and advice in quantitative
5 flow cytometry has been key in interactions
6 and facilitating those product developments.

7 So what I hope I've given you a
8 very quick overview is that in the Cell and
9 Tissue Therapies Branch we're addressing
10 many of these cell therapy challenges
11 through complementary approaches, looking at
12 cell-cell interactions, genetic interaction
13 screens, protein-protein interactions,
14 models of organogenesis and tumorigenesis in
15 mouse and man. So the current state of the
16 art is sort of looking at a jet from the
17 outside where you can see it's a jet, you
18 know it's underway. We look at, you know,
19 some of the surface markers of the jet, but
20 what we really would like to do in order to
21 facilitate development of cell therapy is
22 understand what's really going on inside the

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1 cockpit, and that's analogous to what's
2 going on inside the cell. And that'll tell
3 us a lot about where cells are going, where
4 they're headed and so on. So we're looking
5 at both ways, specific biomarkers that are
6 associated with certain directions cells
7 take, but also generalized approaches for
8 getting a better understanding what those
9 instructions are within the cell and then
10 determine cell fate and cell specification
11 and we hope will lead to improved cell
12 therapies. And with that I'll take your
13 questions.

14 DR. MULÉ: Thanks, Dr. Bauer.
15 Questions?

16 DR. BAUER: Everybody's tired.

17 DR. MULÉ: Okay, I think we're
18 set. Thank you.

19 DR. BAUER: Thank you.

20 DR. MULÉ: Before we go ahead, we
21 have two members of the committee who have
22 joined us for this evening, and that's Dr.

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1 Gerson and Dr. Urba. Okay, so we have
2 closed session now.

3 (Whereupon, the foregoing matter
4 went off the record at 5:22 p.m.)

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